1 Antimicrobial resistance profile and molecular characterization of extended-spectrum

- 2 beta-lactamase genes in enterobacteria isolated from human, animal and environment.
- 3 4

5 Abstract

Objective: The aim of this study is to determine the antibiotic resistance profile and
characterize extended spectrum beta-lactamase gene of enterobacteria strains isolated from
human biological products, fecal matter of animals and the environment.

9 Material and methods: Enterobacteria producing ESBL strains were isolated from human 10 products, fecal matter of healthy animals (cattle, sheep and pigs) intended for human 11 consumption and environment (hospital effluents and municipal sewage) using homemade 12 medium (Drigalski supplemented with 2 mg/L of ceftazidime). Resistance to beta-lactams has 13 been evaluated by the diffusion method was carried out as recommended by NCCLS. 14 Characterization of Beta-Lactamase resistance genes (*blaCTXM*, *blaSHV*, *blaTEM*, *blaGES*, 15 *blaPER* and *blaVEB*) was performed by simplex and multiplex PCR.

16 **Results:** The strains were resistant to antibiotics from beta-lactam family (penicillin with 17 inhibitor, monobactam, cephalosporin) but no resistant was observed to carbapenem 18 (imipénème, méropénème). All resistance genes were identified in environment strains.

19 **Conclusion:** This study showed the presence of common beta-lactam resistance genes 20 (blaTEM, blaSHV and blaCTX-M) to human, animal and environment. The risk of 21 dissemination and circulation of ESBL enterobacteria between animals, humans and the 22 environment exists in Ivory Coast because of the absence of a barrier between them.

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24 Keywords: Enterobacteria ESBL, Human, Animal, Environment, Ivory Coast

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26 **1. Introduction**27

Antibiotics are widely used not only to treat human and animal infections but also in farms and aquacultures, as food additives to promote animal growth and prevent diseases. Unfortunately, the intensive use and misuse of antibiotics in these different domains have resulted in antibiotic resistance among bacteria such as enterobacteria producing extendedspectrum β -lactamase [1, 2]. Antibiotic resistance is not confined to bacteria involved in clinical infections alone but also present in bacteria in the aquatic environment and animal production, which might be an important contributory factor in the spread of resistance [3, 4]. There are two main mechanisms involved in the development of antibiotic resistance, namely mutation [5] and acquisition of resistance genes [6] by horizontal gene transfer (HGT).

37 The most abundant ESBL types are represented by SHV, TEM and CTX-M. However, a 38 variety of other enzymes such as VEB, GES/IBC, PER, BEL, and oxacillinases with ESBL 39 activity have been described worldwide [7]. Plasmid-encoded Extended-spectrum b-40 lactamases (ESBLs) are increasingly spreading among Enterobacteriaceae from human, 41 animal and environment isolates throughout the world due mostly to their presence on highly 42 conjugative plasmid [8]. ESBLs have the ability to inactivate by hydrolyse most bêta-lactam 43 antibiotics, including oxyimino-b-lactams such as ceftazidime, ceftiofur, and aztreonam. They 44 do not hydrolyze cephamycins and carbapenems and they are inhibited by clavulanic acid 45 [10].

46 Originally, ESBLs were mainly demonstrated in hospital environment but now high 47 frequencies of antimicrobial resistance have been found in enterobacteria, in fecal flora as 48 well as in clinical isolates [9]. Also, the release of antibiotics in large amounts into natural 49 ecosystems through hospital and municipal waste waters untreated can impact the structure 50 and activity of environmental microbial populations. Acquired resistance to β-lactam 51 antibiotics in gram-negative bacteria is mainly mediated by bacterial β -lactamases and the 52 emergence of extended-spectrum β -lactamases (ESBLs) is of great clinical importance. The 53 increase of these bacteria and their spreading in the hospital has been well documented in the 54 world [10, 11] and in Ivory Coast [12, 13]. Very patchy data on enterobacteria producing 55 extended spectrum β-lactamases in the fecal flora of animal and environment (hospital 56 effluents and municipal wastewater) in Ivory Coast have been published, and thus we know 57 surprisingly little about the enterobacteria ESBL and their resistance genes outside clinical 58 environment. It is important, therefore, to document the occurrence and types of antibiotic 59 resistance genes in the environment and animal.

The aim of this study is to determine the antibiotic resistance profile and characterize
extended spectrum beta-lactamase genes of enterobacteria strains isolated from human
biological products, fecal matter of animals and the environment.

63 2. Material and methods

Sampling collection: This study was carried out from December 2012 to November 2013 in
Abidjan (Ivory Coast). Human enterobacteria strains producing extended spectrum betalactamases (ESBL) were obtained from the clinical bacteriology unit (CBU) of the Institut

Pasteur of Ivory Coast. These strains were isolated from biological products (urine, blood and pus) of hospitalized and nonhospitalized patients. In the same period, ESBL enterobacteria strains were isolated from the fecal matter of healthy animals (cattle, sheep and pigs) intended for human consumption. In the environment, ESBL enterobacteria strains were isolated from hospital effluents and municipal sewage.

Isolation and identification of ESBL enterobacteria strains: All ESBL producing
enterobacteria strains were isolated on Drigalski supplemented with 2 mg/ml of ceftazidime
[14] and were identified using the API 20E galerie (bioMérieux, Marcy l'Etoile, France).

75 Antibiotic Susceptibility testing: The antimicrobial susceptibility of the extended spectrum 76 enterobacteria β-lactamase isolates was determined by the Bauer-Kirby disk diffusion test 77 using antibiotic disks (Bio-Rad, France) [15]. The double synergy test was used for detection 78 of ESBL-producing strains. The disks of cefotaxime (30 μ g), ceftazidime (30 μ g), céfépime 79 (30 µg) and ceftriaxone (30 µg) were placed around an amoxicillin/clavulanic acid disk 80 (10/20µg) on Mueller Hinton agar (BioMérieux, France). The distance between the discs, 81 center to center was 20 mm. This test was performed when the strain was categorized 82 intermediate or resistant to third generation cephalosporins. Of these, sixteen antimicrobial 83 agents from four antibiotic families (β-lactams, quinolones, aminosides and cyclins) were 84 tested. Clinical Laboratory Standards Institute (CLSI) guidelines were followed for inoculum 85 standardization, medium and incubation conditions, and internal quality control organisms (E. 86 *coli* ATCC 25922). Isolates were screened for the ESBL-producing phenotype by the standard 87 double-disc synergy test, as described previously [16]. Antimicrobial discs (concentration of 88 antibacterial in μg) used were amoxycillin/clavulanic acid (10/20), ceftazidime (30), 89 ceftriaxone (30), cefotaxime (30), cefepime (30), cefoxitin (30), imipenam (10), meropenam 90 (30), aztreonam (30), nalidixic acid (30), ciprofloxacine (5), amikacin (30), gentamycin (15), 91 tetracycline (30), minocycline (30) and tigecycline (30). All the antibiotics were procured 92 from Bio-rad (France). Only included in the study, were ESBL enterobacteria showing 93 resistance to beta-lactamins, quinolones, aminoglycosides and cyclins.

94 **PCR amplification of beta-lactamase genes:** Plasmid DNA was used for detection of β -95 lactamases and was extracted using Mini prep K0502 kit (Fermentas, Vilnius, Lithuania). The 96 ESBL gene was characterized by polymerase chain reaction as described by **[12].** PCR 97 amplification was performed in a final reaction volume of 50 µl. Primers used in this study is 98 given in Table 1. The reaction mixture contained a PCR Reaction Buffer, 10x concentrated 99 with 20 mM MgCl2, PCR Grade Nucleotide Mix (2.5 mM each), specific primers for each 100 target (20 pmol) and a FastStart Taq DNA Polymerase, 5 U/µl (Roche). The PCR conditions

- 101 were carried out in a thermalcycler UNOII (BIOMETRA®). Amplification products were
- analyzed by electrophoresis in a 2% agarose gel (Invitrogen) stained with ethidium bromide
- 103 and visualized under Ultra Violet light.
- 104 The cycling conditions for amplification were as follows: for blaTEM, initial denaturation at
- 105 94°C for 1 min and 30 cycles of 1 min at 94°C, 1 min at 50°C, and 1 min at 72°C, followed
- 106 by 7 min at 72°C; for blaSHV, PER, VEB, GES et CTXM gene, initial denaturation of 1 min
- 107 at 94°C and 30 cycles of 1 min at 94°C, 1 min at 60°C, and 1 min at 72°C, followed by 7 min
- 108 at 72°C.

Genes bla	Primers	Sequence (5'->3')	Position	PCR Product size (pb)	Accession Number	
ТЕМ	a216 (+)	ATAAAATTCTTGAAGACGAAA	1-21	1070	AB282997	
	a217 (-)	GACAGTTACCAATGCTTAATCA	1080-1059	1079		
SHV	os-5 (+)	TTATCTCCCTGTTAGCCACC	23-42	795	X98098	
	os-6 (-)	GATTTGCTGATTTCGCTCGG	818-799	195	A)00)0	
PER	per (+)	CCTGACGATCTGGAACCTTT	465-485	716	721057	
	per (-)	GCAACCTGCGCAAT(GA)ATAGC	1181-1161	/18	/21937	
VEB	veb (+)	ATTTCCCGATGCAAAGCGT	351-370	5.10	AF010416	
	veb (-)	TTATTCCGGAAGTCCCTGT	893-875	542		
GES	ges (+)	ATGCGCTTCATTCACGCAC	1332-1350	972	AF156486	
	ges (-)	CTATTTGTCCGTGCTCAGGA	2195-2176	863		
CTXM-1	ctxM1(+)	GGTTAAAAAATCACTGCGTC	65-84	972	N0250(
	ctxM1(-)	TTGGTGACGATTTTAGCCGC	928-909	863	X92506	
СТХМ-2	ctxM2(+)	ATGATGACTCAGAGCATTCG	6-25	975	X92507	
	ctxM2(-)	TGGGTTACGATTTTCGCCGC	871-852	865		
CTXM-8	CtxM8(+)	GCGGCGCTGGAGAAAAGCAG	712-731	(08	AF189721	
	CtxM8(-)	GCTGCCGGTTTTATCCCGA	6336-6355	608		
СТХМ-9	ctxM9(+)	ATGGTGACAAAGAGAGTGCA	6336-6355	970	4.5174100	
	ctxM9(-)	CCCTTCGGCGATGATTCTC	7205-7187	809	AF1/4129	

Table 1. Primers used in the study

3. Results

3.1 Enterobacteria ESBL strains

- 114 The human strains consisted of 70 species of ESBL dominated predominantly by the species
- 115 Escherichia coli (37,1 %), Klebsiella pneumoniae (30,6 %) and Enterobacter cloacae (17,7
- 116 %). The animal strains (239 species of ESBL) were distributed as follows: 81 strains from
- 117 cattle, 60 from sheep, and 98 from pigs. These strains consist primarily of Escherichia coli
- strains with over 87,4 %. Environment ESBL strains, 130 and 127 species were isolated
- 119 respectively from hospital effluents and municipal wastewater. The main species were
- 120 Escherichia coli (36,9 %), Klebsiella pneumoniae (15,2 %) and Enterobacter aerogenes
- 121 (14,9 %).
- **Table 2:** Diversity of ESBL strain isolated from various origins

	Origins			
ESBL species	Human (%)	Animal (%)	Environment (%)	
Escherichia coli	37,1	87,4	36,9	
Escherichia vulneris	0,0	1,7	2,0	
Klebsiella pneumoniae	30,6	2,0	15,2	
Klebsiella oxytoca	3,2	0,0	9,7	
Enterobacter aerogenes	1,6	3,7	14,9	
Enterobacter cloacae	17,7	0,0	3,0	
Enterobacter amnigenes	0,0	0,0	1,2	
Citrobacter freundii	1,6	0,0	7,0	
Citrobacter koseri	0,0	6,2	2,6	
Proteus mirabilis	0,0	0,0	3,2	
Proteus vulgaris	0,0	0,0	0,4	
Citrobacter amalonaticus	0,0	0,0	0,4	
Serratia marcescens	0,0	0,0	3,0	
Levinea sp	1,7	0,0	1,5	

138 **3.2 Enterobacteria ESBL Resistance rates according to their origins**

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140 The average levels of resistance to third generation and fourth generation (CRO, CTX, CAZ, 141 and FEP) cephalosporins for all strains of human, animal and environmental origin ranged 142 from 97.2% to 100%. There was no significant difference (P > 0.05) exist between these 143 levels regardless of the origin of the ESBL strains. Mean resistance levels for amoxicillin + 144 clavulanic acid (AMC) were higher in strains of human origin (87.1%) followed by strains of 145 environmental origin (64.5%). A significant difference (P < 0.05) was observed between the mean strain resistance of AMC strains. However, the mean levels of resistance to 146 147 cephamycins were 36.1% and 24.6% for strains of environmental and human origin 148 respectively. No resistance was observed for carbapenems (imipenem and meropenem).

	Mean resistance (%) according to their origins			
Antibiotics (load in µg)	Human	Animal	Environment	
AMC (20/10)	87,1 ^d	49,3°	64,5 ^e	
CRO (30)	100 ^a	100 ^a	100 ^a	
CTX (30)	100 ^a	99,2 ^a	99,6 ^a	
FEP (30)	100 ^a	100 ^a	97,2 ^a	
CAZ (30)	100 ^a	100^{a}	100 ^a	
ATM (30)	100^{a}	100 ^a	99,6 ^a	
FOX (30)	36,1 [°]	3,6 ^b	24,6 ^c	
IPM (10)	0^{b}	0^{b}	0^{b}	
MEM (10)	0 ^b	0 ^b	0 ^b	

149 **Table 3:** Enterobacteria ESBL Resistance rates according to their origins

AMC = amoxicillin + clavulanic acid; FEP = cefepime ; FOX = cefoxitine ; CAZ = ceftazidime ; CTX =
 cefotaxime ; CRO = ceftriaxone ; ATM = aztreonam ; IPM = imipenem ; MEM = meropenem

152 In line and column, the values assigned to the same letter are not significantly different at the 5% threshold 153 according to the Newmann-Keuls test

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159 **3.3** Search beta-lactamases (*bla*) genes of ESBL strains according to their origin

- 160 All resistance genes and their different associations were detected in environmental strains. In
- animal strains, however, only a few of these genes and their associations have been detected.
- 162 No association of resistance genes was detected in human strains during the study.

Beta-lactamases genes	Human (%)	Animal (%)	Environment
	N=24	N=71	(%) N=69
bla _{TEM}	7 (29,2)	18 (25,2)	9 (13)
bla _{SHV}	4 (16,7)	20 (28,2)	7 (10)
bla _{CTX-M1}	5 (20,8)	5 (7)	2 (2,9)
bla _{CTX-M2}	2 (8,3)	4 (5,6)	2 (2,9)
bla _{CTX-M8}	0 (0,0)	0 (0,0)	2 (2,9)
bla _{CTX-M9}	0 (0,0)	7 (9,9)	2 (2,9)
bla _{GES}	0 (0,0)	1(1,4)	1 (1,4)
bla _{PER}	0 (0,0)	0 (0,0)	1 (1,4)
bla _{VEB}	0 (0,0)	0 (0,0)	0 (0,0)
Beta-lactamases genes associations			
bla _{TEM / SHV}	1 (4,2)	5 (7)	3 (4,3)
bla _{TEM / CTX-M}	2 (8,3)	5 (7)	13 (18,8)
bla _{SHV/CTX-M}	2 (8,3)	6 (8,5)	15 (21,7)
bla _{TEM/SHV/CTX-M}	1 (4,2)	0 (0,0)	1 (1,4)
bla _{TEM/SHV/VEB}	0 (0,0)	0 (0,0)	1 (1,4)
bla _{TEM/SHV/CTX-M/GES}	0 (0,0)	0 (0,0)	4 (5,8)

163 **Table 4:** ESBL genes and theirs associations in the different strains

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166 **4. Discussion**167

168 This study presents the diversity antimicrobial resistance profile and betalactamase gene of169 Enterobacteria producing broad-spectrum.

BlaCTXM -1 and blaTEM genes were the most identified, followed by the blaSHV genes,
whereas genes association such as blaTEM / blaCTX-M-1, blaTEM / blaCTX -M-1,

blaSHV / blaCTX-M-1 and blaTEM / blaSHV were observed in low frequency. The proportions found are lower than those of Guessennd *and al.* **[12]** in human Enterobacteriaceae producing broad-spectrum beta-lactamases in Abidjan. Their proportions were 65.6%, 64.9% and 48.3% for blaCTX- M-1, blaTEM and blaSHV respectively. The results of this study are also lower than those of Mohammad *and al.* **[17]** who reported high

proportions of blaCTX-M-1 genes (46.5%), blaTEM (54%) and blaSHV (67.4%) in their

178 study of *Klebsiella pneumoniae* strains in Teheran. They also demonstrated beta-lactamases

170 study of mossient pretimonite situits in fonorun. They also demonstrated beta ractimuses

179 genes associations with 23.2% and 19.2% for blaTEM / blaCTX-M and blaTEM / blaSHV /

180 blaCTX-M respectively.

181 Several studies have shown an alarming increase of blaCTX-M of ESBLs with a strong 182 predominance type [10, 18]. In other studies in Tunisia on Escherchia coli ESBL strains 183 isolated from children, Réjiba and al. [19] showed a high proportion of 97% blaCTX-M gene. 184 The majority of the blaCTX-M genes belonged to group 1. The blaSHV had an occurrence of 185 6% while the blaTEM gene was not detected. High levels of blaCTX-M genes, low blaSHV 186 and blaTEM gene frequency have also been reported in Algeria [20], Thailand [21], 187 Switzerland [22] and in Saudi Arabia [23] in Enterobacteria BLSE strains isolated from 188 clinical specimens.

189 The blaTEM, blaSHV and blaCTX-M genes of the ESBL family have also been identified in 190 animal enterobacteria ESBL strains. Unlike to human strains with the blaCTX-M gene 191 (blaCTX-M-1) was predominance, the genes blaSHV and blaTEM were most identified in 192 animal strains. These two genes are responsible for resistance to beta-lactam of more than 193 50% of the Enterobacteria BLSE strains in cattle, sheep and pigs. However, a new group of 194 blaCTX-M, blaCTX-M-9 has been identified in some animal's EBLSE strains. These results 195 could be explained by a naturally high prevalence of certain plasmid types and subtypes 196 harbored by E. coli isolated from animal, which in fact constituted a preferred host of ESBL 197 genes. Indeed, according to Haenni and al. [24] (Plasmid Incl1 / ST3, for example) are often 198 found in bacteria without epidemiological links and belonging to many animal species (dog, 199 cat, cow, horse, goat, Hen, sheep).

In this study, distributions of the different types of ESBL genes identified in enterobacteria producing broad-spectrum beta-lactamases strains of animal origin corroborates distributions reported in Europe [25, 26]. The blaTEM-52, blaSHV-12, and blaCTX-M-1 genes are the most frequently reported types in order of importance in non-human reservoirs such as live animals or in the processing chain of these animals [27].

- However, Felix *and al.* [28] showed a predominance of the blaCTX-M-1 gene in *Escherichia coli* strains isolated from carcass and caecum from healthy broiler chickens. Horton *and al.*[29] also reported the prevalence of *Escherichia coli* ESBL with blaCTX-M-1 gene in the stool of cattle, pigs and chickens in the UK with higher isolation rates than other animal
- 209 species intended Consumption.
- 210 Furthermore, there is evidence that for Salmonella sp. and enteropathogenic Escherichia coli,
- 211 producing ESBL enzyme and responsible for food infections, is an example of direct
- transmission of these genes from animals to humans [10, 30].
- 213 As far as transmission to humans is concerned, the scientific evidence supports the existence
- 214 of two distinct bacterial reservoirs, human and animal. However, some identical ESBL

plasmids such as those carrying the blaCTX-M-15 gene have been described in humans and in cattle [31]. Comparison between human and animal strains established that these are more plasmids (IncI1 / ST3) than the bacterial populations that are found identical between humans and animals [32].

219 The problem of antibiotic resistance genes is not limited to hospital and animal strains, 220 resistance is also present in bacteria of environmental (hospital effluents and domestic 221 wastewater) origin. This work has shown that municipal wastewater and hospital effluents 222 represent a source or reservoir of antibiotics resistant bacteria and antibiotic resistance genes 223 that could be transmissible to humans. Thus, the aquatic environment could be an important 224 factor in the spread of resistance as indicated by Zhang and al. [4]. In addition, contamination 225 of wastewater with antibiotic residues can also lead to selective pressure on antibiotic-226 resistant bacteria and resistant genes that can pose a risk to human and even animal health 227 [33].

228 In this study, all the genes found in EBLSE strains of human and animal were also identified 229 in the environment in addition to new resistance genes, including blaGES, blaVEB and 230 blaPER genes called "new ESBLs". Gene combinations were much higher in hospital effluent 231 strains than in municipal effluents. The high proportion of association of resistance genes in 232 hospital effluents could be explained by large sizes of plasmids harbored by these strains. 233 The plasmids sizes can often reach up to 104 base pairs and would allow bacteria to survive in 234 hostile environments (hospital and municipal effluents). Indeed, according to Reinthaler and 235 al. [34], the plasmids of ESBL enterobacteria in the environment and especially in hospital 236 effluents are known to contain within them several plasmids harboring numerous antibiotic 237 resistance genes. In addition, these plasmids are able to autotransfer from one bacteria to 238 another and replicate independently in hosts [4]. All these facts make hospital effluents a 239 reservoir of resistance genes.

Some authors have already noted the presence of a wide variety of resistance genes in enterobacteria producing broad-spectrum beta-lactamase, including *Escherichia coli*. These authors have demonstrated all resistance genes including the genes of new ESBLs that may be involved in antibiotic resistance [**35**, **36**].

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251 **5. Conclusion**

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253 The genotypic profile of isolated enterobacteria producing broad-spectrum beta-lactamases 254 from human, animal and environment strains showed the presence of common beta-lactam 255 resistance genes (*blaTEM*, *blaSHV* and *blaCTX-M*) and unusual resistance genes (*blaPER*, 256 *blaVEB* and *blaGES*). Hospital effluents appear to be an important reservoir of strains with 257 resistance genes. Some genes not detected in humans and animals, are present in these 258 effluents. These hospital effluents discharged without treatment into surface water can be a 259 source of dissemination of potentially pathogenic enterobacteria ESBL that can cause public 260 health problems. The risk of dissemination and circulation of ESBL enterobacteria between 261 animals, humans and the environment exists in Côte d'Ivoire because of the absence of a 262 barrier between them. The dissemination and circulation of ESBL enterobacteria is a public 263 health problem.

264 **Ethical Approval:**

- 265 As per international standard and university standard ethical approval has been collected and
- 266 preserved by the author(s).

267 Consent Disclaimer:

- As per international standard or university standard, patient's written consent has been
- collected and preserved by the author(s).
- 270

271 **Conflict of interest**

272 None

273 **6. References**

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