# Climate Sensitivity Parameter in the Test of the Mount Pinatubo Eruption

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#### 10 12 13 **ABSTRACT**

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The author has developed one dimensional dynamic model (1DDM) to simulate the surface temperature change ( $\Delta T$ ) caused by the eruption of Mount Pinatubo. The main objectives have been 1) to test the climate sensitivity parameter ( $\lambda$ ) values of 0.27 K/(Wm<sup>-2</sup>) and 0.5  $K/(Wm^{-2})$ , 2) to test the time constants of a simple first-order dynamic model, and 3) to estimate and to test the downward longwave radiation anomaly (ΔLWDN). The simulations show that the calculated  $\Delta T$  of 1DDM follows very accurately the real temperature change rate. This confirms that theoretically calculated time constants of earlier studies for the ocean (2.74 months) and for the land (1.04 months) are accurate and applicable in the dynamic analyses. The 1DDM-predicted  $\Delta T$  values are close to the measured value, if the  $\lambda$ -value of 0.27 K/(Wm<sup>-2</sup>) has been applied but the  $\lambda$ -value of 0.5 K/(Wm<sup>-2</sup>) gives  $\Delta$ T values, which are about 100 % too large. The main uncertainty in the Mount Pinatubo analyses is the ΔLWDN flux, because there are no direct measurements available during the eruption. The author has used the measured ERBS fluxes and has also estimated ALWDN flux using the apparent transmission measurements. This estimate gives the best and most consistent results in the simulation. A simple analysis shows that two earlier simulations utilising General Circulation Models (GCM) by two research groups are depending on the flux value choices as well as the measured  $\Delta T$  choices. If the commonly used minimum value of -6 Wm<sup>-2</sup> would have been used for the shortwave anomaly in the GCM simulations, instead of -4 Wm<sup>2</sup>, the  $\Delta$ T values would differ from the measured  $\Delta$ T values almost 100 %. The main reason for this error seems be the  $\lambda$ -value of 0.5 K/(Wm<sup>-2</sup>).

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Keywords: Global warming, climate sensitivity parameter, climate response time, radiative
 forcing response, downward radiative fluxes, Mount Pinatubo eruption.

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# 20 1. INTRODUCTION

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# 22 1.1 Objectives and Symbols

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24 The Mount Pinatubo eruption in 1991 caused a global cooling during the next five years as 25 the incoming shortwave radiation was reduced by 6 W/m<sup>2</sup> offering a unique opportunity to test and to analyse the various phenomenon of the climate system. Water vapour feedback 26 27 has remained a topic of debate since 1990 and the eruption can be used to analyse this 28 effect also. The first objective of this paper is to test the two climate sensitivity parameter values which have been commonly used in the scientific studies. The second objective is to 29 30 test the climate system time constants describing the dynamic behaviour of the climate 31 exposed to a relative big and sudden change. The third objective is to estimate and to test

- 32 the downward longwave radiation anomaly (ΔLWDN). In the simulations a theoretical 33 feedback property of the climate system has been also tested.
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- Table 1 includes all the symbols, abbreviations, acronyms and definitions used repeatedly in this paper.
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### Table 1. List of symbols, abbreviations, and acronyms

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Acronym	Definition
1DDM	One dimensional dynamic model
AT	Apparent transmission
ENSO	El Niño Southern Oscillation
ERBS	NASA's Earth Radiation Budget Satellite
GCM	General Circulation Model
ISCCP	International Satellite Cloud Climatology Project
LW	Longwave
LWDN	LW radiation flux downward
LWUP	LW radiation flux upward
LWSRF	LW radiation emitted by the surface
OLR	Outgoing longwave radiation
ONI	Oceanic Niño Index
RF	Radiative forcing change
SW	Shortwave
SWATM	SW radiation flux absorbed by the atmosphere
SWIN	SW radiation flux incoming at the TOA
SWSRF	SW radiation flux incoming at the surface
TOA	Top of the atmosphere
TPW	Total precipitable water
Т	Surface temperature
Tm	1DDM-predicted surface temperature change
Tav	Average surface temperature <mark>change</mark> by four datasets
Tmsu	Surface temperature <mark>change</mark> by UAH MSU dataset
Tav-e	Tav with ENSO correction
Tmsu-e	Tmsu with ENSO correction
TCS	Transient climate sensitivity
λ	Climate sensitivity parameter
Δ	Anomaly or change

40 Subscript<sub>n</sub> means step n in time domain.

### 41

# 42 **1.2 The Mount Pinatubo eruption**

The main eruption of the Mount Pinatubo volcano (15.1  $\mathbb{N}$ , 120.3  $\mathbb{E}$ ) on the island of Luton in the Philippines began on the 3<sup>rd</sup> of June, 1991 and concluded on the next day. Four large explosions generated eruption columns reaching the heights of up to 24 km in the stratosphere. The estimate of the stratospheric mass increase was 14 – 20 Mt of SO<sub>2</sub>, which created 21-40 Mt of H<sub>2</sub>SO<sub>4</sub>–H<sub>2</sub>O aerosols [1]. The eruption also injected vast quantities of minerals and metals into the troposphere and stratosphere in the form of ash particles. The aerosols formed a global layer of sulfuric acid haze over the globe and the global temperatures dropped about 0.5  $\mathbb{C}$  in the years 1991 – 1993.

51 The sulphate aerosols caused scattering of the visible light and therefore the incoming 52 radiation scattered more effectively back into space. Thus the albedo of the Earth increased 53 leading to a cooling at the Earth's surface. On the other hand the plants utilized the climate 54 conditions, because they could photosynthesize more effectively in the diffuse sunlight [2]- [3]. As a result of the more intensive photosynthesis, there was a negative anomaly of the global  $CO_2$  concentration increase rate.

57 Because the eruption happened at one point, it took several weeks before the global effect 58 was fully developed. The volcanic aerosol cloud encircled the Earth in 21 days driven by the 59 easterly winds in the tropical stratosphere. It covered about 42 % of the Earth in two weeks 60 [4]. In Fig. 1 are depicted the global temperature [5] and the apparent transmission 61 measured at Mauna Loa [6] (19.3 N, 155.4 W). It c an be seen that there is delay between 62 the temperature response and the apparent transmission (AT) describing the reduction of 63 the incoming shortwave (SW) radiation.



Fig.1. The global surface temperature and the apparent transmission measured at Mauna Loa, Hawaii.

In Fig. 2 the apparent transmissions (AT) are depicted at the various sites on the northern hemisphere [7]. It can be seen that the absolute values of the AT values are different depending mainly on the local conditions. For example, the low values of the Japanese sites describe the air quality of the local conditions. The large value of the Mauna Loa is due to the fact that it is at the altitude of 3.4 km in the middle of the Pacific. An important feature thinking the analysis methods of this study is that the percentage decreases are very close to each other in the range from 10.1 % to 13.2 %.



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75 Fig. 2. The apparent transmission values at the various sites. The percentage values

show the maximum decreases of the apparent transmissions after the eruption.

78 The sites in Fig. 2 cover almost 85 % of the northern hemisphere. Thomas [8] has analyzed 79 the global apparent transmission measurements after the eruption. The analysis shows that the aerosol cloud was covering the latitudes from 60S to 60N after three months and 80 81 practically uniform over the hemispheres after six months. This is also the moment of the 82 maximum temperature decrease. The main role in spreading the cloud had planetary scale 83 waves in high latitudes, which transported the volcanic aerosol from the tropics to high 84 latitudes. The reason why the decrease of apparent transmission value was almost the same 85 at the high latitudes as in the tropics is probably due to the zenith angle. Even though the 86 sulphate cloud would be thinner at the high latitudes, the sunlight has a longer pathway through the atmosphere. This compensates the effects of thinner cloud conditions and 87 88 causes finally the same decrease in the SW insolation flux.

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90 Two conclusions can be drawn from these figures. The global delay called a dead time in 91 process dynamics, is estimated to be 1.6 months between the incoming SW radiation 92 change and the global surface temperature response. This value is used in the dynamical 93 analyses of this study.

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95 Another conclusion is that after the fully developed coverage of the sulphate cloud in the 96 stratosphere, the radiation effect changes can be estimated to happen simultaneously over 97 the globe. Therefore it is justified to use the one dimensional (1D) approach in developing a 98 dynamic model (called 1DDM) for analysing the temperature versus radiation flux 99 relationships.

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# 1.3 Literature study

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103 There have been numerous Pinatubo studies on the three major fields. The first is on the 104 aerosol and chemical effects of the Pinatubo particles. The second is focused on optical 105 properties of the aerosol particles and on the radiative forcing. The third is on the responses 106 to the forcing affecting the temperature and the circulation patterns.

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This paper concentrates on the dynamic behaviour of the surface temperature changes
 caused by the radiative flux changes. Therefore the survey of the earlier studies covers only
 the subjects which are relevant for this study.

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Even though the Pinatubo eruption is the best documented major eruption so far, there was an essential radiative flux, which was not directly measured during the eruption. This was the LW downward radiation flux (LWDN), which is essential, because it compensates the major portion of the cooling effects of the reduced SW downward radiation flux (SWIN) decrease during the early phases of the eruption [9].

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The World Climate Research Programme (WCRP) Radiative Fluxes Working Group initiated a new Baseline Surface Radiation Network (BSRN) to support the research projects. Some years later the BSRN was incorporated into the WCRP Global Energy and Water Cycle Experiment (GEWEX). The BSRN network stations started to operate in 1992 and that is why these valuable measurements were not available during the Pinatubo eruption.

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124 There has been a special GEWEX project to assess the surface radiation budget datasets 125 [10] based on the available data at the top of the atmosphere (TOA). By studying the 126 GEWEX results, the author's conclusion is that the LWDN fluxes could not be estimated 127 reliably in this project based on the other existing flux data. Therefore a major challenge in 128 this study is to estimate the  $\Delta$ LWDN flux trend during the Pinatubo eruption.

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130 In Fig. 3 the main radiative fluxes of the Earth are illustrated [11]-[12]. The climate forcing

131 effect of a volcano eruption can be analysed in the same way as the cloud change forcing. 132 Normally the cloud forcing has been calculated as the sum of changes in the downward SW 133 flux change and outgoing LW flux change between the clear and all-sky conditions. Applying 134 this same method, the radiative forcing (RF) caused by the eruption, is the sum of  $\Delta$ SWIN 135 and  $\Delta LWUP$  and it is called aerosol radiative forcing [13]. The change in the flux values is 136 calculated between the normal conditions and during or after the eruption. Because the 137 outgoing LW flux is reduced during the early phases of the eruption, it is a sign that there is 138 cooling happening on the surface.

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#### Fig. 3. The main radiative fluxes of the Earth's energy balance.

144 The RF value calculated in this way is normally called radiative or climate forcing (RF). 145 Actually it is only a measure of the real RF. There are two fluxes which have the real forcing effect on the Earth's surface temperature (T) and they are SWIN and LWDN. They are the 146 147 only fluxes, which form the radiation input on the surface. In the change from the all-sky to the cloudy sky conditions, the change of LWUP at the TOA is -11 Wm<sup>-2</sup> and the change of 148 LWDN at the surface is +14.3 Wm<sup>-2</sup> [12]. These flux values show that if the clear sky 149 150 conditions do not prevail, the LWUP change is not equal to the real warming/cooling impact on the surface caused by the LWDN flux change. This example also shows that the LWDN 151 152 flux change is greater than the LWUP flux change. The major reason for this difference is 153 that the cloudy sky values are actually measured in the dynamic situation and the LWUP flux 154 is not in the real equilibrium value.

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156 The small particle sizes less than 1 µm are more effective in reflecting the SW solar radiation SWIN than they are at reflecting the LW radiation emitted by the surface. According to a 157 158 comprehensive study [1], the smallest particles were sulphuric acid/water droplets and the 159 largest particles were ash fragments. The cooling and warming effects of the aerosols and 160 particles depend on the particle sizes. The LWDN flux increases especially during the early 161 phases of the eruption because there are larger aerosol particles more in the atmosphere 162 than in the later phases. Therefore the warming effect of LWDN is the most effective at the 163 same time as the cooling is in maximum [1]. The stratospheric ash layer settled down just 164 above the troposphere staying there until March 1992. The particle size measurements [1] 165 showed that there was a peak in both small and large particle sizes after a few months after 166 the eruption but by 1993 the high measurements values were decaying back to pre-eruption 167 values.

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169 The ash cloud in the high altitudes of the atmosphere absorbs and emits radiation. This ash

cloud had a measureable warming effect on the northern hemisphere winter temperatures
[14]-[15]. The ash cloud has about the same effect as the clouds have in the cold climate
conditions, it will prevent the cooling of the surface. In this way it has a net warming effect.

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174 The radiative forcing (RF) at TOA has a linear relationship to the global mean surface 175 temperature change  $\Delta$ T, if the equilibrium state is assumed [16]:

(1)

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 $\Delta T = \lambda RF$ ,

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where λ is the climate sensitivity parameter, which is a nearly invariant parameter having a value of 0.5 K/(Wm<sup>-2</sup>). IPCC uses still equation (1) in its latest report AR5 but IPCC no longer keeps the value of λ almost constant [17]. A general experience and also a common practice is to approximate the small changes around the operating point to be linear by nature. The most probable change of RF by the end of this century is 6 Wm<sup>-2</sup> according to RCP6 (Representative Concentration Pathways) [17]. This change is only 2.5 % about the average value of OLR (outgoing longwave radiation) value of 239 Wm<sup>-2</sup>.

187 The author carried out a study about this issue utilizing the MODTRAN code [18]. The 188 concentration of  $CO_2$  varied from 357 ppm to 700 ppm and the sky conditions were clear and 189 cloudy, which were combined to calculate the all-sky values. The average global atmosphere 190 profiles for GH gases, temperature and pressure were applied. The results show that the 191 maximum nonlinearity between the OLR fluxes was 0.01 % and the maximum variation in  $\lambda$ 192 values was 2.5 %, when the surface temperature varied ±1 °C. These results show that the 193 equation (1) is applicable for small RF and temperature changes.

195 Ollila has analysed [19] the future warming values based on the RF values of greenhouse 196 gases. This analysis showed that the warming values of RCP2.5, RCP4.5, and RCP6 could 197 be calculated using the  $\lambda$  value of ~0.37 K/(Wm<sup>-2</sup>). IPCC has calculated RCP warming 198 values applying GCMs but they do not inform the possible  $\lambda$  values. On the other hand 199 IPCC reports in AR5 [17] that the transient climate sensitivity (TCS) value is likely to lie in the 200 range 1 to 2.5  $^{\circ}$ C giving the average value 1.75  $^{\circ}$ C. This value is almost the same as 201 calculated by equation (1):  $\Delta T = 0.5 \text{ K/(Wm-2)} * 3.7 \text{ Wm}^2 = 1.85 \text{ K}$ . The conclusion is that 202 IPCC is very inconsistent in using  $\lambda$  values and equation (1). If  $\lambda$  is not "nearly invariant" 203 parameter", IPCC should have introduced something more credible scientific evidence about 204 the real nature of  $\lambda$ .

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206 This inconsistency may be linked to the warming values of the recent RF values. There 207 should not be any of IPCC's own climate models, but in reality there is such a model called 208 "Radiative Forcing by Emissions and Drivers" which has a summary leading to the value of 209 2.34 Wm<sup>-2</sup> according to AR5 [17]. IPCC denies that there is any IPCC's model but the fact is 210 that the IPCC organization has selected a number of research studies, which have been 211 used in creating their presentation. There are private researchers who do not make the 212 same selections and therefore their models are different. If equation (1) is applied in the 213 same way as calculating the TCS value above, the warming value of 2.34 Wm<sup>-2</sup> would be 214 1.17℃ in 2011. IPCC does not show this temperature increase in the AR5 [17], and one 215 reason might be that it is 38 % greater than the observed value of 0.85  $^{\circ}$ C.

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The possible water feedback is the only essential feedback in TCS calculations. In the referred GCM studies applied in the Pinatubo analyses, there are no reported  $\lambda$  values. The lambda value of 0.5 K/(Wm<sup>-2</sup>) means that there is a positive water feedback included into a model. The assumption that there is a positive water feedback in the climate models means that relative humidity (RH) should be constant despite the moderate warming/cooling of the atmosphere. This property of the positive water feedback would double the warming effects of GH gases according to AR4 [16]. IPCC reports in AR5 that the positive water feedback
 can amplify any forcing by a typical factor between two and three [17]. This means that
 understanding of water feedback magnitude is not becoming more accurate but has become
 more inaccurate.

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The issue of a constant RH can be studied by simply looking at the RH trends since 1948, which are depicted in Fig. 4 [20]. It is clear that RH has varied quite a lot. Even though the early RH measurements may be unreliable, the measurements since 1980 have better technology and they are very accurate and reliable.

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Fig. 4. The relative humidity trends according to NOAA at different altitudes in the troposhere.

237 238 The positive water feedback and high climate sensitivity (CS) of climate models is a wellknown feature. Normally the equilibrium CS varies from 1.5 °C to 4.5 °C [21], which means 239 240 that the variation of TCS (Transient climate sensitivity) is about half of this range. However there are several studies, which have calculated the climate sensitivity value to be about 1.0 241  $-1.2 \,^{\circ}$  C [22]-[25] using the same radiative forcing value of 3.7 Wm<sup>-2</sup> for CO<sub>2</sub> as IPCC uses. 242 It means a lower  $\lambda$  value of about 0.27 - 0.3 K/(Wm<sup>-2</sup>). Some researchers have calculated 243 244 even lower values like ~0.6 ℃ for climate sensitivity [19], [26] or 0.7 ℃ [27]. Ollila [19] has calculated the  $\lambda$  value using three different methods and his results vary between 0.245 and 245 0.331 the most reliable value being 0.268 K/( $Wm^{-2}$ ). In this study these two most common 246 247 values have been applied: 0.27 K/( $Wm^{-2}$ ) and 0.5 K/( $Wm^{-2}$ ).

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The forcing studies can be classified into two categories namely forcing calculations utilising General Circulation Models (GCM) 1) for simulations of spatial flux and temperature changes [8], [28]-[31], and 2) other simulations resulting the surface temperature change. In respect to this study only the latter studies are relevant.

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254 One of the earliest studies was that of Hansen et al. [32]. They used the GISS global climate 255 model to assess the preliminary impacts of the Pinatubo eruption. In their calculations they 256 used the peak value of -4 Wm<sup>-2</sup> for  $\Delta$ SWIN and they could show that the simulated  $\Delta$ T was 257 about -0.5 °C. The most common value of  $\Delta$ SWIN has been -6 Wm<sup>-2</sup> [8], [13]-[14], [29], [33]. 258 This value is also used in this study.

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In the later study [34] Hansen et al. applied the same peak value of -4 Wm<sup>-2</sup> in the GCM simulations by name SI94 and GRL92. Soden et al. [35] applied a GCM and as input data they used ERBS fluxes in calculating the RF values. They also included the absolute atmospheric water content as a variable. The peak value of  $-4 \text{ Wm}^{-2}$  was used for  $\Delta$ SWIN. Their major result was the GCM simulations could calculate the  $\Delta$ Tm values close to the

measured value, if the positive water feedback was included. The water content was
 calculated using the NASA Water Vapor Project (NVAP) values [36].

268 In Fig. 5 the NVAP dataset values as well the NCEP/NCAR (National Center for Environmental Prediction / National Center for Atmospheric Research) values are depicted 269 [37]. The NVAP water content trends show great seasonal changes of about 3 TPW mm. 270 Soden et al. [35] have reported that there has been ~0.75 TPW mm peak reduction during 271 272 the Pinatubo eruption. The graphs show that the peak reduction estimate [23] can be 273 regarded a correct estimate. This choice of using the peak values only can be questioned, because the trend line of NVAP-M values show increased rate of absolute water content. A 274 275 justified procedure would be to use the monthly values but then the water feedback effects 276 would be huge. Because the seasonal water content variations depend mainly on the northern hemisphere seasonal changes, a better method might be to combine zonal 277 278 temperature and water content values.

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Fig. 5. The graphs of water contents according to NVAP-M and NCEP/NCAR datasets.

In Fig. 5 it can be noticed that there are opposite trends in these datasets during the
Pinatubo eruption. It is quite impossible to know, which of these datasets is correct and
therefore the question of positive or negative water feedback cannot be reliably tested
utilising the Pinatubo case and the global water content trends.

# 288 2. RADIATIVE FLUXES AND FORCING ANOMALIES CAUSED BY THE 289 ERUPTION

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291 The two SWIN flux datasets available during the eruption are ISCCP [38] and ERBS [39]. 292 They are depicted in Fig. 6. Both datasets are unstable and spiky. The SWIN flux anomaly 293 can also be estimated using the apparent transmission (AT) signal or optical depth measurements. In this case the AT signal of Mauna Loa has been used. The  $\Delta$ SWIN flux 294 295 anomaly has been assumed to follow exactly the trend of the AT-signal. The time of the minimum value of the AT-signal has been used to be also the time of the minimum value of 296 297 the SWIN flux value of -6 Wm<sup>-2</sup>. This estimate of  $\Delta$ SWIN flux is depicted in Fig. 6 and it can 298 be noticed that this flux is very stable and its trend follows very well the average form of 299 ISCCP and ERBS fluxes. The smoothed ΔERBS SWIN flux signal follows the estimated AT 300 transformed  $\Delta$ SWIN flux signal so well that they could be used between each other.



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Fig. 6. SW downward radiation flux anomalies at TOA.

Because there are no direct measurements of LWDN flux, it has been estimated. As realized before, the LWDN flux anomaly should follow the amount of large aerosol particle amounts in the atmosphere. Russell et al. has a Fig. 6 in their paper [1] containing optical depth measurements of the different particle size trends measured at Mauna Loa during the eruption.

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11 It has been assumed that the smaller particle sizes from 0.382 to 0.500 μm are related to the 312 ΔSWIN flux anomaly. The largest particle size is 1.020 μm and the graph of its aerosol 313 optical depth has been used to estimate the ΔLWDN flux. The peak values relationship 314 between the 1.020 μm and 0.382/0.500 μm is 0.6. Using this relationship the peak value of 315 estimated ΔLWDN flux anomaly would be 0.6 \* (-6 Wm<sup>-2</sup>) = -3.6 Wm<sup>-2</sup>. The ΔLWDN is been 316 estimated to follow the aerosol optical depth signal of the particle size 1.020 μm at Mauna 317 Loa and it is depicted in Fig. 7. 318



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323 In Fig. 7 it can be noticed that the peak value of estimated LWDN flux is greater than the 324  $\Delta$ LWUP values measured at TOA by ISCCP and by ERBS. One explanation is that  $\Delta$ LWUP 325 fluxes depend mainly on the surface temperature and therefore there is a dynamic delay in

326 comparison to the  $\Delta$ LWDN flux. The full effect of this delay is about one year. In the dynamic 327 situations like this Pinatubo eruption anomaly, the maximum temperature anomaly is about 328 from 80% to 90 % from the full effect. This difference is analyzed more deeply in the 329 simulation section.

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In the simulations the measured surface temperature anomaly  $\Delta T$  is a reference. There are five dataset commonly available and four of them are depicted in Fig. 8 [5], [40]-[42]. There are rather big differences in the trends. The difference between the HadCRT4 and the UAH MSU is even 0.4 °C around the beginning of the year s 1992 and 1993. The UAH MSU trend has the largest minimum value during the eruption. Because of this situation, two surface temperature trends have been used as references namely Tmsu (UAH MSU dataset) and Tav (average of all four datasets).





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#### Fig. 8. Surface temperature anomalies according to four datasets.

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342 Hansen et al. [34] and Soden et al. [35] have taken into account that the ENSO (El Niño 343 Southern Oscillation) phenomenon had the maximum warming index in January 1992, when 344 the Pinatubo eruption had the strongest cooling effects. The researchers elimated the ENSO 345 effect by calculating a modified surface temperature of MSU UAH dataset. According to the 346 graphs of these two papers, the ENSO corrected minimum peak of ΔT has been from -0.7  $^{\circ}$ C to -0.75  $^{\circ}$ C. They refer to the study of Santer e t al. [43]. The author reads this same 347 348 paper that the maximum mean volcanically induced cooling  $\Delta T_{max}$  at the surface is from -0.35 °C to -0.45 °C and it is about double in the troposphere. ENSO certainly has a 349 350 warming effect from 1991 to the end of 1992, and therefore this result is not logical, because 351 the temperatures without ENSO corrections are about the same. There is a graph [43], 352 where the temperature anomaly is about -0.75 °C but it is for the troposphere and not for the 353 surface. Another study of Thompson et al. [44] shows that the maximum warming effect of 354 ENSO is only 0.14 ℃.

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Because the effects of ENSO are so controversial, this study has used the results of the own analyses. The elimination of ENSO is based on the analysis of ONI values (Oceanic Niño Index) [45] and the global  $\Delta T$  values. The ENSO effect creates fluctuations, which can be identified as almost identical fluctuations of  $\Delta T$  values after 1-12 months delay. The four most regular El Niño / La Niña cases were selected. The relationship from peak to peak between these fluctuations show that  $\Delta T = 0.144 * \Delta ONI$  on average. This temperature effect formula has been used in modifying the measured  $\Delta T$  values but there is no time 363 delay applied, because the peak values of ONI and  $\Delta T$  values match. In Fig. 9 is depicted 364 the ENSO effect as a temperature anomaly and its effect on the two global  $\Delta T$  trends. This 365 approach gives the maximum ENSO effect of ~0.23 ℃. The ENSO during the Pinatubo 366 eruption has a special feature not having the negative La Niña temperature peak at all. 367



368 Fig. 9. The ENSO signal removed from the surface temperature measurement. 369 370 371

The ENSO effect explains quite well why there is a peak upward from January 1992 to July 1992, when the surface temperature should be in minimum because of forcing by 372 373 ΔSWIN/ΔLWDN anomaly. After 1993 the ENSO effect is very small, but it caused an upward 374 tick at the end of 1995, when the Pinatubo event was practically over. The ENSO modified surface temperatures Tay-e and Tmsu-e have been used as references in this study. 375

#### **3. DYNAMIC MODEL SIMULATIONS** 377

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379 The Pinatubo eruption happened in such a way that the forcing factors in the form of  $\Delta$ SWIN and  $\Delta$ LWDN flux anomalies changed all the time and therefore the applied model must be 380 381 dynamical. A dynamical model is capable of simulating time dependent variables and their 382 impacts. In this case a simple one dimensional model 1DDM has been applied as described 383 in Fig. 10. The 1DDM has been written in Laplace domain, because it is the most common 384 and easiest way to describe dynamic processes.



388 The output  $\Delta$ FLIN of the disturbance process D(s) is the sum of  $\Delta$ SWIN and  $\Delta$ LWDN created 389 by the Pinatubo eruption. ΔFLIN has been delayed by 1.6 months and it can be formulated 390 as follows: 391

$\Delta FLIN = f(\Delta SWIN + \Delta LWDN)$	(2)
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393 The input variable  $\Delta$ SWIN is a flux anomaly signal varying according to the time. Also 394 395 ΔLWDN varies according to the time as depicted in Fig. 7. The climate process C(s) includes 396 two elements: 1) the input signal  $\Delta$ FLIN is transformed into the surface temperature change 397 and 2) the dynamic behaviors of the climate system delays are included into  $\Delta$ T effects:

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 $\Delta T = \lambda * \Delta FLIN * (K_{sea}/(1+T_{sea}) + K_{land}/(1+T_{land}))$ (3)

where  $K_{sea}$  is 0.7,  $K_{land}$  is 0.3,  $T_{sea}$  is a time constant of 2.74 months and  $T_{land}$  is a time constant of 1.04 months. These values are based on the earlier studies [12], [46]-[47]. The values of the K parameters are the area portions of land and ocean of the Earth. The climate process C(s) is a combination of two parallel processes, because the time delays of land and ocean are different.

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407 Three different simulation cases have been described and carried out: 1)  $\Delta$ SWIN and 408  $\Delta$ LWUP (the proxy of the LWDN) fluxes are from ERBS datasets, 2)  $\Delta$ SWIN and  $\Delta$ LWDN 409 are estimated as described above based on the AT measurements, 3) Feedback process 410 experiment. The ISCCP dataset turned out to be too swaying and unreliable and therefore it 411 has not been used. In cases 1) and 2) the simulations have been carried out by  $\lambda$  values of 412 0.27 K/(Wm<sup>-2</sup>) and 0.5 K/(Wm<sup>-2</sup>).

The dynamic processes according to eq. (2) are first-order dynamic models, which can be simulated in the discrete form enabling continuously changing input variables:

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 $Out(n) = (\Delta t/(T + \Delta t))((T/\Delta t)^*(Out(n-1) + In(n)),$ (4)

419 where Out(n) is the output of the process in step n, In(n) is the input of the process of step n, 420 T is the time constant,  $\Delta t$  is the simulation step interval (=0.2 months), and n-1 is the 421 previous step value.

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423 The results of using ERBS flux values are depicted in Fig. 11.

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Fig. 11. The simulated surface temperature according to the dynamic 1DDM using ERBS dataset  $\Delta$ SWIN and  $\Delta$ LWUP fluxes.

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429 It can be noticed that the simulated temperature values vary a lot because the fluxes ΔSWIN 430 and ΔLWIN vary too much. Especially the  $\lambda$  value of 0.5 K/(Wm<sup>-2</sup>) gives ΔTm peak values,

which are almost double as large as the  $\Delta$ Tm values using the  $\lambda$  value of 0.27 K/(Wm<sup>-2</sup>). A

432 possible reason for this is that the LWUP flux anomaly is not an accurate enough estimate of 433 the real  $\Delta$ LWDN flux anomaly and the flux measurements are too inaccurate.

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In Fig. 12 the same graphs are depicted, when the  $\Delta$ SWIN and  $\Delta$ LWDN are estimated according to the AT and aerosol optical depth measurements. The simulated  $\Delta$ Tm signal is stable and the dynamic changes follow very well the real temperature changes  $\Delta$ T. Also in this case the  $\lambda$  value of 0.5 K/(Wm<sup>-2</sup>) gives results, which do not follow the real changes of the surface temperature changes but gives about 100 % too great  $\Delta$ Tm during the eruption.

440 441



442199119921993199419951995443Fig. 12. The simulated surface temperature according to the dynamic 1D model using444estimated SWIN and LWDN fluxes.

445

446 The question of feedback has created the two schools of thoughts. Some researchers think 447 that the climate system is like the other processes of the nature, which are built on negative 448 feedbacks. A positive feedback system is dangerous, because it drives any system out of 449 balance sooner or later. IPCC and some other researchers think that the climate system for example includes the positive water feedback as well as positive albedo and cloud 450 feedbacks [17]. It should be noticed that the positive water feedback is included into the 451 climate feedback parameter  $\lambda$ , when its value is 0.5 K/(Wm<sup>-2</sup>) [16] and should results in a 452 453 constant RH trend in the troposphere. The  $\lambda$ -value of 0.27 K/(Wm<sup>-2</sup>) means a constant water 454 content of the atmosphere.

455

457

456 A theoretical feedback process is simulated using the process model depicted in Fig. 13.



### 459 Fig. 13. A theoretical feedback process in the case of Pinatubo eruption.

460

461 The theoretical feedback process can be constructed based on the assumption that the 462  $\Delta$ SWIN flux anomaly is the only disturbance in a very stable climate system, which tries to 463 eliminate this disturbance. The elimination process is a theoretical PI-controller, which 464 detects a change in the surface temperature and creates an eliminating phenomenon, which 465 tries to minimize the disturbance. In this case the eliminating flux is the  $\Delta$ LWDN flux. The 466 climate process C(s) has as an input only the  $\Delta$ SWIN anomaly. The PI-controller imitates the 467 counter effect of ALWDN flux but ALWDN flux values are not needed to use in this 468 simulation.

470 The mathematical form of the PI-controller in Laplace domain is

 $Out(t) = K_{p}^{*} \Delta e(t) + (K_{p}/T_{i})\Sigma e(t)\Delta t$ 

$$Out(s) = K_{p}(1+1/(T_{i}s))e(s)$$
 (5)

474 Where  $K_p$  is the gain of the controller,  $T_i$  is the integral time and e(s) is the error signal 475 between the set point and the measurement. The equation (4) simulated in a discrete form in 476 the time domain is

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480 The PI-controller was tuned by trial and error giving  $K_p = 2$  and  $T_i = 500$  months. The results 481 of the negative feedback process simulation are depicted in Fig. 12. The output of the 482 theoretical feedback process follows the ΔTm values of 1DDM surprisingly closely up to the 483 end of 1993 as well as the measured ΔT values.

484

485 One big difference between this study and the three referred studies [32], [35], and [36] is 486 the use of estimated  $\Delta$ LWDN instead of measured  $\Delta$ LWUP fluxes. The basic reason is that 487 these two fluxes have different values. The measured  $\Delta$ LWUP fluxes are not stable, making 488 the results very unstable too. This problem can be eliminated to a certain degree by heavy 489 smoothing or even by removing parts of a flux signal [35].

490

491 The actual  $\Delta LWUP$  flux depends on the surface temperature changes  $\Delta T$  which is caused by 492 the RF change. The RF is the sum of  $\Delta$ SWIN+ $\Delta$ LWDN flux changes. The  $\Delta$ LWUP flux can 493 be calculated using the measured  $\Delta T$  changes. The author has used two calculation 494 methods. The first is MODTRAN radiation code available through Internet [18]. By applying 495 the average global atmosphere profile, MODTRAN can calculate the LWUP flux change at 496 TOA. The main parameters selected for these calculations were: CO<sub>2</sub> 357 ppm, fixed water vapor pressure, cloudy sky with cumulus cloud base of 0.66 km and top of 2.7 km. The 1 °C 497 change in the surface temperature gives ΔLWUP change of 3.39 Wm<sup>-2</sup> for the clear sky and 498 3.08 Wm<sup>-2</sup> for the cloudy sky at TOA. By combining the two sky conditions, the all-sky value 499 500 of 3.18 can be calculated [10]. Ollila [10] has calculated the same relationship using another commercial spectral analysis tool Spectral Calculator for the clear sky conditions. The cloudy 501 sky fluxes are estimated to be 25 % less than the clear sky fluxes [16]. This calculation 502 method gives the ΔLWUP change of 3.05 Wm<sup>-2</sup> for the 1 °C change. The results of 503 504 MODTRAN calculation have been used, which gives a linear relationship

505 506

507

ΔLWUP = 3.18 \* ΔT.

(<mark>7)</mark>

(<mark>6)</mark>

508 This linear relationship is applicable inside the small temperature change of 1  $^{\circ}$ C. 509



#### 510 511

512

513 The surface temperature calculated  $\Delta LWUP$  is depicted in Fig. 14. It can be compared to the 514 measured ΔLWUP flux, which is in this case the average of ISCCP and ERBS datasets. The 515 flux values are at about the same level except for the first months of 1992. The SW+LW forcing flux is about 1 Wm<sup>-2</sup> higher than the ISCCP & ERBE flux during the period 3/1992 -516 517 10/1992. This could be due to the error of LWDN flux estimate. The LWDN flux may reduce 518 quicker than the optical depth measurement indicates. This is also a probable reason for the 519 difference between the Tm value of 1DDM and the measurement based temperature 520 anomalies during the year 1992. This is a very good result showing that  $\Delta$ LWUP depends 521 on  $\Delta$ SWIN + $\Delta$ LWDN fluxes and their dynamic effects on the  $\Delta$ T at the Earth's surface. 522 Therefore,  $\Delta LWUP$  is not really the right choice in calculating the surface temperature 523 changes caused by downward radiation flux anomalies of SWIN and LWDN.

524 525

# 526 5. DISCUSSION

527

These results can be compared to the results calculated by Hansen et al. [34] and Soden et al. [35] who have used complicated GCMs in their analyses. In these models the temperature effects are based on the eruption aerosol amounts and properties. When comparing the dynamic behavior, the calculated Tm of GCMs follows very accurately the real temperature change as does the 1DDM. The conclusion is that the dynamical time delays in their GCMs must come very close to the time constants applied in this study.

The peak values of Tm of the GCM studies are -0.6 °C [34] and -0.7 °C [35] and according to their graphs, the model-predicted values are practically same as the observed values. The observed values of this study vary from -0.5 °C to -0.6 °C based on the selected temperature measurement. One explanation could be that in the referred GCM studies the modified UAH MSU dataset has been used having a greater ENSO effect correction than in this study.

540

In the GCM calculations the researchers [34]-[ $_3^{5}$ ] have used ERBS flux values. In both cases the maximum value of SW anomaly  $\Delta$ SWIN has been about -4 Wm<sup>-2</sup>, which differs 33 % from the value of -6 Wm<sup>-2</sup> used in the majority of the other GCM studies and also in this study. The maximum LW anomaly  $\Delta$ LWUP used in the GCM studies has been about -2.3 Wm<sup>-2</sup>. Using equation (1) for steady-state conditions, the calculated peak Tm would be 0.5 \* (-4 + 2.3) = -0.85 °C. This value is very close to the model-predicted value of Soden et al. [35]. On the other hand, if the commonly used value of -6 Wm<sup>-2</sup> would have been used, the calculated peak Tm would be 0.5 \*(-6+2.3) = -1.85 °C. If the average  $\lambda$ -value of 1.0 K/(Wm<sup>-2</sup>) commonly found in GCMs is used, the Tm would be even larger. The GCM simulations of Soden et al. [35] gave results which are close to the measured  $\Delta$ T values. The major features of these two studies are listed in Table 2.

552

# Table 2. Comparison of the major differences between the study of Soden et al. [35] and this study

555

	Soden et al.	<mark>Ollila</mark>
<mark>Min. ΔSWIN, Wm<sup>-2</sup>, min.</mark>	<mark>-4.0</mark>	<mark>-6.0</mark>
<mark>Max. ΔLWDN, Wm⁻², max.</mark>	<mark>+2.3</mark>	<mark>+3.6</mark>
Max. radiative forcing, Wm <sup>-2</sup>	<mark>-1.7</mark>	<mark>-2.4</mark>
Equil. Tm according to $\lambda = 0.5 \text{ K/(Wm}^{-2})$ , °C	<mark>-0.85 (-0.75)</mark>	<mark>-1.2 (-1.1)</mark>
Equil. Tm according to $\lambda = 0.27 \text{ K/(Wm}^{-2}), \mathfrak{C}$	<mark>-0.46 (-0.36)</mark>	<mark>-0.65 (-0.55)</mark>

556

The model calculated Tm values are for equilibrium conditions and the values of the real dynamic conditions are in brackets. The dynamic simulations of this study show that in the dynamic change condition the real equilibrium Tm value cannot be reached but the real temperature change is about +0.1  $\degree$  smaller. The values in Table 2 show that the results of Soden et al. [35] can be generated using the  $\lambda$  value of = 0.5 K/(Wm<sup>-2</sup>) and the flux values applied by them.

563

564 This simple analysis shows that the model-predicted Tm values are completely dependent 565 on the selected forcing fluxes,  $\lambda$  values and even on the selected observed  $\Delta T$  value. It appears that in GCM simulations [34]-[35] the selected  $\Delta$ SWIN flux cannot be regarded as 566 567 the justifiable choice. Actually the greatest uncertainty is about the right  $\Delta$ LWDN flux values, 568 because there are no direct measurements available. The commonly used  $\Delta LWUP$  flux at the TOA, is not the same flux as ΔLWDN. ΔLWUP is mainly dependent on the real RF 569 570 fluxes ( $\Delta$ SWIN and  $\Delta$ LWDN) and on the surface temperature. Therefore the  $\Delta$ LWUP flux 571 contains the dynamic delays of the land and ocean and the warming/cooling effects of the 572 forcing radiation fluxes. In the dynamic simulations this is a source of error. The real 573 measured  $\Delta LWUP$  fluxes are very spiky – especially ISCCP fluxes.

574

# 4. CONCLUSION

575 576

577 The results show that a simple one dimensional dynamic model 1DDM gives results that are 578 close to the real surface temperature changes  $\Delta T$  after the Mount Pinatubo eruption using 579 the climate sensitivity parameter value of 0.27 K/(Wm<sup>2</sup>). Timewise the changes follow very 580 well the real changes. It means that the applied time constants for land (1.04 months) and 581 for ocean (2.74 months) are accurate and can be used in any dynamic simulations. 582 Especially the quick and large  $\Delta T$  during the early phase of the eruption shows that the 583 applied 1DDM follows very accurately the real change rate.

584

585 The maximum temperature decrease differs +0.05 ° from the lowest dataset value (UAH 586 MSU) and -0.04 °C from the highest dataset value (T average) being actually in the middle of 587 the dataset changes. This is a very good accuracy.

588

The climate sensitivity parameter value of 0.5 K/(Wm<sup>2</sup>) gives the minimum peak value of -1.02 °C, which is almost double in comparison to -0.55 °C calculated by  $\lambda$  value of 0.27 K/(Wm<sup>2</sup>). This means that the climate models are very sensitive to the value of the climate sensitivity parameter. The mean  $\lambda$ -value of 1.0 K/(Wm<sup>-2</sup>) commonly used in GCMs would give 200 % too high values. In this study  $\Delta$ SWIN and  $\Delta$ LWDN fluxes have also been estimated utilizing the apparent transmission measurements. The simulation using these fluxes gives the best and consistent results. The theoretical feedback simulation gives values which are close to the 1DDM model values applying also the  $\Delta$ LWDN flux values.

598

The correlation analysis between the model calculated Tm and the measured Tav-e gave 599 the correlation  $r_2 = 0.6$  and the standard error of Tm = 0.066 °C. Whe n the standard error of 600 Tm is transformed into the standard error of  $\lambda$ , the value is 0.036 K/(Wm<sup>-2</sup>). This means that 601 602 the uncertainty of  $\lambda$  is in the range from 0.234 K/(Wm<sup>-2</sup>) to 0.306 K/(Wm<sup>-2</sup>). The main reason 603 for the relatively poor correlation seems to be the inaccurate surface temperature 604 measurements. The correlation r<sub>2</sub> between Tmsu-e and Tav-s is 0.85 and the standard error 605 of the estimate 0.040 °C. This error is 61 % of the standard error of the 1DDM predicted 606 temperature. If the 7 months running mean is applied to Tm and Tay-e like in the study of 607 [35],  $r_2 = 0.76$  and the uncertainty range of  $\lambda$  improves from 0.245 to 0.295.

608

609 The theoretical simulation of negative feedback of the climate system gives Tm results, 610 which follow well both the 1DDM results and the real  $\Delta$ T measurements. 611

# 612 6. COMPETING INTERESTS

613

615

614 The author declares that there are no competing interests existing.

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