

# A prospective pilot study comparing the retinal layer effects of two techniques for Nd: Yag laser posterior capsulotomy

**Running Head:** Comparison of two techniques for Nd: Yag laser posterior capsulotomy

## ABSTRACT

### **Aim:**

To compare the effects of cruciate and circular Neodymium: yttrium-aluminum-garnet (Nd: YAG) laser posterior capsulotomy techniques on the thickness of retinal layers measured by spectral domain optical coherence tomography (SD-OCT).

### **Material and methods:**

28 pseudophakic patients with the posterior capsule opacification were included in this prospective pilot study. Age- and sex-matched 2 groups were formed and either cruciate or circular technique for Nd: YAG laser posterior capsulotomy was applied to each group. All patients were examined 1 week and 1 month after the capsulotomy. Best corrected visual acuity (BCVA), intraocular pressure (IOP) and retinal layer thickness measurements by OCT were recorded precapsulotomy and at the following visits. Mean shot energy and number, total laser energy, IOP, BCVA and OCT findings were compared between 2 groups.

### **Results:**

Despite the higher number of laser shots and total laser energy applied in circular technique group, no significant difference of central macular thicknesses at 1000, 3000, and 6000 mm was observed between two techniques.

### **Conclusion:**

The cruciate technique may be suggested to be safer than the circular technique in terms of amount of used energy. The short term effects on the retinal layers seem to be similar in both techniques.

**Keywords:** Nd: YAG laser, retinal layers, intraocular pressure, OCT.

# 1. INTRODUCTION

Posterior capsular opacification (PCO) is the most common long-term complication of cataract surgery causing a reduction in visual acuity and contrast sensitivity.[1,2] The incidence of PCO was reported to be 8.7% to 50% within the next 5 years after cataract surgery.[3,4] The Neodymium: yttrium-aluminum-garnet (Nd: YAG) capsulotomy is accepted as standard treatment for PCO and has been found to be safe and effective.[5,6] Several techniques with various advantages and disadvantages including cruciate, circular, horseshoe, or spiral techniques have been described for Nd: YAG laser treatment. The cruciate and the circular techniques are the most commonly used Nd: YAG laser techniques in ophthalmology practice.[7,8] According to a survey by Gomaa et al., 47% of the ophthalmologists apply the Nd: YAG laser procedure in a cruciate pattern, 27.3% use a circular technique, 23.5% use both techniques, and 2.3% prefer other techniques.[7]

Most common complications after ophthalmic laser capsulotomy are transient intraocular pressure (IOP) increase, intraocular lens damage, iritis, vitritis, and uveitis. Rarely, retinal complications such as cystoid macular edema (CME), retinal detachment, macular holes have been reported after Nd: YAG laser capsulotomy.[9-12]

Previously several studies compared the various aspects of different techniques, however to the best of our knowledge, this is the first study in the literature evaluating the effects of cruciate and circular techniques on the thickness of retinal layers by using spectral domain optical coherence tomography (SD-OCT).

## 2. MATERIAL AND METHODS

### 2.1. Patients

This is a prospective non randomized comparative study with an institutional review board approval. Between April and August 2017, 28 pseudophakic patients who fulfilled the inclusion criteria and provided written informed consent for the analyses were included in the study. 1. Age of 45-75 years; 2. Having uncomplicated cataract surgery at least 6 months ago; 3. Having best corrected visual acuity (BCVA) of 0.1 Snellen or; 4. Having membranous type PCO were described as inclusion criteria of the study. In addition, patients with any other ocular or systemic disease, history of smoking, alcohol intake or taking any medication including systemic vasoactive drugs within the last 3 months were excluded from the study.

Patients were divided into 2 similar groups in terms of age and gender. Cruciate or circular capsulotomy techniques were applied to each group. BCVA, IOP and retinal layer thickness measurements by OCT were recorded before and 2 days, 1 week and 1 month after the laser capsulotomy. Mean shot number and energy, total energy used, IOP, BCVA changes, and OCT findings were compared between groups.

### 2.2. Nd: YAG laser posterior capsulotomy procedure

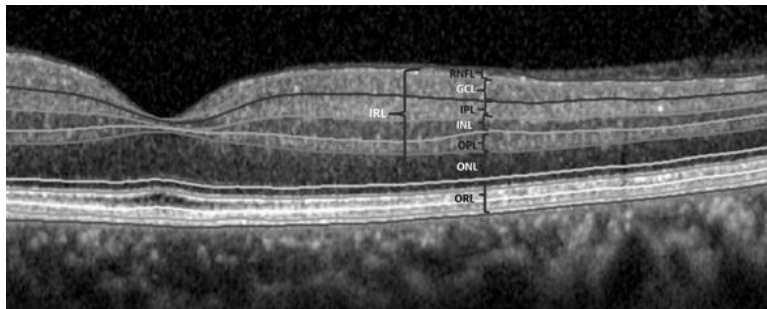
Before the procedure sufficient mydriasis was made by tropicamide (1%). After topical anesthesia with 0.5% proparaine hydrochloride, Abraham capsulotomy contact lens was used to stabilize bulbus and focus the laser. Each procedure was performed by the same surgeon by using Laserex Integre (Australia, ELLEX medical).

In cruciate technique group, the first pulsed laser shots were given horizontally in visual axis and then expanded in the vertical axis to create an appropriate opening (3mm) in the posterior capsule. In circular technique group, the shots were given in circular fashion on the tension lines to create an appropriate opening (3mm) in the posterior capsule. A

capsulotomy was initiated at the optic axis area with 2 different techniques with the lowest energy starting from 0.7 mJ. All patients were prophylactically treated with brimonidine tartrate for 2 days to prevent a post-procedural IOP increase.

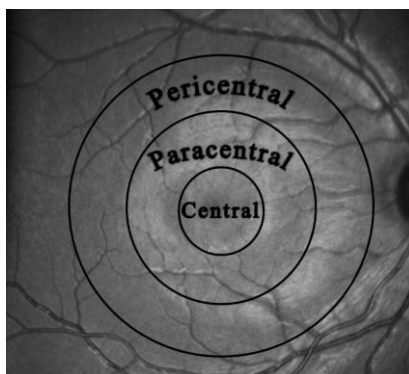
All patients received a full detailed ophthalmologic examination including ophthalmoscopic evaluation and tests for visual acuity, autorefraction, and intraocular pressure pre-and post-procedure. In addition, retinal OCT imagings were performed with SD-OCT. Segmentation of the retinal layers from each SD-OCT scan was performed using the inbuilt Spectralis mapping software [the Heidelberg Eye Explorer (version 6.0c)] as previously defined in the Early Treatment Diabetic Retinopathy Study (ETDRS).

Spectralis segmentation software was used to obtain the following thickness measurements: total retinal thickness (Retina); retinal nerve fiber layer (RNFL); ganglion cell layer (GCL); inner plexiform layer (IPL); inner nuclear layer (INL); outer plexiform layer (OPL); outer nuclear layer (ONL); retinal pigment epithelium (RPE) (Figure 1). In addition, thickness of inner retinal layers (IRL) and outer retinal layers (ORL) was evaluated by the Automatic Segmentation tool of the Posterior Pole scan. The thickness of all layers within the central ETDRS zone of 1000 and 3000 mm and 6000 mm diameter was also recorded for each scan (Figure 2).



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**Figure 1:** Spectralis segmentation software was used to obtain the following thickness measurements: total retinal thickness (Retina); retinal nerve fiber layer (RNFL); ganglion cell layer (GCL); inner plexiform layer (IPL); inner nuclear layer (INL); outer plexiform layer (OPL); outer nuclear layer (ONL); retinal pigment epithelium (RPE)



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**Figure 2:** The thickness of all layers within the central ETDRS zone of 1000 and 3000 mm and 6000 mm diameter was also recorded for each scan.

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## 2.3 Statistical analysis

Statistical analyses were performed using SPSS software (version 16; SPSS Inc., Chicago, IL, USA). Distributions of normality of the parameters were checked with the Kolmogorov-Smirnov test. Differences between groups were compared using an unpaired t test or analysis of variance (ANOVA) for normally distributed variables and a Mann-Whitney U test or Kruskal-Wallis test for non-normally distributed variables. Bivariate correlations were evaluated using the Pearson or Spearman rank correlation coefficient for non-normally distributed variables. p values < 0.05 were considered statistically significant.

## 3. RESULTS

In this study participants were divided into 2 age- and sex-matched groups: Group 1 including 14 subjects (Male/female: 7 / 7 female, mean age:  $58.64 \pm 8.44$ ) treated with the cruciate technique and group 2 including 14 subjects (Male/female: 7/7, mean age:  $60.92 \pm 6.36$ ) treated with the circular technique. There was no significant difference observed for age between two groups ( $p = 0.426$ ).

The mean pre-and post-procedure IOP did not significantly increase or decrease at all visits in two groups ( $15.8 \pm 2.3$  mm Hg at 1 week and  $13.5 \pm 1.3$  mm Hg at 1 month in cruciate group  $p = 0.291$  vs.  $15.0 \pm 1.9$  mm Hg 1 week and  $13.7 \pm 1.7$  mm Hg at 1 month in circular group,  $p = 0.304$ ). We found that the mean pre-and post-procedure BCVA for snellen significant improvement at all visits in two groups (pre-procedure  $0.42 \pm 0.12$ ,  $0.78 \pm 0.12$  at 1 week and  $0.85 \pm 0.12$  at 1 month in cruciate group  $p < 0.000$  vs. pre-procedure  $0.38 \pm 0.10$ ,  $0.80 \pm 0.14$  at 1 week and  $0.87 \pm 0.12$  in circular group  $p < 0.000$ ). Whereas, the mean number of shots, mean shot energy and total energy was significantly higher in circular group. Detailed comparison of 2 groups was given in Table 1.

**Table 1:** The comparison of the mean number of shots, mean shot energy and total energy in 2 group.

	Cruciate Group	Circular Group.	P Value
Mean Number Of Shots	$24.4 \pm 8.7$ (12-50)	$33.5 \pm 9.6$ (18-60)	0.01
Mean Spot Energy (Mj)	$2.18 \pm 0.44$ (0.7-1.5)	$2.92 \pm 0.65$ (0.7-1.5)	0.02
Mean Total Energy (Mj)	$59.7 \pm 18.28$ (30-150)	$105.7 \pm 33.7$ (50-250)	0.00

Despite higher shot energy and number, and total energy with the circular technique, there were no significant differences in both of 2 groups in the measurements of Retina, RNFL, GCL, IPL, INL, OPL, ONL, RPE, IRL, and ORL layer thickness in 1000, 3000, 6000 mm subfield zone, which were summarized in the table 2.

In both groups visual acuity improved and no CME was observed after the procedure, and no significant change in retinal layer thickness was observed in postoperative OCT analysis compared to preoperative measurements, which were given in table 2.

#### 121 4. DISCUSSION

122 In the present study we aimed to compare the retinal layer effects of cruciate and circular  
123 Nd: YAG laser posterior capsulotomy techniques performed for the treatment of PCO. And  
124 the study findings showed no significant difference of retinal layer thicknesses between two  
125 groups despite higher number of shots and more energy applied in circular technique group.  
126 One of the factors which might influence the results was the type of PCO. As there are  
127 various types of PCO (including membranous, Elschnig pearls and the ring of Sommerring)  
128 which may significantly influence the total amount of energy and the number of shots during  
129 laser procedure, only patients with membranous PCO were included in the study, which may  
130 explain the limited number of study patients. [13]

131 In some recent studies, capsulotomy size has been suggested to be associated with the total  
132 amount of laser energy used and postoperative complications.[13-15] It has been suggested  
133 that the diameter of the capsulotomy may cause a negative effect on the position of the  
134 intraocular lens. Karahan E et al. and Findl et al. reported that large capsulotomy diameters  
135 caused a hyperopic shift, however Thornval et al. could not observe this effect in their  
136 studies.[6,13,16] In the present study we made an opening of 3 mm in both techniques to  
137 rule out the influence of capsulotomy size to postoperative outcomes.

138 In several previous studies, postoperative increase in IOP measurements were reported in  
139 0.6-30% of patients after laser capsulotomy.[5,17,18] Factors effecting IOP rise are  
140 controversial. Karahan E et al. demonstrated that the large capsulotomy size was  
141 associated with rise in IOP.[13] Literature data on the association between capsulotomy  
142 technique and IOP results are lacking. In the present study, IOP values were lower in  
143 postoperative measurements in both groups. In addition we did not find any significant IOP  
144 difference between cruciate and circular technique groups despite significant difference in  
145 the amount of energy used. Postoperative prophylactic treatment with brimonidine in all  
146 patients in our study might cause decreased IOP levels postoperatively in both groups.

147 Severe retinal complications such as retinal tear, retinal detachment and CME can be  
148 detected after Nd: YAG laser capsulotomy. Steinert et al. reported a rate of 0.89% for  
149 CME.[15] Although it's a contraversial issue, some authors suggested that higher laser  
150 energy might be associated with increased risk of CME. Ari S et al. showed that central  
151 macular thickness change was significant in cases whom > 80 mj was applied, but  
152 insignificant when a force of < 80 mj was applied.[14] Our study differs from other studies in  
153 that measurements are taken not only in the central macula but also in the paracentral and  
154 pericentral areas. In contrast, our study findings did not show significant difference of  
155 macular thickness at central 1000, 3000, and 6000 mm between the 2 capsulotomy  
156 techniques using different amount of energy ( $59.7 \pm 18.28$  mJ vs  $105.7 \pm 33.7$  mJ in cruciate  
157 and circular techniques respectively). Although no significant difference was observed in  
158 both of two techniques in terms of macular thickness, using circular capsulotomy technique  
159 seemed to be more risky as it required more energy.

160 The small sample size and short-term follow-up period are the limitations of our study. The  
161 small sample size precludes firm conclusions; and further investigation would be required in  
162 a larger study population with longer follow-up period.

#### 163 5. CONCLUSION

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166 In conclusion, we have found that higher number of laser shots and total laser energy had  
167 been applied in circular technique group. Nevertheless, central macular thicknesses at 1000,

3000, and 6000 mm did not significantly differ between two techniques. Although the cruciate technique may be suggested to be safer than the circular technique in terms of amount of used energy, the short term effects on retinal layers seem to be similar in the two techniques.

## 172 . 173 **CONSENT**

As per international standard or university standard written patient consent has been collected and preserved by the authors.

## 176 **ETHICAL APPROVAL**

As per international standard or university standard written ethical permission has been collected and preserved by the authors.

## 179 **COMPETING INTERESTS**

Authors have declared that no competing interests exist.

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	CENTRAL RING (MEAN±SD)								PARACENTRAL RING (MEAN±SD)								PERICENTRAL RING (MEAN±SD)							
	CRUSIAT				CIRCULAR				CRUSIAT				CIRCULAR				CRUSIAT				CIRCULAR			
	ORIGIN (0)	FIRST WEEK	FIRST MONTH	P	ORIGIN	FIRST WEEK	FIRST MONTH	P	ORIGIN (0)	FIRST WEEK	FIRST MONTH	P	ORIGIN	FIRST WEEK	FIRST MONTH	P	ORIGIN (0)	FIRST WEEK	FIRST MONTH	P	ORIGIN	FIRST WEEK	FIRST MONTH	P
RETINA	259,00±16,63	258,71±18,77	257,57±17,17	W-0: 0,893 M-0: 0,390	264,71±13,19	269,28±13,91	267,14±13,43	W-0: 0,009 M-0: 0,055	319,46±20,56	320,03±20,73	317,42±21,40	W-0: 0,231 M-0: 0,037	327,07±9,41	328,21±9,32	326,60±10,03	W-0: 0,255 M-0: 0,632	289,50±12,34	289,54±11,93	287,12±12,47	W-0: 0,960 M-0: 0,072	285,35±9,98	284,67±12,70	283,39±10,76	W-0: 0,622 M-0: 0,109
RNFL	11,71±1,38	12,014±2,60	12,00±1,15	W-0: 0,629 M-0: 0,359	11,42±1,81	12,42±1,51	12,28±2,28	W-0: 0,156 M-0: 0,407	20,53±1,59	21,07±1,78	20,25±1,49	W-0: 0,115 M-0: 0,291	20,85±2,16	20,53±4,35	21,32±2,27	W-0: 0,799 M-0: 0,088	33,93±4,41	35,25±3,51	33,31±5,24	W-0: 0,291 M-0: 0,342	31,45±6,59	34,91±3,93	34,66±3,47	W-0: 0,119 M-0: 0,152
GCL	12,57±4,57	13,42±4,64	13,28±4,60	W-0: 0,017 M-0: 0,334	14,57±4,92	15,28±6,12	13,57±2,01	W-0: 0,441 M-0: 0,062	44,57±6,88	44,14±6,69	44,28±6,34	W-0: 0,537 M-0: 0,497	46,28±9,76	45,10±12,14	47,75±5,90	W-0: 0,771 M-0: 0,481	34,12±0,63	36,00±2,79	34,68±3,94	W-0: 0,321 M-0: 0,808	34,62±4,33	33,50±4,28	33,62±3,83	W-0: 0,597 M-0: 0,653
IPL	20,00±4,16	19,28±3,68	18,42±2,82	W-0: 0,582 M-0: 0,199	19,00±2,82	19,57±4,11	19,71±2,69	W-0: 0,604 M-0: 0,411	36,78±3,78	37,46±3,68	36,60±3,76	W-0: 0,215 M-0: 0,739	37,42±5,19	37,10±7,38	38,50±3,50	W-0: 0,900 M-0: 0,289	28,55±2,34	28,65±2,62	28,35±2,73	W-0: 0,916 M-0: 0,495	26,92±3,26	27,71±2,72	27,64±1,95	W-0: 0,135 M-0: 0,251
INL	20,57±5,06	21,00±5,19	22,28±5,99	W-0: 0,407 M-0: 0,539	19,57±3,95	20,42±4,46	19,85±3,76	W-0: 0,172 M-0: 0,673	40,89±3,72	40,78±4,27	41,32±3,89	W-0: 0,901 M-0: 0,677	40,35±4,38	37,17±2,33	39,25±3,88	W-0: 0,117 M-0: 0,075	35,25±2,57	32,70±2,48	32,85±1,92	W-0: 0,184 M-0: 0,192	34,82±3,98	31,53±3,79	32,00±4,04	W-0: 0,105 M-0: 0,156
OPL	24,00±4,96	26,00±6,48	23,28±5,82	W-0: 0,312 M-0: 0,665	25,57±8,63	26,00±8,73	27,42±8,59	W-0: 0,805 M-0: 0,486	31,42±1,51	31,10±2,83	31,75±2,01	W-0: 0,656 M-0: 0,747	33,25±2,85	33,46±3,78	33,10±2,01	W-0: 0,906 M-0: 0,823	27,20±1,95	27,08±1,45	26,41±2,06	W-0: 0,695 M-0: 0,222	27,82±1,17	27,39±1,68	27,00±1,62	W-0: 0,426 M-0: 0,288
ONL	90,42±5,59	87,28±12,59	87,71±12,67	W-0: 0,468 M-0: 0,441	93,85±12,03	96,71±13,40	94,25±13,20	W-0: 0,480 M-0: 0,892	66,89±10,84	66,78±10,70	64,96±11,71	W-0: 0,911 M-0: 0,136	70,14±11,06	76,14±20,86	68,39±6,14	W-0: 0,509 M-0: 0,640	52,37±8,34	52,43±8,20	53,43±8,36	W-0: 0,836 M-0: 0,707	56,04±10,42	52,66±2,98	53,12±4,36	W-0: 0,422 M-0: 0,448
RPE	15,14±1,21	15,57±2,14	15,57±3,82	W-0: 0,448 M-0: 0,803	14,85±1,34	15,71±1,49	15,14±2,26	W-0: 0,308 M-0: 0,689	14,14±0,95	13,67±1,21	13,17±1,03	W-0: 0,174 M-0: 0,028	13,42±1,04	14,10±0,59	13,92±0,70	W-0: 0,112 M-0: 0,167	12,30±0,75	12,20±0,54	12,10±0,48	W-0: 0,717 M-0: 0,512	12,60±0,87	12,92±0,57	12,96±0,61	W-0: 0,362 M-0: 0,182
IRL	176,42±16,08	177,57±17,03	175,71±16,61	W-0: 0,462 M-0: 0,634	182,85±12,42	186,14±13,63	184,14±13,37	W-0: 0,155 M-0: 0,440	241,07±19,58	241,53±20,28	239,07±20,21	W-0: 0,424 M-0: 0,030	248,50±10,22	249,28±9,24	248,17±10,30	W-0: 0,535 M-0: 0,722	212,00±15,47	212,75±14,57	209,25±15,95	W-0: 0,238 M-0: 0,131	208,12±11,13	207,75±13,60	206,83±11,90	W-0: 0,885 M-0: 0,205
ORL	82,71±4,11	81,28±3,63	82,14±4,77	W-0: 0,220 M-0: 0,643	82,00±2,76	83,42±3,40	83,00±3,05	W-0: 0,334 M-0: 0,480	78,64±1,10	78,53±1,41	78,55±1,12	W-0: 0,702 M-0: 0,362	76,39±6,99	79,42±1,90	78,46±1,57	W-0: 0,317 M-0: 0,487	76,35±1,39	76,40±1,46	76,65±1,39	W-0: 0,910 M-0: 0,235	77,60±1,34	77,11±1,67	76,50±1,27	W-0: 0,342 M-0: 0,087

O: ORIGIN W: FIRST WEEK, M: FIRST MONTH

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230      **Table 2.** Mean layers thickness as measured by Heidelberg SD-OCT for Crusiat and Circular Gruops.

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