1	Original Research Article
2 3	PREVALENCE OF AGGREGATIBACTER
4	ACTINOMYCETEMCOMITANS AND FUSOBACTERIUM NUCLEATUM
5	AMONG CLINICAL ORTHODONTIC AND NON-ORTHODONTIC
6	SALIVA SAMPLES
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10	
11	Abstract
12	Objectives: The oral flora is a complex ecosystem characterized by numerous bacterial
13	species and changes to the levels of these bacteria in health, disease, and dental treatments
14	such as orthodontics. Although some studies have documented changes in periodontal
15	pathogen burden during orthodontic treatment using saliva, most have focused on traditional
16	cariogenic bacteria and some periodontal pathogens, such as Porphyromonas gingivalis or
17	Fusobacterium nucleatum- far fewer have focused on Aggregatibacter
18	actinomycetemcomitans - commonly associated with aggressive periodontitis. Therefore, the
19	main objective of this study was to evaluate the prevalence of this organism among
20	orthodontic and non-orthodontic patients from a public dental school clinic.
21	
22	Experimental Methods: Using an approved protocol, samples were taken from orthodontic
23	(n=39) and non-orthodontic (n=45) patients. DNA was extracted and screened for
24	Aggregatibacter actinomycetemcomitans. Males and females were equally represented,
25	although a majority of patients participating in this study were Hispanics and ethnic
26	minorities.
27	
28	Results: PCR analysis of the DNA isolated from these patient samples revealed that more
29	than half (54%) of the orthodontic samples harboured significant levels of Aggregatibacter
30	actinomycetemcomitans, compared with only one-quarter (25%) of samples from non-
31	orthodontic patients. In addition, screening for Fusobacterium nucleatum revealed a slightly
32	increased prevalence among orthodontic patients (27%) compared with non-orthodontic
33	patients.
34	

35 Conclusions: These results are significant as Aggregatibacter actinomycetemcomitans has 36 been traditionally observed as facilitating heterotypic communities of overtly pathogenic 37 organisms, compared with other gram-negative oral microbes. These heterotypic biofilm 38 communities exhibit greatly increased capacities to resist antimicrobial drugs and other host immune factors and the capacity to facilitate heterotypic associations within the biofilm may 39 be restricted to a few key species. This project successfully demonstrated evidence that non-40 41 invasive salivary screening of orthodontic patients may be sufficient to assess and detect 42 changes to this periodontal pathogen – thereby increasing the potential quality and efficiency 43 of orthodontic dental treatment among this patient population 44 45 Key words: Aggregatibacter actinomycetemcomitans, Fusobacterium nucleatum, saliva 46 screening, microbial prevalence, orthodontic treatment 47 Abbreviations: Aggregatibacter actinomycetemcomitans (AA), Fusobacterium nucleatum 48 49 (FN), Institutional Review Board (IRB), Office for the Protection of Human Subjects 50 (OPRS), University of Nevada, Las Vegas – School of Dental Medicine (UNLV-SDM), Polymerase chain reaction (PCR), glyceraldehyde-3-phosphate dehydrogenase (GAPDH), 51 52 deoxyribonucleic acid (DNA), 53

54 **1. Introduction**

The oral flora is a complex ecosystem characterized by numerous bacterial species and changes to the levels of these bacteria in health, disease, and dental treatments such as orthodontics [1.2]. Many studies of the oral flora are centred around consensus bacteria responsible for caries and chronic periodontal disease [3-6]. Other virulent bacterial strains may receive less attention because their mere presence is not strictly correlated with the presence of chronic periodontal disease [7-10].

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One of these bacterial strains is *Aggregatibacter actinomycetemcomitans* (AA), a commensal bacterium found among the oral flora [7,11,12]. This organism is a facultative non-motile, gram-negative, bacillus commonly associated with aggressive periodontitis, but is also found commonly in the oral flora not suffering from that severe periodontal condition [13,14]. In addition to oral infections, its several serotypes have a variety of virulence factors enable to evade defence mechanisms of many tissues and is capable of being found in infections of the skin, GI tract, sinus and reproductive systems [15-19]. Recent evidence indicates that its presence is associated with risk of pre-diabetes, metabolic syndrome, and coronary arterydisease [20-23].

71

72 Although some evidence has demonstrated changes to subgingival periodontal microbes such 73 as AA, little is known regarding whether orthodontic treatment will result in changes to the salivary levels of this bacterial species -a non-invasive and more readily assessed measure of 74 75 risk [7-9,24,25]. Fixed orthodontic appliances introduce new surfaces for plaque 76 accumulation and obstacles to removing daily plaque on and between teeth while reducing 77 the efficiency of natural plaque removal mechanisms, such as salivary flow accompanied by 78 movement of the oral mucosa and tongue [26,27]. Although some studies have documented 79 the change in periodontal pathogen burden during orthodontic treatment using saliva, most 80 have focused on traditional cariogenic bacteria and some periodontal pathogens, such as 81 Porphyromonas gingivalis - but not Aggregatibacter [8,28-30].

82

Based upon this paucity of evidence, the main objective of this study was to evaluate the 83 84 prevalence of AA among orthodontic and non-orthodontic patients from a public dental 85 school clinic. The main research question was to assess if there is variation in the prevalence 86 of AA between orthodontic and non-orthodontic patients that is detectable in salivary samples 87 taken from these patients. Successful completion of this project would provide preliminary 88 evidence that non-invasive salivary screening of orthodontic patients may assess changes to 89 this periodontal pathogen – thereby increasing the quality and efficiency of dental treatment 90 among this patient population.

91

92 2. Methodology

93 2.1 Project approval

94 This project was reviewed and approved by the Institutional Review Board (IRB) and Office 95 for the Protection of Human Subjects (OPRS) at the University of Nevada, Las Vegas 96 OPRS#1502-506M titled "The Prevalence of Oral Microbes in Saliva from the University of 97 Nevada, Las Vegas – School of Dental Medicine pediatric and adult clinical population". 98 Inclusion criteria included all current patients of record at UNLV-SDM clinics. Exclusion 99 criteria included any patient who declined to participate and any subject who was not a 100 patient of record at UNLV-SDM. In brief, clinic patients were randomly asked to participate in three, randomly selected days per week for a set period of three months. 101

104 2.2 Sample collection

In brief, all adult patients were asked to provide Informed Consent, while pediatric patients
were asked to provide Pediatric Assent and their parent or guardian was asked to provide
Parental Permission. Each sample and corresponding demographic information intake sheet
was assigned a randomly generated, non-duplicated identifier that was designed to protect
patient information. Demographic information included only basic information, such as Sex,
Age, and Race or Ethnicity.

111

112 *2.3 DNA isolation*

113 Patient saliva samples were brought to the biomedical laboratory for storage at -80C until

114 processing. In brief, patient samples were processed using the GenomicPrep DNA isolation

115 kit from Amersham Biosciences (Little Chalfont, UK). Quantification and quality of DNA

116 was assessed using spectrophotometric UV absorbance readings at 260 and 280 nm (A260,

117 A280). DNA with a ratio of A260:A280 greater than 1.65 was subsequently screened using

118 PCR and primers specific for *Aggregatibacter actinomycetemcomitans* (AA).

119

120 2.4 PCR screening

121 Polymerase Chain Reaction (PCR) screening of the isolated DNA was accomplished using

the exACTGene complete PCR kit from Fisher Scientific (Fair Lawn, NJ) and an Eppendorf

123 MasterCycler (Hamburg, Germany). A positive control for human DNA was used -

124 glyceraldehyde-3-phosphate dehydrogenase (GAPDH), an enzyme from the glycolytic

125 pathway. In addition, a positive control for bacterial DNA was also used – 16S rRNA

universal primer, to confirm the presence of bacterial DNA. Primers for *Aggregatibacter*

actinomycetemcomitans (AA) and Fusobacterium nucleatum (FN) were also synthesized by

- 128 Eurofins Genomics (Louisville, KY):
- 129

130 GAPDH forward primer, 5'-ATC TTC CAG GAG CGA GAT CC-3'; 20 nt, 55% GC,

131 Tm=66°C

132 GAPDH reverse primer, 5'-ACC ACT GAC ACG TTG GCA GT-3'; 20 nt, 55%GC,

133 Tm=70°C

134 Annealing temperature: 67C

136	16S rRNA universal primer, 5'-ACG CGT CGA CAG AGT TTG ATC CTG GCT-3'; 27 nt,
137	56% GC, Tm=76°C
138	16S rRNA universal primer, 5'-GGG ACT ACC AGG GTA TCT AAT-3'; 21 nt, 48% GC,
139	Tm=62°C
140	Annealing temperature: 63C
141	
142	AA forward primer, 5'-ATT GGG GTT TAG CCC TGG T-3'; 19 nt, 53% GC, Tm=67C
143	AA reverse primer, 5'-GGC ACA AAC CCA TCT CTG A-3'; 19 nt, 53%GC, Tm=65C
144	Annealing temperature: 66C
145	
146	FN primer (forward); 5'-CGC AGA AGG TGA AAG TCC TGT AT-3'; 23 nt, 48% GC, Tm
147	67C
148	FN primer (reverse); 5'-TGG TCC TCA CTG ATT CAC ACA GA-3'; 23 nt, 48% GC, Tm
149	68C
150	Annealing temperature: 68C
151	
152	2.5 Statistical analysis
153	Using the IRB-approved protocol, saliva samples were obtained from orthodontic and non-
154	orthodontic patients of record. Simple descriptive statistics of the study sample and the clinic
155	population were provided and Chi-Square analysis was used to determine any differences
156	among the demographic groups (Sex, Age, Race or Ethnicity). Following PCR screening,
157	differences between demographics of positive and negative samples also were assessed using
158	Chi-Square analysis
159	
160	
161	3. Results
162	A total of thirty-nine (n=39) orthodontic samples and forty-five (n=45) non-orthodontic
163	samples were collected from clinic patients, yielding a total study sample size of eighty-four
164	(n=84) (Table 1). Analysis of these demographics revealed that the percentages of females in

the study samples (both orthodontic and non-orthodontic) was slightly greater than males

166 (56.4%, 57.8%, respectively). This was similar to the demographic distribution of females in

the orthodontic and main patient clinics (60.4% and 56.4%, respectively), and not statistically

168 significant (p=0.4142).

- 170 An evaluation of self-reported Race/Ethnicity revealed approximately one-fourth of the study
- 171 sample (both orthodontic and non-orthodontic) identified as White or Caucasian, which was
- similar to the overall percentage from the orthodontic and main patient clinics, p=0.6532. The
- 173 greatest proportion of non-White or minority patients were Hispanic in both the study
- samples (51.3%, 51.1%) and the Orthodontic clinic (52.3%), which was also not significantly
- different, p=0.6532. Finally, the proportion of patients under 18 years of age was
- approximately half in both the study samples (51.2%, 51.1%), which was similar to the
- 177 overall percentage in the orthodontic clinic (56.7%), p=0.2255.
- 178
- 179 Table 1. Demographic analysis of study participants

	Orthodontic	Non-	Statistical	Orthodontic	Main clinic
	sample	orthodontic	analysis	clinic	population
	(n=39)	sample		population	(n=73,024)
		(n=45)		(n=1,463)	
Sex					
Female	56.4 %	57.8%	χ2=0.667	60.4%	56.4%
	(n=22)	(n=26)	d.f.=1	(n=884)	(n=41,185)
Male	43.6% (n=17)	42.2%	<i>p</i> =0.4142	39.6%	43.6%
		(n=19)		(n=579)	(n=31,839)
Race/Ethnicity					
White	25.6% (n=10)	24.4%	χ2=1.627	24.7%	24.1%
		(n=11)	d.f.=3	(n=361)	(n=17,599)
Hispanic	51.3% (n=20)	51.1%	<i>p</i> =0.6532	52.3%	49.5%
		(n=23)		(n=765)	(n=36,147)
Black	15.4% (n=6)	13.3% (n=6)		11.8%	13.1%
· · · · · · · · · · · · · · · · · · ·				(n=172)	(n=9,566)
Asian	7.7% (n=3)	11.1% (n=5)		7.9% (n=117)	11.5%
					(n=8,398)
Other				3.3% (n=48)	1.8%
					(n=1,314)

Age					
Under <18 yrs.	51.2% (n=20)	51.1%	χ2=1.469	56.7%	N/A
		(n=23)	d.f.=1	(n=830)	(Pediatric
					clinic)
Over > 18 yrs.	48.7% (n=19)	48.9%	<i>p</i> =0.2255	43.3%	100%
		(n=22)		(n=633)	(n=73,024)

181

182 Each saliva sample was processed to isolate DNA, both bacterial and human (Table 2). In

total, DNA was successfully isolated from n=81/84 samples (96.4%), which is well within

the expected recovery range (95-100%). The average concentration of DNA from the

orthodontic samples was 699.1 ng/uL that ranged between 550 – 885 ng/uL, which is lower

but comparable to the average of the non-orthodontic samples of 804.7 ng/uL that ranged

187 between 571 - 980 ng/uL, p=0.0018.

188

189 Table 2. DNA isolation and analysis

	DNA analysis	Statistical analysis
Orthodontic samples (n=39)		
DNA concentration	ave.= 699.1 ng/uL	Students t-test
DNA concentration	range=550-885 ng/uL	(two-tailed)
		<i>p</i> =0.0018
Non-orthodontic samples (n=45)		
DNA concentration	ave.= 804.7 ng/uL	
DNA concentration	range=571-980 ng/uL	

190

191 The DNA from each sample was then screened using PCR for the presence of

192 Aggregatibacter actinomycetemcomitans or AA above the threshold limit of detection from

saliva at 30 cycles, which roughly approximates 10^4 CFU/mL (Figure 1). These results

revealed that more than half of the orthodontic samples (56.4%) had detectable levels of AA

in saliva, compared with only 25% of the non-orthodontic samples. Correspondingly, less

- than half of orthodontic samples tested negative for AA, while three-quarters (75%) of the 196
- 197 non-orthodontic samples were found to have no AA above the threshold limit of detection.
- 198
- 199

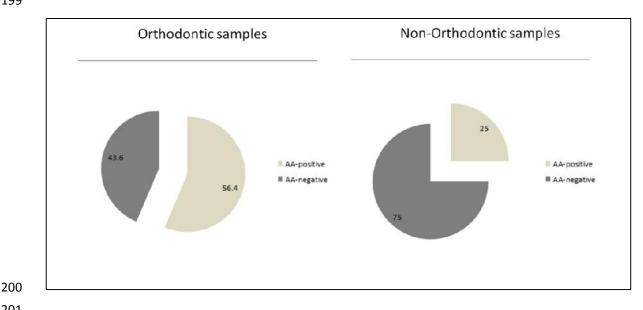


Figure 1. PCR screening of DNA isolates. PCR screening revealed 56.4% of orthodontic 202

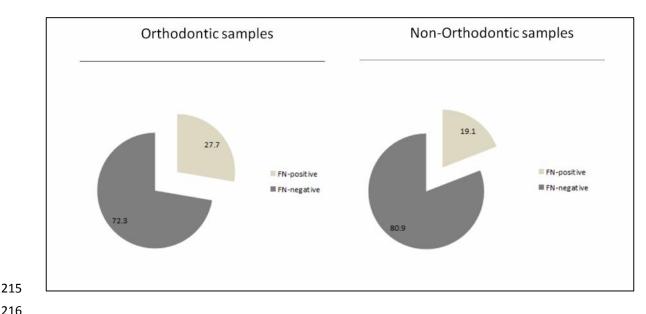
203 samples harboured detectable levels of Aggregatibacter actinocetemcomitans (AA) in saliva,

204 compared with only 25% of non-orthodontic samples. This was statistically significant,

205 *p*=0.036.

206

207 To determine if this phenomenon was restricted to AA, another gram-negative organism was selected for screening - Fusobacterium nucleatum or FN (Figure 2). PCR screening of the 208 DNA isolated from the orthodontic and non-orthodontic samples revealed significant levels 209 210 of FN (above the limit of detection) in one fourth (27.7%) of the orthodontic saliva samples 211 and only one-fifth (19%) of non-orthodontic samples tested, which was also statistically 212 significant. 213



217 Figure 2. PCR screening of DNA isolates. PCR screening revealed 27.7% of orthodontic

samples harboured significant levels of *Fusobacterium nucleatum* (FN), compared with only 218

219 19.1% of non-orthodontic samples. This was statistically significant, p=0.041.

- 220
- 221

222 4. Discussion

223 The main objective of this study was to evaluate the prevalence of Aggregatibacter

224 actinomycetemcomitans or AA among orthodontic and non-orthodontic patients from a public

225 dental school clinic. The results of this study demonstrate that AA is detectable in saliva

226 samples from these patients. Moreover, the main finding was that more than half of the

227 orthodontic subjects harboured significant levels of AA in unstimulated saliva, compared

228 with only one-fourth of the non-orthodontic subjects. These results are significant as AA is

229 mainly associated with localized aggressive periodontitis and chronic periodontitis [31,32].

230

231 These results are significant as AA has been traditionally observed as facilitating heterotypic

232 communities of overtly pathogenic organisms, compared with other gram-negative oral

233 microbes [33,34]. In fact, biofilm communities exhibit greatly increased capacities to resist

234 antimicrobial drugs and other host immune factors [35,36]. The capacity to facilitate

235 heterotypic associations within the biofilm may be restricted to a few key species, including

236 AA [37,38].

For comparison, another gram-negative, periodontal pathogen was assessed in this study –
 Fusobacterium nucleatum or FN [39]. Although the results of this study demonstrated a

- 240 difference between the prevalence of FN among orthodontic samples (27%) compared with
- non-orthodontic samples (19%), these differences were less dramatic and are more likely a
- secondary result due to the primary influx of AA among the orthodontic patients [7,24].
- Although these results are significant and may provide some useful biometric indicators for
- non-invasive biofilm community assessment among orthodontic patients, there are some
- 245 limitations associated with this type of study.
- 246

247 First, only non-invasively collected saliva was available for this study, which may limit the

- conclusions that can be made from these analyses. No corresponding direct biofilm
- collection was possible, therefore only inferential analyses can be made from these results.
- 250 Second, and more importantly, this was a cross-sectional study that collected saliva from
- orthodontic and non-orthodontic patients at a single time point, which means no temporal
- information can be evaluated regarding the change in microbial prevalence over time.
- Finally, limited scope and duration of this study did not allow for the ability to screen for,
- select and evaluate patients based upon the presence of other dental prosthetics, fixed
- restorations or other factors, which may have influenced the potential for periodontal disease
- or other oral conditions that may have influenced these observations.
- 257

5. Conclusions

- 259 Despite these limitations, this project successfully demonstrated preliminary evidence that
- non-invasive salivary screening of orthodontic patients may be sufficient to assess and detect
- changes to periodontal pathogens, such as AA and FN thereby increasing the potential
- quality and efficiency of orthodontic dental treatment among this patient population.
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