

**MICROCONTROLLER BASED ELECTRONIC
DIGITAL LOCK WITH SECURITY NOTIFICATION****ABSTRACT**

Due to the advancement in science and technology all over the world, there is a significant increase in the rate of crime and sophistication in crimes; as a result, it is necessary to ensure the security of one's self and one's valuable belongings. The design of the microcontroller based electronic digital lock with security notification uses a four-digit pass key for its operation. The operation is opening of the door, closing of the door, alarm upon wrong password entry and changing of password. It is important for use in bank vaults, hotels, offices and can also be used at houses gates. The research objective was achieved with the use of microcontroller to program the ATMEGA328P microprocessor, which interfaces with all the other components in the circuit. It was found after completion that the circuit was able to activate the relay switches for opening and closing of the door in about three seconds, and the alarm sounds when it detects a wrong input combination. Comparison was made between the conventional traditional lock system and the proposed one. The distinctive feature added is the sliding door and the security notification feature and the change of password in case of a breach in security. Atmega328p Microcontroller was used as the main component of the project. A keypad unit was attached for inputting the password to the door. A buzzer sets on upon wrong combination of the password and a dc motor rotates in two directions for opening and closing of the door.

Keywords: Arduino, Digital Lock, Keypad interfacing, LCD, Microcontroller,

1. INTRODUCTION

The protection of lives and properties of every individual is of high priority. Research was carried out and the statistics found that intruders easily break almost 80% of mechanical security lock systems used by individuals. Security has been a man's concern and need right from the inception of time, olden days security system were basically mechanical, or a plain wall or just a wall with a watchdog. The main reason for providing locks for homes, offices, churches, schools and other buildings is for security of lives and property [1].

Digital computers are not only used to calculate data, processing data and game playing alone but also are being used to monitor and control all kinds of individual processes and machine works, such as robots, printing machine, rolling mills, aircraft, etc. Microcontroller which is a small and tiny computer designated to perform some specific tasks is one of the prominent embedded system used. The basic idea of microcontroller is to collect all the input and output peripherals in one simple circuit, which represent the microcontroller instead of the large and sophisticated computer with microprocessor and large numbers of peripherals [2].

A smart home design application that allows owner to manage their home through internet was proposed by [3]. Its need a PC tend the information to the internet, so a PC is used as a server that increases the price and power consumption while others need web page hosting that need extra cost also. The author concluded that the use of PC can require considerable cost and can be reduced by using a microcontroller. Atmega328 microcontroller was used as the main component in the research. Every component used is directly or indirectly connected the microcontroller. The codes for the display unit, the keypad unit, setting and resetting of the password are all stored in the microcontroller. The codes are programmed and compiled in the Arduino compiler, then uploaded to the microcontroller via a universal serial bus USB cable. The microcontroller is installed on the board and every component on the circuit is attached to the microcontroller. The lock was designed in such a way that if any wrong key is pressed in the process of inputting the password, it resets itself automatically, thereby sending a distress signal to a security post which will let the security know that an intruder is accessing the system. The reset system makes it difficult or impossible to break.

2. METHODOLOGY

41 This section presents the methodology, specification and the recast of each unit of the
 42 system. The method used on each of the fundamental circuit units and how the recast takes place is
 43 presented in this chapter. In the design and implementation of this locker, multiplexing technique is
 44 used to interface the keypad for inputting the password into the system. The locker consists of a 4x4
 45 keypad with 16 keys and 16 pins for connecting the Arduino. In multiplexing technique, only 8 pins are
 46 used for interfacing the 16 pins, so that it is a smart way to interface a keypad module. The design is
 47 made up of several units, which includes the power supply unit, Arduino_Uno board unit, the 100uf
 48 capacitor (also known as filter capacitor), the 4x4 keypad module unit, the light emitting diode (LED),
 49 and the 16*2 liquid crystal display unit (LCD).The software component required is the Arduino Uno.

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51 **2.1 System Hardware Components**

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53 This section presents the architectural components of the Microcontroller based electronic
 54 digital lock system and the detail of their functioning.

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56 **2.1.1 Arduino-Uno Board**

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58 Arduino is an open-source electronics platform based on easy-to-use hardware and software.
 59 Arduino boards are able to read inputs - light on a sensor, a finger on a button, or a Twitter message -
 60 and turn it into an output - activating a motor. Arduino Uno is a microcontroller board based on the
 61 ATmega328P (datasheet) as shown in Figure 1. It has 14 digital input/output pins (of which 6 can be
 62 used as PWM outputs), 6 analogue inputs, a 16 MHz quartz crystal, a USB connection, a power jack,
 63 an ICSP header and a reset button. It contains everything needed to support the microcontroller;
 64 simply connect it to a computer with a USB cable or power it with an AC-to-DC adapter or battery to
 65 get started [4]. The Uno differs from all preceding boards in that it does not use the FTDI USB-to-
 66 serial driver chip. Instead, it features the Atmega16U2/Atmega8U2 up to version R2) programmed as a
 67 USB-to-serial converter.

68 Microcontroller (MCU) is determined by the following criteria [5][6]:

- 69 1) The size of the flash memory.
- 70 2) The types of the contained memory FLASH, EEPROM or ROM.
- 71 3) The interfaces it support RS232, Ethernet, USB or other interfaces.
- 72 4) The size of the MCU layout on the PCB.
- 73 5) The low cost of MCU.
- 74 6) The clock cycle has fast speed processing.



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Fig. 1: Arduino-Uno Board

77 **2.1.2 Intelligent LCDs**

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79 The Ability to display letters, words, and all manner of symbols, not just numbers, easily
 80 programmable makes LCD better than familiar 7-segment LED. There are many types of LCD
 81 according to its functionality, and varies in Characters length and lines. Most LCD modules conform to
 82 a standard interface specification [7]. The LCD display is of different types and dimensions, with the
 83 16x2 LM016L being the basic module and the most used in many electronic devices and circuits. A
 84 16x2 LCD can display 16 characters per line and there are two such lines in the display unit as shown
 85 in Figure 2. Each character is displayed in a 5x7 pixel matrix. The LCD has two registers, namely;
 86 command and data. The command register stores the command given to the LCD. A command is an
 87 instruction given to the LCD to perform a predefined task, like initializing it, clearing the screen, setting
 88 the cursor position, controlling display, etc. while the data register stores the data to be displayed on
 89 the LCD screen. The data is the ASCII value of the character to be displayed on the LCD.



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Fig. 2: 16x2 LM016L LCD

92 **2.1.2 Keypad Interfacing with Arduino-Uno.**

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In this lock system, a 4*4 (16) keypad will be interfaced with the Arduino-Uno. It is known that keypad is one of the most important input devices used in electronic engineering. Keypad is the easiest and cheapest way to give a command or instruction to an electronic device or system. Whenever a key is pressed in a keypad module, the Arduino-Uno detects it and show the corresponding key on the 16*2 liquid crystal display (LCD).



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Fig. 3: Keypad with the Microcontroller

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104 There are three techniques of keypad interface [8]:

105 i. Scanning technique

106 ii. An external electronic design.

107 iii. Using keypad encoder IC

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109 **2.2 Design of the Electronic Digital Lock**

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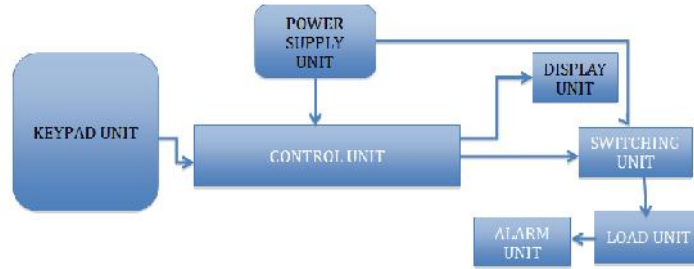
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The block diagram of the electronic digital lock with security notification is as shown in the Figure 4. The power supply feeds the circuit with a 12V direct current (d.c), this enables the operation of the whole components of the circuit. The display unit is a 16*2 liquid crystal display (LCD), this gives an output on a screen, it enables the user to have a smooth interaction with the digital door by reading and following instructions on the screen. The keypad unit serves as an input unit of the digital lock, it enables the user to access the lock using the four digit password, and also to set and reset a new password. The control unit is the microprocessor, it is the brain of the project, every bit of data goes through the processor for execution, it is responsible for every action of the digital door, it holds the codes for the lock operation. The control unit sends the data for display on the LCD screen, it receives data from the keypad unit, it sends distress signal to the buzzer in case of an intrusion, it also recognizes a correct password entered and grants access to a user by sending signal to the relay switch which operated a motor and open the door. The switching unit consists of two relay switches, one for opening and another for closing of the door. The relay switches receives 5v signal from the microprocessor. The alarm unit consists of a buzzer which sounds when a key is pressed and also makes an alarm when a wrong combination is entered. The load unit consists of a 5v dc motor for opening and closing of the door. The motor receives its signal from the relay switch. The motor slides the door open for 4 seconds and then close the door.



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Fig. 4: Block diagram of the electronic digital lock with security notification.

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2.2.1 Design of the Power Supply Unit

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Every electrical and electronic device that we use in our day-to-day life will require a power supply. In general, we use an AC supply of 230V 50Hz, but this power has to be changed into the required form with required values or voltage range for providing power supply to different types of devices. These microcontrollers require a 5V DC supply, so the AC 230V needs to be converted into 5V DC using the step-down converter in their power supply circuit. The output voltage required for the operation of this project is DC voltage. The power supply circuit is shown in Figure 5.

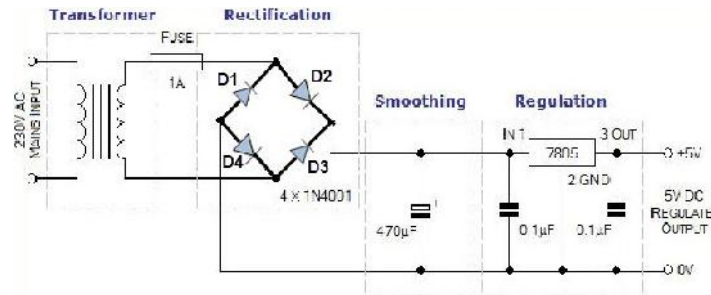
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Fig. 5: Circuit diagram of the power supply

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Transformer rating is 220/12V step-down transformer

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The voltage and current ratings are given in root mean square (RMS)

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$$V_{rms} = 12V$$

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$$I_{rms} = 500mA$$

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The peak voltage of the transformer is given by equation (1):

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$$V_{peak} = V_{rms} \times \sqrt{2} \tag{1}$$

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$$V_{peak} = 16.97V$$

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The peak current of the transformer is calculated as follows:

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$$I_{peak} = I_{rms} \times \sqrt{2} \tag{2}$$

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$$I_{peak} = 500mA \times \sqrt{2}$$

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$$I_{peak} = 707.11mA$$

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The expected load resistance R_L of the circuit is given by:

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$$R_L = V_{peak} / I_{peak} \tag{3}$$

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$$R_L = 16.97 / 707 \times 10^{-3}$$

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$$R_L = 24\Omega$$

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In order to power the Atmega328p Microcontroller with the 5V DC supply, the LM 7805 voltage regulator was used; the expression for the voltage regulator is given below.

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$$V_{in} = V_{out} + 2 \tag{4}$$

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$$V_{in} = 5 + 2$$

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$$V_{in} = 7V$$

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This indicates that the minimum input that the LM7805 would require to constantly supply 5V power to the microcontroller is 7V, but the V_{dc} obtained is 9.4V, this is an enough voltage for the LM7805 voltage regulator to power the circuit.

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2.2.2 Microcontroller Board

The Microcontroller has 14 digital input/output pins, 6 analogue inputs, A16 MHz ceramic resonator, A USB connection, a power jack, An ICSP header and a reset button.

Power Pins [11]

V_{in}: The input voltage to the Arduino Uno board when it's using an external power source is 9V (as opposed to 5 volts from the USB connection or other regulated power source). You can supply voltage through this pin, or, if supplying voltage via the power jack, access it through this pin.

5V: The regulated power supply used to power the microcontroller and other components on the board. This can come either from VIN via an on-board regulator, or be supplied by USB or another regulated 5V supply.

3V3: A 3.3-volt supply generated by the on-board regulator. Maximum current draw is 50 mA.

GND: Ground pins.

2.2.3 Design of LCD Display Unit

The LCD display unit is made up of a 16 × 2 LCD display and a 10k variable resistor, as shown in Figure 6. The 10kΩ variable resistor (V_R) is used to set the contrast of the LCD display, and this is set to 2/3 of the supply voltage, that is given by;

$$V_R = 10k\Omega$$

Set resistance

$$R_s = 10 \times \frac{2}{3} = 6.67k\Omega \tag{5}$$

$$R_s = 6.67k\Omega$$

The current required for the brightness of the LCD is given by;

$$I_{LCD} = V/R_s \tag{6}$$

Table 1: Pin Connections and Functions of 16x2 LCD Module in the Design

Pin	Name	Pin function	Pin connection
1.	V _{SS}	Ground reference	Connected to GND
2.	V _{DD}	Positive power supply for LCD	Connected to +5v
3.	V _E	Brightness adjust (contrast)	Connected to +5v via variable resistor
4.	R _S	Select register and instructions	Connected to port RB4
5.	RW	Select read or write	Connected to GND
6.	EN	Start data read or write	Connected to port RB5
7.	DO	Unused Data pins	Connected to GND
8.	D1	-	-
9.	D2	-	-
10.	D3	-	-
11.	D4	Data bus	Connected to RBO
12.	D5	Data bus	Connected to RB1
13.	D6	Data bus	Connected to RB2
14.	D7	Data bus	Connected to RB3
15.	A	Backlight anode	Connected to +5v

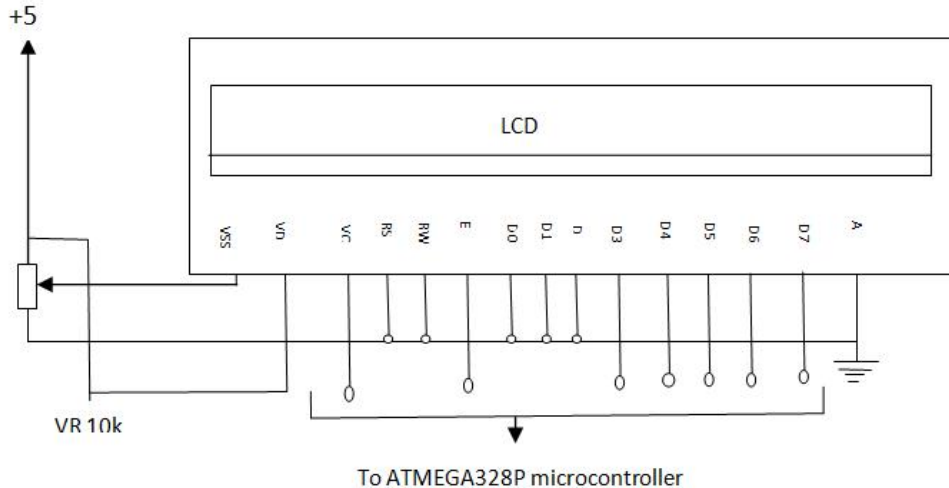
16.	K	Backlight cathode	Connected to GND
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$$= 5/6.67 \text{ } 0.7496\text{A}$$

$$I_{\text{LCD}} = 750\text{mA}$$

This is the current required to set the brightness or contrast of the LCD to display information without getting overheated or damage.



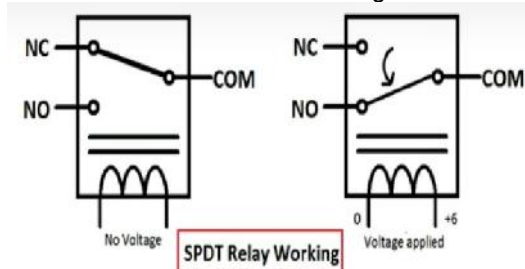
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Fig. 6: The LCD Display Unit

2.2.4 Relay Driver Section

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The relay driver section consists of a BC547 transistor and a 5v relay for controlling the motors. The relay is an electromagnetic switch that is controlled by small current, and used to switch on or off relatively much larger current [9]. By applying a small current we can switch ON the relay, which allows a much larger current to flow. A relay is a good example of controlling AC devices using a small DC current. Commonly used relay is the Single Pole Double Throw (SPDT) relay, which will be used in the circuit. It has five terminals as shown in the Figure 7.



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Fig. 7: SPDT relay working

When there is no voltage applied to the coil, COM (common) terminal is connected to the NC (normally closed contact). When there is some voltage applied to the coil, the electromagnetic field produced attracts the armature and the COM and NO (normally open contact) gets connected, which allows a larger current to flow. Relays are available in many ratings. A 5v operating voltage relay was used, which allows 392mA–5VDC to flow.

The relay is configured using a small driver circuit, which consists of a transistor, diode and resistor as shown in Figure 8. The transistor is used to amplify the current so that full current (from the DC source) can flow through the coil to fully energize it. The resistor is used to provide biasing to the transistor, and the diode is used to prevent reverse current flow, when the transistor is switched off. Every inductor coil produce equal and opposite EMF when switched off suddenly, this may cause permanent damage to components, so the diode must be used to prevent such reverse current. In order to turn on the relay, we just need to make the Arduino pin High where the relay module is connected.

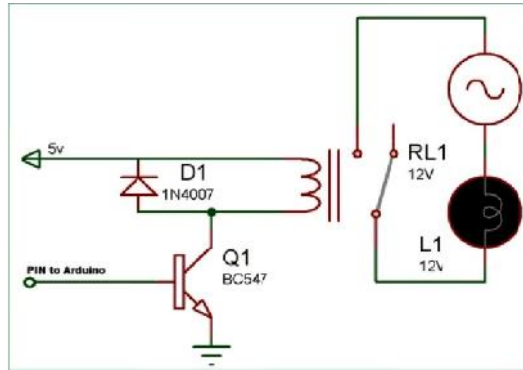


Fig. 8: Relay section circuit

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222 **2.2.5 5v DC Motor**

223 The 5V dc motor is responsible for rotating the sliding door open and close with the help of
 224 relay switches. It is connected to a shaft mounted on the door. A commutated DC motor has a set of
 225 rotating windings wound on an armature mounted on a rotating shaft. The shaft also carries the
 226 commutator, a long-lasting rotary electrical switch that periodically reverses the flow of current in the
 227 rotor windings as the shaft rotates [10]. Thus, every brushed DC motor has AC flowing through its
 228 rotating windings. Current flows through one or more pairs of brushes that bear on the commutator;
 229 the brushes connect an external source of electric power to the rotating armature. The rotating
 230 armature consists of one or more coils of wire wound around a laminated, magnetically "soft"
 231 ferromagnetic core. Current from the brushes flows through the commutator and one winding of the
 232 armature, making it a temporary magnet (an electromagnet). The magnetic field produced by the
 233 armature interacts with a stationary magnetic field produced by either PMs or another winding (a field
 234 coil), as part of the motor frame. The force between the two magnetic fields tends to rotate the motor
 235 shaft. The commutator switches power to the coils as the rotor turns, keeping the magnetic poles of
 236 the rotor from ever fully aligning with the magnetic poles of the stator field, so that the rotor never
 237 stops (like a compass needle does), but rather keeps rotating as long as power is applied [12]. Figure
 238 9 shows a dc motor.

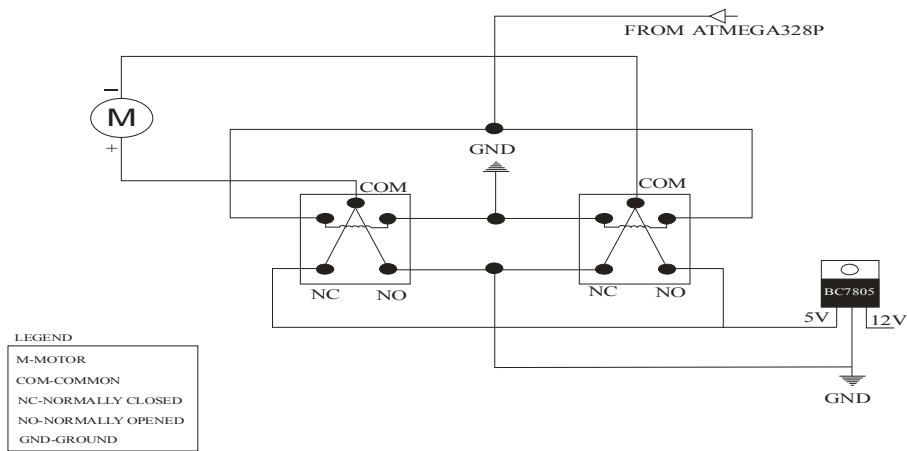


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Fig. 9: A 5V dc motor

241 The circuit diagram depicted in the Figure 10 shows the designed circuit diagram for motor
 242 operation. The single 5V dc motor is operated by two relays. One relay produces a negative voltage
 243 at a time for opening the door and the other produces a positive voltage at a time for closing the door.



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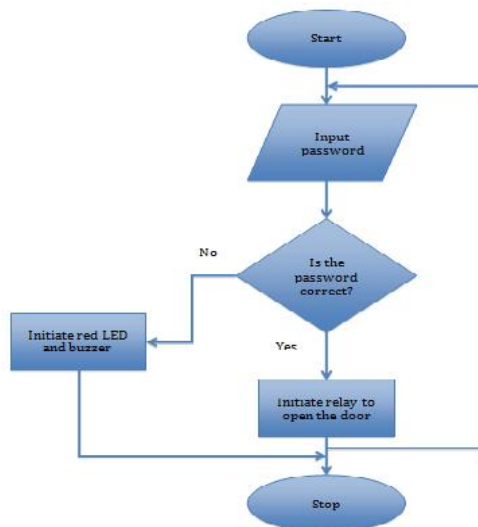
Fig. 10: Motor operation circuit diagram

246 **2.2.6 Software Development Process**

247 A software computer program called “Source code” controls the construction of the
 248 microcontroller based Electronic digital lock with security notification. In computing, source code is
 249 any collection of computer instructions or commands written in some human-readable computer
 250 language, usually as text, to control hardware. The source code cannot be executed directly by the
 251 microcontroller or any other computer machines unless it is compiled into a low level machine
 252 language called the “Object code” or “Hex file”. The compiler used for compiling the source code used
 253 for this project work is the Arduino-Uno compiler.

254 However, the source code is written based on the accordance of the flow chart, which is given
 255 in Figure 11. The flow chart illustrates how the compiled written program runs in the Atmega328
 256 microcontroller in the Electronic digital lock with security notification circuit. After the software program
 257 was written, it was compiled and checked for errors. Then the Arduino-Uno programmer kit was used
 258 to transfer the source code onto the Atmega328 microcontroller, before putting it onto the construction
 259 circuit board.

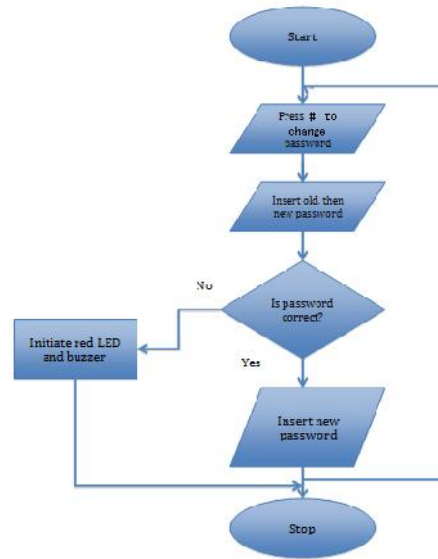
260 The other flowchart for changing the password is shown in Figure 12 and it goes like this:
 261 whenever a user notice a password is being used for quite a long time period and might have been
 262 compromised, the user can modify the password of the lock without having to go back to
 263 reprogramming the microprocessor. This is because a provision was made for changing the password
 264 at the time of the code design. The user need to press the hash (#) key for an option to insert the new
 265 password, and then the password is stored in the microprocessor for future use.



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Fig. 11: Flowchart for the lock operation

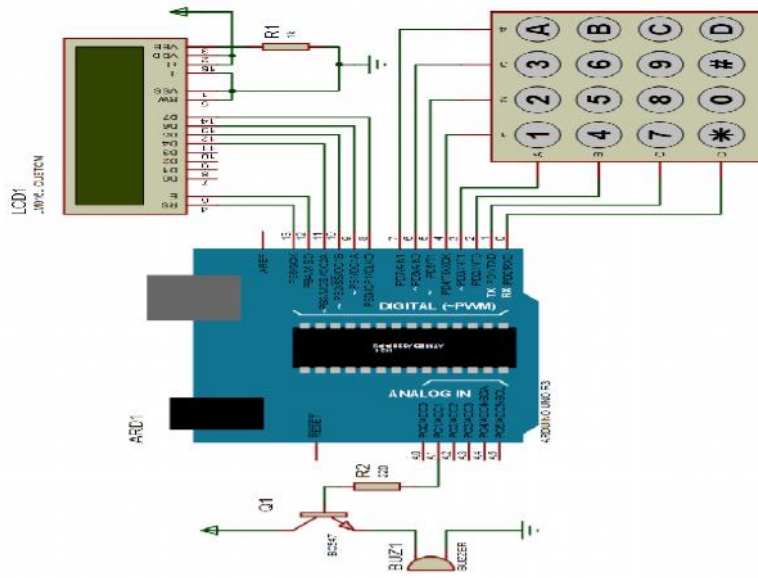


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Fig. 12: Flowchart for changing password of the lock

270 The circuit diagram of the lock shows the connections between the different components of
 271 the project. Here, the whole arduino microcontroller was used to represent the microprocessor. In this
 272 circuit diagram, the output is indicated by a buzzer. The modified and designed circuit diagram for the
 273 relay operation is shown in Figure 13.



274

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Fig. 13: Circuit diagram of the digital lock

276 **3. RESULTS AND DISCUSSION**

277 It is critical to know that the materials and components used for this work works as expected.
 278 This is known after testing of the each component used. The power supply unit was tested to
 279 ascertain its 12V dc supply, this was done using a digital multi-meter, and the power supply supplies
 280 the power in the range of 11.98-12.12, with an allowable tolerance of $\pm 2v$. The Atmega328
 281 microprocessor is a programmable IC, which needs to be programmed to suit the design. The source
 282 code was first compiled using an Arduino-Uno compiler. Proper concentration was given to the code
 283 during compilation in order to avoid any logic errors. The hex file was then generated and transferred
 284 to the chip with aid of the Arduino-Uno Microcontroller board. The design was simulated on a
 285 computer to study and analyze the behavior of each stage before the physical implementation was
 286 carried out. Interactive electronic simulation software called Proteus VSM (virtual system modeling)
 287 was used to simulate the design.

288 The load unit is the motor, which is the output of the whole project. The motor opens and
 289 closes the door. A correct password was entered in accordance with the flowchart shown in Figure 10.
 290 The lock opens by rotating the motor in the right direction. This indicates the correct operation of the
 291 lock. Another test was carried out on the lock to ascertain its security integrity, a wrong password was
 292 entered and the motor did not respond, the buzzer made a sound for four seconds to indicate an
 293 intrusion in the lock.

294 The alarm unit was also tested to confirm its operation. The alarm is not only used to indicate
 295 an intrusion in the lock, it also indicates by a beeping sound when a user is accessing the lock. Each
 296 key strike on the keypad unit makes a beeping sound on the buzzer; this keeps the security personnel
 297 at the security post to be on alert and ready for interception when a buzzing sound goes on. The
 298 buzzing sound goes on only when a four wrong combination is entered. The switching unit is the two
 299 relay switches for opening and closing of the door. Upon powering the circuit, the closing relay is in
 300 normally closed position (NO), this means the motor is in constant anti clock-wise movement, when
 301 the right key is entered, the relay changes the polarity of the motor, thereby rotating it in clock-wise
 302 direction and the door opens. This is in accordance with the design of the lock and satisfies the
 303 design.

304 The keypad unit was tested also, every key was pressed and the keys worked correctly, each
 305 key pressed corresponds to the key number on the LCD screen. The LCD screen displays the key
 306 pressed on the keypad unit. The display unit (LCD) screen was tested too and the display was
 307 impeccable. The keypad unit was also used to test the LCD screen, each key was pressed to see the
 308 corresponding value of the screen and there was correspondence and harmony between the keypad
 309 unit, the microprocessor and the LCD screen.

Components used	Design value	Standard value	Measured value
Capacitor C_1	554 μ f	1000 μ f	998.2 μ f
Resistor R_1	1k Ω	1k Ω	1k Ω
Resistor R_2	220 Ω	220 Ω	220 Ω
DC motor M_1	23.98k Ω	27k Ω	26.87k Ω
Crystal oscillator X_1	8MHz	8MHz	8.2MHz
IC ₁ Atmega328p	+5v	+5v	+4.98v
IC ₂ BC7805	+5v	+5v	+4.87v

310 **Table 2: Components Used and Values**

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312 The changing of the password was done in accordance with the flowchart in Figure 11. A
 313 hash (#) key was pressed once and the system prompts for old password, then new password, then
 314 password accepted. A four key password was used in the system with restriction of numeric
 315 characters only.

316 **4. CONCLUSION**

317 The design of the microcontroller based electronic digital lock with security notification uses a
318 four-digit pass key for its operation. The operation is opening of the door, closing of the door, alarm
319 upon wrong password entry and changing of password. The design aims at creating an electronic
320 security system capable of detecting intruders and reporting the intruders to security personnel at a
321 security post. The four (4) key combination password for the door entry was achieved, the sliding door
322 for opening and closing of the door was achieved, the security notification feature was achieved and
323 the circuit accommodates changing of password in case of a security breach. The design fulfills the
324 requirements of supporting conventional lock systems easing key distribution compared to physical
325 keys. To demonstrate and evaluate the design, a prototype was developed. The evaluation shows
326 that the design works well, consumes minimal power from the hardware and is able to unlock a door.
327 Future research may improve the electronic digital lock system with a circuit that is capable of alerting
328 the lock owner of an intrusion through the Internet or SMS. Also, since the door can only be operated
329 with a numeric codes, an auxiliary opening system should be incorporated to increase the security
330 system. Also, the security notification is only capable of working with one password for one user at a
331 time, there may be need for different users to access the system, whereby different users can use
332 their individual password to access the door.

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