

The Pathogenesis of Index Myopia in Hyperglycemia in Type 2 Diabetes: A Review

9 **ABSTRACT**

10 Elevated blood glucose or hyperglycemia occasioned by Diabetes Mellitus (DM), compromises the
11 index of refraction of the crystalline lens, due to the osmotic difference between the lens materials and
12 the aqueous medium of the anterior chamber of the eye. Under this circumstance there is a
13 movement of fluid to either direction depending on the concentration gradient in both media. When
14 there is movement out of the lens, into the aqueous, the refractive index of the lens increases, and
15 this situation also causes a sudden change in the refractive power of the lens, leading to index
16 myopia, while a decrease leads to index hyperopia. However, the induced myopia from this sudden
17 fluctuation in the refractive power of the crystalline lens reverses shortly after a prolonged treatment
18 and control of the hyperglycemia, with a combination of hypoglycemic drugs and diet therapy by the
19 physician. The Issuance of spectacle prescription during these fluctuations is suspended until
reversal.

20 *Key words:*

21 *Aqueous humor; Crystalline lens; Diabetes mellitus; Hyperglycemia; Index myopia; Index hyperopia;*
22 *Refractive index.*

23 **ABBREVIATIONS:**

24 DKA: Diabetic ketoacidosis.

25 DM: Diabetic mellitus.

26 mg/dL: Milligrams per deciliter.

27 28 29 **1.0. INTRODUCTION:**

30 The aim of this paper is to review the scientific literature relating to refractive changes in index myopia,
31 associated with hyperglycemia in DM and to appraise its significance and implications to the practice
32 of optometry.

33
34 According to American Diabetes Association (2014) [1], Hyperglycemia is technically high blood
35 glucose, which happens when the body has too little insulin or when the body can't use insulin
36 properly. It is a serious health problem for diabetics, although one may or may not be diabetic to have
37 hyperglycemia. There are two types of hyperglycemia: fasting and postprandial hyperglycemia.
38 Hyperglycemia can also lead to diabetic ketoacidosis (DKA) or hyperglycemic hyperosmolar

39 nonketotic syndrome. There are a variety of causes of hyperglycemia in people with diabetes [2].
40 Upward variation in blood sugar could result, due to hyperglycemia or DM. In fasting blood sugar, a
41 range between 100 – 126 milligrams/deciliter (mg/dL) is considered hyperglycemia; if it's chronically
42 elevated above a certain level above 126mg/dl for a fasting sugar, then it is diabetes [1].

43

44 Diabetes is a group of metabolic and systemic diseases in which a person develops hyperglycemia
45 (elevated blood sugar), either because the body does not produce enough insulin, or because cells do
46 not respond to the insulin that is produced [3]. According to the American Diabetes Association recent
47 position statement "Diagnosis and Classification of Diabetes Mellitus", DM is clinically divided into two
48 major types; Type 1 (formally termed insulin-dependent) and Type 2 (formally termed non-insulin
49 dependent) [4]. Others are Gestational diabetes and specific types of diabetes due to other causes,
50 e.g., monogenic diabetes syndromes, diseases of the exocrine pancreas, and drug or chemical-
51 induced diabetes [4]. The classification system went on to include evidence that DM was an
52 etiologically and clinically heterogeneously group of disorders that share hyperglycemia in common
53 [4]. Type 1 DM is the direct consequence of irreversible pancreatic beta-cell destruction in an
54 autoimmune attack by the body, leading to complete dependence on insulin created externally from
55 the patient. Although common in children and young adult may occur at any age [5]. The traditional
56 paradigms of type 2 diabetes occurring only in adults and in type 1 diabetes only in children are no
57 longer accurate, as both diseases occur in both cohorts. Occasionally, patients with type 2 diabetes
58 may present with (DKA) [6]. Children with type 1 diabetes typically present with the hallmark
59 symptoms of acute polydipsia (excessive thirst), polyuria (increase in urine volume and frequency)
60 polyphagia (excessive hunger), weight loss [7], and approximately one-third with DKA.

61

62 Type 2 diabetes, previously referred to as "non-insulin-dependent diabetes" or "adult-onset diabetes,"
63 encompasses individuals who have insulin resistance and usually relative (rather than absolute)
64 insulin deficiency. Type 2 DM is the most common form of DM [8], it is insidious in onset, and
65 asymptomatic [4]. The main causes of Type 2 DM are a reduction in insulin secretion, a resistance to
66 insulin action or a combination of both [8, 4], and the relative contribution of these two factors varies
67 among patients [8]. It is suggested that insulin resistance occurs as a result of altered mitochondrial
68 function through the electron transport chain [9]. The development of type 2 DM, though with
69 unknown specific etiology, is characterized by a progressive deterioration of glucose tolerance over
70 several years and its pathogenesis is by 4 major metabolic abnormalities: obesity with some degree of
71 insulin resistance, impaired insulin action, insulin secretory dysfunction and increased endogenous
72 glucose output. Commonly, the age of onset for Type 2 DM occurs in the middle age group. This
73 group may not require insulin treatment to survive initially, and often throughout their lifetime [4]. Thus,
74 insulin secretion is defective in these patients and insufficient to compensate for insulin resistance,
75 which may improve with weight reduction and/or pharmacological treatment of hyperglycemia, but is
76 seldom restored to normal. Type 2 diabetes is often associated with a strong genetic influence within
77 the family lineage.

78

79 DM affects several organs of the body as well as the oculo-visual apparatus of the eye in several
80 forms. It is a leading cause of visual impairment and blindness [10]. These include Diabetic
81 retinopathy, macula edema, diabetic cataract, glaucoma, extra-ocular muscle palsy and iris changes
82 [10, 11]. There are also, optic neuropathy, iridocyclitis, rubeosis iridis etc, in juvenile diabetes [12]. In
83 uncontrolled diabetes, a general fluctuation in vision could be experienced. The nature of fluctuation
84 depends on the status of the underlying DM and the ocular structure involved. It has long been known
85 that patients suffering from DM may experience transient visual blur. This is usually caused by
86 changes in their refraction [13], although neural factors may also be involved [14]. Blurred vision due
87 to a variation in blood glucose, is a well-known complication of diabetes mellitus. It has been
88 suggested that the predominant cause of refractive changes during hyperglycemia is a change in the
89 shape and/or the refractive index of the lens [15]. Then further documentation showed that

90 fluctuations in refractive power often accompany changing blood glucose levels [15,16,17]; however,
91 the nature and the aetiology of refractive fluctuations in DM is poorly understood.

92 There is an alteration of the refractive systems, in form of index myopia. It is an induced or acquired
93 refractive error which occurs due to sudden changes in the refractive index of the intraocular lens.
94 Investigators have observed myopic [16] refractive shift in diabetic patients, which have been related
95 to changing plasma glucose concentration. If undiagnosed, or in a state of hyperglycemia, it could
96 become more myopic [13]. Index myopia is the temporary or transient myopia in hyperglycemia,
97 mostly in type 2 DM. It is a sudden shift in the refractive condition of the eye from emmetropia or
98 hyperopia towards myopia, induced by a change in the refractive index of the crystalline lens, due to
99 DM, as the major underlying etiology [18]. However, it has been suggested that after treatment of the
100 dysregulated DM, the refraction will change towards more hyperopia or less myopia [19].

101
102 Other types of induced myopia could result from the exposure to various pharmaceutical agents,
103 transient myopic shift induced by inflammation and inflammatory diseases [20], transient myopia
104 following (blunt) trauma, transient myopia in systemic tuberculosis [21] and other anomalous
105 conditions [22]. Another lens complication that is related to DM is the early development of cataract,
106 which is associated with index or transient myopia, present as juvenile cataract. It is the true diabetic
107 cataract or snowflake cataract [23]. It consists of widespread bilateral subcapsular lens opacities of
108 abrupt onset and acute progression, affecting the anterior and posterior cortical layer of the lens,
109 typically in young people with uncontrolled diabetes mellitus. This is rare and may be initial
110 presentation of diabetes [24]. Age-related cataract (nuclear, cortical, and posterior sub-capsular
111 cataract) presents as nuclear sclerosis of the crystalline lens, or other anomalous conditions which
112 induces the most common form of index myopia. This is probably caused by changes (increase) in
113 symmetrical refractive index within the nucleus of the crystalline lens, causing negative spherical
114 aberration and a myopic shift occurring in old people [25]. Studies suggest that approximately half of
115 patients with nuclear cataract have a significant myopic shift and a quarter of patients with cortical
116 cataract have a significant astigmatic shift. Given the high prevalence of age-related cataract, the
117 refractive error induced by a nuclear and cortical cataract is likely to be a major cause of the
118 uncorrected refractive error in the elderly [26]. The change in the refractive index of the lens in the
119 aged, with a slight swelling, is accountable for the cases of so-called second sight. Index myopia of
120 the lens in the aged, however, as it is well known, is usually followed in a short time by formation of a
121 cataract, so that second sight, as a rule, ends in no sight at all. There are a few rare exceptions to this
122 rule, where the second sight is retained for years. Myopia in the aged, as a result of the increase in
123 the refractive index of the lens, may be of rather large amount, Landesberg having reported as high
124 as 10D. Fuchs 9D, Herrnhaiser 7D, Weeks 6D, while many other cases of lesser amount have been
125 recorded [27].

126
127 Patients with induced myopia report blurred distance vision [28]. The time course of the distance blur
128 depends upon the agent or the condition that has induced the myopia. Whether other symptoms are
129 present depends upon the cause of the induced myopia. Although this condition is often temporary
130 and reversible, the treatment depends upon the causative agent. This treatment may involve
131 preventing future exposure to the agent, (example in cholinergic pharmaceutical agent-induced
132 myopia), referral to an appropriate practitioner for additional testing and treatment, (like in refractive
133 shifts thought to be due to changes in blood glucose level or nuclear sclerosis of the lens that has
134 advanced to a stage indicating cataract extraction) or other treatment appropriate for the particular
135 causative agent [28].

136 137 **2.0. LITERATURE REVIEW**

138 139 **2.1. Epidemiology**

140 The prevalence of DM in people over the age of 20 years globally was estimated to be 171 million
141 during the year 2000, and was projected to rise by almost three-fold in the year 2030, due to

142 population growth, increased life expectancy and altered diets and living [18]. Type 2 diabetes
143 accounts for 90–95% of all diabetes [4]. This is an indication that the number of diabetic patients
144 attending optometric clinic is also likely to increase significantly over the next two decades. Acute
145 hyperglycemia is associated with myopic refraction, but refraction becomes less myopic (or even
146 hyperopic) with lowering in the levels of glycemia [17]. Myopia declines somewhat in population over
147 age 45 years, reaching an average of about 20 percent in 65 year olds and decreasing to as low as an
148 average of about 14 percent of persons in their seventies, from the Beaver Dam, the Baltimore and
149 the Framingham Offspring Eye Studies [29]. This pattern of change shows that the prevalence of
150 myopia appears to decrease because of an intrinsic age-related decrease in the amount of an
151 individual's myopia rather than because of a cohort effect of increasing prevalence over time [29].

152

153 Documentations indicated that fluctuations in refractive power often accompany changing blood
154 glucose [15, 17]. Epidemiological studies (Barbados Eye Study and Los Angeles Latino Eye Study)
155 revealed that DM was an independent risk factor for the development of moderate myopia (> -3 D) [30]
156 and low-grade myopia (< -1 D) [31]. Poor metabolic control of DM has also been suggested as a risk
157 factor for myopia [32]. In contrast, in other population studies (Beaver Dam Eye Study, a rural South
158 Indian population) the presence of DM was related to an increased shift towards hyperopia

159

160 In a population of adults aged 16-66 years, Fledelius [34] found that the frequency of myopia among
161 diabetes and non-diabetic Danish adults, showed a significantly higher prevalence of myopia among
162 diabetic compared to non-diabetic subjects (38% in diabetics versus 27.5% in non-diabetics). In a
163 further study of metabolically stable diabetics and controls, 40% of the diabetics and 22% of the non-
164 diabetics had myopia, with an onset after the age of 20 years [35]. The mean refractive values of the
165 two groups of diabetic and non-diabetic myopic adults were -2.00 D and -3.00 D respectively, but late-
166 onset myopia was more prevalent among diabetic patients (40.0% compared to 22.5% in non-
167 diabetics) [35].

168 In the beginning of hyperglycemia, hyperopia occurrence is observed for a short time because of
169 decrease in lens volume, and myopia occurs when lens volume starts to increase. When blood
170 glucose level reduces rapidly, hyperopia is seen related with decrease in lens thickness and refractive
171 index. After regulation of blood glucose level, lens thickness decreases and hyperopia is seen [15].
172 Surface curvatures are greater in eyes of diabetics than in normal, but the equivalent refractive index
173 is lower so that lenticular powers are similar [36]. Furthermore, DM was not associated with a shift in
174 ocular refraction in other epidemiological studies (Andhra Pradesh Eye Disease Study, Blue
175 Mountains Eye Study) [37].

176

177 **2.2. Pathophysiology**

178 The nature and the etiology of refractive fluctuations in DM is poorly understood. However, several
179 authors have advanced several factors and mechanisms. The composition of the aqueous humor
180 depends on the nature of the freshly secreted fluid, the subsequent passive and active solute
181 exchanges across adjacent tissues, and the rate of exit from the eye [38]. The metabolic requirements
182 of tissues such as the cornea, lens, and trabecular meshwork are met by continuous flow of aqueous
183 through the posterior and anterior chambers. Diffusional and metabolic alterations of the aqueous
184 occur constantly. The lens alters the aqueous by using glucose, amino acid, and other solute;
185 releases metabolic products such as lactic acid, and may act as a homeostatic reservoir for amino
186 acid [38]. The glucose of the aqueous humor varies directly with the glucose of the blood. As the
187 concentration of glucose in the aqueous humor increases, the glucose level within the crystalline lens
188 also increases, because the intracellular glucose in the lens is not regulated by insulin [39]. The
189 glucose in the lens is metabolized via the sorbitol pathway, which consists of two enzymes (aldose
190 reductase and sorbitol dehydrogenase) which catalyze the conversion of glucose into its sugar
191 alcohol sorbitol and the further conversion of sorbitol to fructose. These sugar alcohol tend to
192 accumulate within the lens fibers, because they are membrane impermeable. Consequently, an

193 osmotic gradient between the hypertonic lens and the aqueous humor is built up, resulting in an influx
194 of water from the aqueous humor, producing lenticular swelling. This may lead to a decrease in the
195 radius of curvature and equivalent refractive index of the lens, resulting in changes in ocular refractive
196 power [39,19].

197

198 In terms of osmolality, the aqueous humor in a number of mammalian species is slightly hyper
199 osmotic to plasma [40]. The concentration of glucose in the aqueous is approximately 80% of that in
200 the plasma. Glucose likely enters the aqueous by simple diffusion from the plasma. The alpha and
201 gamma lens crystallins normally are present in small amounts in the aqueous humor of eyes with
202 clear lenses. The concentration of these lens crystallins increases in eyes with cataract, consistent
203 with the hypothesis that these proteins leak from the lens [41]. In the anterior chamber, a sudden
204 change or alteration of the refractive index due to this glucose elevation, mostly affects the cornea,
205 crystalline lens, and the aqueous humor. The interplay between the high concentration of sugar
206 (hypertonicity) in the aqueous humor of the anterior chamber and the refractive index of the crystalline
207 lens is a contributing factor to the cause of index myopia. When sudden myopia occurs in diabetes,
208 the lens loses water because of the high level of blood sugar in the anterior chamber; therefore its
209 index of refraction increases [42].

210

211 According to an early author Duke Elder [13], the refractive changes in diabetes were due to
212 alterations in the power of the lens, because of osmotic interactions between the lens and aqueous.
213 The mechanisms underlying changes in the shape of the lens with accompanying shifts in refractive
214 power in myopia during hyperglycemia, may be due to the hydration of the lens due to salt retention,
215 occasioned by osmotic changes [39]. However, the profound increase in lens dimensions with DM
216 could be due to an accelerated growth of the lens, but it could also be caused by osmotic swelling of
217 the lens, either as a result of an increase in cell membrane permeability or deficient ion pumping [39].
218 This laid credence therefore, that hyperglycemia could cause a change in the refractive index of the
219 lens [17].

220

221 Later writers had suggested that intralenticular osmotic pressure increased during hyperglycemia, as
222 glucose and its metabolic products especially those derived from the sorbitol pathway, accumulated
223 within the lens [18]. The resultant influx of water has been used to explain either a myopic or a
224 hyperopic change, according to whether shape or refractive index, was considered more important in
225 determining overall refractive power [18]. Others are of the opinion that a myopic shift with decreasing
226 blood glucose concentration in diabetes could be explained by the accumulation of metabolites such
227 as sorbitol [39,43]. It has been suggested that the predominant cause of the refractive changes is a
228 change in the thickness of the lens which promote myopic changes through increase in refractive
229 power [43, 44] or shape of the lens, and/or a change in its refractive index [45]. It is often assumed
230 that a change in the refractive index of the lens could play a role in explaining the refractive changes
231 in patients with DM [45]; however Furushima et al [46], found an increase in lens thickness of 1 mm
232 and a myopic shift of -2 diopters. Kato et al [45], reported a significant increase in lens thickness (0.3
233 mm) after rapid control of hyperglycemia. There seem to be no agreement on the exact cause of
234 refractive change in unstable diabetes. In several studies that investigated the effect of hyperglycemia
235 on refraction, it was reported that refractive changes in patients with chronic DM were caused by
236 alterations in the lens [47], but the exact contribution of the cornea to these refractive changes is still
237 unknown [47].

238

239 Index myopia occurs due to sudden changes in the refractive index of the lens. In uncontrolled
240 diabetes, a general fluctuation in vision due to changes in refractive power of the lens by as much as
241 3 or 4 Diopters could be experienced, and this results in blurred vision. Such changes do not occur
242 when the disease is well controlled. The blood glucose concentration is regulated within narrow limits
243 in healthy individuals, but these limits are disrupted in patients with diabetes [48]. It is mostly
244 witnessed in two pathologic states, diabetes and cataract. In diabetes the lens loses water because of

245 the high level of blood sugar in the anterior chamber, and therefore its index of refraction increases. In
 246 the cataract patient, the lens becomes increasingly hard because of the constant lamination of lens
 247 fibers being pushed to the center of the lens. The hard inner core increases the index of refraction of
 248 the entire lens structure, thereby increasing the converging power [42]. Myopic refractive shifts with
 249 changing blood glucose, could result from morphological changes in the cornea, crystalline lens, axial
 250 length or retinal thickness [15]. Index myopia may be caused by an increase in the refractive index of
 251 the cornea, aqueous, or the lens; or to a decrease in the refractive index of the vitreous [27]. Well
 252 authenticated cases of any considerable amount of myopia due to an increase in the refractive index
 253 of the aqueous humour have not been reported. Parsons [27], stated that to produce myopia of 1.50
 254 D. to 2.0 D would require that the aqueous have an index equal to that of the cornea. "The myopia of
 255 diabetes cannot be explained by increase in the aqueous index. The aqueous would have to contain
 256 20 percent of sugar in order that its refractive index is raised to that of the cornea, a result which
 257 would only cause myopia of 1.50 D in a previously emmetropic eye" [27].

258
 259 Sudden changes in refraction are a well-recognized feature of hyperglycemia. These refractive
 260 changes tend to be of a low magnitude and present during adulthood. The development of refractive
 261 abnormalities in response to hyperglycemia is characterized by rapid onset, followed by prolonged
 262 regression [49]. In evaluating refractive disorders in patients with DM, Furushima [50], observed that
 263 the blood glucose returned to normalcy, with reversal of myopia towards hyperopia. Recovery of the
 264 refractive error back to baseline without any more fluctuation takes about approximately twice as long
 265 with oral hypoglycemic medication as with insulin [51]. This suggests that the hyperopia indicated a
 266 recovery of the myopic changes accompanying the reversal of hyperglycemia. A tendency towards
 267 myopia has always been associated with elevations in blood glucose [19]. From the summaries of the
 268 results of the three studies by Gwinup and Villareal, Furushima et-al and Steffes, it is perhaps
 269 surprising that cycloplegic refraction, results in such a large refractive change when insulin secretion
 270 was completely suppressed in these subjects, resulting in very high blood glucose levels as seen in
 271 Table 1 [52].

272

273 Table 1. Results from three studies showing refractive error changes after induced hypo- or
 274 hyperglycemia.

Author	Mean Change in blood glucose concentration (mM/l)	Mean change in refractive error (D)	Comments
Gwinup and Villareal.	+8.4	-0.50	None
Furushima et al.	+11.2	-2.00	Cycloplegic refraction, insulin suppression in non-DM subjects.
Steffes	- 2.8	-0.25	One subject

275 Source: Huntjens & O'Donnell (2006) [52].

276

277 3.0. DIFFERENTIAL DIAGNOSIS

278 A differential diagnosis for the establishment of a tentative diagnosis of index myopia due to
 279 hyperglycemia, considering the common features of blur vision in diabetic retinopathy, diabetic
 280 cataract, index hyperopia, and index myopia.

281 Diabetic retinopathy exhibits a miotic pupil [53], while significantly smaller pupils were found in people
 282 with proliferative diabetic retinopathy [18]. The pupil diameter becomes smaller with increasing
 283 duration of diabetes mellitus [54]. The miotic pupils, even in dim illumination, and poor dilation with
 284 mydriatic agents, could be attributed to an autonomic neuropathy partially denervating both the

285 sphincter and the dilator muscles [55]. In diabetic retinopathy, there are two major vascular changes
286 to the retina, which are the most common effect of DM with subsequent loss of vision: (1) Non
287 proliferative diabetic retinopathy involves the formation of small areas of balloon-like swelling in the
288 retina's tiny blood vessels (microaneurysms). There is leakage of fluid into the retinal macular
289 (macular edema), loss of their ability to transport blood, blockage with deprivation of vascular supply
290 to the retina and the formation of macular ischemia. This is the most common reason why people
291 with diabetes lose their vision. (2) Proliferative diabetic retinopathy involves the proliferation of new
292 blood vessels, which grow along the inside surface of the retina and into the vitreous, with likely
293 leakages and bleeding into it. The accompanying scar tissue can contract and cause retinal
294 detachment leading to permanent vision loss [55,56]. In some patients diabetic retinopathy is
295 asymptomatic, and only retinal examination can reveal it.

296 In diabetic cataract, the change in vision is slow and takes a little time for the sclerosis of the lens
297 nucleus, lens opacifications and lens thickening to occur [55]. The lens also ages at an accelerated
298 rate of an average of 15 years in adult person. People who have had DM for longer and those with
299 poor glucose control are at higher risk of developing diabetic cataracts [55]. There are two major types
300 of diabetic cataracts: (1) True diabetic cataract, the more frequent adult-onset diabetic cataracts,
301 similar in appearance to nuclear sclerosis cataracts [57], but appear mainly in older middle-aged
302 patients with Type 1 and Type 2 DM [58], with early symptoms of persistent refractive changes, glare,
303 and monocular diplopia or polyopia, slightly more permanent and may end up requiring cataract
304 surgery [59] (2) The 'snowflake' cataracts, characterized by flake opacities and fine white punctuate
305 spots in the anterior and posterior subcapsular areas of the lens [60], are reversible once the
306 hyperglycemic condition is reversed.

307 Index hyperopia occurs prior to the manifestation of the diabetes or after the reversal of the condition
308 is achieved. With the variation of blood glucose, there is a tendency to hyperopia with decreased
309 sugar. Hyperopia is associated with hypoglycemia with regards to the effect of chronic changes in
310 plasma glucose [13]. Hyperopia seems not to occur as an initial phenomenon in diabetes but to follow
311 myopic change [61]. The development of hyperopia or the reversal of myopia have been correlated
312 with a fallen blood sugar, usually associated with treatment of hyperglycemia and especially if this is
313 rapid [13]. A hyperopic shift in refractive error in diabetes, occurs almost exclusively in those patients
314 receiving intensive glycemic control with insulin [62]. Acute changes in plasma glucose for 1- 2
315 months will cause hyperopia [15]. Recovery of the refractive error back to baseline without any more
316 fluctuating, takes about 6-10 weeks when treated with insulin. Accommodation significantly reduces
317 with DM and this is one reason why such patients can reportedly experience more headaches, blurred
318 vision and vertigo upon attempting to read [63]. The increase in the blood sugar level, leads to a
319 decrease in the amplitude of accommodation (AoA) [63].

320 Index myopia is presented with a blur at far [28] without accommodation, correctable with a tentative
321 concave spherical lens. The refractive changes are too short, sudden and transient especially at far
322 and exhibits a very rapid fluctuations within a short period of diabetic onset. [19]. In index myopia, the
323 refractive power of the eye tends to vary directly with the blood sugar; with increased sugar to myopia
324 [1]. Its reversal with a fallen blood sugar is usually associated with treatment of hyperglycemia. The
325 refractive error back to baseline without further fluctuation takes about approximately twice as long
326 with oral hypoglycemic medication as with insulin [64]. Index myopia is associated with hyperglycemia
327 with regards to the effect of chronic changes in plasma glucose [13].

328

329 4.0. RISK FACTORS TO INDEX MYOPIA

330

331 4.1. Direct /Major Risk Factor

332 HYPERGLYCEMIA: Predisposition to hyperglycemia, especially in type 2 DM can lead to index
333 myopia. A fasting blood glucose over 100 mg/dL may indicate an impaired glucose tolerance (IGT). A

334 blood glucose of 126mg/dL and above on two occasions indicates diabetes. Random blood glucose
335 value of 200mg/dL or more is indicative of diabetes [65]. If untreated, 70% of people with impaired
336 glucose tolerance (pre-diabetes) will progress to diabetes. An HbA1c of 6.5% is recommended as the
337 cut-off point for diagnosing diabetes. There is a positive relation between hyperglycemia and myopic
338 shift. The patients with HbA1c above the median value (8.8%) have a 60% increased risk of a myopic
339 shift compared to the other patients. Poor metabolic control of glucose over a period of at least two to
340 three months increases the risk of a long-term myopic shift.[66]. 30% of all eyes have negative
341 refractive values in refractively adult patients. The highest myopia prevalence of about 40% is seen in
342 the age group 26-45 years. The diabetics show a shift towards negative refractive values (37.9% with
343 myopia), as compared to non-diabetics (27.5%) [67].

344 4.2. Indirect Risk Factors

345 4.2.1. Non-modifiable Risk Factors

346 GENETICS AND FAMILY HISTORY: One may be predisposed to hyperglycemia in DM, If a parent or
347 sibling has the condition. The influence of family medical history vary to a certain degree. According to
348 American Diabetes Association, a person whose parents have type 1 diabetes has about 10 to 25%
349 chance of developing that disease, and someone whose both parents have type 2 diabetes has a
350 50% chance of developing that disease. In one study, researchers found that 73% of people with type
351 2 diabetes had moderate to high family risk factors [68].

352

353 AGE: There is an association between increase in age and the risk of hyperglycemia in DM. The older
354 one is the greater the likelihood of developing type 2 DM. About 18% of people over the age of 65
355 years have diabetes, while 8% of those between the ages of 21 and 64 have the condition [65].

356

357 Sex: Men account for 53% of the adult cases of diabetes in U.S, according to the National Institutes of
358 Health (NIH). Although little or no research has been conducted to explain this trend. One factor may
359 be the documented increase in recent years of low testosterone levels (male hypogonadism), which
360 scientists have linked to insulin resistance [69].

361

362 RACE: People of certain race including blacks and Hispanics, are at risk of developing hyperglycemia
363 than others. For example, autoimmune type 1 diabetes is more common in white people, while type 2
364 is more common in people of other races and ethnicities. Alaska natives and American Indians have
365 three times the risk of predisposal to developing diabetes, African Americans have 1.7 times the risk,
366 while Hispanic/Latino have two times the risk [65].

367

368 4.2.2. Modifiable Risk Factors

369 OBESITY: Overweight predisposes one to obesity, which is a risk factor to hyperglycemia. For every
370 2.2 pounds over ones target weight, there is an increase risk of developing diabetes by 4.5% [70].
371 Researchers found that 40% of people with type 2 diabetes had obesity. The Diabetes Prevention
372 program, reported a 58% reduction chance of prediabetes turning into type 2 diabetes in weight loss
373 and increased physical activity; and a 71% reduction chance for people 60 years or older [71].

374

375 DIET: Poor dietary and eating plan affect blood sugar level. Consuming excess free sugars is
376 associated with weight gain for adults and children. According to WHO recommendations, intake of
377 free sugars should be less than 10% of total daily energy intake for both adults and children, and
378 ideally should be less than 5%. [72]. American Diabetes Association recommends that at high risk for
379 developing type 2 DM, structured programmes that emphasizes regular dietary strategies (such as
380 reduced intake of fat) to reduce calories and the risk for developing diabetes should be adopted.
381 Reducing the amount of total fat intake to less than 30% of total energy intake helps prevent
382 unhealthy weight gain in the adult population [72]. Also, the risk of developing non communicable
383 diseases (NCDs) is lowered by reducing saturated fats to less than 10% of total energy intake, trans
384 fats to less than 1% of total energy intake, and replacing both with unsaturated fats [72].

385

386 INACTIVITY: Sedentary/physical inactivity elevates blood sugar level. Regular physical activities, 30
387 minutes 3-4 times a week, can reduce your risk of diabetes by 42%, Exercise improves the body use
388 of insulin [65]. The global target of a 10% relative reduction in physical inactivity is therefore strongly
389 associated with the global target of halting the risk in diabetes. In all WHO regions and across all
390 country income groups, women were less active than men, with 27% of women and 20% of men
391 classified as insufficiently physically active [73].

392

393 LIFESTYLE: Poor compliance with a healthy lifestyle influences hyperglycemia.

394 Tobacco use and exposure remain the cause of 6 million preventable deaths per year globally,
395 accounting for 6% of all female and 12% of all male deaths in the world. More than 5 million of those
396 deaths are the result of direct tobacco use while more than 600,000 are the result of non-smokers
397 being exposed to second hand smoke. 80% of the world's one billion smokers live in low and middle-
398 income countries [74].

399

400 HIGH BLOOD PRESSURE: Hyperglycemia could occur due to poor management of high blood
401 pressure. Those with high blood pressure (140/90mm Hg or higher), stand a 20 percent higher risk of
402 developing diabetes [65].

403

404 MEDICATION: Most drugs are associated with the risk of developing hyperglycemia. Some fall within
405 the categories of anti-hypertensive, anti-cardiovascular diseases, anti-psychotic, immunosuppressive
406 agents, highly active antiretroviral therapy and other drugs. "Atypical" antipsychotic agents are 50%
407 more likely to result in diabetes [75]. There is a high prevalence of post-transplantation diabetes,
408 observed in 13.4% of patients following solid-organ transplantation (16.6% for tacrolimus versus 9.8%
409 for cyclosporine) [76]. The Multicentre AIDS Cohort Study found that 14% of HAART treated men
410 developed diabetes, with an annual rate of 4.7 per 100 person-years as against 1.4/100 person-years
411 for seronegative controls [77]. It has been shown that an excess risk of 9% of progression to diabetes
412 in those taking statins, an anti-cardiovascular risk drug [78]. The Atherosclerosis Risk in Communities
413 (ARIC) study found that the risk of diabetes was increased by 28% in those taking a beta-blocker for
414 hypertension over a 6-year period, as compared with other medications [79].

415

416 STEROID: Excessive use of steroids can raise the blood sugar. Steroids (synthetic steroids) are
417 potent anti-inflammatory agents normally utilized in the treatment of both acute and chronic illness.
418 Their frequent use have many side effects, which includes osteoporosis and diabetes. The
419 diabetogenic effects of steroids are a limiting factor to their clinical use. 75% of steroid-induced
420 diabetics were females as compared to only 25% males. The percentage of males and females
421 among diabetics, likely induced by steroid are 41.7% male and 68.8% female, while non-diabetics are
422 58.3% male and 31.2% females [80]. 0.4% of childhood diabetes was ascribed to medication, and 55
423 of 56 children in this category were on steroids. Monogenic diabetes was diagnosed in only 0.2% of
424 children [81].

425

426 CHOLESTEROL: Abnormal cholesterol and triglyceride levels trigger hyperglycemia. If your total
427 cholesterol is over 200 mg/dL, triglycerides over 150mg/dL, HDL lower than 60mg/dL, and LDL over
428 100mg/dL, your risk to develop diabetes is increased [65].

429

430 ENVIRONMENT: Some environmental conditions are risk factors to hyperglycemia in DM. These
431 include chemical contaminants in food or water, occupational exposures to various toxins, air
432 pollutants, unhealthy food environment etc. The exposure to air pollutants like traffic-related pollutants
433 (particulate matter (PM) and nitrogen dioxide (NO₂)) have been strongly associated with higher
434 incidence rates of type 2 diabetes, in a dose dependent manner. The highest exposures carry a >20%
435 increase in type 2 DM prevalence after adjusting for other risk covariates [82]. There is a higher
436 likelihood of developing Type 2 DM by 19% and 40% per 10 micrograms per cubic meter

437 ($\mu\text{g}/\text{m}^3$) increase in NO₂ and PM, respectively [83]. However, the risk of type 2 DM decreased by
438 37% among those with highest access to healthy food environment than those with least [84].
439

440 EMOTIONAL STRESS: Hyperglycemia can be triggered by work place fatigue, family conflict,
441 depression, hostility, anxiety, sleeping problems, anger etc. Depression increases the risk of type 2
442 DM by 37% [85]. The risk for incident diabetes is 60% higher in the depressed than the non-
443 depressed [86].
444
445

446 **5.0. CONTROL, MANAGEMENT AND TREATMENT OF INDEX MYOPIA**

447

448 **5.1. Prevention:**

449 In Index myopia, careful monitoring and control of elevated blood glucose is the key to the prevention
450 and control [64]. In addition; regular glucose check, moderation and adjustment in diet, changes in
451 lifestyle and regular exercises could prevent it. Type 2 DM is milder than in Type 1, therefore
452 controlled primarily by diet, weight reduction, regular exercises and oral hypoglycemic agents [87],
453 although insulin may also be required when diet and oral drug therapy fail to induce blood glucose
454 homeostasis.
455

456 **5.2. Inter Specialty Referrals:**

457 The need for Inter specialty referrals cannot be over emphasized. Optometrists and ophthalmologists
458 are under obligation to refer patients with index myopia to the physicians or endocrinologists for
459 further medical attention and management, vis-à-vis in suspected type 2 DM. The treatment of Index
460 myopia is based on the understanding of its pathogenesis, as a complication from hyperglycemia in
461 DM, and its transient and reversible nature. Furthermore, the understanding of The American
462 Diabetes Association's 2017 guideline [88] on the management of diabetes, which includes
463 psychological health, access to care, expanded and personalized treatment options, and the tracking
464 of hypoglycemia in people with diabetes, can only be adequately achieved through referrals to the
465 experts. However other allied or associated professionals (dietitians, counselors etc), competent in
466 exploring modern options in handling DM, could play an effective role in other aspects of management
467 like: counseling, dieting and lifestyle modifications as well as hypoglycemic medications.
468

469 **5.3. Control Using Hypoglycemic Agents:**

470 The reversal of hyperglycemia reverses the refractive fluctuations, which in turn normalizes vision.
471 The control of elevated blood sugar is achieved through the use of single or combination of different
472 oral hypoglycemic drugs, which dosage is determined by the range of the fasting blood sugar, and
473 administered by the physician. This control subsequently reverses the transient visual fluctuations,
474 which translates to the reversal, management and control of index myopia, with a favorable visual
475 outcome. Most times, and depending on the type of diabetes, combination of drug therapy with
476 modification in basic life style and risk factors are very paramount in the management plan for index
477 myopia. However in type 1 diabetes, or in the failure of oral anti hyperglycemic drug in the control of
478 type 2 DM, insulin could be used for treatment.
479

480 **5.4. Counseling and Education:**

481 Counseling and education are very important elements in the explanation and handling of the patients'
482 general burden and specific ocular peculiarities associated with DM. They play a key role in dousing
483 the anxiety during hyperglycemic induced myopia. The importance of counseling, especially on the
484 essence of keeping to anti hyperglycemic drugs as prescribed, dieting, weight reduction programs
485 and regular exercises cannot be over emphasized. They explain the effects of possible fluctuations in
486 vision and eventual outcome, even after reversal; especially if patients do not keep to medications
487 and diet. Patients with induced myopia could benefit enormously from counseling and education on
488 the agents, risk factors or conditions inducing the myopia, and the nature or directions of the changes

489 occurring in the eye. They enlighten the patients on the timeline of the induced myopia, whether
490 temporary or long standing; its reversal and prevention [28]. Counseling further touches on the
491 sudden fluctuations in vision, its transient changes and its tentativeness. Patients should be
492 counseled on possible future reoccurrence of induced myopia and the appropriate measures to be
493 taken. The need for regular Patients' education on the importance of keeping to clinical appointments
494 with the Physicians and Optometrists is crucial. This goes a long way in checking the possible
495 fluctuations in blood sugar and vision respectively, as well as maintaining normalcy. Consistency with
496 prescription drugs as prescribed and directed by the experts, judicious and strict adherence to drug
497 adjustments, modification of dietary patterns and weight reduction plans could be achieved through
498 counseling.

499

500 **5.5. Clinical Judgment and Decision on Tentative Concave Correction:**

501 The question of issuing a spectacle prescription during index myopia should not be encouraged. The
502 eye care practitioner may delay prescribing spectacles until the refractive error stabilizes, which
503 generally occurs when the patient's hyperglycemia is better controlled, otherwise there might be a
504 distrust of medical care [15]. However, in certain circumstances or special situations a tentative
505 spectacle prescription with adjustments may be issued in transient myopic change, and further
506 modifications in the prescription may be needed when the refraction returns to normalcy or stabilizes
507 [15]. This should be at the discretion of the eye care practitioner after extensive counseling and
508 explanation to the patient, on the possible fluctuations and eventual outcome after reversal, which
509 may be temporary. The justification for such issuance should also be guided by the patient's
510 insistence on the spectacle acquisition, probable job demand, visual discomfort, social standing,
511 acceptance of the legal and ethical implications and acceptance of overall cost implication. This
512 decision also calls for regular patient's counseling due to possible future outcome.

513

514

515 **6.0. THE CONTROVERSY ON THE DIRECTION OF THE REFRACTIVE SHIFT IN** 516 **HYPERGLYCEMIA (MYOPIA OR HYPEROPIA)**

517

518 Several authors have come out with varying opinions on the actual direction of refractive shift in
519 hyperglycemia in DM. Both myopic and hyperopic shifts have been reported and the underlying
520 mechanism responsible remains to be established. The summaries of the results of various studies
521 published in the scientific literature, describing acute refractive error changes with induced changes in
522 blood glucose levels is shown in Table 2 [52]. There is alteration of the refractive systems, in form of
523 index myopia and hyperopia. However, some authors are of the opinion that the controversy in the
524 literature with regards to this underlying mechanism in refractive changes during hyperglycemia and
525 the overall refractive outcome, could be explained by the balance between changes in the shape or
526 the refractive index of the lens, which eventually determines myopia or hyperopia. If the change in the
527 shape of the lens is small, hyperopia will predominate, due to a decrease in the refractive index of the
528 lens. Alternatively, if the change in the shape of the lens is large in comparison to the decrease in the
529 refractive index of the lens, the overall refractive error will result in myopia [45].

530

531 Index myopia and hyperopia are induced or acquired refractive errors which occur due to sudden
532 changes in the refractive index of the intraocular lens. Investigators have observed both myopic [16]
533 and hyperopic [17] refractive shifts in diabetic patients, which have been related to changing plasma
534 glucose concentration. Also, the nature of fluctuation depends on the status of the underlying DM. If
535 undiagnosed, or in a state of hyperglycemia, it could become more myopic [13], and if under intensive
536 hyperglycemic control, it could be more hyperopic [13]. Duke-Elder concluded that hyperopia is less
537 common than myopia and that the refractive power of the eye tends to vary directly as the sugar
538 content of the blood; that is, there is a tendency towards hyperopia with decreased sugar or intensive
539 glucose control, and towards myopia with elevated blood sugar [13].

540

541 Transient refractive changes, due to a variation in blood glucose, are well-known complications of DM.
 542 Both myopic shifts [13] and hyperopic shifts [86], have been reported in patients with DM after
 543 several days or weeks of hyperglycemia. There is little doubt that these transient changes in refraction
 544 are associated with variations in blood glucose levels, but the nature of the relationship between the
 545 two parameters remains in doubt. Both hypermetropic and myopic refractive shifts could occur from
 546 morphological changes in the cornea, crystalline lens, axial length or retinal thickness. The last two
 547 possibilities have been investigated previously, and no change in either axial length or retinal
 548 thickness was found with changing blood glucose concentration in 28 eyes [15]. A more recent work
 549 suggested that, at least in the majority of cases, when therapy is instituted to control hyperglycemia,
 550 and blood glucose falls, the refraction first changes in the hyperopic direction on the timescale of a
 551 few days or weeks and then gradually returns to their baseline values on the timescale of a few weeks
 552 or months [89].

553
 554 However, there was a significant correlation between the maximum hyperopic change in an eye and
 555 the daily rate of plasma glucose reduction over the first seven days of treatment, but not with the daily
 556 rate of plasma glucose reduction over the first three days [15]. The time lag could be attributed to the
 557 presence of the blood-ocular barriers. An explanation for the finding that more myopic eyes at base
 558 line had a larger maximum hyperopic change, is that myopic eye have a larger volume with elongated
 559 axial length and dysfunctional blood-ocular barrier; and the changes in the composition of the intra
 560 ocular fluid and the differences in osmotic pressure would be greater in myopic eyes than in eyes
 561 having hyperopia as a baseline refractive error [15].

562
 563 **Table 2. Conflicting results presented in the literature comparing acute refractive changes in the eye**
 564 **to changing blood glucose levels.**

Author	Year	No of subjects	Key findings
Huggert	1954	23	Myopia before and hyperopia after the onset of treatment.
Gwinup et al.	1976	10	Myopia after induced hyperglycemia.
Fledelius	1987	72	Myopia and hyperopia (before and after the onset of treatment).
Saito et al.	1993	5	Hyperopia after the onset of treatment.
Furushima et al	1999	7	Myopia after induced hyperglycemia in non-diabetic subjects.
Steffes	1999	1	Myopia after induced hypoglycemia.
Okamoto et al	2000	14	Hyperopia after the onset of treatment.
Guisti	2003	20	Myopia after the onset of treatment.
Sonmez et al	2005	18	Hyperopia after the onset of treatment.

565 Source: Huntjens, B. & O'Donnell, C. (2006) [52].

566

567 7.0. IMPLICATIONS OF INDEX MYOPIA TO THE OPTOMETRISTS

568 Sudden refractive fluctuations are well-recognized features of hyperglycemia, and are phenomena
 569 that often embarrass optometrists, and other eye care practitioners.

570

571 The Ophthalmologists and Optometrists should always take DM into consideration in blur vision. The
572 fact remains that DM is a common and often under-diagnosed disease that can cause blur vision,
573 especially in adult patients [90].

574
575 In a complaint of a bilateral unexpected rapid change of vision, or sudden change in the spectacle
576 prescription of a patient, the Optometrists are challenged, through their professional training to
577 suspect the possibility of undiagnosed diabetes as the cause [52].

578
579 The eye care practitioner may postpone prescribing spectacles until the refractive error stabilizes in a
580 suspected diabetes. This generally occurs when the patient's diabetes is better controlled,
581 considering that refractive changes associated with diabetes can be both acute (transient) and
582 chronic (sustained) [52].

583
584 Due to possible influence of fluctuating blood glucose, and the response of the crystalline lens to
585 refractive error changes, as a result of untreated hyperglycemia; great concerns and caution should
586 be applied by the Optometrists when carrying out eye examinations on diabetic patients [52].

587
588 It is appropriate for the eye care practitioners to enquire about blood glucose when carrying out eye
589 examinations on diabetic patients. If uncharacteristically high or low at the time of refraction, it may be
590 wise to repeat the refraction prior to prescribing; as well as advising patients about the possible
591 implications for diabetic retinopathy and other oculo-visual complications associated with the disease
592 [52].

593
594 Understanding how blood glucose can potentially affect the ocular parameters that contribute to the
595 refractive power of the eye, would help the eye care practitioners establish a relationship between
596 expected visual signs and symptoms, and periods of altering diabetic metabolic control. This will aid in
597 appropriate patients advise [52].

598
599 Eye care practitioners understand several undesirable symptoms associated with acute hypoglycemia,
600 and those associated with prolonged hypoglycemia which include more severe symptoms like visual
601 disturbances, restlessness, irritability, inability to concentrate, mental confusion, and personality
602 changes among others. Hyperglycemia however, often goes unnoticed by diabetic patients [91].

603

604

605 **8.0. CONCLUSION**

606

607 Index myopia is a sign of undiagnosed hyperglycemia in DM, and one of the wide range of visual
608 complications in the anterior segment of the eye. It occurs due to increased refractive index of the
609 intraocular lens caused by the osmotic gradient between the aqueous humor and the intraocular lens
610 in hyperglycemia; mostly when there is poor metabolic control. This is a clinical concern to the
611 optometrists due to the discrepancies in clinical refraction and the need for further modifications in the
612 spectacle prescriptions. Early detection of signs and symptoms of DM, understanding the
613 pathogenesis, taking a good history, conducting basic preliminary examinations, skilled
614 ophthalmoscopy, differential diagnosis, laboratory and diagnostic investigations, good clinical skill and
615 judgment are the keys to the prevention and diagnosis of index myopia. The outcome determines the
616 medical or Ophthalmological/Optometric treatment plan, with Inter-specialty referrals and collaboration
617 in its management. Given the current oral hypoglycemic drugs, diet and counselling, a reversal in
618 index myopia could be easily achieved.

619

620 **Ethical Approval**

621 Ethical approval and clearance for this work was obtained from the Health Research and Ethics
622 Committee of Government House Clinic, Umuahia, Abia state, Nigeria.

623 **Consent: NA**

624

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808 **ADDENDUM**

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810 Some of the links or sources of this review article

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812 **Diabetes**

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853 **Others**

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