### **Review** Article

- Quality Control Tests for Ophthalmic Pharmaceuticals: Pharmacopoeial Standards and
   Specifications
- 4

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#### 5 Abstract:

The therapeutically performance of the pharmaceuticals must be constant and expectable. In 6 7 order to claim a pharmaceutic to be a quality drug, it must fulfill certain standards and specifications. The quality of pharmaceuticals is strongly related to the patient's well-being. 8 Quality control (QC) is an historical process in which proof is obtained that the appropriate 9 level of quality has been achieved. OC can have no effect on the quality of the 10 pharmaceuticals. It is merely a measuring process. QC must ensure that all the finished 11 products contain active ingredients that comply with the qualitative and quantitative 12 13 composition of the finished product described in the product registration dossier. The books containing the standards for drugs and other related substances are known as pharmacopeias. 14 15 The pharmacopoeias contain a list of drugs and other related substances regarding their source, description, tests, formulas for preparing the same, action and uses, doses, storage 16 17 conditions etc. Ophthalmic pharmaceuticals are agents specially designed to be applied to the eyes. Among the drug products, ophthalmic pharmaceuticals are most important since eye 18 is very sensitive and is easily irritated if the composition of the ophthalmic pharmaceutical is 19 not suitable. The QC tests for ophthalmic pharmaceuticals are different in the different 20 21 pharmacopoeias like IP, BP, and USP. Therefore the aim of this review was to mention QC 22 tests for ophthalmic pharmaceuticals based on quality requirements of the different pharmacopoeias. 23

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Keywords: Quality Control, Ophthalmic Pharmaceuticals, Pharmacopoeia, Standard,
 Specification

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#### 28 **1. Introduction**

Ophthalmic pharmaceuticals are specialized dosage forms designed to be instilled onto the 29 external surface of the eye (i.e., topical), administered inside (i.e., intraocular) or adjacent 30 31 (i.e., periocular) to the eye or used in conjunction with an ophthalmic device [1,2]. The most commonly employed ophthalmic dosage forms are solutions, suspensions, and ointments 32 33 [1,3]. Ophthalmic pharmaceuticals must be extraordinarily pure and free from physical, 34 chemical, biological contaminants and suitably compounded and packaged for instillation 35 into the eye [4]. These requirements imply a significant responsibility on the pharmaceutical industry to maintain current good manufacturing practices (cGMPs) in the manufacture of 36 37 ophthalmic pharmaceuticals [5-7].

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39 Quality is sustainability of drugs for their desired use measured by their efficiency, safety, consistent with label claim, or endorsed their conformity to specifications concerning 40 41 identity, strength, purity and other characteristics [8]. According to International Organization for Standardization, quality control (QC) is the operational techniques and 42 activities that are used to fulfill requirements for quality [9]. This statement could indicate 43 that any activity whether serving the improvement, control, management or assurance of 44 quality could be a part of the OC activity [10]. OC is the part of the GMP which is 45 considered with the sampling, specifications, testing of products for defects and informing to 46 management who makes the decision to examine or reject the release [11,12]. Both the in-47 48 process and finished product quality control tests aids to assure the quality of the product 49 [13]. QC of pharmaceutical products is a concept that covers all measures taken, like the 50 fixing of specifications, sampling, testing and analytical clearance, in order to assure that the raw materials, intermediates, packaging materials and finished pharmaceutical products
comply with standard specifications for identity, strength, purity and other characteristics
[14,15].

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55 The development of a pharmaceutical is a long process concerning drug discovery, laboratory 56 testing, animal studies, clinical trials and regulatory registration [16,17]. Furthermore, to improve the usefulness and safety of the drug product, various regulatory agencies, including 57 58 European Medicines Agency, Food and Drug Administration, Medicines and Healthcare products Regulatory Agency and Therapeutic Good Administration are continuously 59 60 developing rules and regulation in the Europe, US, UK and Australia respectively [18-20]. Pharmaceutical must be tested for its identity, strength, quality, purity and stability before the 61 62 drug product can be released into the market [18-20]. Therefore, pharmaceutical validation 63 and process controls such as raw materials inspection, in-process controls and targets for final product are very much crucial [21]. In fact the aim is to observe the on-line and off-line 64 performance of the manufacturing process and then validate it. In addition, after the 65 manufacturing process is validated, cGMP also needs so that a well-written procedure for 66 process controls is established to monitor its performance [22,23]. 67

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69 The whole in-process and finished product QC tests contains rigorous testing of the quality 70 parameters to make perfect finished pharmaceuticals [24]. In process quality control (IPQC) 71 tests may be carried out before the manufacturing process is finished [6]. Generally, IPQC tests are performed at consistent intervals during a process towards the end of the process 72 73 [25]. The function of IPQC requires monitoring and if needed, adaptation of the 74 manufacturing process so as to meet with the specifications [26]. This may comprise both the control of equipment and environment [7,27]. The objectives of IPQC are both quality 75 76 control and process control. Finished pharmaceutical products are products which have 77 passed all stages of production including packaging [28]. After completing the manufacturing process finished product quality control (FPQC) tests are performed with regard to qualitative 78 79 and quantitative features accompanied by test procedures and their acceptance limits, with 80 which the finished products must meet during the course of their effective shelf-life [29]. Different pharmacopoeias such as British Pharmacopoeia (BP), United States Pharmacopoeia 81 (USP), European Pharmacopoeia (PhEur), International Pharmacopoeia (PhInt), Japanese 82 Pharmacopoeia (JP) and Indian Pharmacopoeia (IP) give specific limits according to the 83 84 regulatory requirements of that particular region [24]. The objective of this review was to 85 suggest an outline of the quality parameters for pharmaceutical ophthalmic preparations in 86 line with pharmacopoeial standards and specifications.

87

#### 88 **2.** Quality Control Parameters of Pharmaceutical Ophthalmic Preparations

QC testing of ophthalmic pharmaceuticals is an essential activity that helps to ensure their
 safety and efficacy. QC tests for ophthalmic pharmaceuticals based on pharmacopoeial
 standards and specifications are specified below:

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#### 93 **2.1. pH**

94 The pH of the ophthalmic pharmaceuticals is very important. Normal tears have a pH of 95 about 7.4 and possess some buffer capacity. Many ophthalmic drugs, such as alkaloidal salts, 96 are weakly acidic and have only weak buffer capacity. Where only 1 or 2 drops of a solution 97 containing them are added to the eye, the buffering action of the tears is usually adequate to 98 raise the pH and prevent marked discomfort. In some cases pH may vary between 3.5 and 99 8.5. Some drugs, notably pilocarpine hydrochloride and epinephrine bitartrate, are more acid 100 and overtax the buffer capacity of the lacrimal fluid. Ideally, an ophthalmic solution should

101 have the same pH, as well as the same isotonicity value, as lacrimal fluid. This is not usually 102 possible since, at pH 7.4, many drugs are not appreciably soluble in water. Most alkaloidal salts precipitate as the free alkaloid at this pH. Additionally, many drugs are chemically 103 unstable at pH levels approaching 7.4. This instability is more marked at the high 104 temperatures employed in heat sterilization. For this reason, the buffer system should be 105 106 selected that is nearest to the physiological pH of 7.4 and does not cause precipitation of the 107 drug or its rapid deterioration. So the pH of the ophthalmic pharmaceuticals must be 108 determined carefully by using suitable analytical method [31].

### 109

#### 110 2.2. Particulate Matter

According to USP this test is suitable for ophthalmic solutions. Particulate matter consists of mobile, randomly sourced, extraneous substances, other than gas bubbles, that cannot be quantitated by chemical analysis because of the small amount of material they represent and because of their heterogeneous composition [31].

115

Ophthalmic solutions should be essentially free from particles that can be observed on visual
 inspection. The tests described herein are physical tests performed for the purpose of
 enumerating extraneous particles within specific size ranges [31].

Every ophthalmic solution for which the monograph includes a test for Particulate matter is subject to the particulate matter limits set forth for the test being applied, unless otherwise specified in the individual monograph. When higher limits are appropriate, they will be specified in the individual monograph. Ophthalmic preparations that are suspensions, emulsions, or gels are exempt from these requirements, as are medical devices. Refer to the specific monograph when a question of test applicability occurs [31].

125

USP suggested light obscuration particle count (LOPC) and microscopic particle count(MPC) tests for the determination of particulate matter in ophthalmic solutions [31].

### 128129 2.2.1. Light Obscuration Particle Count Test

According to USP, this test applies to ophthalmic solutions, including solutions constituted from sterile solids, for which a test for Particulate matter is specified in the individual monograph. The test counts suspended particles that are solid or liquid [31].

133

According to USP, the ophthalmic solution meets the requirements of the test if the average number of particles present in the units tested does not exceed the appropriate value listed in
Table 1. If the average number of particles exceeds the limit, test the article by the
Microscopic Particle Count Test [31].

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#### 139 2.2.2. Microscopic Particle Count Test

Some articles cannot be tested meaningfully by light obscuration. In such cases, individual monographs clearly specify that only a microscopic particle count is to be performed. The microscopic particle count test enumerates subvisible, essentially solid, particulate matter in ophthalmic solutions, after collection on a microporous membrane filter. Some ophthalmic solutions, such as solutions that do not filter readily because of their high viscosity, may be exempted from analysis using the microscopic test [31].

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**Table 1.**USP limits for particulate matters determined by LOPC test[31].

152

Nominal Volume	Diameter	
	≥ 10 µm	≥ 25 µm
Number of particles	50 per mL	5 per mL

153

When performing the microscopic test, do not attempt to size or enumerate amorphous, semiliquid, or otherwise morphologically indistinct materials that have the appearance of a stain or discoloration on the membrane surface. These materials show little or no surface relief and present a gelatinous or film-like appearance. Because in solution this material consists of units on the order of 1  $\mu$ m or less, which may be counted only after aggregation or deformation on an analytical membrane, interpretation of enumeration may be aided by testing a sample of the solution by the light obscuration particle count method [31].

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According to USP, the ophthalmic solution meets the requirements of the test if the average
 number of particles present in the units tested does not exceed the appropriate value listed in
 Table 2 [31].

165

**Table 2.** USP limits for particulate matters determined by MPC test [31].

167

Nominal	Diameter			
Volume	≥10	) µm	≥ 25 µm	≥ 50 µm
Number	50	per	5 per mL	2 per mL
of	mL			
particles				

168

#### 169 **2.3. Insoluble Particulate Matter**

170 In line with JP this test is applicable for aqueous ophthalmic solutions. Carry out preparations 171 carefully in clean equipment and facilities which are low in dust. Fit the membrane filter onto 172 the membrane filterholder, and fix them with the clip. Thoroughly rinse the holder inside the 173 purified water for particulate matter test, and filter under reduced pressure with 200 mL of the 174 purified water for particulate matter test at a rate of 20 to 30 mL per minute. Apply the 175 vacuum until the surface of the membrane filter is free from water, and remove the membrane 176 filter. Place the filter in a flat-bottom petri dish with the cover slightly ajar, and dry the filter 177 fully at a temperature not exceeding 50 °C. After the filter has been dried, place the petri dish 178 on the stage of the microscope. Under a down-light from illuminating device, adjust the grid 179 of the membrane filter to the coordinate axes of the microscope, adjust the microscope so as 180 to get the best view of the insoluble particulate matter, then count the number of particles that 181 are equal to or greater than 150 µm within the effective filtering area of the filter, moving the 182 mobile stage, and ascertain that the number is not more than 1. In this case the particle is 183 sized on the longest axis [32].

184

185 Fit another membrane filter to the filtration device, and fix them with the clip, then wet the 186 inside of the filter holder with several mL of purified water for particulate matter test. Clean the outer surface of the container, and mix the sample solution gently by inverting the 187 188 container several times. Remove the cap, clean the outer surface of the nozzle, and pour the 189 sample solution into a measuring cylinder which has been rinsed well with purified water for 190 perticulate matter test. Repeat the process to prepare 25 mL of the test solution. Pour the test 191 solution into the filter holder along the inner wall of the holder. Apply the vacuum and filter 192 mildly so as to keep the solution always on the filter. As for viscous sample solution, dilute

193 suitably with purified water for particulate matter test or suitable diluent and then filter as 194 described above. When the amount of the solution on the filter becomes small, add 30mL of 195 purified water for particulate matter test or suitable diluent in such manner as to wash the 196 inner wall of the filter holder. Apply the vacuum gently until the surface of the membrane 197 filter is free from water. Place the filter in a perti dish, and dry the filter at a temperature 198 below 50 °C with the cover slightly ajar. After the filter has been dried, place the petri dish on 199 the stage of the microscope. And count the number of particles which are equal to or larger 200 than 300 µm with in the effective filtering area of the filter according to the same procedure 201 of the microscope as described above. In this case the particle is sized on the longest axis 202 [32].

203

#### 204 2.4. Particle Size

205 According to BP this test is suitable for eye drops. Unless otherwise justified and authorised, 206 eye drops in the form of a suspension comply with the following test: introduce a suitable 207 quantity of the suspension into a counting cell or with a micropipette onto a slide, as 208 appropriate, and scan under a microscope an area corresponding to 10 µg of the solid phase. 209 For practical reasons, it is recommended that the whole sample is first scanned at low 210 magnification (e.g.  $\times$  50) and particles greater than 25 µm are identified. These larger 211 particles can then be measured at a larger magnification (e.g.  $\times$  200 to  $\times$  500). For each 10 µg of solid active substance, not more than 20 particles have a maximum dimension greater than 212 213  $25 \,\mu\text{m}$ , and not more than 2 of these particles have a maximum dimension greater than 50 214  $\mu$ m. None of the particles has a maximum dimension greater than 90  $\mu$ m [33].

215

216 As said by IP, introduce a suitable volume of the eye drops into a counting cell or onto a 217 microscope slide, as appropriate. Scan under a microscope an area corresponding to 10  $\mu$ g of 218 the solid phase. Scan at least 50 representative fields. Not more than 20 particles have a 219 maximum dimension greater than 25  $\mu$ m, not more than 10 particles have a maximum 220 dimension greater than 50  $\mu$ m and none has a maximum dimension greater than 100  $\mu$ m [34].

221

222 Consistent with BP this test is also fit for semi-solid eye preparations. Semi-solid eye 223 preparations containing dispersed solid particles comply with the following test: spread 224 gently a quantity of the preparation corresponding to at least 10  $\mu$ g of solid active substance 225 as a thin layer. Scan under a microscope the whole area of the sample. For practical reasons, 226 it is recommended that the whole sample is first scanned at a small magnification (e.g.  $\times$  50) 227 and particles greater than 25  $\mu$ m are identified. These larger particles can then be measured 228 at a larger magnification (e.g.  $\times$  200 to  $\times$  500). For each 10 µg of solid active substance, not 229 more than 20 particles have a maximum dimension greater than 25  $\mu$ m, and not more than 2 230 of these particles have a maximum dimension greater than 50 µm. None of the particles has a 231 maximum dimension greater than 90  $\mu$ m [33].

232

233 This test is suitable for eye ointment. According to IP, gently spread a small quantity of the 234 Eye Ointment as a thin layer on a microscope slide. Scan under a microscope an area 235 corresponding to 10  $\mu$ g of the solid phase. Scan at least 50 representative fields. Not more 236 that 20 particles have a maximum dimension greater than 25 µm, not more than 10 particles 237 have a maximum dimension greater than 50  $\mu$ m and none has a maximum dimension greater 238 than 100 µm [34].

239

#### 2.5. Uniformity of Volume 240

241 Consistent with IP this test is appropriate for eye drops.For this test pour completely the 242 contents of ophthalmic preparation of each container into calibrated volume measures of the 243 appropriate size and determine the volume of contents of 10 containers [34].

244

245 According to IP the average net volume of the contents of the 10 containers is not less than 246 the labeled amount, and the net volume of the contents of any single container is not less 247 than 91% and not more than 109% of the labeled amount where the labeled amount is 50 ml 248 or less; or not less than 95.5% and not more than 104.5% of the labeled amount where the 249 labeled amount is more than 50 ml but not more than 200 ml; or not less than 97% and not 250 more than 103% of the labeled amount where the labeled amount is more than 200 ml but not more than 300 ml [34]. Consistent with IP, if these requirements are not met, determine 251 252 the net volume of the contents of 10 additional containers. The average net volume of the 253 contents of the 20 containers is not less than the labeled amount, and the net volume of the 254 contents of not more than 1 of the 20 containers is less than 91% or more than 109% of the labeled amount where the labeled amount is 50 ml or less or not less than 95.5% and not 255 256 more than 104.5% of the labeled amount where the labeled amount is more than 50 ml but not more than 200 ml, or not less than 97% and not more than 103% of the labeled amount 257 258 where the labeled amounts is more than 200 mL but more than 300 mL (Table 3) [34]

259

**Table 3.** Limits for uniformity of volume [34].

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Volume	Percentage Deviation
$\leq$ 50 mL	± 9%
50-200 mL	$\pm 4.5\%$
200-300 mL	± 3%

262

#### 263 **2.6. Uniformity of Content**

As stated by BP this test is appropriate for powders for eye drops and eye lotions. Unless otherwise prescribed or justified and authorised, single-dose powders for eye drops and eye lotions with a content of active substance less then 2 mg or less than 2 percent of the total mass comply with test. If the preparation has more than one active substance, the requirement applies only to those substances that correspond to the above condition [33].

269

270 According to BP, using a suitable analytical method, determine the individual contents of active substance(s) of 10 dosage units taken at random. The preparation complies with the 271 test if not more than one individual content is outside the limits of 85 percent to 115 percent 272 273 of the average content and none is outside the limits of 75 percent to 125 percent of the 274 average content. The preparation fails to comply with the test if more than 3 individual 275 contents are outside the limits of 85 percent to 115 percent of the average content or if one or 276 more individual contents are outside the limits of 75 percent to 125 per cent of the average 277 content [33].

278

On the word of BP, if 2 or 3 individual contents are outside the limits of 85 percent to 115 percent but within the limits of 75 percent to 125 per cent, determine the individual contents of another 20 dosage units taken at random. The preparation complies with the test if not more than 3 individual contents of the 30 units are outside the limits of 85 per cent to 115 percent of the average content and none is outside the limits of 75 per cent to 125 percent of the average content [33].

In line with BP this test is also fit for ophthalmic inserts. The test for uniformity of content of single-dose preparations is based on the assay of the individual contents of active substance(s) of a number of single-dose units to determine whether the individual contents are within limits set with reference to the average content of the sample [33]. The test is not required for multivitamin and trace-element preparations and in other justified and authorized circumstances.

292

According to BP, using a suitable analytical method, determine the individual contents of active substance(s) of 10 dosage units taken at random [33]. As said by BP, the preparation complies with the test if each individual content is between 85 percent and 115 percent of the average content. The preparation fails to comply with the test if more than one individual content is outside these limits or if one individual content is outside the limits of 75 percent to 125 percent of the average content [33].

299

Consistent with BP, if one individual content is outside the limits of 85 percent to 115 percent but within the limits of 75 percent to 125 per cent, determine the individual contents of another 20 dosage units taken at random. The preparation complies with the test if not more than one of the individual contents of the 30 units is outside 85 percent to 115 per cent of the average content and none is outside the limits of 75 percent to 125 per cent of the average content [33].

306

#### 307 **2.7. Uniformity of Mass**

In relation to BP single-dose powders for eye drops and eye lotions comply with the test. If the test for uniformity of content is prescribed for all the active substances, the test for uniformity of mass is not required [33].

311

Consistent with BP, weigh individually 20 units taken at random or, for single-dose preparations presented in individual containers, the contents of 20 units, and determine the average mass. Not more than 2 of the individual masses deviate from the average mass by more than the percentage deviation shown in Table 4 and none deviates by more than twice that percentage [33].

317

**Table 4.** BP limits for uniformity of mass [33].

319

Dosage Average		Percentage	
Form	Mass	Deviation	
Powder for	Less than	10	
eye drops	300 mg		
and eye	300 mg or	7.5	
lotions	more		
(single dose)			

320

### 321 **2.8. Uniformity of Weight**

On the word of IP this test is apposite for eye ointments. Select a sample of 10 filled containers and remove any labeling that might be altered in weight while removing the contents of the containers. Clean and dry the outer surfaces of the containers and weigh each container. Remove quantitatively the contents from each container. If necessary, cut open the container and wash each empty container with a suitable solvent, taking care to ensure that the closure and other parts of the container are retained. Dry and again weigh each empty

328 container together with its parts which may have been removed. The difference between the329 two weights is the net weight of the contents of the container [34].

330

In line with IP, the average net weight of the contents of the 10 containers is not less than the labeled amount and the net weight of the contents of any single containers is not less than 91 percent and not more than 109 percent of the labeled amount where the labeled amount is 50 g or less, or not less than 95.5 percent and not more than 104.5 percent of the labeled amount where the labeled is more than 50 g but not more than 100 g [34].

336

As stated by IP, if this requirement is not met, determine the net weight of the contents of 10 additional containers. The average net weight of the contents of the 20 containers is not less than the labeled amount, and the net weight of the contents of not more than 1 of the 20 containers is less than 91 percent or more than 109 percent of the labeled amount where the labeled amount is 50 g or less than 95 percent or more than 104.5 percent of the labeled amount is more than 50 g but not more than 100 g [34].

343

#### 344 **2.9. Sterility Test**

As stated by USP and BP the sterility test may be carried out using the technique of membrane filtration or by direct inoculation of the culture media with the product to be examined. Appropriate negative controls are included [31,33].

348

The following culture media have been found to be suitable for the test for sterility. Fluid thioglycollate medium is primarily intended for the culture of anaerobic bacteria; however, it will also detect aerobic bacteria. Soya-bean casein digest medium is suitable for the culture of both fungi and aerobic bacteria [31-33].

353

#### 354 **2.9.1. Membrane Filtration**

The technique of membrane filtration is used whenever the nature of the product permits, 355 356 that is, for filterable aqueous preparations, for alcoholic or oily preparations and for preparations miscible with or soluble in aqueous or oily solvents provided these solvents do 357 358 not have an antimicrobial effect in the conditions of the test [33]. According to BP, use 359 membrane filters having a nominal pore size not greater than  $0.45 \,\mu\text{m}$  whose effectiveness to 360 retain micro-organisms has been established. Cellulose nitrate filters, for example, are used 361 for aqueous, oily and weakly alcoholic solutions and cellulose acetate filters, for example, for strongly alcoholic solutions. Specially adapted filters may be needed for certain products, 362 363 e.g. for antibiotics [33].

364

The technique described below assumes that membranes about 50 mm in diameter will be used. If filters of a different diameter are used the volumes of the dilutions and the washings should be adjusted accordingly. The filtration apparatus and membrane are sterilized. The apparatus is designed so that the solution to be examined can be introduced and filtered under aseptic conditions; it permits the aseptic removal of the membrane for transfer to the medium [33].

371

#### 372 2.9.1.1. Aqueous Solutions

Consistent with BP, if appropriate, transfer a small quantity of a suitable, sterile diluent such as a 1 g/L neutral solution of meat or casein peptone pH 7.1  $\pm$  0.2 onto the membrane in the apparatus and filter. The diluent may contain suitable neutralising substances and/or appropriate inactivating substances for example in the case of antibiotics [33].

378 Transfer the contents of the container or containers to be tested to the membrane or 379 membranes, if necessary after diluting to the volume used in the method suitability test with 380 the chosen sterile diluent but in any case using not less than the quantities of the product to be examined prescribed in Table 5. Filter immediately. If the product has antimicrobial 381 properties, wash the membrane not less than 3 times by filtering through it each time the 382 383 volume of the chosen sterile diluent used in the method suitability test. Do not exceed a washing cycle of 5 times 100 mL per filter, even if during the method suitability test it has 384 385 been demonstrated that such a cycle does not fully eliminate the antimicrobial activity. 386 Transfer the whole membrane to the culture medium or cut it aseptically into 2 equal parts 387 and transfer one half to each of 2 suitable media. Use the same volume of each medium as in the method suitability test. Alternatively, transfer the medium onto the membrane in the 388 389 apparatus. Incubate the media for not less than 14 days [33].

390

**Table 5.** In accordance with USP, JP and BP minimum quantity to be used for each medium[31-33].

393

Quantity per Container	Minimum Quantity to be Used for Each Medium			
	Unless Otherwise Justified and Authorized			
Liquids (other than antibiotics)				
Less than 1 mL	The whole contents of each container			
1–40 mL	Half the contents of each container, but not less than			
	1 mL			
Greater than 40 mL, and not greater	20 mL			
than 100 mL				
Greater than 100 mL	10% of the contents of the container, but not less			
	than 20 mL			
Antibiotic liquids	1 mL			
Other preparations soluble in water or	The whole contents of each container to provide not			
in isopropyl myristate	less than 200 mg			
Insoluble preparations, creams, and	Use the contents of each container to provide not			
ointments to be suspended or	less than 200 mg			
emulsified				
Solids				
Less than 50 mg	The whole contents of each container			
50 mg or more, but less than 300 mg	Half the contents of each container, but not less than			
	50 mg			
300 mg–5 g	150 mg			
Greater than 5 g	500 mg			

394

#### 395 2.9.1.2. Soluble Solids

In line with BP, use for each medium not less than the quantity prescribed in Table 5 of the product dissolved in a suitable solvent such as the solvent provided with the preparation, water for injections, saline or a 1 g/L neutral solution of meat or casein peptone and proceed with the test as described above for aqueous solutions using a membrane appropriate to the chosen solvent [33].

401

#### 402 **2.9.1.3. Oils and Oily Solutions**

Along with BP, use for each medium not less than the quantity of the product prescribed in
Table 5. Oils and oily solutions of sufficiently low viscosity may be filtered without dilution
through a dry membrane. Viscous oils may be diluted as necessary with a suitable sterile

406 diluent such as isopropyl myristate shown not to have antimicrobial activity in the conditions 407 of the test. Allow the oil to penetrate the membrane by its own weight then filter, applying 408 the pressure or suction gradually. Wash the membrane at least 3 times by filtering through it each time about 100 mL of a suitable sterile solution such as 1 g/L neutral meat or casein 409 410 peptone containing a suitable emulsifying agent at a concentration shown to be appropriate in 411 the method suitability test, for example polysorbate 80 at a concentration of 10 g/L. Transfer 412 the membrane or membranes to the culture medium or media or vice versa as described 413 above for aqueous solutions, and incubate at the same temperatures and for the same times 414 [33].

415

#### 416 2.9.1.4. Ointments and Creams

In relation to BP, use for each medium not less than the quantities of the product prescribed in Table 5. Ointments in a fatty base and emulsions of the water-in-oil type may be diluted to 1 percent in isopropyl myristate as described above, by heating, if necessary, to not more than 40 °C. In exceptional cases it may be necessary to heat to not more than 44 °C. Filter as rapidly as possible and proceed as described above for oils and oily solutions [33].

422

#### 423 **2.9.2. Direct Inoculation of the Culture Medium**

424 In line with BP, transfer the quantity of the preparation to be examined prescribed in Table 5 425 directly into the culture medium so that the volume of the product is not more than 10 percent 426 of the volume of the medium, unless otherwise prescribed [33]. If the product to be examined 427 has antimicrobial activity, carry out the test after neutralising this with a suitable neutralising 428 substance or by dilution in a sufficient quantity of culture medium. When it is necessary to 429 use a large volume of the product it may be preferable to use a concentrated culture medium 430 prepared in such a way that it takes account of the subsequent dilution. Where appropriate, 431 the concentrated medium may be added directly to the product in its container [33].

#### 433 **2.9.2.1.** Oily Liquids

According to USP, JP and BP use media to which have been added a suitable emulsifying agent at a concentration shown to be appropriate in the method suitability test, for example polysorbate 80 at a concentration of 10 g/L [31-33].

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432

#### 438 2.9.2.2. Ointments and Creams

As stated by USP, JP and BP prepare by diluting to about 1 in 10 by emulsifying with the chosen emulsifying agent in a suitable sterile diluent such as a 1 g/L neutral solution of meat or casein peptone. Transfer the diluted product to a medium not containing an emulsifying agent [31-33].

443

Incubate the inoculated media for not less than 14 days. Observe the cultures several times
during the incubation period. Shake cultures containing oily products gently each day.
However when fluid thioglycollate medium is used for the detection of anaerobic microorganisms keep shaking or mixing to a minimum in order to maintain anaerobic conditions
[31-33].

449

#### 450 **2.9.2.3. Solids**

451 According to USP, transfer a quantity of the product in the form of a dry solid (or prepare a 452 suspension of the product by adding sterile diluent to the immediate container), 453 corresponding to not less than the quantity indicated in Tables 5 and Table 6. Transfer the 454 material so obtained to 200 mL of Fluid Thioglycollate Medium, and mix. Similarly, transfer 455 the same quantity to 200 mL of Soybean–Casein Digest Medium, and mix. Proceed as

directed above [33]. In line with USP and BP at intervals during the incubation period and at its conclusion, examine the media for macroscopic evidence of microbial growth. If the material being tested renders the medium turbid so that the presence or absence of microbial growth cannot be readily determined by visual examination, 14 days after the beginning of incubation transfer portions (each not less than 1 mL) of the medium to fresh vessels of the same medium and then incubate the original and transfer vessels for not less than 4 days [31,33].

463

464 Table 6. Consistent with USP, JP and BP minimum number of articles to be tested in relation465 to the number of articles in the batch [31-33].

	Number of Items in the Batch <sup>*</sup>	Minimum Number of Items to be Tested for Each Medium Unless Otherwise Justified and Authorized <sup>#</sup>		
	Not more than 200 containers	5 percent or 2 container, whichever is greater		
	More than 200 container	10 container		
	Not more than 100 containers <sup>+</sup>	10% or 4 containers, whichever is the greater		
	More than 100 but not more than 500 containers <sup>+</sup>	10 containers		
	More than 500 containers <sup>+</sup> 2% or 20 containers, whichever is less <sup>+</sup> If the product is presented in the form of single-dose containers. <sup>*</sup> If the batch is not known, use the maximum number of items prescribed. <sup>#</sup> If the contents of one container are enough to inoculate the 2 media, this column gives number of containers needed for both the media together			
467				
468	If no evidence of microbial gro	bwth is found, the product to be examined complies with the		
469	test for sterility. If evidence of i	nicrobial growth is found the product to be examined does not		
470	involid for course unrelated to	ity, unless it can be clearly demonstrated that the test was		
4/1	invalid only if one or more of th	b following conditions are fulfilled [31, 32]:		
472	invalid only if one of more of th	le fonowing conditions are furmed [51,55].		
475	$\Box$ The data of the microbiolog	rical monitoring of the sterility testing facility show a fault		
475	[30 33]	gear monitoring of the sterinty testing facility show a fault		
476	$\square$ A review of the testing proce	dure used during the test in question reveals a fault [31 33]		
477	$\Box$ Microbial growth is found in	the negative controls [31 33]		
478	$\Box$ After determination of the	identity of the micro-organisms isolated from the test, the		
479	growth of this species or these s	species may be ascribed unequivocally to faults with respect to		
480	the material and or the techniqu	e used in conducting the sterility test procedure [31,33].		
481	1			
482	If the test is declared to be in	valid it is repeated with the same number of units as in the		
483	original test. If no evidence of	of microbial growth is found in the repeat test the product		
484	examined complies with the te	st for sterility. If microbial growth is found in the repeat test		
485	the product examined does not	comply with the test for sterility [31,33].		
486	•			
487	2.10. Bacterial Endotoxins			
488	The test for bacterial endotoxi	ns (BET) measures the concentration of bacterial endotoxins		
489	that may be present in the same	ple or on the article to which the test is applied using a lysate		
490	derived from the hemolympl	h cells or amoebocytes of the horseshoe crab, Limulus		
491	polyphemus. Other species of	of horseshoe crab namely Tachypleus gigas, Tachypleus		

492 *tridentatus* and *Carcinoscropius rotundicauda* also yield amoebocyte lysate having similar
 493 activity [34].

494

The addition of a solution containing endotoxins to a solution of the lysate produces turbidity, precipitation or gelation of the mixture. However, addition of a chromogenic substrate to a solution of the lysate results in development of color due to release of chromophore from the substrate upon activation by the endotoxin present in the solution. The rate of reaction depends on the concentration of endotoxin, the pH and the temperature. The reaction requires the presence of certain bivalent cations, a clotting cascade enzyme system and clottable protein, all of which are provided by the lysate [34].

502

According to BP, There are 3 techniques for this test: the gel- clot technique, which is based on gel formation; the turbidimetric technique, based on the development of turbidity after cleavage of an endogenous substrate; and the chromogenic technique, based on the development of color after cleavage of a synthetic peptide-chromogen complex [30]. The following 6 methods are described in the BP [33]:

- 508
- 509 D Method A. Gel-clot method: limit test
- 510 D Method B. Gel-clot method: quantitative test
- 511 D Method C. Turbidimetric kinetic method
- 512 D Method D. Chromogenic kinetic method
- 513 D Method E. Chromogenic end-point method
- 514 D Method F. Turbidimetric end-point method
- 515

According to IP, the following methods can be used to monitor the endotoxin concentration in a product official in the pharmacopoeia and to determine whether the product complies with the limit specified in the monograph [34].

519

520 D Method A: Gel-Clot Limit Test Method

- 521 D Method B: Semi-quantitative Gel-Clot Method
- 522 D Method C: Kinetic Turbidimetric Method
- 523 D Method D: Kinetic Chromogenic Method
- 524 D Method E: End-Point Chromogenic Method
- 525

526 On the word of IP, when a monograph includes a test for bacterial endotoxins without 527 mentioning a method, the test is carried out by Method A. Any one of the other four methods 528 may be employed as an alternative method provided it yields results of equivalent reliability 529 with the preparation under examination [34].

530

531 Consistent with IP, carry out the following procedure in receptacles such as tubes, vials or 532 wells of micro-titre plates. Into each of the chosen receptacle, add an appropriate volume of 533 negative control (NC), control standard endotoxin (CSE) solutions in water BET, test solution 534 and positive product control (PPC). At intervals that will permit the reading of each result, add to each receptacle an equal volume of the appropriately constituted lysate unless single 535 536 test vials are used. Mix the sample-lysate mixture gently and place in an incubating device 537 such as a water-bath or a heating block, accurately recording the time at which the receptacles 538 are so placed. Incubate each receptacle at  $37^{\circ} \pm 1^{\circ}$  undisturbed for  $60 \pm 2$  minutes. Remove the 539 receptacles and examine the contents carefully. A positive reaction is characterised by the 540 formation of a firm gel that retains its integrity when inverted through 180° in one smooth 541 motion. Record this result as positive (+). A negative result is characterised by the absence of

such a gel or by the formation of a viscous gel that does not maintain its integrity. Record
such a result as negative (-). Handle the receptacles with care to avoid subjecting them to
unwanted vibrations as false negative observations may result [34]. Consistent with IP,
calculate the geometric mean end-point concentration of solutions of series B and C (Table 7)
by using the following formula [34]:

547

548 Geometric mean end-point concentration = antilog ( $\sum e/f$ )

549

where,  $\sum e = sum$  of the log end-point concentrations of the series of dilutions used; f = number of replicate test-tubes. This average gives the estimated lysate sensitivity which must lie between 0.5λ and 2λ [31,33, 34].

- 553
- 554

555

**Table 7.** In line with IP, preparation of solutions for gel-clot techniques [34].

Solution	Final Concentration of Added CSE in the Solution	Number of Replicates
A = Solution of the product at a	-	4
dilution at or below MVD (test solution)		
B = Test solution spiked with	21	4
indicated CSE concentrations	0.51	4
(Positive Product Control; PPC)	0.251	4
C = Standard solution with	21	4
indicated CSE	1	2
concentrations in water BET	0.51	2
	0.251	2
D = Water BET(Negative Control; NC)	-	2

556

557 The possibility of interference with the bacterial endotoxins test by certain factors should be 558 borne in mind. For validation of the test results it must be demonstrated that the test 559 preparation does not inhibit or enhance the reaction or otherwise interfere with the test. The validation must be repeated if the lysate vendor or the method of manufacture or the 560 561 formulation of the sample is changed. Dilution of the test preparation with water BET is the 562 easiest method for overcoming inhibition [31]. The allowable dilution level or Maximum Valid Dilution (MVD) is dependent on the concentration of the product, the endotoxin limit 563 564 for the product and the lysate sensitivity. It is calculated by the following expression [33, 34]: 565 MVD = Endotoxin limit × Concentration of the test solution  $\lambda$ 

566

567 where,  $\lambda$  is the labeled sensitivity of the lysate (EU/ml) [33,34].

568

Note: \*Concentration of the test solution is expressed as mg/ml in case the endotoxin limit is
specified by weight (EU/mg), or as Units/ml in case the endotoxin limit is specified by Unit
(EU/Unit), or as 1.0 ml/ml in case the endotoxin limit is specified by volume (EU/ml) [34].

572

According to BP the geometric mean end-point concentrations of solutions B and C (Table 8) are determined. The test for interfering factors must be repeated when any changes are made to the experimental conditions that are likely to influence the result of the test [33]

to the experimental conditions that are likely to influence the result of the test [33].

Solution	Endotoxin Concentration/Solution to which Endotoxin is Added	Diluent	Dilution Factor	Endotoxin Concentration	Number of Replicates
А	None/sample solution	_	-	-	4
В	$2\lambda$ /Sample solution	Test solution	1	2λ	4
			2	1λ	4
			4	0.5λ	4
			8	0.25λ	4
С	$2\lambda$ /Water for BET	Water for BET	1	2λ	2
			2	1λ	2
			4	0.5λ	2
			8	0.25λ	2
D	None/Water for BET	_	_	_	2

where, Solution A: Sample solution of the preparation under test that is free of detectable endotoxins; Solution B: Test for interference; Solution C: Control for labeled lysate

578 **Table 8.** Based on USP and BP, preparation of solutions for gel-clot techniques [31, 33].

579

The test is considered valid when all replicates of solutions A and D show no reaction and the result of solution C confirms the labeled lysate sensitivity. If the sensitivity of the lysate determined with solution B is not less than 0.51 and not greater than 21, the test solution does

sensitivity; Solution D: Negative control (water for BET).

determined with solution B is not less than 0.51 and not greater than 2l, the test solution does
not contain interfering factors under the experimental conditions used. Otherwise, the test
solution interferes with the test [33].

586

580

581 582

587 If the preparation being examined interferes with the test at a dilution less than the MVD, 588 repeat the test for interfering factors using a greater dilution, not exceeding the MVD. The 589 use of a more sensitive lysate permits a greater dilution of the preparation being examined 590 and this may contribute to the elimination of interference [33].

591

592 Interference may be overcome by suitable validated treatment, such as filtration, 593 neutralization, dialysis or heat treatment. To establish that the treatment chosen effectively 594 eliminates interference without loss of endotoxins, repeat the test for interfering factors using 595 the preparation being examined to which the standard endotoxin has been added and which 596 has then been submitted to the chosen treatment [33].

- 597
- 598 Consistent with IP, the test for interfering factors is valid if [34]:
- 599

600  $\Box$  Solutions of series A and D give negative results [34];

601  $\Box$  The results obtained with solutions of series C confirm the labelled sensitivity of the lysate [34];

<sup>603</sup> □ The geometric mean of the end-point concentration of solutions of series B is not more than
<sup>604</sup> 2l or not less than 0.51 [34].

605 If the result obtained is outside the specified limit, the test preparation under examination is

acting as an inhibitor or activator. The interfering factors may be eliminated by further

607 dilution (not greater than MVD), filtration, neutralisation, inactivation or by removal of the

interfering substances. The use of a more sensitive lysate permits the use of greater dilutionof the preparation under examination [34].

610

Ultrafiltration may be used, if necessary, when the interfering factor passes through a filter 611 612 with a nominal separation limit corresponding to a molecular weight of 10,000 to 20,000, 613 such as asymmetrical membrane filters of cellulose triacetate. Such filters should be checked 614 for the presence of any factors causing false positive results. The material retained on the 615 filter, which contains the endotoxins, is rinsed with water BET or tris-chloride buffer pH 7.4 616 BET. The endotoxins are recovered in the water BET or the buffer. The endotoxin 617 concentration in the test volume and the final volume are determined for each preparation 618 under examination [34].

619

Establish that the chosen treatment effectively eliminates interference without removing endotoxins by repeating the test for interfering factors using the preparation under examination to which the CSE has been added and which has been submitted to the chosen treatment [34].

624

625 The product under examination complies with the bacterial endotoxin test if the positive 626 product control is positive and the negative controls as well as the test solutions are negative. The test is not valid if the positive product control is negative or if the negative control is 627 628 positive. The product under examination meets the requirements of the test if the endotoxin 629 content is less than the endotoxin limit stated in the individual monograph. If a positive result 630 is found for one of the test solution duplicates and a negative result for the other, the test may 631 be repeated as described above. The results of the retest should be interpreted as for the initial 632 test [34].

633

#### 634 **3. Conclusion**

635 QC is an essential part of the manufacturing of ophthalmic pharmaceuticals. It represents the 636 control of the superiority of a product. If the quality of a product is not maintained properly, then it is tough for the product to survive in the market. To conform the requirements of 637 ophthalmic pharmaceuticals during manufacturing QC tests are completed as per 638 pharmacopoeial standards and specifications with a view to remove error or if necessary to 639 640 adjust the process. Every test is distinctive and delivers comprehensive evaluation of QC for 641 ophthalmic pharmaceuticals to promote the quality of pharmaceuticals for the betterment of 642 public health.

643

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