

Quality Control Tests for Ophthalmic Pharmaceuticals: Pharmacopoeial Standards and Specifications

Abstract:

The therapeutically performance of the pharmaceuticals must be constant and expectable. In order to claim a pharmaceutical to be a quality drug, it must fulfill certain standards and specifications. The quality of pharmaceuticals is strongly related to the patient's well-being. Quality control (QC) is an historical process in which proof is obtained that the appropriate level of quality has been achieved. QC can have no effect on the quality of the pharmaceuticals. It is merely a measuring process. QC must ensure that all the finished products contain active ingredients that comply with the qualitative and quantitative composition of the finished product described in the product registration dossier. The books containing the standards for drugs and other related substances are known as pharmacopoeias. The pharmacopoeias contain a list of drugs and other related substances regarding their source, description, tests, formulas for preparing the same, action and uses, doses, storage conditions etc. Ophthalmic pharmaceuticals are agents specially designed to be applied to the eyes. Among the drug products, ophthalmic pharmaceuticals are most important since eye is very sensitive and is easily irritated if the composition of the ophthalmic pharmaceutical is not suitable. The QC tests for ophthalmic pharmaceuticals are different in the different pharmacopoeias like IP, BP, and USP. Therefore the aim of this review was to mention QC tests for ophthalmic pharmaceuticals based on quality requirements of the different pharmacopoeias.

Keywords: Quality Control, Ophthalmic Pharmaceuticals, Pharmacopoeia, Standard, Specification

1. Introduction

Ophthalmic pharmaceuticals are specialized dosage forms designed to be instilled onto the external surface of the eye (i.e., topical), administered inside (i.e., intraocular) or adjacent (i.e., periocular) to the eye or used in conjunction with an ophthalmic device [1,2]. The most commonly employed ophthalmic dosage forms are solutions, suspensions, and ointments [1,3]. Ophthalmic pharmaceuticals must be extraordinarily pure and free from physical, chemical, biological contaminants and suitably compounded and packaged for instillation into the eye [4]. These requirements imply a significant responsibility on the pharmaceutical industry to maintain current good manufacturing practices (cGMPs) in the manufacture of ophthalmic pharmaceuticals [5-7].

Quality is sustainability of drugs for their desired use measured by their efficiency, safety, consistent with label claim, or endorsed their conformity to specifications concerning identity, strength, purity and other characteristics [8]. According to International Organization for Standardization, quality control (QC) is the operational techniques and activities that are used to fulfill requirements for quality [9]. This statement could indicate that any activity whether serving the improvement, control, management or assurance of quality could be a part of the QC activity [10]. QC is the part of the GMP which is considered with the sampling, specifications, testing of products for defects and informing to management who makes the decision to examine or reject the release [11,12]. Both the in-process and finished product quality control tests aids to assure the quality of the product [13]. QC of pharmaceutical products is a concept that covers all measures taken, like the fixing of specifications, sampling, testing and analytical clearance, in order to assure that the

51 raw materials, intermediates, packaging materials and finished pharmaceutical products
52 comply with standard specifications for identity, strength, purity and other characteristics
53 [14,15].

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55 The development of a pharmaceutical is a long process concerning drug discovery, laboratory
56 testing, animal studies, clinical trials and regulatory registration [16,17]. Furthermore, to
57 improve the usefulness and safety of the drug product, various regulatory agencies, including
58 European Medicines Agency, Food and Drug Administration, Medicines and Healthcare
59 products Regulatory Agency and Therapeutic Good Administration are continuously
60 developing rules and regulation in the Europe, US, UK and Australia respectively [18-20].
61 Pharmaceutical must be tested for its identity, strength, quality, purity and stability before the
62 drug product can be released into the market [18-20]. Therefore, pharmaceutical validation
63 and process controls such as raw materials inspection, in-process controls and targets for final
64 product are very much crucial [21]. In fact the aim is to observe the on-line and off-line
65 performance of the manufacturing process and then validate it. In addition, after the
66 manufacturing process is validated, cGMP also needs so that a well-written procedure for
67 process controls is established to monitor its performance [22,23].

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69 The whole in-process and finished product QC tests contains rigorous testing of the quality
70 parameters to make perfect finished pharmaceuticals [24]. In process quality control (IPQC)
71 tests may be carried out before the manufacturing process is finished [6]. Generally, IPQC
72 tests are performed at consistent intervals during a process towards the end of the process
73 [25]. The function of IPQC requires monitoring and if needed, adaptation of the
74 manufacturing process so as to meet with the specifications [26]. This may comprise both the
75 control of equipment and environment [7,27]. The objectives of IPQC are both quality
76 control and process control. Finished pharmaceutical products are products which have
77 passed all stages of production including packaging [28]. After completing the manufacturing
78 process finished product quality control (FPQC) tests are performed with regard to qualitative
79 and quantitative features accompanied by test procedures and their acceptance limits, with
80 which the finished products must meet during the course of their effective shelf-life [29,30].
81 Different pharmacopoeias such as British Pharmacopoeia (BP), United States Pharmacopoeia
82 (USP), European Pharmacopoeia (PhEur), International Pharmacopoeia (PhInt), Japanese
83 Pharmacopoeia (JP) and Indian Pharmacopoeia (IP) give specific limits according to the
84 regulatory requirements of that particular region [24]. The objective of this review was to
85 suggest an outline of the quality parameters for pharmaceutical ophthalmic preparations in
86 line with pharmacopoeial standards and specifications.

87

88 **2. Universal Tests for Ophthalmic Pharmaceuticals**

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90 **2.1. Description**

91 This test is often called appearance on a specification and is a qualitative description of the
92 ophthalmic pharmaceuticals. For example, the description of ophthalmic preparations on a
93 specification may read: transparent/opaque preparation, proper labeling, imprinted with
94 “Rx” [31].

95

96 **2.2. Identification**

97 The purpose of an identification or identity test is to verify the identity of the active
98 pharmaceutical ingredient (API) in the ophthalmic pharmaceuticals. This test should be able
99 to discriminate between compounds of closely related structures that are likely to be present
100 [32].

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2.3. Assay

This test determines the strength or content of the API in the ophthalmic pharmaceuticals and is sometimes called a content test [33].

2.4. Impurities

This test determines the presence of any component that is not the API or an excipient of ophthalmic pharmaceuticals. The most common type of impurities that are measured is related substances, which are processed impurities from the new drug substance synthesis, degradation products of the API, or both [31].

3. Quality Control Parameters of Pharmaceutical Ophthalmic Preparations

QC testing of ophthalmic pharmaceuticals is an essential activity that helps to ensure their safety and efficacy. QC tests for ophthalmic pharmaceuticals based on pharmacopoeial standards and specifications are specified below:

3.1. pH

The pH of the ophthalmic pharmaceuticals is very important. Normal tears have a pH of about 7.4 and possess some buffer capacity. Many ophthalmic drugs, such as alkaloidal salts, are weakly acidic and have only weak buffer capacity. Where only 1 or 2 drops of a solution containing them are added to the eye, the buffering action of the tears is usually adequate to raise the pH and prevent marked discomfort [34]. In some cases pH may vary between 3.5 and 8.5. Some drugs, notably pilocarpine hydrochloride and epinephrine bitartrate, are more acid and overtax the buffer capacity of the lacrimal fluid. Ideally, an ophthalmic solution should have the same pH, as well as the same isotonicity value, as lacrimal fluid. This is not usually possible since, at pH 7.4, many drugs are not appreciably soluble in water [34]. Most alkaloidal salts precipitate as the free alkaloid at this pH. Additionally, many drugs are chemically unstable at pH levels approaching 7.4. This instability is more marked at the high temperatures employed in heat sterilization. For this reason, the buffer system should be selected that is nearest to the physiological pH of 7.4 and does not cause precipitation of the drug or its rapid deterioration [34].

The final pH of the solution is often a compromise, because many ophthalmic drugs have limited solubility and stability at the desired pH of 7.4 [35]. Buffers or pH adjusting agents or vehicles can be added to adjust and stabilize the pH at a desired level. Ophthalmic solutions are ordinarily buffered at the pH of maximum stability of the drug(s) they contain. The buffers are included to minimize any change in pH during the storage life of the drug; this can result from absorbed carbon dioxide from the air or from hydroxyl ions from a glass container [35]. Changes in pH can affect the solubility and stability of drugs; consequently, it is important to minimize fluctuations in pH [35]. The buffer system should be designed sufficient to maintain the pH throughout the expected shelf-life of the product, but with a low buffer capacity so that when the ophthalmic solution is instilled into the eye, the buffer system of the tears will rapidly bring the pH of the solution back to that of the tears. Low concentrations of buffer salts are used to prepare buffers of low buffer capacity [35]. So the pH of the ophthalmic pharmaceuticals must be determined carefully by using suitable analytical method.

3.2. Isotonicity

The term isotonic, meaning equal tone [36]. A solution is said to be isotonic when its effective osmole concentration is the same as that of another solution. In biology, the

151 solutions on either side of a cell membrane are isotonic if the concentration of solutes outside
152 the cell is equal to the concentration of solutes inside the cell. In this case the cell neither
153 swells nor shrinks because there is no concentration gradient to induce the diffusion of large
154 amounts of water across the cell membrane [37].

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156 Solutions that are isotonic with tears are preferred. An amount equivalent to 0.9% sodium
157 chloride (NaCl) is ideal for comfort and should be used when possible. The eye can tolerate
158 tonicities within the equivalent range of 0.6 to 2% NaCl without discomfort. There are times
159 when hypertonic ophthalmic solutions are necessary therapeutically, or when the addition of
160 an auxiliary agent required for reasons of stability supersedes the need for isotonicity. A
161 hypotonic ophthalmic solution will require the addition of a substance (tonicity adjusting
162 agent) to attain the proper tonicity range [35,38].

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164 In circumstances when an ophthalmic solution without a buffer is desired, any compatible salt
165 or non-electrolyte that is approved for ophthalmic products may be used. Sodium chloride,
166 sodium nitrate, sodium sulfate, and dextrose are common neutral tonicity adjustors [35,38].

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168 **3.3. Viscosity**

169 Viscosity measures the resistance of a solution to flow when a stress is applied. The viscosity
170 of a solution is given in poise units [39]. The unit centipoise (cp or the plural cps) is equal to
171 0.01 poise and is most often used in pharmaceutical applications. Compounds used to
172 enhance viscosity are available in various grades such as 15 cps, 100 cps, etc. The grade
173 number refers to the viscosity that results when a fixed percentage aqueous solution is made.
174 Generally the solutions are 1% or 2% and the viscosity is measured at 20 °C [40].

175

176 Viscosity enhancers are used in ophthalmic solutions to increase their viscosity. This enables
177 the formulation to remain in the eye longer and gives more time for the drug to exert its
178 therapeutic activity or undergo absorption [40]. Commonly used viscosity enhancers and their
179 maximum concentrations are given in the Table 1 [35,40].

180

181 **Table 1.** Typical concentrations of viscosity-enhancing agents approved for use in
182 ophthalmic liquids [35,40].

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Viscosity Enhancer	Maximum Concentration (%)
Hydroxyethylcellulose	0.8
Hydroxypropylmethylcellulose	1.0
Methylcellulose	2.0
Polyvinyl alcohol	1.4
Polyvinylpyrrolidone	1.7

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185 The most common viscosity desired in an ophthalmic solution is between 25 and 50 cps. The
186 actual concentration of the enhancer required to produce that viscosity will depend on the
187 grade of the enhancer. For example, if methylcellulose 25 cps is used, a 1% solution will create
188 a viscosity of 25 cps. If methylcellulose 4000 cps is used, a 0.25% solution provides the
189 desired viscosity. Standard references give tables of viscosities produced by percentage
190 solutions and grades of ingredients [35,40].

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192 **3.4. Therapeutic Efficacy**

193 The active ingredient(s) should be present in the most therapeutically effective form. This
194 goal must often be compromised for reasons of solubility or stability of the active ingredient
195 or patient comfort. For example, while many drugs are most active in their undissociated
196 form, they are least soluble in this form. They may also be less stable at pH values that favor
197 the undissociated form [35,41].

198 199 **3.5. Compatibility with the Eye**

200 Ophthalmic solutions should be free of chemicals or agents that cause allergy or toxicity to
201 the sensitive membranes and tissues of the eye. Auxiliary agents, such as preservatives and
202 antioxidants, should be added with care because many patients are sensitive to these
203 substances. Before adding an auxiliary agent, check with the patient about allergies and
204 sensitivities [35,42].

205 206 **3.6. Clarity**

207 Ophthalmic solutions must be free from foreign particles, and this is generally accomplished
208 by filtration. The filtration process also helps to achieve clarity of the solution. Table 2
209 contains a list of suitable clarifying agents. These agents are surfactants that improve aqueous
210 drug solubility and are compatible with vehicles used to prepare ophthalmic liquids [35,43].

211
212 **Table 2.** Clarifying agents approved for use in ophthalmic preparations [35,43].

213 Clarifying Agent	Usual Concentration (%)
Polysorbate 20	1.0
Polysorbate 80	1.0

214 215 **3.7. Particulate Matter**

216 Particulate matter consists of particles that will not dissolve in solution other than gas bubbles
217 that are unintentionally present on the product. Particulate matter can come from many
218 sources in the processing. Limits for ophthalmic pharmaceuticals can be found in the
219 pharmacopoeias [44].

220
221 According to USP this test is suitable for ophthalmic solutions. Particulate matter consists of
222 mobile, randomly sourced, extraneous substances, other than gas bubbles, that cannot be
223 quantitated by chemical analysis because of the small amount of material they represent and
224 because of their heterogeneous composition [34].

225
226 Ophthalmic solutions should be essentially free from particles that can be observed on visual
227 inspection. The tests described herein are physical tests performed for the purpose of
228 enumerating extraneous particles within specific size ranges [34].

229 Every ophthalmic solution for which the monograph includes a test for Particulate matter is
230 subject to the particulate matter limits set forth for the test being applied, unless otherwise
231 specified in the individual monograph. When higher limits are appropriate, they will be
232 specified in the individual monograph. Ophthalmic preparations that are suspensions,
233 emulsions, or gels are exempt from these requirements, as are medical devices. Refer to the
234 specific monograph when a question of test applicability occurs [34].

235
236 USP suggested light obscuration particle count (LOPC) and microscopic particle count
237 (MPC) tests for the determination of particulate matter in ophthalmic solutions [34].

238 239 **3.7.1. Light Obscuration Particle Count Test**

240 This method analyzes the products using a light obscuration particulate analyzer [45].
241 According to USP, this test applies to ophthalmic solutions, including solutions constituted
242 from sterile solids, for which a test for Particulate matter is specified in the individual
243 monograph. The test counts suspended particles that are solid or liquid [34].

244
245 According to USP, the ophthalmic solution meets the requirements of the test if the average
246 number of particles present in the units tested does not exceed the appropriate value listed in
247 Table 3. If the average number of particles exceeds the limit, test the article by the
248 Microscopic Particle Count Test [34].

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Table 3. USP limits for particulate matters determined by LOPC test [34].

Nominal Volume	Diameter	
	$\geq 10 \mu\text{m}$	$\geq 25 \mu\text{m}$
Number of particles	50 per mL	5 per mL

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3.7.2. Microscopic Particle Count Test

254 This method filters the products through a $0.8 \mu\text{m}$ grey gridded filter. The filter is then
255 counted microscopically at $100\times$ to determine the number of particles [46]. Some articles
256 cannot be tested meaningfully by light obscuration. In such cases, individual monographs
257 clearly specify that only a microscopic particle count is to be performed. The microscopic
258 particle count test enumerates subvisible, essentially solid, particulate matter in ophthalmic
259 solutions, after collection on a microporous membrane filter. Some ophthalmic solutions,
260 such as solutions that do not filter readily because of their high viscosity, may be exempted
261 from analysis using the microscopic test [34].

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264 When performing the microscopic test, do not attempt to size or enumerate amorphous,
265 semiliquid, or otherwise morphologically indistinct materials that have the appearance of a
266 stain or discoloration on the membrane surface. These materials show little or no surface
267 relief and present a gelatinous or film-like appearance. Because in solution this material
268 consists of units on the order of $1 \mu\text{m}$ or less, which may be counted only after aggregation or
269 deformation on an analytical membrane, interpretation of enumeration may be aided by
270 testing a sample of the solution by the light obscuration particle count method [34].

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272 According to USP, the ophthalmic solution meets the requirements of the test if the average
273 number of particles present in the units tested does not exceed the appropriate value listed in
274 Table 4 [34].

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Table 4. USP limits for particulate matters determined by MPC test [34].

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Nominal Volume	Diameter		
	$\geq 10 \mu\text{m}$	$\geq 25 \mu\text{m}$	$\geq 50 \mu\text{m}$
Number of particles	50 per mL	5 per mL	2 per mL

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3.8. Insoluble Particulate Matter

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280 This test is used to examine for the size and the number of insoluble particulate matter in
281 aqueous ophthalmic solutions [47]. In line with JP, carry out preparations carefully in clean
282 equipment and facilities which are low in dust. Fit the membrane filter onto the membrane
283 filterholder, and fix them with the clip. Thoroughly rinse the holder inside the purified water
284 for particulate matter test, and filter under reduced pressure with 200 mL of the purified water
285 for particulate matter test at a rate of 20 to 30 mL per minute. Apply the vacuum until the
286 surface of the membrane filter is free from water, and remove the membrane filter. Place the
287 filter in a flat-bottom petri dish with the cover slightly ajar, and dry the filter fully at a
288 temperature not exceeding 50 °C. After the filter has been dried, place the petri dish on the
289 stage of the microscope. Under a down-light from illuminating device, adjust the grid of the
290 membrane filter to the coordinate axes of the microscope, adjust the microscope so as to get
291 the best view of the insoluble particulate matter, then count the number of particles that are
292 equal to or greater than 150 µm within the effective filtering area of the filter, moving the
293 mobile stage, and ascertain that the number is not more than 1. In this case the particle is
294 sized on the longest axis [48].
295

296 Fit another membrane filter to the filtration device, and fix them with the clip, then wet the
297 inside of the filter holder with several mL of purified water for particulate matter test. Clean
298 the outer surface of the container, and mix the sample solution gently by inverting the
299 container several times. Remove the cap, clean the outer surface of the nozzle, and pour the
300 sample solution into a measuring cylinder which has been rinsed well with purified water for
301 particulate matter test. Repeat the process to prepare 25 mL of the test solution. Pour the test
302 solution into the filter holder along the inner wall of the holder. Apply the vacuum and filter
303 mildly so as to keep the solution always on the filter. As for viscous sample solution, dilute
304 suitably with purified water for particulate matter test or suitable diluent and then filter as
305 described above. When the amount of the solution on the filter becomes small, add 30 mL of
306 purified water for particulate matter test or suitable diluent in such manner as to wash the
307 inner wall of the filter holder. Apply the vacuum gently until the surface of the membrane
308 filter is free from water. Place the filter in a petri dish, and dry the filter at a temperature
309 below 50 °C with the cover slightly ajar. After the filter has been dried, place the petri dish on
310 the stage of the microscope. And count the number of particles which are equal to or larger
311 than 300 µm within the effective filtering area of the filter according to the same procedure
312 of the microscope as described above. In this case the particle is sized on the longest axis
313 [48].
314

315 **3.9. Particle Size**

316 According to BP this test is suitable for eye drops. Unless otherwise justified and authorised,
317 eye drops in the form of a suspension comply with the following test: introduce a suitable
318 quantity of the suspension into a counting cell or with a micropipette onto a slide, as
319 appropriate, and scan under a microscope an area corresponding to 10 µg of the solid phase.
320 For practical reasons, it is recommended that the whole sample is first scanned at low
321 magnification (e.g. × 50) and particles greater than 25 µm are identified. These larger
322 particles can then be measured at a larger magnification (e.g. × 200 to × 500). For each 10 µg
323 of solid active substance, not more than 20 particles have a maximum dimension greater than
324 25 µm, and not more than 2 of these particles have a maximum dimension greater than 50
325 µm. None of the particles has a maximum dimension greater than 90 µm [49].
326

327 As said by IP, introduce a suitable volume of the eye drops into a counting cell or onto a
328 microscope slide, as appropriate. Scan under a microscope an area corresponding to 10 µg of
329 the solid phase. Scan at least 50 representative fields. Not more than 20 particles have a

330 maximum dimension greater than 25 μm , not more than 10 particles have a maximum
331 dimension greater than 50 μm and none has a maximum dimension greater than 100 μm [50].

332

333 Consistent with BP this test is also fit for semi-solid eye preparations. Semi-solid eye
334 preparations containing dispersed solid particles comply with the following test: spread
335 gently a quantity of the preparation corresponding to at least 10 μg of solid active substance
336 as a thin layer. Scan under a microscope the whole area of the sample. For practical reasons,
337 it is recommended that the whole sample is first scanned at a small magnification (e.g. $\times 50$)
338 and particles greater than 25 μm are identified. These larger particles can then be measured
339 at a larger magnification (e.g. $\times 200$ to $\times 500$). For each 10 μg of solid active substance, not
340 more than 20 particles have a maximum dimension greater than 25 μm , and not more than 2
341 of these particles have a maximum dimension greater than 50 μm . None of the particles has a
342 maximum dimension greater than 90 μm [49].

343

344 This test is suitable for eye ointment. According to IP, gently spread a small quantity of the
345 Eye Ointment as a thin layer on a microscope slide. Scan under a microscope an area
346 corresponding to 10 μg of the solid phase. Scan at least 50 representative fields. Not more
347 than 20 particles have a maximum dimension greater than 25 μm , not more than 10 particles
348 have a maximum dimension greater than 50 μm and none has a maximum dimension greater
349 than 100 μm [50].

350

351 **3.10. Uniformity of Volume**

352 Consistent with IP this test is appropriate for eye drops. For this test pour completely the
353 contents of ophthalmic preparation of each container into calibrated volume measures of the
354 appropriate size and determine the volume of contents of 10 containers [50].

355

356 According to IP the average net volume of the contents of the 10 containers is not less than
357 the labeled amount, and the net volume of the contents of any single container is not less
358 than 91% and not more than 109% of the labeled amount where the labeled amount is 50 ml
359 or less; or not less than 95.5% and not more than 104.5% of the labeled amount where the
360 labeled amount is more than 50 ml but not more than 200 ml; or not less than 97% and not
361 more than 103% of the labeled amount where the labeled amount is more than 200 ml but
362 not more than 300 ml [34]. Consistent with IP, if these requirements are not met, determine
363 the net volume of the contents of 10 additional containers. The average net volume of the
364 contents of the 20 containers is not less than the labeled amount, and the net volume of the
365 contents of not more than 1 of the 20 containers is less than 91% or more than 109% of the
366 labeled amount where the labeled amount is 50 ml or less or not less than 95.5% and not
367 more than 104.5% of the labeled amount where the labeled amount is more than 50 ml but
368 not more than 200 ml, or not less than 97% and not more than 103% of the labeled amount
369 where the labeled amounts is more than 200 mL but more than 300 mL (Table 5) [50]

370

371 **Table 5.** Limits for uniformity of volume [50].

372

Volume	Percentage Deviation
≤ 50 mL	$\pm 9\%$
50-200 mL	$\pm 4.5\%$
200-300 mL	$\pm 3\%$

373

374 **3.11. Uniformity of Content**

375 As stated by BP this test is appropriate for powders for eye drops and eye lotions. Unless
376 otherwise prescribed or justified and authorised, single-dose powders for eye drops and eye
377 lotions with a content of active substance less than 2 mg or less than 2 percent of the total
378 mass comply with test. If the preparation has more than one active substance, the requirement
379 applies only to those substances that correspond to the above condition [49].
380

381 According to BP, using a suitable analytical method, determine the individual contents of
382 active substance(s) of 10 dosage units taken at random. The preparation complies with the
383 test if not more than one individual content is outside the limits of 85 percent to 115 percent
384 of the average content and none is outside the limits of 75 percent to 125 percent of the
385 average content. The preparation fails to comply with the test if more than 3 individual
386 contents are outside the limits of 85 percent to 115 percent of the average content or if one or
387 more individual contents are outside the limits of 75 percent to 125 percent of the average
388 content [49].
389

390 On the word of BP, if 2 or 3 individual contents are outside the limits of 85 percent to 115
391 percent but within the limits of 75 percent to 125 per cent, determine the individual contents
392 of another 20 dosage units taken at random. The preparation complies with the test if not
393 more than 3 individual contents of the 30 units are outside the limits of 85 per cent to 115
394 percent of the average content and none is outside the limits of 75 per cent to 125 percent of
395 the average content [49].
396

397 In line with BP this test is also fit for ophthalmic inserts. The test for uniformity of content of
398 single-dose preparations is based on the assay of the individual contents of active
399 substance(s) of a number of single-dose units to determine whether the individual contents
400 are within limits set with reference to the average content of the sample [49]. The test is not
401 required for multivitamin and trace-element preparations and in other justified and
402 authorized circumstances.
403

404 According to BP, using a suitable analytical method, determine the individual contents of
405 active substance(s) of 10 dosage units taken at random [33]. As said by BP, the preparation
406 complies with the test if each individual content is between 85 percent and 115 percent of the
407 average content. The preparation fails to comply with the test if more than one individual
408 content is outside these limits or if one individual content is outside the limits of 75 percent
409 to 125 percent of the average content [49].
410

411 Consistent with BP, if one individual content is outside the limits of 85 percent to 115 percent
412 but within the limits of 75 percent to 125 per cent, determine the individual contents of
413 another 20 dosage units taken at random. The preparation complies with the test if not more
414 than one of the individual contents of the 30 units is outside 85 percent to 115 per cent of the
415 average content and none is outside the limits of 75 percent to 125 per cent of the average
416 content [49].
417

418 **3.12. Uniformity of Mass**

419 In relation to BP single-dose powders for eye drops and eye lotions comply with the test. If
420 the test for uniformity of content is prescribed for all the active substances, the test for
421 uniformity of mass is not required [49].
422

423 Consistent with BP, weigh individually 20 units taken at random or, for single-dose
424 preparations presented in individual containers, the contents of 20 units, and determine the

425 average mass. Not more than 2 of the individual masses deviate from the average mass by
426 more than the percentage deviation shown in Table 6 and none deviates by more than twice
427 that percentage [49].

428

429 **Table 6.** BP limits for uniformity of mass [49].

430

Dosage Form	Average Mass	Percentage Deviation
Powder for eye drops and eye lotions (single dose)	Less than 300 mg or more	10 or 7.5

431

432 **3.13. Uniformity of Weight**

433 On the word of IP this test is apposite for eye ointments. Select a sample of 10 filled
434 containers and remove any labeling that might be altered in weight while removing the
435 contents of the containers. Clean and dry the outer surfaces of the containers and weigh each
436 container. Remove quantitatively the contents from each container. If necessary, cut open the
437 container and wash each empty container with a suitable solvent, taking care to ensure that
438 the closure and other parts of the container are retained. Dry and again weigh each empty
439 container together with its parts which may have been removed. The difference between the
440 two weights is the net weight of the contents of the container [50].

441

442 In line with IP, the average net weight of the contents of the 10 containers is not less than the
443 labeled amount and the net weight of the contents of any single containers is not less than 91
444 percent and not more than 109 percent of the labeled amount where the labeled amount is 50
445 g or less, or not less than 95.5 percent and not more than 104.5 percent of the labeled amount
446 where the labeled is more than 50 g but not more than 100 g [50].

447

448 As stated by IP, if this requirement is not met, determine the net weight of the contents of 10
449 additional containers. The average net weight of the contents of the 20 containers is not less
450 than the labeled amount, and the net weight of the contents of not more than 1 of the 20
451 containers is less than 91 percent or more than 109 percent of the labeled amount where the
452 labeled amount is 50 g or less than 95 percent or more than 104.5 percent of the labeled
453 amount is more than 50 g but not more than 100 g [50].

454

455 **3.14. Bacterial Endotoxins**

456 Endotoxins are the toxins which cannot diffuse through the bacterial cell wall and are
457 retained within the bacteria. They are released only when the cells die and start disintegrating
458 [51]. The test for bacterial endotoxins (BET) measures the concentration of bacterial
459 endotoxins that may be present in the sample or on the article to which the test is applied
460 using a lysate derived from the hemolymph cells or amoebocytes of the horseshoe crab,
461 *Limulus polyphemus*. Other species of horseshoe crab namely *Tachypleus gigas*, *Tachypleus*
462 *tridentatus* and *Carcinoscopius rotundicauda* also yield amoebocyte lysate having similar
463 activity [50].

464

465 The addition of a solution containing endotoxins to a solution of the lysate produces turbidity,
466 precipitation or gelation of the mixture. However, addition of a chromogenic substrate to a
467 solution of the lysate results in development of color due to release of chromophore from the

468 substrate upon activation by the endotoxin present in the solution. The rate of reaction
469 depends on the concentration of endotoxin, the pH and the temperature. The reaction requires
470 the presence of certain bivalent cations, a clotting cascade enzyme system and clottable
471 protein, all of which are provided by the lysate [50].

472

473 According to BP, There are 3 techniques for this test: the gel- clot technique, which is based
474 on gel formation; the turbidimetric technique, based on the development of turbidity after
475 cleavage of an endogenous substrate; and the chromogenic technique, based on the
476 development of color after cleavage of a synthetic peptide-chromogen complex [30]. The
477 following 6 methods are described in the BP [49]:

478

- 479 Method A. Gel-clot method: limit test
- 480 Method B. Gel-clot method: quantitative test
- 481 Method C. Turbidimetric kinetic method
- 482 Method D. Chromogenic kinetic method
- 483 Method E. Chromogenic end-point method
- 484 Method F. Turbidimetric end-point method

485

486 According to IP, the following methods can be used to monitor the endotoxin concentration
487 in a product official in the pharmacopoeia and to determine whether the product complies
488 with the limit specified in the monograph [50].

489

- 490 Method A: Gel-Clot Limit Test Method
- 491 Method B: Semi-quantitative Gel-Clot Method
- 492 Method C: Kinetic Turbidimetric Method
- 493 Method D: Kinetic Chromogenic Method
- 494 Method E: End-Point Chromogenic Method

495

496 On the word of IP, when a monograph includes a test for bacterial endotoxins without
497 mentioning a method, the test is carried out by Method A. Any one of the other four methods
498 may be employed as an alternative method provided it yields results of equivalent reliability
499 with the preparation under examination [50].

500

501 Consistent with IP, carry out the following procedure in receptacles such as tubes, vials or
502 wells of micro-titre plates. Into each of the chosen receptacle, add an appropriate volume of
503 negative control (NC), control standard endotoxin (CSE) solutions in water BET, test solution
504 and positive product control (PPC). At intervals that will permit the reading of each result,
505 add to each receptacle an equal volume of the appropriately constituted lysate unless single
506 test vials are used. Mix the sample-lysate mixture gently and place in an incubating device
507 such as a water-bath or a heating block, accurately recording the time at which the receptacles
508 are so placed. Incubate each receptacle at $37^{\circ} \pm 1^{\circ}$ undisturbed for 60 ± 2 minutes. Remove the
509 receptacles and examine the contents carefully. A positive reaction is characterised by the
510 formation of a firm gel that retains its integrity when inverted through 180° in one smooth
511 motion. Record this result as positive (+). A negative result is characterised by the absence of
512 such a gel or by the formation of a viscous gel that does not maintain its integrity. Record
513 such a result as negative (-). Handle the receptacles with care to avoid subjecting them to
514 unwanted vibrations as false negative observations may result [34]. Consistent with IP,
515 calculate the geometric mean end-point concentration of solutions of series B and C (Table 7)
516 by using the following formula [50]:

517

518 Geometric mean end-point concentration = antilog ($\sum e/f$)

519

520 where, $\sum e$ = sum of the log end-point concentrations of the series of dilutions used; f =
521 number of replicate test-tubes. This average gives the estimated lysate sensitivity which
522 must lie between 0.5λ and 2λ [34,49,50].

523

524 **Table 7.** In line with IP, preparation of solutions for gel-clot techniques [50].

525

Solution	Final Concentration Added CSE in the Solution	Number of Replicates
A = Solution of the product at a dilution at or below MVD (test solution)	–	4
B = Test solution spiked with indicated CSE concentrations (Positive Product Control; PPC)	21	4
	0.51	4
	0.251	4
C = Standard solution with indicated CSE concentrations in water BET	21	4
	1	2
	0.51	2
	0.251	2
D = Water BET(Negative Control; NC)	–	2

526

527 The possibility of interference with the bacterial endotoxins test by certain factors should be
528 borne in mind. For validation of the test results it must be demonstrated that the test
529 preparation does not inhibit or enhance the reaction or otherwise interfere with the test. The
530 validation must be repeated if the lysate vendor or the method of manufacture or the
531 formulation of the sample is changed. Dilution of the test preparation with water BET is the
532 easiest method for overcoming inhibition [31]. The allowable dilution level or Maximum
533 Valid Dilution (MVD) is dependent on the concentration of the product, the endotoxin limit
534 for the product and the lysate sensitivity. It is calculated by the following expression [49,50]:
535 $MVD = \text{Endotoxin limit} \times \text{Concentration of the test solution}^* / \lambda$

536

537 where, λ is the labeled sensitivity of the lysate (EU/ml) [49,50].

538

539 Note: *Concentration of the test solution is expressed as mg/ml in case the endotoxin limit is
540 specified by weight (EU/mg), or as Units/ml in case the endotoxin limit is specified by Unit
541 (EU/Unit), or as 1.0 ml/ml in case the endotoxin limit is specified by volume (EU/ml) [50].

542

543 According to BP the geometric mean end-point concentrations of solutions B and C (Table 8)
544 are determined. The test for interfering factors must be repeated when any changes are made
545 to the experimental conditions that are likely to influence the result of the test [49].

546

547

548 **Table 8.** Based on USP and BP, preparation of solutions for gel-clot techniques [34, 49].

549

Solution	Endotoxin Concentration/Solution to which Endotoxin is Added	Diluent	Dilution Factor	Endotoxin Concentration	Number of Replicates
A	None/sample solution	–	–	–	4

B	2λ/Sample solution	Test solution	1	2λ	4
			2	1λ	4
			4	0.5λ	4
			8	0.25λ	4
C	2λ/Water for BET	Water for BET	1	2λ	2
			2	1λ	2
			4	0.5λ	2
			8	0.25λ	2
D	None/Water for BET	–	–	–	2

where, Solution A: Sample solution of the preparation under test that is free of detectable endotoxins; Solution B: Test for interference; Solution C: Control for labeled lysate sensitivity; Solution D: Negative control (water for BET).

550

551 The test is considered valid when all replicates of solutions A and D show no reaction and the
552 result of solution C confirms the labeled lysate sensitivity. If the sensitivity of the lysate
553 determined with solution B is not less than 0.5I and not greater than 2I, the test solution does
554 not contain interfering factors under the experimental conditions used. Otherwise, the test
555 solution interferes with the test [49].

556

557 If the preparation being examined interferes with the test at a dilution less than the MVD,
558 repeat the test for interfering factors using a greater dilution, not exceeding the MVD. The
559 use of a more sensitive lysate permits a greater dilution of the preparation being examined
560 and this may contribute to the elimination of interference [49].

561

562 Interference may be overcome by suitable validated treatment, such as filtration,
563 neutralization, dialysis or heat treatment. To establish that the treatment chosen effectively
564 eliminates interference without loss of endotoxins, repeat the test for interfering factors using
565 the preparation being examined to which the standard endotoxin has been added and which
566 has then been submitted to the chosen treatment [49].

567

568 Consistent with IP, the test for interfering factors is valid if [50]:

569

- 570 Solutions of series A and D give negative results [50];
- 571 The results obtained with solutions of series C confirm the labelled sensitivity of the lysate
572 [50];
- 573 The geometric mean of the end-point concentration of solutions of series B is not more than
574 2I or not less than 0.5I [50].

575 If the result obtained is outside the specified limit, the test preparation under examination is
576 acting as an inhibitor or activator. The interfering factors may be eliminated by further
577 dilution (not greater than MVD), filtration, neutralisation, inactivation or by removal of the
578 interfering substances. The use of a more sensitive lysate permits the use of greater dilution
579 of the preparation under examination [50].

580

581 Ultrafiltration may be used, if necessary, when the interfering factor passes through a filter
582 with a nominal separation limit corresponding to a molecular weight of 10,000 to 20,000,
583 such as asymmetrical membrane filters of cellulose triacetate. Such filters should be checked
584 for the presence of any factors causing false positive results. The material retained on the

585 filter, which contains the endotoxins, is rinsed with water BET or tris-chloride buffer pH 7.4
586 BET. The endotoxins are recovered in the water BET or the buffer. The endotoxin
587 concentration in the test volume and the final volume are determined for each preparation
588 under examination [50].

589

590 Establish that the chosen treatment effectively eliminates interference without removing
591 endotoxins by repeating the test for interfering factors using the preparation under
592 examination to which the CSE has been added and which has been submitted to the chosen
593 treatment [50].

594

595 The product under examination complies with the bacterial endotoxin test if the positive
596 product control is positive and the negative controls as well as the test solutions are negative.
597 The test is not valid if the positive product control is negative or if the negative control is
598 positive. The product under examination meets the requirements of the test if the endotoxin
599 content is less than the endotoxin limit stated in the individual monograph. If a positive result
600 is found for one of the test solution duplicates and a negative result for the other, the test may
601 be repeated as described above. The results of the retest should be interpreted as for the initial
602 test [50].

603

604 **3.15. Sterility Test**

605 Sterility is defined as the absence of viable microbial contamination. Sterility is an absolute
606 requirement of all ophthalmic formulations. Contaminated ophthalmic formulations may
607 result in eye infections that could ultimately cause blindness, especially if the *Pseudomonas*
608 *aeruginosa* microbe is involved. Therefore, ophthalmic formulations must be prepared in a
609 laminar flow hood using aseptic techniques just the same as intravenous formulations. The
610 sterile formulations must be packaged in sterile containers [52].

611

612 As stated by USP and BP the sterility test may be carried out using the technique of
613 membrane filtration or by direct inoculation of the culture media with the product to be
614 examined. Appropriate negative controls are included [34,49].

615

616 The following culture media have been found to be suitable for the test for sterility. Fluid
617 thioglycollate medium is primarily intended for the culture of anaerobic bacteria; however, it
618 will also detect aerobic bacteria. Soya-bean casein digest medium is suitable for the culture of
619 both fungi and aerobic bacteria [34,48,49].

620

621 **3.15.1. Membrane Filtration**

622 The technique of membrane filtration is used whenever the nature of the product permits,
623 that is, for filterable aqueous preparations, for alcoholic or oily preparations and for
624 preparations miscible with or soluble in aqueous or oily solvents provided these solvents do
625 not have an antimicrobial effect in the conditions of the test [49]. According to BP, use
626 membrane filters having a nominal pore size not greater than 0.45 µm whose effectiveness to
627 retain micro-organisms has been established. Cellulose nitrate filters, for example, are used
628 for aqueous, oily and weakly alcoholic solutions and cellulose acetate filters, for example, for
629 strongly alcoholic solutions. Specially adapted filters may be needed for certain products,
630 e.g. for antibiotics [49].

631

632 The technique described below assumes that membranes about 50 mm in diameter will be
633 used. If filters of a different diameter are used the volumes of the dilutions and the washings
634 should be adjusted accordingly. The filtration apparatus and membrane are sterilized. The

635 apparatus is designed so that the solution to be examined can be introduced and filtered under
 636 aseptic conditions; it permits the aseptic removal of the membrane for transfer to the medium
 637 [49].

638

639 **3.15.1.1. Aqueous Solutions**

640 Consistent with BP, if appropriate, transfer a small quantity of a suitable, sterile diluent such
 641 as a 1 g/L neutral solution of meat or casein peptone pH 7.1 ± 0.2 onto the membrane in the
 642 apparatus and filter. The diluent may contain suitable neutralizing substances and/or
 643 appropriate inactivating substances for example in the case of antibiotics [49].

644

645 Transfer the contents of the container or containers to be tested to the membrane or
 646 membranes, if necessary after diluting to the volume used in the method suitability test with
 647 the chosen sterile diluent but in any case using not less than the quantities of the product to be
 648 examined prescribed in Table 9. Filter immediately. If the product has antimicrobial
 649 properties, wash the membrane not less than 3 times by filtering through it each time the
 650 volume of the chosen sterile diluent used in the method suitability test. Do not exceed a
 651 washing cycle of 5 times 100 mL per filter, even if during the method suitability test it has
 652 been demonstrated that such a cycle does not fully eliminate the antimicrobial activity.
 653 Transfer the whole membrane to the culture medium or cut it aseptically into 2 equal parts
 654 and transfer one half to each of 2 suitable media. Use the same volume of each medium as in
 655 the method suitability test. Alternatively, transfer the medium onto the membrane in the
 656 apparatus. Incubate the media for not less than 14 days [49].

657

658 **Table 9.** In accordance with USP, JP and BP minimum quantity to be used for each
 659 medium[34,48,49].

660

Quantity per Container	Minimum Quantity to be Used for Each Medium Unless Otherwise Justified and Authorized
Liquids (other than antibiotics)	
Less than 1 mL	The whole contents of each container
1–40 mL	Half the contents of each container, but not less than 1 mL
Greater than 40 mL, and not greater than 100 mL	20 mL
Greater than 100 mL	10% of the contents of the container, but not less than 20 mL
Antibiotic liquids	1 mL
Other preparations soluble in water or in isopropyl myristate	The whole contents of each container to provide not less than 200 mg
Insoluble preparations, creams, and ointments to be suspended or emulsified	Use the contents of each container to provide not less than 200 mg
Solids	
Less than 50 mg	The whole contents of each container
50 mg or more, but less than 300 mg	Half the contents of each container, but not less than 50 mg
300 mg–5 g	150 mg
Greater than 5 g	500 mg

661

662 **3.15.1.2. Soluble Solids**

663 In line with BP, use for each medium not less than the quantity prescribed in Table 9 of the
664 product dissolved in a suitable solvent such as the solvent provided with the preparation,
665 water for injections, saline or a 1 g/L neutral solution of meat or casein peptone and proceed
666 with the test as described above for aqueous solutions using a membrane appropriate to the
667 chosen solvent [49].
668

669 **2.9.1.3. Oils and Oily Solutions**

670 Along with BP, use for each medium not less than the quantity of the product prescribed in
671 Table 9. Oils and oily solutions of sufficiently low viscosity may be filtered without dilution
672 through a dry membrane. Viscous oils may be diluted as necessary with a suitable sterile
673 diluent such as isopropyl myristate shown not to have antimicrobial activity in the conditions
674 of the test. Allow the oil to penetrate the membrane by its own weight then filter, applying
675 the pressure or suction gradually. Wash the membrane at least 3 times by filtering through it
676 each time about 100 mL of a suitable sterile solution such as 1 g/L neutral meat or casein
677 peptone containing a suitable emulsifying agent at a concentration shown to be appropriate in
678 the method suitability test, for example polysorbate 80 at a concentration of 10 g/L. Transfer
679 the membrane or membranes to the culture medium or media or vice versa as described
680 above for aqueous solutions, and incubate at the same temperatures and for the same times
681 [49].
682

683 **3.15.1.4. Ointments and Creams**

684 In relation to BP, use for each medium not less than the quantities of the product prescribed
685 in Table 9. Ointments in a fatty base and emulsions of the water-in-oil type may be diluted
686 to 1 percent in isopropyl myristate as described above, by heating, if necessary, to not more
687 than 40 °C. In exceptional cases it may be necessary to heat to not more than 44 °C. Filter as
688 rapidly as possible and proceed as described above for oils and oily solutions [49].
689

690 **3.15.2. Direct Inoculation of the Culture Medium**

691 In line with BP, transfer the quantity of the preparation to be examined prescribed in Table 9
692 directly into the culture medium so that the volume of the product is not more than 10 percent
693 of the volume of the medium, unless otherwise prescribed [33]. If the product to be examined
694 has antimicrobial activity, carry out the test after neutralising this with a suitable neutralising
695 substance or by dilution in a sufficient quantity of culture medium. When it is necessary to
696 use a large volume of the product it may be preferable to use a concentrated culture medium
697 prepared in such a way that it takes account of the subsequent dilution. Where appropriate,
698 the concentrated medium may be added directly to the product in its container [49].
699

700 **3.15.2.1. Oily Liquids**

701 According to USP, JP and BP use media to which have been added a suitable emulsifying
702 agent at a concentration shown to be appropriate in the method suitability test, for example
703 polysorbate 80 at a concentration of 10 g/L [34,48,49].
704

705 **3.15.2.2. Ointments and Creams**

706 As stated by USP, JP and BP prepare by diluting to about 1 in 10 by emulsifying with the
707 chosen emulsifying agent in a suitable sterile diluent such as a 1 g/L neutral solution of meat
708 or casein peptone. Transfer the diluted product to a medium not containing an emulsifying
709 agent [34,48,49].
710

711 Incubate the inoculated media for not less than 14 days. Observe the cultures several times
712 during the incubation period. Shake cultures containing oily products gently each day.

713 However when fluid thioglycollate medium is used for the detection of anaerobic micro-
714 organisms keep shaking or mixing to a minimum in order to maintain anaerobic conditions
715 [34,48,49].

716

717 3.15.2.3. Solids

718 According to USP, transfer a quantity of the product in the form of a dry solid (or prepare a
719 suspension of the product by adding sterile diluent to the immediate container),
720 corresponding to not less than the quantity indicated in Tables 9 and Table 10. Transfer the
721 material so obtained to 200 mL of Fluid Thioglycollate Medium, and mix. Similarly, transfer
722 the same quantity to 200 mL of Soybean–Casein Digest Medium, and mix. Proceed as
723 directed above [49]. In line with USP and BP at intervals during the incubation period and at
724 its conclusion, examine the media for macroscopic evidence of microbial growth. If the
725 material being tested renders the medium turbid so that the presence or absence of microbial
726 growth cannot be readily determined by visual examination, 14 days after the beginning of
727 incubation transfer portions (each not less than 1 mL) of the medium to fresh vessels of the
728 same medium and then incubate the original and transfer vessels for not less than 4 days
729 [34,49].

730

731 **Table 10.** Consistent with USP, JP and BP minimum number of articles to be tested in
732 relation to the number of articles in the batch [34,48,49].

733

Number of Items in the Batch*	Minimum Number of Items to be Tested for Each Medium Unless Otherwise Justified and Authorized[#]
Not more than 200 containers	5 percent or 2 container, whichever is greater
More than 200 container	10 container
Not more than 100 containers ⁺	10% or 4 containers, whichever is the greater
More than 100 but not more than 500 containers ⁺	10 containers
More than 500 containers ⁺	2% or 20 containers, whichever is less

⁺If the product is presented in the form of single-dose containers.
^{*}If the batch is not known, use the maximum number of items prescribed.
[#]If the contents of one container are enough to inoculate the 2 media, this column gives the number of containers needed for both the media together.

734

735 If no evidence of microbial growth is found, the product to be examined complies with the
736 test for sterility. If evidence of microbial growth is found the product to be examined does not
737 comply with the test for sterility, unless it can be clearly demonstrated that the test was
738 invalid for causes unrelated to the product to be examined. The test may be considered
739 invalid only if one or more of the following conditions are fulfilled [34,49]:

740

741 The data of the microbiological monitoring of the sterility testing facility show a fault
742 [34,49];

743 A review of the testing procedure used during the test in question reveals a fault [34,49];

744 Microbial growth is found in the negative controls [34,49];

745 After determination of the identity of the micro-organisms isolated from the test, the
746 growth of this species or these species may be ascribed unequivocally to faults with respect to
747 the material and or the technique used in conducting the sterility test procedure [34,49].

748

749 If the test is declared to be invalid it is repeated with the same number of units as in the
750 original test. If no evidence of microbial growth is found in the repeat test the product
751 examined complies with the test for sterility. If microbial growth is found in the repeat test
752 the product examined does not comply with the test for sterility [34,49].

753

754 **4. Conclusion**

755 QC is an essential part of the manufacturing of ophthalmic pharmaceuticals. It represents the
756 control of the superiority of a product. If the quality of a product is not maintained properly,
757 then it is tough for the product to survive in the market. To conform the requirements of
758 ophthalmic pharmaceuticals during manufacturing QC tests are completed as per
759 pharmacopoeial standards and specifications with a view to remove error or if necessary to
760 adjust the process. Every test is distinctive and delivers comprehensive evaluation of QC for
761 ophthalmic pharmaceuticals to promote the quality of pharmaceuticals for the betterment of
762 public health.

763

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