EFFECTS OF PROBIOTICS ON INTESTINAL MICROFLORA OF HIV-INFECTED INDIVIDUALS

ABSTRACT

Aims: HIV-1 infection results in structural damage to the intestinal mucosa and changes of gut microflora following dysfunction of the gastrointestinal system, including compromised barrier function. Known properties of probiotics suggest that they may be useful tools in restoring normal intestinal flora. Our study goal was to determine whether the use of a probiotics can recover normal gut flora in chronically HIV-infected adults.

Study design: Cohort Design

Place and Duration of Study: Sumy State University, Medical Institute. Department of Microbiology and Clinical Immunology

Methodology: The study involved 40 HIV-1-infected patients of the regional center of prevention and control of AIDS in Kharkov. All the patients were informed about the purpose and plan of study and gave their written agreement to participate in the study. All the patients had been diagnosed according to the criteria of WHO with the III-IV stage of HIV infection. During the month before the survey the patients did not take any antibiotics. Dysbiosis correction circuit was designed for one month taking of probiotic preparations. Six weeks later the follow-up study was conducted to investigate gut microflora of 20 HIV-infected patients.

Results: Changes of intestinal microbiota were found in all of the patients. In the most cases the decrease of obligatory microorganisms, especially *Bifidobacterium* spp. (in 90 % of patients) was found. Overgrowth of major opportunistic pathogens (*S. aureus* and *Candida spp*.) was registered in only a minority of patients. The probiotic interventions resulted in significantly elevated levels of beneficial bacteria load (such as *Bifidobacterium spp*, *Lactobacillus spp*.) and a decrease in patogenic bacteria load (such as *Clostridium spp*, *Candida spp*).

Conclusion: Probiotic preparations can successfully augment the levels of beneficial species in the gut during chronic HIV-1 infection. These findings may help inform future studies aimed at testing pre- and probiotic approaches to improve gut function and mucosal immunity in chronic HIV-1 infection.

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9 Keywords: microbial translocation; inflammation; probiotic bacteria; lactobacillus; HIV-1; anti-retroviral 10 therapy (ART).

1. INTRODUCTION

12 It is known that the total number of microorganisms inhabit the human gut (10¹⁴), on two orders exceeds

- total number of the cells. Besides, a large number of exogenous xenobiotic including pathobionts and food antigens passes through the intestine daily. It's not a surprise that up to 80 % of the lymphoid tissue
- 15 is associated with the intestine region (GALT).
- 16 Indigenous intestinal microflora has a symbiotic relationship with the intestinal mucosa and is an integral 17 part of the gastrointestinal tract. Close interaction between the microbiota and mucosa is a major
- imperative of intestinal homeostasis [1, 2]. It has been found out recently that dysbiotic changes in the gut (dysbiosis) accompany not only various intestinal disorders, but are also associated with a wide range of multi-orran pathologies, including HIV infection [3, 4].
- 20 multi-organ pathologies, including HIV infection [3, 4].
- 21 It is shown that after penetrating into the mucosa HIV infects 60% of resting Ki67-CD4+ T-cells, leading to
- 22 their activation. Activated CD4 + T-cells actively produce virus which infects cells via the neighbor cell
- 23 contacts and circulates through the bloodstream to distant organs and tissues. As a result, the body forms
- a large reservoir of active infectious virus that can't be neutralized so far. [5]

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25 Equally important is the direct impact of the virus on enterocytes. HIV has been established to infect and 26 destroy vast amount of GALT CD4+ T cells and dendritic cells, as well as affect directly enterocytes: tat

27 protein of HIV inhibits glucose uptake by enterocytes, impairing their function, gp120 protein increases

28 the amount of calcium in the cells, which causes depolymerization of tubulin and, consequently,

- 29 dysfunction of cytoskeleton. This leads to disruption of intercellular interaction and increased permeability
- 30 of the intestinal barrier. At the same time the expression of genes that control the integrity of epithelium is
- 31 suppressed [6].

32 Enteropathy accompanied by HIV is characterized by villous atrophy, crypt hyperplasia, malabsorption of 33 several important nutrients, apoptosis of enterocytes, and increased permeability of epithelium. Mass 34 deaths of the immune effector cells in the lamina propria, destruction of Peyer's patches, and a sharp 35 reduction of secretory IgA and defensins levels create favorable conditions for the breeding of excessive

36 microflora including pathogenic one in the intestinal lumen [7].

37 These factors lead to the penetration of lipopolysaccharide (LPS) and other bacterial components through the intestinal barrier into the blood circulation although bacteremia is not observed as a rule. 38 39 Translocation of LPS and chronic exposure to peripheral lymphocytes result in persistent systemic 40 immune response accompanied by high level of proinflammatory cytokines, which fairly soon leads to the 41 depletion of the immune system. It is believed that translocations and chronic immune activation play a key role in the development and progress of opportunistic complications [8, 9].

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43 Although it is not clear whether the dysbiosis of intestinal microbiota in HIV infection is a primary factor 44 leading to the development of the disease or secondary response to other factors; but it is evident that it 45 plays a significant role in the chronic phase of infection and the appearance of opportunistic 46 complications.

47 This opens up the prospect of influence on the infectious process by correcting dysbiotic changes in HIV-

48 infected patients. In this regard, the aim of the present study was to evaluate changes in microflora of the

49 large intestine in chronic HIV infection and the possibility of correction by means of bacterial preparations (probiotics). 50

2. MATERIAL AND METHODS / EXPERIMENTAL DETAILS / METHODOLOGY 51 52

53 2.1 Patients

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- 54 The study involved 40 HIV-1-infected patients of the regional center of prevention and control of AIDS in 55 Kharkov. The study was conducted on an outpatient basis of the Department of Microbiology and Clinical
- Immunology in Kharkiv Medical Academy of Postgraduate Education (KhMAPE). All the patients were 56 57 informed about the purpose and plan of study and gave their written agreement to participate in the study.

58 All the patients had been diagnosed according to the criteria of WHO with the III-IV stage of HIV infection.

- 59 Patients were divided into the two groups:
- 60 Group 1 (n=24) (60% - patients) - CD4 + cells counts at the time of the study was less than <350 cells / 61 μl.
- 62 Group 2 (n=16) - CD4 + cells counts at the time of the study was higher than <350 cells / µl.

63 Dysbiosis correction circuit was designed for one month taking of probiotic preparations. Six weeks later 64 the follow-up study was conducted to investigate gut microflora of 20 HIV-infected patients.

2.2 Fecal Bacteriologic Culture 66

67 The contents of the colon in an amount of 2-3 g was taken to the laboratory and processed within 2 hours in a sterile vial without preservative. Collection of material was carried out before the use of antibiotics 68 69 and bacterial preparations (probiotics, prebiotics et al.)[10, 11].

70 The study of qualitative and quantitative composition of microflora of the colon was carried out by plating 71 ten-fold dilutions of faeces samples (10¹-10⁹) on a standard set of selective and differential diagnostic 72 medium for the selection of intestinal microorganisms. Ten-fold serial dilutions of each fecal sample were 73 performed and plated on selective and non-selective media for enumeration of the members of the 74 intestinal microflora. Stool samples were placed on solid media (Bismuth Sulphite Agar, EMB Agar 75 (Levine), Endo Agar, Blood Agar, Baird-Parker Agar, Sabouraud Dextrose Agar, Clostridial Agar, Rogosa SL Agar, Bifidobacterium Agar, HiMedia Lab., India). The plates were incubated at 37 'C for 24 or for 48 76 77 h. The incubated microorganizms were then counted and identified with accordance to standard 78 procedures [10, 11]. Summarized data of control group (10 healthy adult's) microflora contents served as

79 a normal standard.

- During the survey, patients did not receive medications with potentially possible effects on the 80 81 gastrointestinal tract, including antibiotics.
- Correction of dysbiotic disorders was carried out by taking into account the individual personified the 82
- 83 intestinal flora changes. The structure included cocktail commercial preparations of Probiotic Complex
- ("Santegra", USA); Enterol 250 ("Biocodex", Ukraine); Bifikol ("Biopharma", Ukraine); Laktiale ("Farmak", 84
- 85 Ukraine).). All patients received probiotic drugs, depending on their microbial content. Thus, patients with
- a lack of lactobacilli, bifidobacteria and enterococci in feces samples received "Lactiale" according to the 86
- instructions. Due to the composition of preparations, "Probiotic Complex" was administered at reducing 87
- 88 the number of bifidobacteria and lactobacilli; "Bifikol" was prescribed in cases with a deficit of E.coli.
- 89 The scheme of correction was calculated for 1 month of taking probiotics. Clinical and microbiological changes were evaluated before and after correction by probiotics. 90
- All bacterial counts (colony-forming units (CFU)/g of wet feces) were transformed to logarithm (log₁₀CFU) 91 92 for ease of statistical analysis.

2.3 Statistical Analysis 93

94 The results are presented in the form of averages, standard deviation and median assuming a normal 95 distribution of data. Normal distribution of quantitative traits was verified by the Shapiro-Wilk test. The 96 research results are processed using "STATISTICA 10.0" (StatSoft Inc., USA, version 10.0.1011.6) and 97 spreadsheet editor Microsoft Excel 2013.

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99 3. RESULTS AND DISCUSSION

101 27 of 40 (67.5%) HIV-infected patients participated in the study were women and 13 (32.5%) men. The 102 average age of patients was 35.6 ± 8.2 years. The average number (M \pm m) of CD4+ T cells before the 103 study was 426 \pm 264 in 1 μ l (Table 1).

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Table 1. Patients characteristics of the study groups (n=40)

		HAART treatment		
Characteristic	Value	More than 1 year n=24	Less than 1 year n=16	
Sex n(%)				
-male	13(32.5%)	6(25%)	7(44%)	
-female	27(67.5%)	18(75%)	9(56%)	
Age ((year)				
M±SD*;	35.6±8.2	37,4± 7,8	33±8,2	
Median))	34	36	31	
Blood CD4 cell count				
(cells/ml)				
- all(n=40)				
M±SD;	426±264	281±111	600±307	
Median	416	243	479	
- <350 cells / μΙ				
M±SD	223±99	235±100	201±108	
Median	220	299	199	
- >350 cells / μΙ				
M±SD	462±280	300±113	691±289	
Median	424	239	595	

*Mean ± standard deviation (SD)

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113	Table 2. Fecal flora in HIV-1 infected patients before and after the correction of probiotics
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Covariates	Fecal flora before correction (log ₁₀ CFU)	Patients (n=40)	Fecal flora after correction (log ₁₀ CFU)	Patients (20)	Normal
Bifidobacterium spp.	5.9 ± 0.9 7.0 ± 1.1	36 4	5.9 ± 1.4 8.0 ± 1.8	19 1	9.7 ± 1.4
Lactobacillus spp.	5.0 ± 0.8 6.7 ± 1.07	35 5	5.0 ± 1.1 7.7 ± 1.7	12 8	7.7 ± 1.2
E. coli (lac+)	5.9 ± 1.2 8.7 ± 1.4	24 16	8.7 ± 1.9	20	8.0 ± 1.3
E. faecalis	5.0 ± 1.02 7.7 ± 0.8	25 15	5.0 ± 1.1 7.7 ± 1.7	6 14	7.74 ± 1.2
E. faecium	5.0 ± 0.8 5.9 ± 0.9	34 6	5.0 ± 0.9	20	7.7 ± 1.2
E. coli Hly	ND 5.0 ± 0.8	2 38	ND 5.0 ± 1.1	19 1	ND
S.aureus	ND 4.0 ± 1.6	33 7	ND	20	ND
S. epidermidis	4.0 ± 0.8 5.0 ± 1.4	27 13	ND	20	4.0 ± 0.6
Candida spp.	2.9 ± 0.5 4.0 ± 1.5	32 8	ND	20	4.0 ± 0.6
Cl. perfringens	2.0 ± 0.3 2.9 ± 0.4	38 2	2.0 ± 0.5 2.9 ± 0.7	16 4	2.9 ± 0.5

115 Data as mean \pm standard deviation (Log₁₀counts/g feces)

116 ND not detected

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118 As it can be seen from Table 2, the quantitative and qualitative composition of the normal microflora of the large intestine has been altered in all patients. 119

In studyng the microbial gut content, there were discovered violations of the qualitative and quantitative 120

121 composition of anaerobic and facultative anaerobic bacteria. Reduced number of bacteria concerned

122 primarily bifidobacteria, which dominated in the anaerobic flora and accounted for about 95% of the gut

microflora. According to our data, in 90 % of cases the number of *bifidobacteria* was less than 5.9 ± 0.9 123

and in 10 % of cases it was about 7.0 \pm 1.1. The number of very important *lactobacilli* at HIV infection is significantly reduced against healthy controls accounting less than 5.0 \pm 0.8 in 87.5 % and 6.7 \pm 1.07 in 12.5 % of patients against 7.7 \pm 1.23 respectively (p<0.05).

The group of anaerobic bacteria, *Bacteroides*, wasn't detected in patients. The leading representative of the facultative anaerobic bacteria belonging to the indigenous microflora is *E. coli*. About half of all patients, the number of facultative anaerobes has remained constant, and the other it decreased by 1-2 orders. Hemolytic *E. coli* strains in small concentrations were presented in only 5% of the patients.

131 The same trend is observed in relation to other pathobionts: *S. aureus, S. epidermidis,* and *C. albicans* in

low titers are found in only a minority of infected patients (p<0.05). Only in one patient *Clostridium spp.* were isolated in very low concentrations. In addition, a case of a serious intestinal dysbiosis in HIVinfected patients was accompanied by falling down on 1-2 orders of the obligate commensals *E. faecalis,* and *E. faecium* presented in large numbers in the faeces of healthy adults.

Thus, HIV infection, regardless of the duration of the course, the clinical stage of the disease and antiviral managing manifests a profound violation of the gut homeostasis accompanied by a simultaneous decrease in quantitative anaerobic (*bifidobacteria* and *lactobacilli*) and facultative anaerobic flora (*E. coli*).

In our study, the use of probiotic bacterial preparations on the background of the microbiome dysbiosis in
 HIV-infected patients resulted in a significant mitigation of these violations, but complete restoration was
 not also observed (*Table 2*).

142 Serious changes of the intestine microflora in chronic HIV infection have been identified by other 143 researchers, too [12,13]. Significant changes of intestinal microbiota is accompanied by the appearance 144 of communities of enteropathogenic bacteria capable of converting tryptophan to kynurenine immunomodulatory derivatives, which correlates with the progression of the disease and contributes to 145 146 the violation of mucosal immunity. At the same time ART-naïve patients increases the levels of some 147 bacterial taxa, and the suppression of 45 taxa. The most significant enrichment was mentioned for Erysipelotrichaceae, which often accompanies obesity and is associated with increased incidence of 148 cardiovascular system disorders. Such types as Proteobacteria are part of the most enriched genera of 149 150 ART-naïve patients. Among them is the species included in the genera of Salmonella, Escherichia, 151 Serratia, Shigella and Klebsiella of the Enterobacteriaceae family, known as pro-inflammatory pathobionts. The gut content of ART-naïve HIV-carriers is enriched with Staphylococcus, Pseudomonas, 152 153 Campylobacter spp., Candida albicans, which often cause opportunistic infections and bacteremia, with a 154 significant decrease in the content of bifidobacteria and lactobacilli, Clostridia and Bacteroides with 155 particularly strong suppression of Bacteroides and Alistipes genera. The studies showed the dramatic 156 reduction in the levels of lacto-and bifidobacteria and increases in the concentration of pathogenic 157 species, including Candida albicans and Pseudomonas aeruginosa in HIV-carries [14, 15].

Thus, HIV-induced dysbiosis appears to be characterized by decreased abundances of bacteria that are regarded as commensal or protective accompanied by an expansion of bacteria that are potentially

160 inflammatory or pathogenic, which agrees with mucosal inflammation in HIV infection.

161 Such probiotics as *Lactobacillus rhamnosus* GR-1 have a beneficial effect on preservation of immunity in 162 HIV infection [16].

- 163 Recently, it has been found that the balance between the two subpopulations of CD4 + regulatory T cells,
- Th17 and CD25 + FoxP3 + (Treg) is responsible immune mechanisms which protect against infections and autoimmune disorders.

166 Treg-cells (regulatory T cells) express toll-like receptor 4 (TLR-4) and activated by LPS. Some 167 *Lactobacillus* species (*L. reuteri* and *L. casei*, but not *L. plantarum*) also activate these cells [17]. The 168 number of HIV-specific Treg-cells is increased in patients responding to ART.

169 While significant depletion of Th17-cells and reduction of CD4+ CD161+ cells associated with progressive loss of Treq-cells, increased immune activation and progression of the disease [18]. The 170 171 combination of probiotics in the model system can increase the content of Treg-cells, and suppress the 172 development of the disease [19]. Activity had only a mixture of several species of Lactobacilli. 173 Suppressive activity was accompanied by increased secretion of IL-10 Treq-cells, which led to a 174 weakening of the secretion of pro-inflammatory cytokines by cells Th1 and Th17. Model system showed 175 that taking of probiotics (L. acidophilus, L. casei, L. reuteri, Bifidobacterium bifidium and Streptococcus 176 thermophilus) induced a low response of T and B cells, reduced the secretion of Th1, Th2 and Th17 cytokines, inhibited apoptosis and caused migration of Treg-cell into the inflammation area [20]. 177 Probiotics have a beneficial effect on the HIV-infection. [16]. Gori et al. have shown that simultaneous use 178

of probiotics results in a significantly increased number of *bifidobacteria* and reduction of *Clostridium coccoides, Eubacterium rectale, Clostridium lituseburense* and *Clostridium histolyticum* [20]. It is also shown that the oligosaccharide mixture as a prebiotic reduces the level of sCD14, decreases activation of CD4+ T-cells and enhances the NK-cell activity in ART-naïve HIV-infected adults [21].

Thus, the beneficial effect of probiotic bacteria may include effects on the immune status and immune activation, course of HIV infection, on translocation and the balance of regulatory T-cells. These findings thus suggest that the correction of dysbiosis can have desirable effects in the restoration of intestinal function and repair.

187188 4. CONCLUSION

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In conclusion, a decrease in total obligate anaerobes and an increase in pathogenic bacteria in the gut are indicated in patients with HIV-1 and probiotic preparations can successfully augment the levels of beneficial species in the gut. These findings may help inform future studies aimed at testing pre- and probiotic approaches to improve gut function and mucosal immunity in chronic HIV-1 infection.

195 ETHICAL APPROVAL

197 Study protocol was approved by the Ethics Committee of the regional center of prevention and control of198 AIDS in Kharkov.

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