Original Research Article 1 2 3 **COMPARATIVE ANALYSIS OF POLYESTERIFICATION REACTION ON CRUDE AND EPOXIDIZED NEEM OIL-MODIFIED ALKYD** 4 RESIN 5 ABSTRACT 6 A portion of neem oil was epoxidized using per-acid generated in-situ. 7 Polyesterification of crude neem oil (CNO) and epoxidized neem oil (ENO) was 8 carried out using glycerol and phthalic anhydride to synthesize two different 9 alkyd resins; crude neem oil modified alkyd resin (CNOMAR) and epoxidized 10 neem oil modified alkyd resin (ENOMAR) with oil length of 64.5% each. 11 Setting reaction temperature at 250°C, sample were withdrawn from the reaction 12 mixture at interval of 30mins for a period of 150mins to determine the drop in 13 acid value and was use to obtain a relationship with the degree of 14 polymerization (D_P) and extent of esterification (P_A) for both resins. Minimum 15 acid values of 13.01mgKOH/g and 16.09mgKOH/g were recorded after a 16 17 reaction period of 150mins for (ENOMAR) and (CNOMAR) respectively. Maxima value of 4.000, (75.0%) and 3.647, (72.6%) were recorded after 18 150mins as average degree of polymerization (D_P) and percentage extent of 19 20 esterification (%P_A) for (ENOMAR) and (CNOMAR) respectively. Statistical

esterification (%P_A) for (ENOMAR) and (CNOMAR) respectively. Statistical analysis using ANOVA table to compare the degree of polymerization between (ENOMAR) and (CNOMAR) gave F_V=0.372 and F_C= 5.530. The result of polyesterification (P_A) and degree polymerization reaction (D_P) for both resins reveals that (ENOMAR) has faster rate of polymerization compared to (CNOMAR).

26 Keyword; Alkyd resin; Extent of esterification; Degree of polymerization and Polyesterification

27 **1. INTRODUCTION**

Alkyd resins are tough resinous polyester polymer prepared by condensation 28 polymerization of polyhydric alcohol and poly-functional acid or polybasic acid 29 modified with fatty acid or their triglyceride. Many researchers have made an 30 attempt to define alkyd resin over the years. (Bobalek et al. 1964) defines alkyd 31 resin as the reaction product of polyhydric alcohol and poly-functional acid 32 modified by fatty acid or their triglyceride. (Ikhuoria et al 2004) also define 33 alkyd resin as products of poly-condensation reaction between polybasic acid 34 and polyhydric alcohol modified with fatty acid or drying oil, while (Lanson 35 1985) define alkyd resin as unsaturated polyesters, in which the un-saturation is 36 located at chain ends instead of within the polymer chain. It is estimated that 37 alkyd resins contribute about 70% to the conventional binders used in surface 38

coating today. Surface coatings composition is basically made up of the binder 39 (alkyd resin), pigments and solvents (Wicks et al., 1992) and act mostly as a 40 protective and decorative agent on the surface of the substrate applied. Alkyd 41 resin is known to be the most versatile resin forming reaction. In fact, no other 42 resinous polymer lends itself to greater internal variation or to more useful 43 modification by physical or chemical blending with other polymers (Panda, 44 2000). Several seed oils have also been used in the synthesis of different 45 polymeric resins like polyester, epoxy, polyurethane, polyamide etc (Aigbodion 46 and Pillai, 2001). The fatty acids from vegetable oil contain suitable 47 functionalities in their backbone such as esters, triple and double bonds either 48 conjugated or non-Conjugated (Larock et al., 2009) and other functional groups 49 that can undergo several chemical modifications. There are two most important 50 methods used in alkyd resin synthesis notably fatty acid and glycerides 51 (alcoholysis) process. Fatty acid process is used when high performance alkyds 52 are needed and this is achieved because the composition of the resulting resin 53 can be more precisely controlled during preparation. The glycerides process is 54 used to prepare more economical alkyd resins, where the end product quality 55 control is not as paramount. This two methods involve a two steps process 56 namely alcoholysis and trans-esterification reaction as shown in scheme 1 and 2 57 below. The objective of this work is aimed at determining and comparing the 58 extent of esterification (P_A) and degree of polymerization (D_P) between 59 epoxidized neem oil modified alkyd resin (ENOMAR) and crude neem oil 60 modified alkyd resin (CNOMAR). (Aigbodion & Okiemen 1996), studied the 61 kinetics of poly-esterification reaction of rubber seed oil. 62

- 63 64
- SCHEMATIC ROUTE OF ALKYD RESIN FORMATION



65 (scheme. 1) schematic route of mono-glyceride formation (alcoholysis)



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67 (scheme.2) Schematic route of alkyd resin formation (Poly-esterification
 68 reaction)

69 2. MATERIALS AND METHODS

70 **2.1 Materials**

The neem seed was obtained and extracted in national research institute for 71 chemical technology (NARICT) Zaria, Kaduna State. Technical grade reagents 72 73 of hydrogen peroxide, wijs, solution, acetic acid, carbon tetrachloride, sulphuric 74 acid (98%), sodium thiosulphate, potassium iodate, phthalic anhydride, glycerol, styrene, zinc oxide, nitrogen gas and other reagents were all of 75 76 analytical grade from (BDH). The glassware and equipment used were 500ml three neck round bottom flask, dean and stark flask, water bath, glass plates, 77 mechanical stirrer, thermometer, reflux condenser, heating mantle, dropping 78 funnel and FTIR-8400S SHIMADZU. 79

80 **2.2 Methodology**

The pretreatment of neem seed was carried out by first de-shelling and isolating 81 the seed, this was followed by washing and drying the seed before extraction 82 83 using cool press method to obtain crude neem oil (CNO). The following method was adopted in the epoxidation process of crude neem oil (CNO). About 300g 84 of (CNO) was introduced into 500ml three necked round bottom flask fitted 85 with reflux condenser and mechanical stirrer and placed in a water bath. The 86 87 temperature was regulated to 40°C and the flask allowed to stand for 5min while stirring at constant speed of 500rpm before adding about 0.1% (v/w) 88 concentrated sulphuric acid to oil weight. In a separate flask (conical flask); 89 solution of per-acetic acid was prepared by mixing 14.82g of acetic acid and 90 91 118.98g of hydrogen peroxide. This solution was added drop-wise to the heated

neem oil at a constant rate over a period of one hour. The temperature was 92 raised to 55°C while still stirring at 500rpm for fine dispersion. This was 93 allowed to stand for 6hrs while maintaining constant temperature of 55°C and 94 stirring speed of 500rpm. During the reaction period, oxirine oxygen content 95 test (OOC) was periodically carried out using AOCS Cd 9-57 test method to 96 ascertain the degree of epoxidation. After having about 85% of (OOC) test, the 97 reaction was quenched and the mixture transferred to a separating funnel where 98 it was allowed to stand for 24hr. The epoxidized neem oil (ENO) was separated 99 100 by decanting off the aqueous phase.

2.3 Synthesis of Alkyd Resin from the Epoxidized Neem Oil (ENO) and Crude Neem Oil (CNO).

Two different resins were prepared from the epoxidized and crude neem oil with each having an oil length of 64.5%. This was synthesized using two stage process namely alcoholysis followed by polyesterification process. Table 1 and below shows the percentage composition of *(ENOMAR)* and *(CNOMAR)*

107 constituents.

108 Table 1: The Percentage Composition of (ENOMAR) Constituents

Raw materials	Weight (gram)	Weight (%)
(ENO)	300	64.5
Phthalic Anhydride	95	20.4
Glycerol	70	15.1
Total	465	100

109

110 Table 2: The Percentage Composition of (CNOMAR) Constituents

Raw materials	Weight (gram)	Weight (%)
(ENO)	300	64.5
Phthalic Anhydride	95	20.4
Glycerol	70	15.1
Total	465	100

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112 **2.4 Procedure for alkyd resin synthesis**

113 Stage 1 (alcoholysis):

In this stage, two separate monoglycerides were first prepared from (ENO) and (CNO) one after the other by reacting 300g of each oil with 70g of glycerol and 0.2% NaOH (w/w) in a reactor equipped with reflux condenser and mechanical stirrer arrangement and nitrogen in-let (scheme 1). This was allowed to stir for 30mins at agitation speed of 800rpm at room temperature in order to help achieve good mixture. The oil was then heated to 250°C with agitation speed of (700 rpm) and N₂ (nitrogen) with sparging rate of about (0.05ft³/sec). The

reaction continued until a sample of the reaction mixture became soluble in one to three (1:3) volumes of anhydrous methanol. After completing the alcoholysis, the reaction mixture was cooled to 140°C.

124 *Stage 2 (trans-esterification)*

In the second stage, 95g of phthalic anhydride was added each to the 125 monoglyceride mixture formed from (ENO) and (CNO) produced from the 126 127 alcoholysis process. The temperature was increased between to 250°C and maintain at that temperature, while the sparging rate of the N₂ was increased to 128 $(0.1 \text{ft}^3/\text{sec})$. Aliquots were withdrawn periodically from the reaction mixture at 129 130 intervals of 30, 60, 90, 120, 150 mins to determine the drop in acid value using titrimetric method. The reaction was quenched when the acid value dropped to 131 132 12.54mgKOH/g and 15.89mgKOH/g respectively for epoxidized and crude neem oil modified alkyd resins. The acid value of in-process samples taken at 133 134 intervals were determined by titrating with a 0.1M KOH solution to the phenolphthalein end point after dissolution in a mixture of toluene and ethanol 135 (1:1) (Bobalek et al., 1964). This was used to estimate the average degree of 136 polymerization (Dp) and extent of esterification reaction (P_A) (Bobalek et al., 137 1964), (Odian., 2004) and (Fried., 2003). See equations below. 138

139
$$D_P = (1 - P_A)^{-1} \dots Eq(1)$$

- 140 $P_A = (C_0 C_t) / C_0 Eq(2)$
- **141** Where P_A = extent of esterification reaction
- $\mathbf{D}_{\mathbf{P}} = \text{Average degree of polymerization}$
- 143 C_t = Acid value after time (t) of reaction
- $\frac{144}{C_0=}$ Initial acid value

145 Acid Value Determination

- 146 Acid value = $M \times Tv \times 56.1/W....Eq(3)$
- 147 Where M= Concentration of potassium hydroxide (KOH)
- 148 Tv= Titre value of KOH
- 149 W= Weight of oil in gram and 56.1g is the molar mass of KOH

From equation (1) and (2), the average degree of polymerization (D_P) and the extent of esterification reaction (P_A) for crude neem oil-modified alkyd resin (CNOMAR) *and* epoxidized neem oil modified alkyd resin (ENOMAR) are calculated, while equation (2) is use to estimate the acid values of each aliquot sample.

155 **3.0 RESULTS AND DISCUSSIONS**

The alkyd resins were synthesized using two stage processes; the first stage is 156 the alcoholysis process involving the reaction between glycerol and triglyceride 157 158 from (ENO) and (CNO) which results to the formation of mixtures of mono or di-glyceride compound depending on percentage composition of the reactants 159 160 and extent of the alcoholysis reaction as shown in scheme 1. The second stage 161 then followed, which is the trans-esterification reaction process involving the 162 reaction between the glyceride mixtures with phthalic anhydride to form a polyester polymer known to be alkyd resin as shown in scheme 2. The results of 163 (D_p) , (P_A) and acid value for both resins with reaction time are shown in tables 164 3, 4, 5 and 6. The average degree of polymerization (D_P) which is defined as the 165 average number of units per polymer chain was determined using acid values 166 obtained at different time interval (Eq 1). The average degree of polymerization 167 (D_P) values of (CNOMAR) and (ENOMAR) are shown in tables 3 and 4. A plot 168 of $1/(1-P_A)$ versus time of reaction is linear and this behavior was generally 169 170 observe in poly-esterification reaction (Odion., 2004). The results show that the average degree of polymerization (D_P) of (ENOMAR) and (CNOMAR) increases 171 with increase in reaction time. Maxima values of 4.00 and 3.65 after reaction 172 period of 150min were obtained as degree of polymerization (D_P) for 173 174 (ENOMAR) and (CNOMAR) respectively. The tables 5 and 6 also show that the extent of esterification reaction (P_A) or percentage conversion ($\ensuremath{\%} P_A$) between 175 (ENOMAR) and (CNOMAR) increase with increase in reaction time. Maxima 176 value of 75.0% and 72.6% were obtained as percentage extent of esterification 177 reaction ($\[mathcal{P}_A\]$) for (ENOMAR) and (CNOMAR) respectively. It can be inferred 178 that the presence of epoxides functional group in neem oil may have positively 179 affected the average degree of polymerization (D_P) and extent of esterification 180 reaction (% P_A). As depicted in tables 5 and 6, the (D_P) and (% P_A) values of the 181 epoxidized neem oil-modified alkyd resin (ENOMAR) are higher than those of 182 183 neem oil-modified alkyd resin (CNOMAR).

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190 Table 3: Shows Acid Value of Crude Neem Oil-Modified Alkyd Resin (CNOMAR) with

191 their Corresponding Degree of Polymerization (D_P) and Reaction Time.

minutation with $(c_0) = c_0$		
Reaction time (mins)	Acid Value (mgKOH/g)	Degree of Polymerization (Dp)
30	50.50	1.162
60	44.88	1.308
90	28.05	2.092
120	22.44	2.615
150	16.09	3.647

192 Initial acid value $(C_0) = 58.69 \text{ mgKOH/g}$

193

194 Table 4: Shows Acid Values of Epoxidized Neem Oil-Modified Alkyd Resin (ENOMAR)

195 with their Corresponding Degree of Polymerization (D_P) and Reaction Time.

Initial Acid Value is $(C_0) = 51.94 \text{ mgKOH/g}$ 196 Reaction time (mins) Degree of Polymerization (Dp) Acid Value (mgKOH/g) 30 43.06 1.206 60 27.82 1.867 90 20.10 2.583 120 17.82 2.915

13.01

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198 Table 5: Values Showing Extent of Esterification Reaction (PA), Percentage Extent of

Esterification Reaction (%P_A) and Acid Value with reaction time for Epoxidized Neem Oil Modified Alkyd Resin (ENOMAR).

Reaction	time	Extent of esterification	% extent of	Acid value
(mins)		(P _A)	esterification (%P _A)	(mgKOH/g)
30		0.171	17.1	43.06
60		0.464	46.4	27.82
90		0.613	61.3	20.10
120		0.657	65.7	17.82
150		0.750	75.0	13.01

4.000

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202 Table 6: Values Showing Extent of Esterification Reaction (P_A), Percentage Extent of

Esterification Reaction (%P_A) and Acid Value with Reaction Time for Epoxidized Neem Oil Modified Alkyd Resin (CNOMAR).

Reaction	time	Extent of esterification	% extent of	Acid value
(mins)		(P _A)	esterification (%P _A)	(mgKOH/g)
30		0.140	14.0	50.50
60		0.235	23.5	44.88
90		0.522	52.2	28.05
120		0.618	61.8	22.44
150		0.726	72.6	16.09

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208 **3.1 Statistical Analysis**

Table 7: ANOVA Table Comparing Degree of Polymerization (D_p) between (ENOMAR) and
 (CNOMAR)

Source	df Su	m of square (SS)	Mean square (MS)	F_{v}	F _c
Treatment	2-1 =1	0.265	0.265		0.237
5.530					
Error sum of square (ESS)	10-2 = 8	8.930	1.116		
Total sum (TS)	9	9.200			

211 α =0.05, confidence limit (95%)

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Tables 3 and 4 also shows decrease in acid values with increase in time for 213 (ENOMAR) and (CNOMAR) during the polyesterification reaction. The 214 decrease in acid values follows the usual polyesterification reaction where the 215 rate of polymerization is synonymous with the rate of monomer consumption 216 217 and product formation, hence polymers are formed by step-wise means while the monomer vanishes gradually. Minimum acid values of 13.01mgKOH/g and 218 219 16.09mgKOH/g were recorded for (ENOMAR) and (CNOMAR) respectively after reaction time of 150mins. The decrease in acid values as reaction 220 progresses may be as a result of the reaction between the diabasic acid (phthalic 221 222 anhydride) with the monoglyceride to form linear polyester (alkyd resin) as shown in scheme 2. This implies that as the reaction progresses, the phthalic 223 anhydride which is highly acidic is gradually being consumed in the 224 225 esterification reaction to form polyester, hence results to the reduction of acid 226 value with reaction time as shown in tables 5 and 6 for both resins. Molecule of 227 the phthalic anhydride reacts with hydroxyl functionality in monoglyceride and 228 connects to form chain compound which is a complex branched network 229 structure. Comparing the rate of consumption of phthalic anhydride between (ENOMAR) and (CNOMAR), results from tables 5 and 6 shows that throughout 230 the period of reaction for both resins, the rate of monomer consumption is 231 higher in (ENOMAR) compared to (CNOMAR). This is evidently seen from the 232 acid values result obtained from aliquot sample of both resins, which shows less 233 acid value for (ENOMAR) than (CNOMAR). This specific chemical behavior 234 of (ENOMAR), is attributed to the presence of epoxides which has aids in easy 235 consumption of the phthalic anhydride during the polyesterification reaction. 236 Table 7 shows the statistical analysis of the resin comparing degree of 237 polymerization (D_p) between (ENOMAR) and (CNOMAR). Assessments of the 238 results indicate that there is a significant difference in their degree of 239 polymerization (D_P) . 240 241



Fig 2: Graph of Average Degree of Polymerization (D_p) Versus Acid Value (AV) for (ENOMAR)
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Fig 7: Graph of Extent of Esterification Reaction (P_A) Versus Acid Value (AV) for(CNOMAR).





Fig 8: Graph of Extent of Esterification Reaction (P_A) Versus Acid Value (AV) for(ENOMAR)

Fig 1 and 2 are graphs showing the degree of polymerization (D_P) versus acid value (AV) for both (CNOMAR) and (ENOMAR) respectively. The result from both graphs shows that (D_P) increases as the acid value (AV) decreases. However, the decreasing effect of the acid value is more pronounced in

(ENOMAR) compared to (CNOMAR), which shows that more phthalic 325 anhydride is being consumed and that polyesterification reaction is much more 326 in (ENOMAR). Fig 3 and 4 represents the graphs of degree of polymerization 327 (D_P) versus reaction time for (CNOMAR) and (ENOMAR). Both graphs also 328 show increase in (D_P) with reaction time. Again, results show that (ENOMAR) 329 While fig 5 and 6 are graphs of polymerizes faster than (CNOMAR). 330 percentage conversion or percentage extent of esterification $(\%P_A)$ versus 331 reaction time for (CNOMAR) and (ENOMAR), which shows increase in $(%P_A)$ **332** 333 with reaction time for both resins, but it was observed that the increasing effect of $(\%P_A)$ is more on (ENOMAR) and buttresses that percentage conversion rate 334 of phthalic anhydride is higher in (ENOMAR) compared to (CNOMAR). Fig 7 335 and 8 is a graph showing the relationships between extent of esterification (P_A) 336 versus acid value (AV) for (CNOMAR) and (ENOMAR) respectively. The 337 results from the graphs show that the acid value (AV) decreases with increase in 338 (P_A) and also show the decreasing rate of acid value (AV) in (ENOMAR) which 339 is higher compare to (CNOMAR). This is also an indication that shows that the 340 rate at which the monomer (phthalic anhydride) is consumed with time is higher 341 in (ENOMAR) and that polyesterification reaction is faster in (ENOMAR). 342

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344 4. CONCLUSION

Neem oil was epoxidized using per-acid generated in-situ. The epoxidized neem 345 346 oil (ENO) and crude neem oil (CNO) was reacted separately with glycerol and phthalic anhydride at 250°C to investigate the polyesterification reaction of both 347 resins. Assessment of the results obtained from comparing the polyesterification 348 reaction between (ENOMAR) and (CNOMAR) reveals that polymerization 349 reaction was faster in epoxidized neem oil (ENO) compare to crude neem oil 350 (CNO). This buttresses that epoxy functionality enhances polymerization, an 351 352 attribute that contributes to curing tendencies of resins.

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