2 Genetic Diversity of Selected Upland Rice Genotypes

3

(*Oryza sativa* L.) for Grain Yield and Related Traits.

4 ABSTRACT

5 Seventy-seven upland rice genotypes including popular cultivars in Nigeria and introduced varieties selected from across rice-growing regions of the world were evaluated under optimal 6 upland ecology. These genotypes were characterised for 10 traits and the quantitative data 7 subjected to Pearson correlation matrix, Principal Component Analysis and cluster analysis to 8 9 determine the level of diversity and degree of association existing between grain yield and its 10 related component traits. Yield and most related component traits exhibited higher PCV 11 compared to growth parameters. The yield had the highest PCV (41.72%) while all other parameters had low to moderate GCV. Genetic Advance (GA) ranged from 9.88% for plant 12 height at maturity to 41.08% for yield. High heritability estimates were recorded for 1000 grain 13 weight (88.71%), days to 50% flowering (86.67%) and days to 85% maturity (71.98%). 14 Furthermore, grain yield showed significant positive correlation with days to 50% flowering and 15 number of panicles m⁻². Three cluster groups were obtained based on the UPGMA and the first 16 three principal components explained about 64.55% of the total variation among the 10 17 characters. The PCA results suggests that characters such as grain yield, days to flowering, leaf 18 area and plant height at maturity were the principal discriminatory traits for this rice germplasm 19 indicating that selection in favour of these traits might be effective in this population and 20 environment. 21

22 Keywords: Cluster analysis; Germplasm; Heritability; secondary traits; UPGMA

23

25 1. INTRODUCTION

Rice (Oryza sativa L.) is an important cereal grown and consumed the world over to meet the 26 daily calorie needs of ever increasing world population mainly in Asia and Sub-Saharan Africa. 27 This important cereal is cultivated and consumed across Nigeria but its production is 28 characterized by poor yields resulting from use of low farm inputs and cultivation of unimproved 29 30 cultivars with poor yield potentials. Germplasm characterization and evaluation is a basic prerequisite for a successful breeding programme and can lead to the identification of traits with 31 high and appreciable association with yield [1,2]. Agro-morphological 32 heritability 33 characterization of germplasm is fundamental to provide the preliminary information for planning and initiating a breeding programme [3]. The amount of genetic variability present in a 34 germplasm is also essential to crop improvement and can be exploited by plant breeders for yield 35 improvement [4]. Until germplasm collections have been properly evaluated and their attributes 36 known to breeders, they have little practical use in crop genetic improvement. Thus, 37 understanding the genetic variability among genotypes in a germplasm collection serves as a 38 guide for parental selection aimed at hybridization to introgress desired traits into new cultivars 39 [5]. For selection to be effective there must be ample heritable genetic variability within the gene 40 41 pool under evaluation.

Traditional rice genotypes that still exist in the Nigeria upland rice ecosystems can provide the genetic diversity needed to develop improved high yielding rice varieties having farmers' preferred traits. Challenges involved with the improvement of upland rice landraces can be mitigated via the introduction of new genes from other rice genotypes, thereby creating wide genetic variation for selection of promising progenies with good adaptation and agronomic traits. Indirect selection for yield based on yield components is possible if the traits associated with

48 yield are highly heritable and positively correlated with yield. Heritability, either in the broad sense (H^2) or narrow sense (h^2) , of a trait is important in determining its response to selection. 49 This helps to estimate the degree of transmissibility of selected traits of interest to progenies 50 during a breeding programme [6]. Genetic advance has a direct relationship between heritability 51 and response to selection. High genetic advance with high heritability estimates offer the most 52 53 effective conditions for breeders to quickly select and advance desired traits in a population [7]. Rice plant is known to exhibit great morphological variation, particularly in vegetative and 54 reproductive traits such as plant height, leaf length, days to flowering, yield and associated yield 55 56 components [8]. The current study assessed the level of diversity and degree of association existing between grain yield and its related component traits to identify potential suitable traits 57 that might contribute to future upland rice genetic improvement programmes. 58

59 2. MATERIALS AND METHODS

60 2.1 Genetic materials and planting

The plant materials included 77 genotypes from diverse genetic backgrounds and origins (Table 61 1). The experiment was carried out at AfricaRice Centre, Ibadan, Nigeria during 2015 planting 62 season. Prior to sowing, seeds were incubated in the oven at 37 °C for 12 h to break seed 63 dormancy. Three seeds per hill were sown directly and thinned to two seedlings 21 days after 64 sowing (DAS) at a spacing of 0.2 m within and between rows. The design was 11 x 7 alpha 65 lattice with four replications with plot area of 1 m^2 having 25 stands per plot. A pre-drilling base 66 application of 200 kg ha⁻¹ of NPK (15-15-15) was made, followed by a total of 100 kg ha⁻¹ of 67 urea in two applications of 35 kg ha⁻¹ at 21 days after seeding and 65 kg ha⁻¹ at the panicle 68 69 initiation. Two manual weeding were carried out.

71 [Table 1 near here]

72 **2.2 Data Collection and Statistical Analyses**

Twelve uniform plants excluding the border rows in each plot were measured for plant height at 73 maturity, number of panicles per plant, tiller number at 60 days after sowing, average leaf area 74 and number of panicles per meter square. Each plot was recorded for heading date when 50% of 75 the plants in the plot were headed and days to 85% maturity according to the IRRI standard 76 evaluation system (SES). Grain yield per plot was sampled by cutting the matured plants from 77 the bottom (right above the soil surface). Plants sampled from each plot were put into a paper 78 bag and air dried inside the glass house for 5-7days. The dried samples were then threshed and 79 80 measured for total grain yield per plot and 1000 grain weight.

The data collected were subjected to statistical analysis using [9] and R statistical packages. The plot means for each character were subjected to analysis of variance using the method of [10]. Yield and its components were used to estimate the genotypic and phenotypic variances according to [11]. The variance components were used to compute the genotypic coefficient of variation (GCV), the phenotypic coefficient of variation (PCV), broad sense heritability and expected a genetic advance.

The genotypic variance (Vg), environmental variance (Ve), genotypic (GCV) and phenotypic (PCV) coefficient of variation components were computed using the formulae suggested by Burton (1952) as follows:

90 Genotypic variance: $Vg = \frac{MSG - MSE}{r}$

Where MSG is the mean square of accessions, MSE is mean square of error, and *r* is number ofreplications.

93 Phenotypic variance: Vp = Vg + Ve

- 94 where Vg is the genotypic variance and Ve is the mean squares of error.
- 95 Genotypic coefficient of variation (GCV): GCV % = $\frac{\sqrt{Vg}}{\bar{x}} \ge 100$
- 96 Phenotypic coefficient of variation (PCV): PCV % = $\frac{\sqrt{Vp}}{\bar{x}} \ge 100$
- 97 Where, GCV% = Genotypic coefficient of variation; Vg = Genotypic variance; PCV % =
- 98 Phenotypic Coefficient of variation; Vp = Genotypic variance;
- 99 Heritability (Broad sense): $H_b^2 = \frac{Vg}{Vp}$
- 100 Expected genetic advance (GA): GA% = K x Vp x h_B^2 x 100

101 Where, K = 2.06 at 5% selection intensity for trait; Vp = Phenotypic variance for trait; h_{B}^{2} =

102 Broad Sense heritability of the trait; Genetic advance as % age of mean is calculated as,

103 GA% = K x
$$\frac{\sqrt{vp}}{\bar{x}} x h^2_{B} x 100$$

Pearson's correlation coefficients were computed to evaluate the relationship among the observed variables and the data analyzed using Unweighted Paired Group Method based Centroids (UPGMC). Genetic relationships were also calculated and a dendrogram derived to show the morphological relatedness of the upland rice cultivars under study. Principal component analysis was employed to reveal the underlying sources of morphological variability within the germplasm.

110 3. RESULTS AND DISCUSSION

111 3.1 PCV, GCV, Genetic Advance and Heritability of Estimated Traits

The mean performance of 77 upland rice genotypes based on 10 yield related traits indicated significant variability among the rice germplasm evaluated with respect to most of the measured characters (Table 2). Yield and most of its component traits exhibited higher phenotypic coefficient of variation (PCV) compared to growth parameters such as plant height and leaf area. 116 Low to moderate PCV was recorded for most of the traits except for yield (Table 2). Yield had the highest PCV (41.72%) while number of panicles per plant had the lowest PCV. Most of the 117 characters in this experiment had low to moderate genotypic coefficient of variation (GCV). The 118 GCV ranged from 6.19% for days to maturity to 28.85% for yield. Phenotypic coefficient of 119 variation was higher than the GCV for all the observed parameters. The high phenotypic and 120 121 genotypic coefficient of variation observed for grain yield indicates the existence of sufficient variation among the genotypes for potential yield improvement through selection. This 122 123 observation is consistent with [17,18] but contradicts [19] who reported low PCV and GCV for 124 grain yield among 15 rice genotypes evaluated in eastern Nigeria. The extent of environmental influence on a particular trait is determined by the magnitude of the difference between PCV and 125 GCV. Slight differences indicate minimum influence of environmental effects and a higher role 126 of genetic effects in the expression of the given trait under consideration. The predominant role 127 of the environment in the expression of this trait might be attributed to the wide differences 128 observed between PCV and GCV. Moderate to low phenotypic and genotypic variances were 129 also exhibited for days to 50% flowering, 1000 seed weight and days to 85% maturity. These 130 results contradict [17] who reported high phenotypic and genotypic variances for 50% flowering 131 132 and 1000 seed weight. Minimum differences were recorded between GCV and PCV values for 1000 grain weight, days to flowering and number of panicles, indicating insignificant influence 133 of the environment. This is in accordance with [20,19] that reported the genetic control of days to 134 135 flowering and number of panicles in rice. Heritability estimates defined as the proportion of observed variation that can be attributed to genetic variances are known to be unique to the given 136 population under study and the growing environment [12]. The highest level of heritability 137 (88.71%) was seen for 1000 grain weight while lowest heritability estimates were observed for 138

139 number of tillers at 60 DAS (15.16%). High heritability in the broad sense recorded for 1000 seed weight, days to 50% flowering (86.67%), days to 85% maturity (71.98%), and number of 140 panicles (63.89%) shows that these traits can be easily selected phenotypically in the field during 141 a breeding programme. Genetic Advance (GA) ranged from 9.88% for plant height at maturity to 142 41.08% for yield. The average of GA values in growth traits was lower than the average of GA 143 144 values for yield and yield components (Table 2). Previous studies [8] had reported high heritability and genetic advance for yield, days to flowering, and flag leaf length-to-width ratio in 145 146 rice. Moderate to high estimates of heritability, GA and GCV observed for some of the traits 147 such as yield, 1000 seed weight and number of panicles could be explained by additive gene action. This suggests that genetic improvement of these traits can be achieved through mass 148 149 selection [21]. Traits such as plant height at maturity, tiller number at 60 DAS, number of filled grains and number of panicles, which exhibited low heritability estimates, are likely to be more 150 influenced by the environment and selection must be based on progeny tests or in later 151 generations of selfing. High heritability and genetic advance for grain yield had earlier been 152 reported [13,14,15]. Previous studies [16] suggested that high broad sense heritability; PCV and 153 GCV for yield and yield related traits are good predictors for high grain yield in crops. 154

155 [Table 2 near here]

156 **3.2 Genetic similarity among evaluated rice genotypes.**

The hierarchical cluster analysis based on 10 agronomic characters is presented in Fig. 1. Three major groups were observed among 77 upland rice genotypes based on multivariate analysis. Cluster 1 contained 28 genotypes or 36.36% of all the genotypes. Cluster 3 was made up of 26 genotypes (33.77%) while cluster 2, having 23 genotypes, was the smallest cluster. As previous study had reported [24], clustering of the genotypes showed minimum relationship between 162 geographic regions and diversity. Selecting parental materials from the diverse clustered groups identified in this study for hybridization might result in segregants with best combinations of 163 superior alleles for various traits such as high vield potential, increased number of panicles and 164 earliness. For instance, high yielding varieties such as IR 68704-145-1-1-B, CIRAD 3941 165 (cluster 1) and ITA 301 (cluster 2) could be deployed to significantly improve the grain yield of 166 167 low yielding cultivars like Ebonyi local, Igbemo Red, Igbemo White, Ofada 3 and Ofada 1 grouped in clusters 1 and 3. Since number of panicles per meter square positively associated with 168 grain yield, ITA 315 and ITA 117 from cluster 3 with the highest mean for this trait might be a 169 170 suitable parents for breeding programs aimed at increasing yield through indirect selection for increased number of panicles. 171

172 [Figure 1 near here]

3.3 Association between Traits

The relationships existing between 10 quantitative traits represented as correlation coefficients 174 are presented in Table 3. Grain yield was positively correlated with days to 50% flowering (r= 175 0.22), days to maturity (r= 0.21), number of panicles m^{-2} (r= 0.25) and number of tillers at 60 176 DAS (r= 0.13). Positive associations were also observed between days to maturity, leaf area 177 (r=0.18), and filled grain (r=0.17), while plant height showed positive significant correlation 178 with 1000SW (r= 0.22) and filled grain (r= 0.27). Thousand grain weight (1000GW) also 179 exhibited negative association with number of days to flowering (r = -0.26) and number of 180 panicle m^{-2} (r= -0.25) and had no association with plant yield (Table 3). The degree of 181 association among traits is an important factor when dealing with a complex character such as 182 183 yield that is controlled by many genes and highly influenced by the environment [10]. The positive significant phenotypic correlations between yield and some of the traits are indications 184

185 that selection in favour of these traits can lead to positive indirect selection for high grain yield [17]. The negative correlation observed between yield and some traits in this experiment 186 suggests that indirect selection of these traits for improved grain yield might not be successful. 187 Positive but low correlations between yield per meter square and days to flowering, days to 85% 188 maturity and number of panicles per meter square shows that selection in favour of these 189 190 parameters might lead to improved grain yield. Previous studies [19] reported high positive correlations between grain yield and all the quantitative characters studied except for days to 191 192 booting, days to 50% heading and unproductive tiller numbers among 15 rice genotypes in 193 Nigeria. Also, high positive correlations were reported for days to 50% flowering and plant height at maturity; number of grains per panicle and 1000 grain weight among 123 accessions of 194 195 *Oryza glaberrima* and *O. sativa* [23].

196 [Table 3 near here]

3.4 Principle Components and Scatter Plot of Genotypes

The principal components analysis (PCA) partly confirmed the results from cluster analysis. The 198 first three PCA accounted for 64.6% of total variation for which PC1 showed 27.6% of the 199 variation, PC2 and PC3 explained 24.93% and 12.02% of total variation, respectively (Table 3). 200 Grain yield, days to flowering and days to maturity had significant contributions to PC1 with 201 202 days to maturity having the highest weight. Leaf area, plant height at maturity and number of panicles were also among the highest contributors to the observed variation based on PC2 while 203 number of tillers at 45 days after sowing was the source of highest variations in PC3. Principal 204 205 component analysis measures the contribution of each component or independent impact of a 206 particular character to the total variance observed in a given population in relation to the traits of 207 interest to the breeder. PCA has been used to determine the optimum number of clusters [25], to

208 complement cluster analysis [26], and to investigate patterns of genetic diversity [27]. Principal component analysis was used to reduce the complexity of the data set and to partition the 209 observed variation within traits based on their degree of importance [28]. Days to 50% 210 flowering, days to 85% maturity and yield were the main principal discriminatory characteristics 211 of the studied germplasm. This indicates that resources and time can be saved by concentrating 212 213 on these characters during selection and advancement in this population. The results of this study are not in agreement with earlier reports [29] that leaf width, number of grains, and panicle 214 215 length contributed more to the variation among 39 lowland rice varieties evaluated in Nigeria. 216 This might be due the different rice ecologies (lowland and upland) under which these two studies were conducted. Using PCA, the numbers of traits controlling the observed phenotypic 217 variation within the germplasm collection were identified and this might be useful during 218 selection in a breeding programme. 219

220 [Table 4 near here]

Distribution of traits and genotypes based on the biplot analysis is presented in Figure 2. Genotypes 03 and 57 had the highest yield but also exhibited late flowering and maturity. The output from the biplot confirms the results obtained in the correlation analysis. Positive correlation was observed between yield, days to flowering, and days to maturity with stronger association exiting between yield and days to flowering than days to maturity (Figure 2). Weaker but positive correlation was seen between grain yield and number of filled grain.

227 [Figure 2 near here]

4. Conclusions

The results revealed significant diversity among 77 upland rice genotypes evaluated for morphological variability. Majority of the traits had high heritability and genetic advance. The 77 lines were clustered into three main groups with days to 85% maturity and yield as the main
discriminatory traits of the studied germplasm. The study showed that number of days to 50%
flowering and number of panicles m⁻² are the principal secondary traits that could be exploited
by breeders for indirect selection to achieve higher genetic gain in grain yield within this
germplasm.

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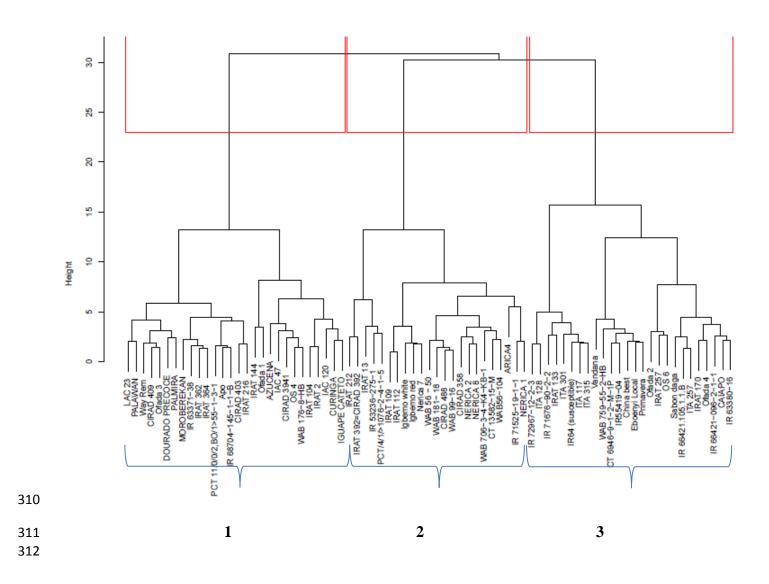
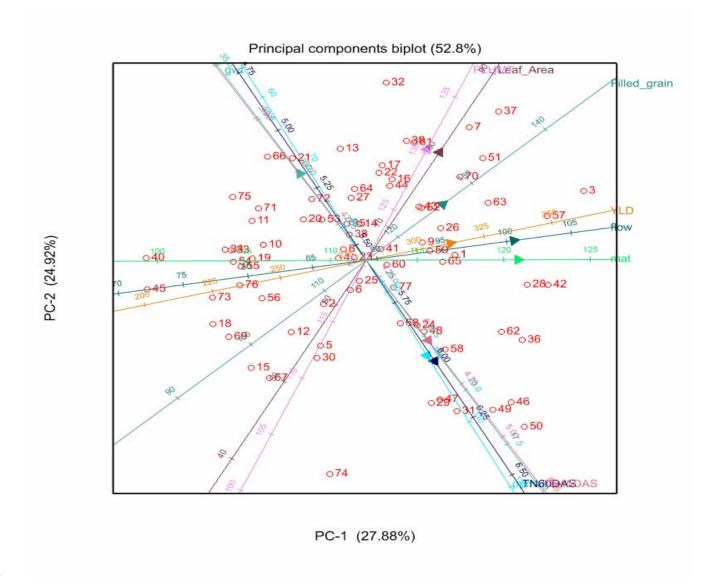


Fig 1 Dendrogram of 77 upland rice genotypes based on 10 morphological traits



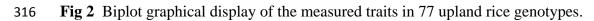


Table 1 List of rice germplasm and source	

S.No	Germplasm	Origin/Source	S.No	Germplasm	Origin/Source
1	AZUCENA	Philippines	40	MOROBEREKAN	Cote d'Ivoire
2	CAIAPO	Brazil	41	OS 4	Nigeria
3	CIRAD 358	Cote d'Ivoire	42	OS 6	Nigeria
4	CIRAD 394	Madagascar	43	PALAWAN	Phillipines
5	CIRAD 403	Brazil	44	PALMIRA	Costa Rica
6	CIRAD 409	Columbia	45	PCT 11/0/0/2,BO/1>55-1-3-1	Columbia
7	CIRAD 488	Madagascar	46	PCT/4/1/>1076-2-4-1-5	Columbia
8	CT 13582-15-M	CIAT	47	WAB 176-8-HB	AfricaRice

9	CT 6946-9-1-2-M-1P	CIAT	48	WAB 706-3-4-K4-KB-1	AfricaRice
10	CURINGA	Brazil	49	WAB 99-16	AfricaRice
11	DOURADO PRECOCE	Cote d'Ivoire	50	Primavera	Brazil
12	IAC 120	Brazil	51	WAB 759-55-2-HB	AfricaRice
13	IAC 47	Brazil	52	NERICA 7	AfricaRice
14	WAB 181- 18	AfricaRice	53	IRAT 109	Cote d'Ivoire
15	IGUAPE CATETO	Brazil	54	ARICA4	AfricaRice
16	IR 53236-275-1	IRRI	55	Way Rem	Indonesia
17	IR 63371-38	IRRI	56	Vandana	India
18	IR 63380-16	IRRI	57	Аро	Philippines
19	WAB 56 – 50	AfricaRice	58	WAB56-104	AfricaRice
20	IR 66421.105.1.1.B	IRRI	59	IR64	IRRI
21	IR 66421-096-2-1-1	IRRI	60	Sabon Daga	Nigeria
22	IR 68704-145-1-1-B	IRRI	61	ITA 117	IITA
23	IR 71525-19-1-1	IRRI	62	ITA 128	IITA
24	IR 71676-90-2-2	IRRI	63	ITA 257	IITA
25	IR 72967-12-2-3	IRRI	64	ITA 301	IITA
26	IRAT 104	Cote d'Ivoire	65	ITA 315	IITA
27	IRAT 112	Cote d'Ivoire	66	Ofada 1	Nigeria_landrace
28	IRAT 13	Cote d'Ivoire	67	Ofada 2	Nigeria_landrace
29	IRAT 144	Cote d'Ivoire	68	Ofada 3	Nigeria_ landrace
30	IRAT 170	Cote d'Ivoire	69	Ofada 4	Nigeria_ landrace
31	IRAT 2	Senegal	70	Igbemo White	Nigeria_landrace
32	IRAT 212	Cote d'Ivoire	71	Igbemo Red	Nigeria_ landrace
33	IRAT 216	Cote d'Ivoire	72	China Best	China
34	IRAT 257	Brazil	73	Ebonyi Local	Nigeria_ landrace
35	IRAT 362	Nicaragua	74	NERICA 1	AfricaRice
36	IRAT 364	Nicaragua	75	NERICA 2	AfricaRice
37	IRAT 392=CIRAD 392	Madagascar	76	NERICA 8	AfricaRice
38	LAC 23	Liberia	77	IR55419-04	IRRI
39	IRAT 133	Cote d'Ivoire			

Traits	Minimum	Mean	Maximum	V_p	V_{g}	V_e	PCV (%)	GCV (%)	$h_{B}^{2}(\%)$	GA (%)	Std. Dev
GY	96.92	281.63	434.2	13807	6599.8	6870.4	41.72	28.85	47.80	41.08	81.24
TGW	19.22	25.99	37.4	26.3	23.33	2.97	19.73	18.58	88.71	36.06	4.83
DTF	70.23	89.15	105	94.81	82.17	11.89	10.92	10.16	86.67	19.50	9.06
DM	99.43	112.06	125.6	66.8	48.09	18.46	7.29	6.19	71.98	10.82	6.94
PHT	101.99	120.48	139.7	364.46	110.36	250.56	15.86	8.72	30.28	9.88	10.51
NP	4.99	6.29	7.7	3.63	0.85	2.21	30.29	14.66	23.41	14.61	0.92
TN60DAS	4.47	5.45	6.3	2.41	0.37	1.94	28.48	11.16	15.16	8.89	0.60
LA	41.25	65.74	102.4	377.3	159.63	133.75	29.55	19.22	42.31	25.75	12.63
NPM	38.73	85.13	134	445.09	284.38	139.83	24.78	19.81	63.89	32.62	16.86
FG	86.28	115.66	184	1467.00	480.2	966.90	33.12	18.94	32.73	22.33	21.91

Table 2 Genetic variance of 10 morphological characteristics

321 GY=Yield per meter square, TGW= 1000grain weight, DTF= Days to 50% flowering, DM= Days to 85% maturity, PHT = Plant height at

maturity, PN= Number of panicles per plant, TN60DAS=Tiller number at 60days after sowing, LA=Average leaf area, NPM= Number of panicles
 per meter square, FG= Number filled grains.

324 Replications = 4, n = 77

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326

327

	TGW	DTF	DM	PHT	TN45DAS	TN60DAS	LA	NPM	FG
GY	0	0.22**	0.21**	0.07	0.12*	0.13*	0.09	0.25**	0.05
TGW		-0.26**	-0.26**	0.22**	-0.07	-0.20**	0.15*	-0.25**	-0.08
DTF			0.82**	0.17*	0.02	0.12*	0.26**	0.07	0.14*
DM				0.18*	0.05	0.16*	0.18*	0.16*	0.17*
PHT					-0.15*	-0.20**	0.33**	-0.18*	0.27**
TN45DAS						0.61**	0.06	0.05	-0.16*
TN60DAS							-0.08	0.18*	-0.09
LA								-0.20**	0.12*
NPM									0.01

329 Table 3 Correlation coefficients among 10 quantitative traits of 77 upland rice cultivars

330 GY=Yield per meter square, TGW= 1000grain weight, DTF= Days to 50% flowering, DM= Days to 85% maturity,

331 PHT = Plant height at maturity, PN= Number of panicles per plant, TN45DAS=Tiller number at 45days after

sowing, TN60DAS=Tiller number at 60days after sowing, LA=Average leaf area, NPM= Number of panicles per

333 meter square, Number filled grains.

334 *, ** = Significant at 5 and 1% probability levels, respectively

upland rice cultivars.			
Variable	PC1	PC2	PC3
LA	0.29	0.41	0.20
PHT	0.23	0.45	0.24
TN45DAS	0.10	-0.30	0.60
TN60DAS	0.21	-0.43	0.30
GY	0.32	-0.01	0.34
DTF	0.52	0.03	-0.33
TGW	-0.20	0.37	0.33
DM	0.53	-0.05	-0.30
NPM	0.18	-0.41	0.07
FG	0.29	0.20	0.13
Eigenvalue	2.76	2.49	1.20
Variation (%)	27.60	24.93	12.02
Cumulative (%)	27.60	52.53	64.55

Table 4 Principal component analysis of 10 agro-morphological characters for 77

 upland
 rice cultivars

335 GY=Yield per meter square, TGW= 1000grain weight, DTF= Days to 50% flowering, DM= Days to 85% maturity,

Big 236 PHT = Plant height at maturity, PN= Number of panicles per plant, TN45DAS=Tiller number at 45days after

sowing, TN60DAS=Tiller number at 60days after sowing, LA=Average leaf area, NPM= Number of panicles per
 meter square, FG= Number filled grains.