Short Research Article 1 2 Identity that Connects Thermal Expansion and 3 Isothermal Compressibility is Not an Identity for 4 **Condensed Phases** 5 6 7 8 ABSTRACT 9 In thermodynamics, there is a relation that connects the thermal expansion coefficient and the isothermal compressibility. It has been supposed that it was a universal identity. It is shown that it is often not an identity for condensed phases. Experimental measurements confirm this conclusion. This relation is used in the derivation of Mayer's relation; therefore Mayer's relation also produces the wrong results for condensed phases, which is confirmed experimentally. 10 11 Keywords: isothermal compressibility; adiabatic compressibility; isobaric heat capacity; isochoric heat 12 capacity; Mayer's relation; ice 7 13 14 15 **1. INTRODUCTION** 16 17 18 There is a relationship in thermodynamics [1]: 19 $\alpha = \frac{1}{V} \left(\frac{\partial V}{\partial T} \right)_{P} = -\frac{1}{V} \left(\frac{\partial V}{\partial P} \right)_{T} \left(\frac{\partial P}{\partial T} \right)_{V} = \beta \left(\frac{\partial P}{\partial T} \right)_{V}$ 20 (1) 21 22 where α is the thermal expansion coefficient, V is volume, T is temperature, P is pressure, and β is 23 the isothermal compressibility. It has been supposed that this is a universal identity. However, one 24 can show that it often fails for condensed phases. The third Maxwell relation is: 25 $\left(\frac{\partial P}{\partial T}\right)_{V} = \left(\frac{\partial S}{\partial V}\right)_{T}$ 26 (2)27 28 where S is entropy. Often when the internal energy U varies, then T varies and vice versa; hence in 29 these cases: 30 $\left(\frac{\partial S}{\partial V}\right)_{T} = \left(\frac{\partial S}{\partial V}\right)_{U}.$ 31 (3)32 33 From Eqs. (1), (2), and (3) and the first law of thermodynamics: 34 $\delta O \leq T dS = dU + P dV$ 35 (4) 36 37 it follows that 38 $\left(\frac{\partial S}{\partial V}\right)_T = \left(\frac{\partial S}{\partial V}\right)_U = \frac{\alpha}{\beta} = \frac{P}{T}.$ 39 (5)

40

41 Let us check this equation. For Fe at room temperature and atmospheric pressure, α = $3.6 \times 10^{-5} \text{ K}^{-1}$ [2] and $\beta = 0.594 \times 10^{-11} \text{ m}^2/\text{N}$ [3]. Hence α/β equals $6 \times 10^6 \text{ m}^2/(\text{N} \text{ K})$, but P/T equals 336 42 m²/(N·K). For NaCl under the same conditions, $\alpha = 1.2 \times 10^{-4} \text{ K}^{-1}$ [4], $\beta = 0.42 \times 10^{-10} \text{ m}^2/\text{ N}$ [3] and α/β 43 equals 2.9×10^6 m²/(N·K). It is clear that P in Eq. (4) is not atmospheric pressure but the sum of 44 45 atmospheric pressure and pressure due to surface tension, with the former being negligibly small compared to the latter. This pressure is not independent of temperature. It is evident that Eq. (1) does 46 not describe the processes in this case precisely. One can show that it is often not an identity for 47 48 condensed phases. 49

50 2. THEORY

51

Let us perform a process of heat exchange: we introduce a quantity of heat into a solid or liquid (Eq. (4)). Its temperature will increase, its volume will increase, and the surface tension pressure will increase. Therefore, the volume is a function of temperature, and temperature is a function of pressure: V = V(T(P)). The process will be described like this:

$$\mathrm{d}V = \frac{\mathrm{d}V}{\mathrm{d}T}\frac{\mathrm{d}T}{\mathrm{d}P}\mathrm{d}P.$$
 (6)

57 58

60

59 From that, one can obtain the following equation:

61
$$\alpha = \frac{1}{V} \frac{\mathrm{d}V}{\mathrm{d}T} = \frac{1}{V} \frac{\mathrm{d}V}{\mathrm{d}P} \frac{\mathrm{d}P}{\mathrm{d}T} = \beta' \frac{\mathrm{d}P}{\mathrm{d}T}$$
(7)

62

The thermal expansion coefficient here is the same as that in Eq. (1). The one in Eq. (1) is measured under a constant atmospheric pressure; however, the pressure in the system is not constant. The compressibility β' in Eq. (7) is not at a constant temperature and is not the coefficient of compression but that of expansivity, which differs noticeably from that of compression. One can see that Eq. (1) cannot describe the process because it is derived for a function of two independent arguments: V(T,P). It is instructional to present the derivation of Eq. (1). This equation follows from the triple product rule for three variables such that each variable is an implicit function of the other two [5,6]:

71
$$\left(\frac{\partial x}{\partial y}\right)_z \left(\frac{\partial y}{\partial z}\right)_x \left(\frac{\partial z}{\partial x}\right)_y = -1.$$

T3 Let us perform a simplified derivation of it. Suppose that there is a function f(x, y, z) = 0 (in thermodynamics, three variables can frequently be related by a function of such a form). The total differential of z is

$$dz = \left(\frac{\partial z}{\partial x}\right)_{y} dx + \left(\frac{\partial z}{\partial y}\right)_{x} dy.$$
 (9)

(8)

77

78

81

82

72

79 Consider a curve with dz = 0 that is parameterised by *x*. On this curve 80

$$dy = \left(\frac{\partial y}{\partial x}\right)_z dx .$$
 (10)

83 Therefore, the equation for dz = 0 becomes 84

85
$$0 = \left(\frac{\partial z}{\partial x}\right)_{y} dx + \left(\frac{\partial z}{\partial y}\right)_{x} \left(\frac{\partial y}{\partial x}\right)_{z} dx.$$
(11)

86

87 This is true for all dx; hence rearranging terms gives

$$\left(\frac{\partial z}{\partial x}\right)_{y} = -\left(\frac{\partial z}{\partial y}\right)_{x} \left(\frac{\partial y}{\partial x}\right)_{z}.$$
(12)

91 Dividing this equation by its right hand side gives the triple product rule, Eq. (8).

92 In the present paper, Eq. (1) has been experimentally checked for a number of solid 93 substances and liquid gallium. In Table 1, the physical values of these substances are presented, and 94 in Table 2, the bulk moduli ratios and heat capacity ratios are presented. The bulk modulus is the 95 inverse of the compressibility. Here the isothermal bulk modulus, *B*, and the isentropic one, B_S , are 96 considered.

97 Mayer's relation is:

 $C_P - C_V = \frac{T\alpha^2}{\rho\beta},\tag{13}$

101 where C_P and C_V are the isobaric and isochoric heat capacities respectively, and ρ is density. The 102 heat capacity ratio is:

 $\frac{C_P}{C_V} = \frac{\beta}{\beta_S}$ (14)

106 where β_{s} is the isentropic compressibility. Equations (13) and (14) are derived using Eq. (1) without 107 simplification, and therefore the heat capacity ratios in both equations must be equal. However, from 108 Table 2 one can see that they differ greatly.

UNDER PEER REVIEW

Substance	<i>ρ</i> , kg/m³	α, 10 ^{−5} K ^{−1}	C _P , J/(kgK)
Magnesiowüstite	3566 (5) [7]	3.12 [7]	924 [2]
MgO			
Zr	6510.7 [2]	2.0 [8]	277.3 [2]
Ga	6094.8 [2]	5.5 [2]	373.9 [2]
Liquid			
Fluorite	3181.5 (7) [12]	5.7 (7) [12]	878.5 ^{a)} [12]
CaF ₂			
Diopside	3286 (5) [13]	1.88 [13]	384.7 [13]
MgCaSi ₂ O ₆			
Forsterite	3233 [15]	2.2599 [16]	844.3 [16]
Mg_2SiO_4			

142 Table 1. Physical values of some solids and a liquid at room temperature

143 The standard deviation in the last digit is shown in parentheses. a) In [12], an erroneous value was

144 reported: 87.85.

UNDER PEER REVIEW

Substance	<i>B</i> , GPa	B _S , GPa	В _S / В	$C_P/C_V,$
				Eq. (13)
Magnesiowüstite	135.0 (10)	163.5 (11) [9]	1.21	1.012
MgO	Eq. (15)	167.1 (4) ^{a)} [9]		
Zr	75.1 (32) [8]	95.3 [10]	1.27	1.005
Ga	23.6 (0.5) [11]	50 (3) [11]	2.12	1.009
Liquid	12.1 (6) [24]	50.4 (4) [25]	4.17	
Fluorite	74.6 (65) [12]	84.5 (5) [12]	1.13	1.027
CaF ₂				
Diopside	88.3 (3) [14]	116.5 (9) [13]	1.32	1.007
MgCaSi₂O ₆				
Forsterite	63.6 [15]	128.32 [16]	2.02	1.004
Mg_2SiO_4	80.9 [17]	128.8 (5) [18]	1.59	

162 Table 2. Bulk moduli of substances from Table 1 and their ratios

For all substances except Ga, the isothermal bulk modulus was obtained in this work using the dependence of *V* on *P* in the cited literature. In [15] there are misprints: V/V_0 for *P* = 0.7 GPa should be 0.989, and for *P* = 1.3 GPa it should be 0.986. The data for 0.7 and 1.3 GPa have been interchanged. The standard deviation in the last digit is shown in parentheses. a) Calculated from the speeds of sound at ambient pressure.

168

The authors of [7,9] report another value of *B* for MgO, but it is wrong because, at small deformations, solids obey Hooke's law with very high accuracy [3,19], but the authors use a thirdorder Birch-Murnaghan equation of state (which takes into account all points in the broad interval of pressures and deformations) in this linear region. For example, in [9] the deformation of MgO obeys Hooke's law up to 1.92 GPa (figure 1). The dependence of the volume on pressure can be given by the following equation: 176 V = 11.26 - 0.083434P (15)

where the volume is in cubic centimetres per mole and the pressure is in gigapascals. From it, the
isothermal bulk modulus at standard ambient temperature and pressure is equal to 135.0 ± 1.0 GPa.
In this paper, the bulk modulus for all substances was calculated from the data that obeyed Hooke's
law.

182

175

183



184 185 Fig. 1. Dependence of the volume on pressure for MgO [9] 186

187 In [20], physical properties of ice VII were measured (Table 3). Its volume depends on
 188 pressure at room temperature like this:

189

191

192

190
$$V = 7.564 + 2.743 \times 10^{-2} P - 9.557 \times 10^{-4} P^2, P \le 42.0$$
 GPa

$$V \approx 7.792 - 2.47 \times 10^{-2} P, P \ge 58.4 \text{ GPa}$$
 (16)

193 It is evident that the ratio B_S/B is significantly larger than C_P/C_V obtained from Eq. (13), particularly at 194 higher pressures. It increases up to 2 at 42 GPa and then decreases drastically.

196

197 Table 3. Compressibilities of ice VII and their ratios at room temperature

Pressure,	GPa <i>B</i> , GPa,	B _S , GPa	B _s /B	C_P / C_V ,
[20]	Eq. (16)	[20]		Eq. (13)
34.4 (4)	192 (17)	233 (2)	1.21	1.00
37.3 (1)	165 (24)	237 (3)	1.44	1.00
38.7 (9)	155 (12)	245 (3)	1.58	1.00
40.3 (5)	143 (9)	260 (3)	1.82	1.00

42.0 (5)	133 (4)	261 (3)	1.96	1.00	
58.4 (9)	315 (21)	383 (3)	1.22	1.00	
59.7 (14)	315 (21)	395 (3)	1.25	1.00	
61.8 (14)	315 (21)	417 (3)	1.32	1.00	

198 The standard deviation in the last digit is shown in parentheses.

200 3. DISCUSSION AND CONCLUSIONS

202 One can see that the identity Eq. (1), Mayer's relation, and the heat capacity ratio, Eqs. (13) and (14), 203 cannot describe condensed matter correctly. The derivations of these relations can be found in [21]. 204 In the derivation of Mayer's relation and the heat capacity ratio, Eq. (1) is used. Also, one can show 205 that the derivation of Mayer's relation is not correct. Let us consider the key part of this derivation and 206 expand *S* as a function of *T* and *V*:

 $dS(T,V) = \left(\frac{\partial S}{\partial T}\right)_{V} dT + \left(\frac{\partial S}{\partial V}\right)_{T} dV$ (17)

210 whence

212
$$\left(\frac{\partial S}{\partial T}\right)_{P} = \left(\frac{\partial S}{\partial T}\right)_{V} + \left(\frac{\partial S}{\partial V}\right)_{T} \left(\frac{\partial V}{\partial T}\right)_{P}$$
(18)
213

214 and

215

216

217

199

201

207

208

209

211

$$C_P - C_V = T \left(\frac{\partial S}{\partial V}\right)_T \left(\frac{\partial V}{\partial T}\right)_P.$$
(19)

One can see that this consideration is equivalent to the following one. Let us take the following
 expansion:

221
$$dS(T,V,P=\text{const}) = \left(\frac{\partial S}{\partial T}\right)_{V,P} dT + \left(\frac{\partial S}{\partial V}\right)_{T,P} dV$$
(20)

222

and divide it by d*T*. The derivatives on the right hand side are equal to zero, and at a constant *P* the arguments *V* and *T* are not independent. Equation (1) is valid only in the ideal case where in V(T, P)temperature and pressure are independent parameters.

It is interesting to note that Eq. (1) was experimentally checked for rubber and the authors reported a value of -0.88 for the right hand side of Eq. (8) [22]. Nevertheless, even this value is not reliable. In [22,23] and references therein, the tension of a rubber band, *F*, as a function of temperature and length, *L*, was measured. The authors of [22] check the following equation:

231
$$\left(\frac{\partial F}{\partial L}\right)_T \left(\frac{\partial L}{\partial T}\right)_F \left(\frac{\partial T}{\partial F}\right)_L = -1.$$
 (21)

232

The authors measure $(\partial F/\partial T)_L > 0$, where *F* is the tension of a rubber band, and assume that it equals $[(\partial T/\partial F)_L]^{-1}$, which means that both derivatives have the same sign. This is not true. It

should be noted that the sign of $(\partial F/\partial L)_T$ differs from that of $(\partial L/\partial F)_T$. The former is the 235 236 dependence of the tension on the length of expansion measured experimentally (the greater the 237 expansion ΔL the greater the tension ΔF , and $\Delta F/\Delta L > 0$.) The latter can be obtained only 238 theoretically: let us increase the force of attraction between the atoms ($\Delta F > 0$), and hence the rubber 239 will contract ($\Delta L < 0$). The sign of $(\partial L/\partial T)_{F}$ is negative because the rubber band contracts when

240 heated under tension (the Gough–Joule effect) [22,23]. The derivative $(\partial T/\partial F)_T$ will be negative.

241 Let us increase the tension by increasing the force of attraction between the atoms. As a result, the 242 rubber band will contract. To keep the band length constant, we have to decrease its temperature 243 according to the Gough-Joule effect. So, the experiment produces the value +0.88 instead of -1. The 244 signs of the partial derivatives of Eq. (1) obtained in [22] have been confirmed by many other papers 245 [23]. One can see that Eqs. (1) and (8) are not reliable in the description of condensed phases

247 **COMPETING INTERESTS**

248

246

249 Author has declared that no competing interests exist.

251 REFERENCES

252

250

253 1. Atkins PW. Physical chemistry. Vol 1. Oxford: Oxford University Press; 1977.

254 2. Chemical Encyclopaedia. Vols 1–5. Moscow: Rossijskaja Enciklopedia; 1988–1998.

255 3. Kittel C. Introduction to solid state physics. 8th ed. USA: John Wiley & Sons, Inc.; 2005.

256 4. Rao ASM, Narender K, Rao KGK, Krishna NG. Thermophysical properties of NaCl, NaBr and NaF 257 by y-ray attenuation technique. J Mod Phys. 2013;4(2):208–14. (Free online).

258 DOI: 10.4236/jmp.2013.42029

259 5. Elliott JR, Lira CT. Introductory chemical engineering thermodynamics. 1st ed. Prentice Hall PTR; 1999;184. 260

- 261 6. Carter AH. Classical and statistical thermodynamics. Prentice Hall; 2001;392.
- 262 7. Li B, Woody K, Kung J. Elasticity of MgO to 11 GPa with an independent absolute pressure scale:

263 Implications for pressure calibration. J Geophys Res. 2006;111(11):B11206.

- 264 DOI: 10.1029/2005JB004251
- 265 8. Zhao Y, Zhang J, Pantea C, Qian J, Daemen LL, Rigg PA, et al. Thermal equations of state of the
- 266 α , β , and ω phases of zirconium. Phys Rev B. 2005;71(18):184119.
- 267 DOI: 10.1103/PhysRevB.71.184119
- 268 9. Fei Y. Effects of temperature and composition on the bulk modulus of (Mg,Fe)O. Am Mineral. 269 1999;84(3):272-6.
- 270 DOI: 10.2138/am-1999-0308
- 271 10. Liu W, Li B, Wang L, Zhang J, Zhao Y. Simultaneous ultrasonic and synchrotron x-ray studies on 272 pressure induced α - ω phase transition in zirconium. J Appl Phys. 2008;104(7): 076102.
- 273 DOI: 10.1063/1.2987001
- 11. Li R, Li L, Yu T, Wang L, Chen J, Wang Y, et al. Study of liquid gallium as a function of pressure 274 275 and temperature using synchrotron x-ray microtomography and x-ray diffraction. Appl Phys Lett. 276
- 2014;105(4):041906.
- 277 DOI: 10.1063/1.4891572
- 278 12. Speziale S, Duffy TS. Single-crystal elastic constants of fluorite (CaF₂) to 9.3 GPa. Phys Chem 279 Minerals. 2002:29(7):465-72.
- 280 DOI: 10.1007/s00269-002-0250-x
- 281 13. Isaak DG, Ohno I, Lee PC. The elastic constants of monoclinic single-crystal chrome-diopside to
- 282 1,300 K. Phys Chem Minerals. 2006;32(10):691-9.

- 283 DOI: 10.1007/s00269-005-0047-9
- 284 14. Zhang L, Ahsbahs H, Hafner SS, Kutoglu A. Single-crystal compression and crystal structure of
 285 clinopyroxene up to 10 GPa. Am Mineral. 1997;82(3–4):245–58.
- Andrault D, Bouhifd MA, Itié JP, Richet P. Compression and amorphization of (Mg,Fe)₂SiO₄
 olivines: An x-ray diffraction study up to 70 GPa. Phys Chem Minerals. 1995;22(2):99–107.
- 16. Dorogokupets PI, Dymshits AM, Sokolova TS, Danilov BS, Litasov KD. The equations of state of forsterite, wadsleyite, ringwoodite, akimotoite, $MgSiO_3$ -perovskite, and postperovskite and phase diagram for the Mg_2SiO_4 system at pressures of up to 130 GPa. Russ Geol Geophys. 2015;56(1–2):172–89.
- 292 DOI: 10.1016/j.rgg.2015.01.011
- 17. Will G, Hoffbauer W, Hinze E, Lauterjung J. The compressibility of forsterite up to 300 kbar
 measured with synchrotron radiation. Physica B. 1986;139&140:193–7.
- 295 DOI: 10.1016/0378-4363(86)90556-5
- 296 18. Zha C-S, Duffy TS, Downs RT, Mao H-K, Hemley RJ. Sound velocity and elasticity of single-297 crystal forsterite to 16 GPa. J Geophys Res. 1996;101(B8):17535–45.
- 298 DÓI: 10.1029/96JB01266
- 299 19. Auld BA. Acoustic fields and waves. Vol 1. New York: John Wiley & Sons; 1973.
- 300 20. Asahara Y, Hirose K, Ohishi Y, Hirao N, Murakami M. Thermoelastic properties of ice VII and its
- high-pressure polymorphs: Implications for dynamics of cold slab subduction in the lower mantle.
 Earth Planet Sci Lett. 2010;299(3–4):474–82.
- 303 DOI: 10.1016/j.epsl.2010.09.037
- 304 21. Adkins CJ. Equilibrium thermodynamics. 3rd ed. Cambridge: Cambridge University Press; 305 1983;114.
- 22. Carrolla HB, Eisner M, Henson RM. Rubber band experiment in thermodynamics. Am J Phys.
 1963;31(10):808.
- 308 DOI: 10.1119/1.1969109
- 309 23. Roundy D, Rogers M. Exploring the thermodynamics of a rubber band. Am J Phys. 2013;
 310 81(1):20-3.
- 311 DOI: 10.1119/1.4757908
- 312 24. Yu T, Chen J, Ehm L, Huang S, Guo Q, Luo S-N, et al. Study of liquid gallium at high pressure
- 313 using synchrotron x-ray. J Appl Phys. 2012;111(11):112629.
- 314 DOI: 10.1063/1.4726256
- 315 25. Ayrinhac S, Gauthier M, Le Marchand G, Morand M, Bergame F, Decremps F. Thermodynamic
- 316 properties of liquid gallium from picosecond acoustic velocity measurements. J Phys Condens Matter.
- 317 2015;27**(**27):275103.
- 318 DOI: 10.1088/0953-8984/27/27/275103