1	Original Research Article
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3	COMPARATIVE ANALYSIS OF POLYESTERIFICATION REACTION
4	ON CRUDE AND EPOXIDIZED NEEM OIL-MODIFIED ALKYD
5	RESIN
6	ABSTRACT
7	A portion of neem oil was epoxidized using per acid generated in-situ.
8	Polyesterification of crude neem oil (CNO) and epoxidized neem oil (ENO) was
9	carried out using glycerol and phthalic anhydride to synthesize two different
10	alkyd resins; crude neem oil modified alkyd resin (CNOMAR) and epoxidized
11	neem oil modified alkyd resin (ENOMAR) with oil length of 64.5% each.
12	Setting reaction temperature at 250°C, sample were withdrawn from the reaction
13	mixture at interval of 30 min for a period of 150 min to determine the drop in
14	acid value and was use to obtain a relationship with the degree of
15	polymerization (D_P) and extent of esterification (P_A) for both resins. Minimum
16	acid values of 13.01mgKOH/g and 16.09mgKOH/g were recorded after a
17	reaction period of 150 min for (ENOMAR) and (CNOMAR) respectively.
18	Maxima value of 4.000, (75.0%) and 3.647, (72.6%) were recorded after 150
19	min as average degree of polymerization (D _P) and percentage extent of
20	esterification (%P _A) for (ENOMAR) and (CNOMAR) respectively. Statistical
21	analysis using ANOVA table to compare the degree of polymerization between
22	(ENOMAR) and (CNOMAR) gave $F_v=0.372$ and $F_c=5.530$. The result of
23	polyesterification (P_A) and degree polymerization reaction (D_P) for both resins
24	reveals that (ENOMAR) has faster rate of polymerization compared to

25 (CNOMAR).

26 Keyword; Alkyd resin; Extent of esterification; Degree of polymerization and Polyesterification

27 **1. INTRODUCTION**

Alkyd resins are tough resinous polyester polymer prepared by condensation 28 polymerization of polyhydric alcohol and poly-functional acid or polybasic acid 29 modified with fatty acid or their triglyceride. Many researchers have made an 30 attempt to define alkyd resin over the years. (Bobalek et al. 1964) defines alkyd 31 resin as the reaction product of polyhydric alcohol and poly-functional acid 32 modified by fatty acid or their triglyceride. (Ikhuoria et al 2004) also define 33 alkyd resin as products of poly-condensation reaction between polybasic acid 34 and polyhydric alcohol modified with fatty acid or drying oil, while (Lanson 35 1985) define alkyd resin as unsaturated polyesters, in which the un-saturation is 36 37 located at chain ends instead of within the polymer chain. It is estimated that

alkyd resins contribute about 70% to the conventional binders used in surface 38 39 coating today. Surface coatings composition is basically made up of the binder (alkyd resin), pigments and solvents (Wicks et al., 1992) and act mostly as a 40 protective and decorative agent on the surface of the substrate applied. Alkyd 41 resin is known to be the most versatile resin forming reaction. In fact, no other 42 resinous polymer lends itself to greater internal variation or to more useful 43 modification by physical or chemical blending with other polymers (Panda, 44 2000). Several seed oils have been used in the synthesis of different polymeric 45 resins like polyester, epoxy, polyurethane, polyamide etc (Aigbodion and Pillai, 46 2001). Non-edible oilseeds such as neem (azadirachta indica), jatropha(jatropha 47 curca), karanja(pongomia pinnate), Hura crepitan etc, can be use as a feedstock 48 oil in alkyd resin synthesis. The fatty acid profile of jatropha (jatropha curca) 49 and Hura crepitan was reported by (Odetoye et al 2010) and (Oyekunle and 50 Omode 2008) respectively. In this work, neem seed oil a non-drying oil was 51 utilized in synthesizing alkyd resin with an improve polymerization rate. Neem 52 trees are evergreen plant that grows in tropical and semi tropical region and 53 belong to the mohagony family (meliacease). They contain about 25%-45% oil 54 on dry matter basis with it fatty acids profile seen in Table 1. The trees are 55 abundant in northern part of Nigeria and it seed oil readily available, as such can 56 be utilize as a feedstock in synthesizing alkyd resin in Nigeria. Chemical 57 modification of neem oil existing functional group via epoxidation will improve 58 the performance quality of an alkyd resin synthesized thereof. The use of (ENO) 59 against (CNO) as a feedstock in synthesizing alkyd resin enhances the 60 polyesterification rate and its performance quality. 61

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Table 1. Average fatty acid composition in crude Neem seed oil (Kaushik . N and Vir. S,2000).

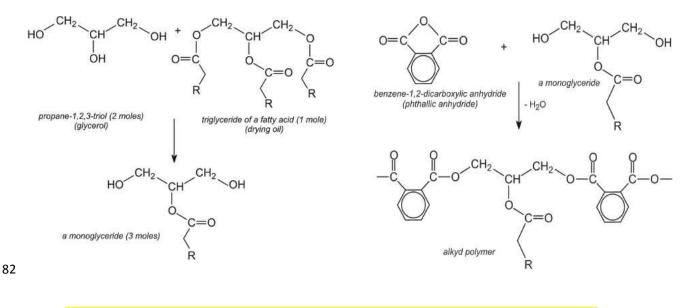
FATTY ACID	SYSTEMATIC NAME	FORMULA	COMPOSITION RANGE
Linoleic	Cis-9, cis-12- Octadecedianoic	$C_{18}H_{32}O_2$	<mark>6-16%</mark>
Oleic	Cis -9-octadecenoic	$C_{18}H_{34}O_2$	<mark>25-54%</mark>
Palmitoleic	Cis-9-hexadecenoic	C ₁₆ H ₃₀ O ₂	?
Palmitic	Hexadecanoic	$\frac{C_{16}H_{32}O_2}{C_{16}}$	<mark>16-33%</mark>
Stearic	Octadecanoic	$\frac{\text{C}_{18}\text{H}_{36}\text{O}_2}{\text{C}_2}$	<mark>9-24%</mark>
Linolenic	Cis-6, cis-9, cis-12-octade catrienoic	$C_{18}H_{30}O_2$?

The fatty acids from vegetable oil contain suitable functionalities in their backbone such as esters, triple and double bonds either conjugated or non-Conjugated (Larock et al., 2009) and other functional groups that can undergo several chemical transformations. There are two most important methods used in alkyd resin synthesis notably fatty acid and glyceride (alcoholysis) process. Fatty acid process is used when high performance alkyds are needed and this is

achieved because the composition of the resulting resin can be more precisely 70 controlled during preparation. The glyceride process is used to prepare more 71 economical alkyd resins, where the end product quality control is not as 72 paramount. These two methods involve a two steps process namely alcoholysis 73 and polyesterification reaction as shown in scheme 1 and 2 below. The 74 objective of this work is aimed at determining and comparing the extent of 75 esterification (P_A) and degree of polymerization (D_P) between epoxidized neem 76 oil modified alkyd resin (ENOMAR) and crude neem oil modified alkyd resin 77 (CNOMAR). (Aigbodion & Okiemen 1996) studied the kinetics of 78 polyesterification reaction of rubber seed oil. 79

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- 81

SCHEMATIC ROUTE OF ALKYD RESIN FORMATION



83 **Scheme 1, Alcoholysis**

Scheme 2, Polyesterification

84 2. MATERIALS AND METHODS

85 **2.1 Materials**

The neem seed was obtained and extracted in national research institute for 86 chemical technology (NARICT) Zaria, Kaduna State. Technical grade reagents 87 of hydrogen peroxide (30%), wijs solution, acetic acid, carbon tetrachloride, 88 sulphuric acid (98%), sodium thiosulphate, potassium iodate, phthalic 89 anhydride, glycerol, styrene, sodium hydroxide, nitrogen gas and other reagents 90 was obtained from **BDH** chemical limited. The glassware and equipment used 91 were 500ml three neck round bottom flask, dean and stark flask, water bath, 92 glass plates, mechanical stirrer, thermometer, reflux condenser, heating mantle 93 and dropping funnel. 94

95 2.2 Methodology

Pretreatment of neem seed was carried out by first cracking the seed nut and 96 isolating seed from the nut, this was followed by washing and drying of the seed 97 98 before extraction using cool press method to obtain crude neem oil (CNO). The following method was adopted in the epoxidation process of crude neem oil 99 100 (CNO). About 300g of (CNO) was introduced into 500ml three necked round bottom flask fitted with reflux condenser and mechanical stirrer and placed in a 101 water bath. The temperature was regulated to 40°C and the flask allowed to 102 stand for 5 min while stirring at constant speed of 500rpm before adding about 103 0.1% (v/w) concentrated sulphuric acid to oil weight. In a separate flask 104 (conical flask); solution of peracetic acid was prepared by mixing 14.82g of 105 106 acetic acid and 118.98g of hydrogen peroxide. This solution was added drop wise to the heated neem oil at a constant rate over a period of one hour. The 107 temperature was raised to 55°C while still stirring at 500rpm for fine dispersion. 108 The mixture was allowed to stand for 6 hr while maintaining constant 109 temperature of 55°C and stirring speed of 500rpm. During the reaction period, 110 oxirane oxygen content test (OOC) was periodically carried out using American 111 112 oil chemist society (AOCS) Cd 9-57 test method to ascertain the degree of epoxidation. After having about 85% of (OOC) test, the reaction was quenched 113 and the mixture transferred to a separating funnel where it was allowed to stand 114 for 24 hr. The epoxidized neem oil (ENO) was separated by decanting off the 115 aqueous phase. 116

117 2.3 Synthesis of Alkyd Resin from the Epoxidized Neem Oil (ENO) and 118 Crude Neem Oil (CNO).

Two different resins were prepared from the epoxidized and crude neem oil with each having an oil length of 64.5%. This was synthesized using two stage process namely alcoholysis followed by polyesterification process. 300g of (ENO) and (CNO) each was used in preparing the two resins. The chemical additives used and its percentage compositions are the same as seen in Table 2.

•	ruore 2. rereentuge comp	solution of the unity a res	
	Raw materials	Weight (gram)	Weight (%)
	(ENO) or (CNO)	300	64.5
	Phthalic Anhydride	95	20.4
	Glycerol	70	15.1
	Total	465	100

124Table 2: Percentage Composition of the alkyd resin Constituents

125

126

127 **2.3.1** Procedure for alkyd resin synthesis

128 Stage 1 (alcoholysis):

In this stage, two separate monoglycerides were first prepared from (ENO) and 129 (CNO) one after the other by reacting 300g of each oil with 70g of glycerol and 130 0.2% NaOH (w/w) in a reactor equipped with reflux condenser and mechanical 131 stirrer arrangement and nitrogen in-let (scheme 1). This was allowed to stir for 132 30 min at agitation speed of 800rpm at room temperature in order to help 133 achieve good mixture. The oil was then heated to 250°C with agitation speed of 134 700rpm and N_2 sparging rate of about 0.05ft³/sec. The reaction was continued 135 until a sample of the reaction mixture became soluble in one to three 1:3 136 volumes of anhydrous methanol. After completing the alcoholysis, the reaction 137 mixture was cooled to 140°C. 138

139

140 Stage 2 (polyesterification)

In the second stage, 95g of phthalic anhydride was added to each of the 141 monoglyceride mixture formed from (ENO) and (CNO) in the alcoholysis 142 process. The temperature was increased to 250°C and was maintained at that 143 temperature, while the sparging rate of the N_2 was increased to 0.1ft³/sec. 144 Aliquots were withdrawn periodically from the reaction mixture at intervals of 145 30, 60, 90, 120, 150 min to determine the drop in acid value using titrimetric 146 147 method. The reaction was quenched when the acid value dropped to 12.54mgKOH/g and 15.89mgKOH/g respectively for epoxidized and crude 148 149 neem oil modified alkyd resins. The acid value of in-process samples taken at intervals were determined by titrating with a 0.1M KOH solution to the 150 151 phenolphthalein end point after dissolution in a mixture of toluene and ethanol 152 (1:1), American oil chemist society (AOCS) Cd 3d-63. 2009) test method. This was used to estimate the average degree of polymerization (Dp) and extent of 153 esterification reaction (P_A) (Energy conversion and management 2015) and 154 (Process safety and environmental protection 2015). See equations below. 155

156

157
$$D_P = (1 - P_A)^{-1} \dots Eq(1)$$

158
$$P_A = (C_0 - C_t) / C_0 - Eq(2)$$

159 Where $P_A = extent of esterification reaction$

- 160 $D_P = Average degree of polymerization$
- 161 $C_t = Acid value after time (t) of reaction$
- 162 C_0 = Initial acid value

163 164

Acid Value Determination

Acid value = $M \times Tv \times 56.1/W....Eq(3)$ 165 166 Where M= Concentration of potassium hydroxide (KOH) 167 Tv= Titre value of KOH 168 W= Weight of oil in gram and 56.1g is the molar mass of KOH 169 170 From equation (1) and (2), the average degree of polymerization (D_P) and the 171 extent of esterification reaction (P_A) for crude neem oil-modified alkyd resin 172 (CNOMAR) and epoxidized neem oil modified alkyd resin (ENOMAR) are 173 calculated, while equation (3) was used to estimate the acid values of each 174 175 aliquot sample.

176

177 3.0 RESULTS AND DISCUSSIONS

The alkyd resins were synthesized using two stage processes; the first stage is 178 the alcoholysis process involving the reaction between glycerol and triglyceride 179 from (ENO) and (CNO) which results to the formation of glyceride mixtures of 180 mono or diglyceride compound depending on percentage composition of the 181 reactants and extent of the alcoholysis reaction as shown in scheme 1. The 182 183 second stage was followed, which is the polyesterification reaction process involving the reaction between the glyceride mixtures with phthalic anhydride 184 to form a polyester polymer known to be alkyd resin as shown in scheme 2. The 185 results of (D_p) , (P_A) and acid value for both resins with reaction time are shown 186 in tables 3, 4, 5 and 6. The average degree of polymerization (D_P) which is 187 defined as the average number of units per polymer chain was determined using 188 acid values obtained at different time interval (Eq 1). The average degree of 189 polymerization (D_P) values of (*CNOMAR*) and (*ENOMAR*) are shown in tables 190 3 and 4. A plot of $1/(1-P_A)$ versus time of reaction is linear and this behavior 191 was generally observe in polyesterification reaction (Odion., 2004). The effect of 192 karawila seed oil on the degree of cross linkage in soybean oil modified alkyd 193 resin was discussed by (Amarasinghe et al 2012). As the reaction time proceeds 194 195 from 30-150 min, there is a cross linkage between phthalic anhydride and the fatty acid monoglyceride (scheme 2) resulting to gradual increase in D_P and P_A . 196 This was evidently noticed by a drop in acid value as the reaction proceeds. The 197 gradual decrease in acid value with time is an indication that phthalic anhydride 198 199 (dibasic) is gradually being consumed during the polymerization process. The 200 results show that the average degree of polymerization (D_P) of (ENOMAR) and 201 (CNOMAR) increases with increase in reaction time. Maxima values of 4.00 and 3.65 after reaction period of 150 min were obtained as degree of polymerization 202 203 (D_P) for *(ENOMAR)* and *(CNOMAR)* respectively. Tables 5 and 6 shows that

the extent of esterification reaction (P_A) or it percentage conversion ($\ensuremath{\%} P_A$) between (ENOMAR) and (CNOMAR) increase with increase in reaction time. Maxima value of 75.0% and 72.6% were obtained as percentage extent of esterification (%P_A) for (ENOMAR) and (CNOMAR) respectively. It can be inferred that the presence of epoxy functional group in neem oil may have positively affected the average degree of polymerization (D_P) and extent of esterification reaction (% P_A). As depicted in tables 5 and 6, the (D_P) and (% P_A) values of the epoxidized neem oil-modified alkyd resin (ENOMAR) are higher than those of crude neem oil-modified alkyd resin (CNOMAR).

Table 3: Acid Value of Crude Neem Oil-Modified Alkyd Resin (CNOMAR) with their

214	Corresponding Degree of Polymerization (D _P) and Reaction Time.
215	Initial acid value (C_0) = 58.69 mgKOH/g

mitial actu value $(C_0) = .$	Jo.09 mgKOH/g	
Reaction time (mins)	Acid Value (mgKOH/g)	Degree of Polymerization (Dp)
30	50.50	1.162
60	44.88	1.308
90	28.05	2.092
120	22.44	2.615
150	16.09	3.647

Table 4: Acid Values of Epoxidized Neem Oil-Modified Alkyd Resin (ENOMAR) with their Corresponding Degree of Polymerization (D_P) and Reaction Time.

Initial Acid Value is $(C_0) = 51.94 \text{ mgKOH/g}$

	j = 51.5 + mgROH/g	
Reaction time (mins)	Acid Value (mgKOH/g)	Degree of Polymerization (Dp)
30	43.06	1.206
60	27.82	1.867
90	20.10	2.583
120	17.82	2.915
150	13.01	4.000

Table 5: Values of Extent of Esterification Reaction (P_A), Percentage Extent of Esterification

Reaction (%P_A) and Acid Value with reaction time for Epoxidized Neem Oil-Modified Alkyd

Resin (ENOMAR).

Reaction	time	Extent of esterification	% extent	of	Acid	value
(mins)		(P _A)	esterification (%P _A)	(mgKOH/g)	
30		0.171	17.1		43.06	
60		0.464	46.4		27.82	
90		0.613	61.3		20.10	
120		0.657	65.7		17.82	
150		0.750	75.0		13.01	

230 Table 6: Values of Extent of Esterification Reaction (P_A), Percentage Extent of Esterification

231	Reaction (%PA) and Acid Value with Reaction Time for Epoxidized Neem Oil-Modified
232	Alkyd Resin (CNOMAR).

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Reaction	time	Extent of esterification	%	extent	of	Acid	value
(mins)		(P _A)	este	rification (%P	' _A)	(mgKOH/g)	
30		0.140		14.0		50.50	
60		0.235		23.5		44.88	
90		0.522		52.2		28.05	
120		0.618		61.8		22.44	
150		0.726		72.6		16.09	

233

234 **3.1 Statistical Analysis**

Table 7: ANOVA Table Comparing Degree of Polymerization (D_p) between (ENOMAR) and (CNOMAR)

236 (CNOMAR)

Source	df	Sum of square (SS)	Mean square (MS)	F_{v}	F _c
Treatment	2-1 =1	0.265	0.265	0.237	5.530
Error sum of square (ESS)	10-2 = 8	8 8.930	1.116		
Total sum (TS)	9	9.200			

237 α =0.05, confidence limit (95%)

238

Tables 3 and 4 shows decrease in acid values with increase in reaction time for 239 (ENOMAR) and (CNOMAR) during the polyesterification reaction. The 240 decrease in acid values follows the usual polyesterification reaction where the 241 rate of polymerization is synonymous with the rate of monomer consumption 242 and product formation; hence polymers are formed by step-wise means while 243 the monomer vanishes gradually. Minimum acid values of 13.01mgKOH/g and 244 16.09mgKOH/g were recorded for (ENOMAR) and (CNOMAR) respectively 245 after reaction time of 150 min. The decrease in acid values as reaction 246 progresses is as a result of the reaction between the dibasic acid (phthalic 247 anhydride) with the monoglyceride to form linear polyester (alkyd resin) as 248 shown in scheme 2. This implies that as the reaction progresses, the phthalic 249 anhydride which is highly acidic is gradually being consumed during the 250 polyesterification reaction to form polyester, hence a drop in acid value with 251 reaction time is observed as shown in tables 5 and 6 for both resins. Molecule of 252 253 phthalic anhydride reacts with hydroxyl functionality in monoglyceride and 254 connects to form chain compound which is a complex branched network 255 structure. Comparing the rate of consumption of phthalic anhydride between 256 (ENOMAR) and (CNOMAR), results from tables 5 and 6 shows that throughout the period of reaction for both resins, the rate of monomer consumption is 257 higher in (ENOMAR) compared to (CNOMAR). This is evidently seen from the 258 acid values result obtained from aliquot sample of both resins, which shows less 259

acid value for (ENOMAR) than (CNOMAR). This specific chemical behavior of (ENOMAR) is attributed to the presence of epoxy functionality which has enhanced the consumption rate of phthalic anhydride during the polyesterification reaction. Table 7 depicts the statistical analysis of the resins, comparing the degree of polymerization (D_p) between (ENOMAR) and (CNOMAR). Assessments of the results indicate that there is a significant difference in their degree of polymerization (D_p).

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269 Fig 1

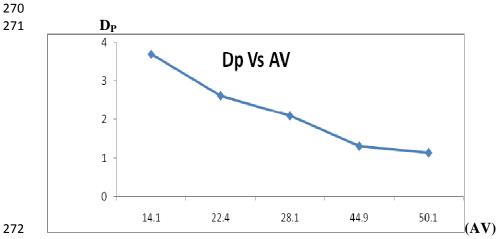
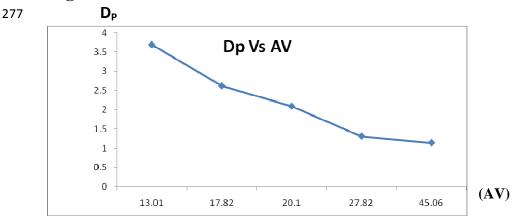
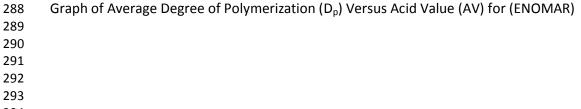


Fig I: Graph of Average Degree of Polymerization (D_p) Versus Acid Value (AV) for (CNOMAR)

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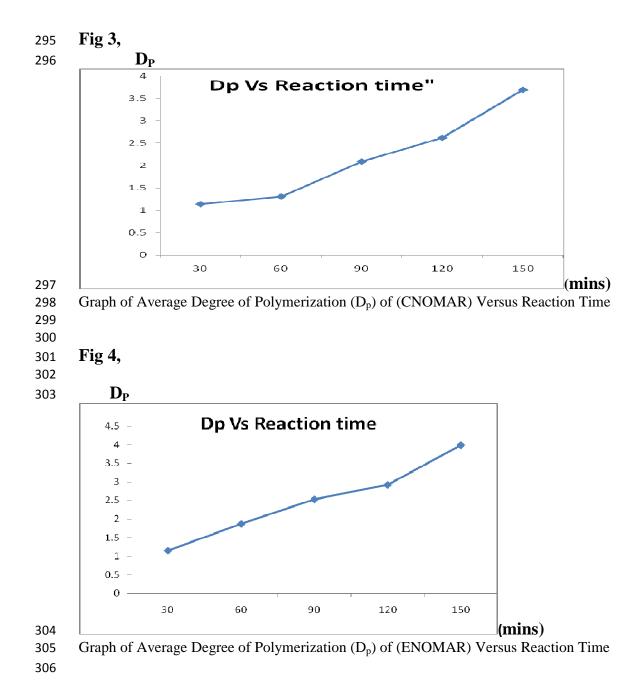


Fig 1 and 2 are graphs showing the degree of polymerization (D_P) versus acid 307 value (AV) for both (CNOMAR) and (ENOMAR) respectively. The result from 308 309 both graphs shows that (D_P) increases as the acid value (AV) decreases. However, the decreasing effect of the acid value is more pronounced in 310 (ENOMAR) compared to (CNOMAR), which reveals that phthalic anhydride is 311 being consumed and polyesterification reaction is much more in (ENOMAR). 312 This is an indication that shows that the rate at which the monomer (phthalic 313 anhydride) is consumed with time is higher in (ENOMAR) and that 314 polyesterification reaction is faster in (ENOMAR). Fig 3 and 4 represents the 315

graphs of degree of polymerization (D_P) versus reaction time for (CNOMAR) and (ENOMAR). Both graphs also show increase in (D_P) with reaction time.

Again, results indicate that (ENOMAR) polymerizes faster than (CNOMAR).

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320 **4. CONCLUSION**

321 Neem oil was epoxidized using per acid generated in-situ. The epoxidized neem oil (ENO) and crude neem oil (CNO) was reacted separately with glycerol and 322 phthalic anhydride at 250°C to investigate the polyesterification reaction of both 323 resins. Assessment of the results obtained from comparing the polyesterification 324 reaction between (ENOMAR) and (CNOMAR) reveals that polymerization 325 reaction was faster in epoxidized neem oil (ENO) compare to crude neem oil 326 (CNO). This buttresses that epoxy functionality enhances polymerization, an 327 attribute that contributes to curing tendencies of resins. 328

329

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