

Original Research Article

Effects of shade regimes and varying seasons of irrigation on survival, developmental pattern and yield of field grown cacao (*Theobroma cacao*).

Abstract

Field experiments were conducted at the Teaching and Research Farm, Federal University of Technology Akure, Nigeria between 2012/2013 to 2014/2015 growing seasons to investigate the effects of varying dry season drip irrigation and shade regimes on field survival, development and yield of cacao. The treatments of shade regimes regime are (dense, moderate and the open sun) and the irrigation regimes (consisting of three, two and one dry season irrigation after transplanting). From the results, it was discovered that combined effects of moderate and dense shade with continuous three years irrigation enhances field survival and establishment of cacao but with a significantly lower effects on growth parameters like stem girth, branch number, and canopy size compared to those with continuous three years irrigation under open-sun. More so, plant height of cacao plants were significantly positively influenced by dense and moderate shades but with thinner stem girth compared with open-sun plots with thicker girth, higher branch number, and better canopy sizes at first and second growing season. In the third year, open-sun plots with two and three continuous dry season irrigation were significantly higher in plant height, girth, branch number and canopy sizes. In addition, increased cacao percentage survival were significantly influenced by irrigation and shade. Meanwhile, stand mortality were highest under dense and moderate shaded plots without irrigation in the second and third dry season (67%), followed by those without irrigation only in the second dry season (58%) and (52%) in those without irrigation in only the third dry season. Pod production were significantly higher with open-sun treatments that were irrigated throughout the three dry season with the average pod production of 12, 67 and 169 pods/plant in the 1st, 2nd and 3rd year. Moderate and densely shaded cacao was significantly lower in pod production compared to those under open-sun.

Key words: Cacao, canopy, dry season, irrigation, shade, survival.

29 **Introduction**

30 Several contrasting views on the effects of shade in cocoa farming have been advanced
31 by various scholars. Anglalaere (2005) recounted that traditionally in West Africa, cocoa
32 shade relates to the density of forest trees left in the field after initial clearing of the
33 forest. Some writers (e.g. Padi and Owusu, 1998; Ruff and Zadi, 1998) contend that the main
34 objective for growing cocoa under shade in the past, was to lengthen the economic life of the
35 cocoa tree, the technical difficulty of cutting down large trees due to absence of necessary
36 equipment in those days and or socio-cultural reasons.

37 Plant biomass and associated carbon storage are higher in shaded than unshaded cacao
38 (Bisseleua *et al.*, 2009). In Indonesia, standing above-ground plant biomass was significantly
39 lower in agroforestry with reduced canopy cover, mainly due to the removal of large trees
40 (Steffan-Dewenter *et al.*, 2007). This reduction corresponds to a loss in above-ground carbon
41 storage of roughly 100t C ha⁻¹ via conversion of mainly undisturbed natural forest into low-shade
42 agroforestry systems (Steffan-Dewenter *et al.*, 2007).

43 Large-scale removal of rainforests is likely to cause a warmer and drier climate, leading to
44 reduced cloud formation and upward shifts of cloud condensation layers (Lawton *et al.*, 2001).
45 Changing patterns of temperature and precipitation threaten agriculture in tropical countries.

46 Air and soil temperatures are lower and air humidity levels higher under shade, which often
47 reduces water stress for cacao (Lin, Perfecto and Vandermeer, 2008). Shade trees reduce
48 evaporative demand and, hence, drought stress of cacao plants. In a cacao/Gliricidia agroforest
49 in Sulawesi, increased canopy cover from shade trees has been shown to enhance water uptake
50 and increase cacao stem diameter and leaf area (Kohler *et al.*, 2009). Enhanced vegetative
51 growth under shade trees has also been observed in cacao stands in Ghana (Isaac *et al.*, 2007b).
52 Shade trees in cacao enhance rainfall interception and thereby reduce water input to the soil
53 (Dietz *et al.*, 2006). Shade trees in agroforests are often assumed to affect negatively growth and
54 yield of cacao plants through competitive water use, but empirical studies have shown positive
55 effects of plant species specific, complementary resource use in agroforestry systems (Ong *et*
56 *al.*, 2004). An understanding of the different root attributes of inter cropped tree, such as
57 contrasting spatial rooting pattern, root morphology, and mycorrhizal status, is important to
58 achieving such complementary resource use (Ewel & Mazzarino, 2008).

59 **Materials and methods**

60 Field experiments were conducted at the Teaching and Research Farm of the Federal University
 61 of Technology Akure, Nigeria between 2012/2013 to 2014/2015 growing seasons to investigate
 62 the effects of varying seasons of dry season drip irrigation and varying shade regimes on field
 63 survival, development and yield of cacao. Seeds of CRIN TC4 cocoa variety were gotten from
 64 Cocoa Research Institute of Nigeria, Ibadan in January, 2012 and 2013. The seeds were raised to
 65 seedlings and were later transplanted to the field in June/July of 2012 and 2013 respectively. The
 66 experiment design was a split plot design laid out as a 3x3 factorial experiment with three
 67 replications. The main plot which is the shade regimes consisting of dense shade, moderate and
 68 the open sun plots while the sub plots of irrigation regimes consisting of three seasons of dry
 69 season irrigation after transplanting, two seasons of dry season irrigation after transplanting and
 70 one seasons of dry season irrigation after transplanting. The field were manually cleared and
 71 shade plants (plantain) were planted based on the shade densities (dense shade plots: one cacao
 72 stand to one plantain stand; moderate shade plots: two cacao stand to one plantain stand and no
 73 shade plot: cacao stands with no plantain stand). Weed control were carried out manually
 74 throughout rainy season. At the onset of the dry season in December, 2012, 2013 and 2014 drip
 75 irrigation lines were laid out on the field to supply water to the seedlings during the entire period
 76 of the dry seasons. Overhead water tanks were installed on the field to supply water and the
 77 tanks were connected to water source (water dam) via a water pump and hose. The plots were
 78 irrigated for two hours at 7 days interval and the drip rate from the emitters were 2litres per hour
 79 via gravity flow.

80 Daily irrigation amount (I_{amt}) was calculated as:

$$81 \quad I_{amt} = K_{cp} * E_{pan} * \text{irrigation interval (days)} \dots\dots\dots i$$

82 where: K_{cp} is pan coefficient and E_{pan} is the amount of cumulative evaporation during an
 83 irrigation interval (mm). One plant-pan coefficient was adopted to determine the irrigation levels
 84 ($K_{cp} = 0.70$). This corresponded to the amount of water for irrigation (mm) for the 7 days
 85 irrigation intervals.

86 The total amount (volume) of irrigation water applied/irrigation day was calculated using
 87 equation:

$$V = P * A * E_{pan} * DI \dots\dots\dots ii$$

where, V, is the volume of irrigation water (L); P, wetting percentage (taken as 100 % for row crops); A, is plot area (m²); E_{pan}, the amount of cumulative evaporation during an irrigation interval (mm); DI, irrigation levels (7 days interval). Irrigations occurred on the respective treatments when E_{pan} reached target values.

Agronomic parameters like plant height, stem girth, branch number and leaf area index were measured on the cacao plants at four weeks interval while percent survival, mortality rate were taken at the onset and cessation of rainy season, flower initiation and pod formation date were taken across the treatments, yield parameters like number of cherelles, pod yield and bean yield were taken at the end of every harvest season. The effects of irrigation on off season flowering and fruiting was also monitored. The collected data were subjected to statistical analysis using GENSTART and the means were separated using Tukey test.

Results:

Effects of shade regimes on percent survival of cacao at on-set and end of dry seasons

Table 1 represents the performance of transplanted cacao in term of stand survival on the field as affected by varying shade regimes at the beginning and end of the first, second and third dry season in 2012/13, 2013/14 and 2014/15. From the results, (P≤0.05) no significant difference was observed in the percentage stand survival across the three shade regimes at the onset of the first dry season, at the end of the first dry season (April, 2013) the percent survival of cacao under dense and moderately shaded plots were significantly higher compared with the unshaded plot. In the second and third dry season, percent survival was significantly higher in densely shaded plots over the moderate and the no shade ones. A significantly higher percent stand mortality of 72.9 was recorded under no shade plot compared with those of 60.0 and 51.6 recorded under moderate and densely shaded plots respectively at the end of the third dry season (Table 2).

Table 3 shows the effects of varying season of irrigation on percent stand survival of field grown cacao. From the results, dry season irrigation enhances cacao field survival with less than 1% mortality at the end of first dry season. No significant difference among the treatments in term of percent survival at the end of the first dry season. In the second dry seasons, plots with only one

season irrigation were significantly lower in percent stand survival compared with those with two and three seasons of irrigation. The percent survival under in plots without second and third season irrigation dropped from 99.5 to 60.2 and to 35.5 for first, second and third year respectively. Plots with only two seasons of irrigation also had a sharp increase in stand mortality in the third season as the percent stand survival dropped from 99.8 to 65.4 at the end of 2014/2015 dry season.

Table 4 represents the combine effects of shade and seasons of irrigation on survival of cacao at the onset and end of 2012/2013, 2013/2014 and 2014/2015 seasons. The results indicated that no significant difference was observed in the stand survival of the cacao during the 2012/2013 season. In 2013/2014, plots without second dry season irrigation were significantly lower in percent stand survival under the three shade regimes. During 2014/2015 season, plots with three seasons of irrigation had the highest percent survival above 97% while those with two seasons of irrigation had a significantly lower surviving rates of about 63% which is significantly higher compared with that of only one season irrigation of 34.7, 42.5 and 52.5 % for dense, moderate and No shade respectively. It was observed that percent survival of cacao tends to improve under no shade after two seasons of dry season irrigation. It was observed that moisture stress tolerance of cacao stands under no shade tends to increase after irrigation in the first two dry season.

Table 5 indicated the effects of shade regimes on percent number of flower and pod bearing stands in months after transplanting. It was observed that shading influenced early flower initiation at 13th month after transplanting compared with the no shade plots that flowers at 15th month. At the 15th month after transplanting, the percent number of flower bearing stands were significantly higher under moderate and dense shaded plots compared to that of no shade. In addition, the influence of moderate shade led to early pod production at 13th month with about 2.4% having pods. Dense and no shade plots begin pod production at 15th month. At 18th month, dense and moderate shaded plots produces a significantly higher number of pod bearing stands compared to the no shade plots.

Table 6 represent the effects of seasons of irrigation on percent number of flower and pod bearing stands between 10-18th month. It was observed that early flowering was influenced by treatment of irrigation as flower initiation was at 10th month after transplanting across all plots of

146 irrigation treatment. 100% flowering was recorded at 15th month as a result of first dry season
147 irrigation. Pod production rates were uniform across the three treatments of irrigation.

148 Table 7 revealed the combined effects of shade and seasons of irrigation on number of flower
149 and pod bearing stands of cacao between 10-18th month after transplanting. It was observed that
150 flower initiation suffered delay under densely shaded + irrigation plots (15th month) while
151 moderate and no shade plots + irrigation begins flowering at 10th and 11th months after
152 transplanting respectively. More so, at 15th month, percent number of stands with flower were
153 significantly higher under no shade with irrigation compared with those of moderate and dense
154 shade with irrigation. Similarly trends were observed in pod production as almost 100% stand
155 under no shade produces pods at 18th month after transplant while under moderate and dense
156 shaded plots had 32% and 26 % respectively at the same period.

157 Table 8 represents the effects of shade regimes on cacao pod yield during the main and mid-crop
158 harvest. Considering the main crop harvest, no significant difference was observed between
159 moderately shaded plots and the no shade plots in term of pod yield at 15th and 16th month after
160 transplanting but were higher in production compare with the densely shaded plots. At 17th
161 month after transplant, cacao plants under open sun (no shade) produced a significantly higher
162 number of pods over those under moderate and dense shaded plots during the main crop harvest.
163 During the mid-crop harvest (20-23rd month), pod yield was lower significantly under no shade
164 plots compared to those under moderate and dense shade.

165 Table 9 shows the effects of dry season irrigation on pod yield during main and mid-crop
166 harvest. The single effects of irrigation during the main crop harvest (15-18th month) showed no
167 significant difference among the varying seasons of irrigation. During the mid-crop harvest, plots
168 under two and three seasons of dry season irrigation produced a significantly higher number of
169 pods compared to those under one season of irrigation.

170 Table 10 indicates the combine effects of shade regimes and varying dry season irrigation on pod
171 yield of cacao during main and mid-crop harvest. Combination of no shade + two dry season
172 irrigation and no shade + three dry season irrigation produced a significantly higher pod yield
173 during the first main crop harvest (14-18th month after transplant) over that of combinations with
174 moderate and dense shades. More so, between January-April, covering 19th-22nd month after
175 transplanting, combination of dense and moderate shade with two and three seasons of irrigation

favoured pod yield over those exposed to only one season of irrigation. During the second main crop harvest, 25-29th month after transplant, no shade plots + two and three seasons of irrigation produced a significantly higher pod yield over those with dense and moderate shades.

Discussion

The combined effects of moderate and dense plantain shade with continuous three years irrigation enhances field survival and establishment of cacao but with a significantly negative effects on some growth parameters like stem girth, branch number, and canopy size compared to cacao with continuous three years dry season irrigation under open sun (no shade). This was in conformity with the findings of Daymond *et al*, (2013) that high density shade impede young cacao growth and development as shade plant compete with both water and light thereby leading to reduced photosynthetic rate and low assimilate production.

More so, Boa *et.al*. (2000) reported that Fruit trees generally combined well with cacao though farmers said they provided fewer ecological services to cacao plants. Shade is not the most valuable feature according to farmers.

Kassam and smith, (2001) and Greenberg R (1998) resolved that soil evaporation decreases proportionally over the growing seasons as the ground surface is increasingly shaded by crops and shade plant canopy. These facts validated the significant effects of shade treatments on increased percent survival of cacao on the field after transplanting. Though, provision of water through dry season irrigation and un-hindered access to sunlight positively enhanced early establishment, survival, development and speedy canopy development in the no shade treatments which gave it a hedge over the shaded plots in shoot development and early production. This further confirm the early study of Famuwagun, (2016) that no shade cacao under irrigation performed better than the shaded ones.

Famuwagun, (2016), reported that shade alone support cacao seedlings survival on the field after transplanting up to 60% at the end of the first dry season which is in tandem with the findings from this research that shade alone influenced field survival of cacao but with a decreasing total stand survival at the second and third dry season. Cacao requires shade during its early stages of growth. This may be provided by temporary plants or by mature trees. There is no absolute requirement for shade once the cacao tree is established, unless there is no irrigation, in which case shade trees preserve soil moisture. The significantly higher plant height of cacao plants

under moderate shades came with a thinner stem girth compared to those under open sun (no shade) with a thicker girth, higher branch number, and better canopy sizes at first and second growing season was as a result of competition between the cacao and the shade plants.

The substantial growth and development recorded in the third year with no shade plots with two and three dry season continuous irrigation were and increased cacao percentage survival were occasioned by irrigation. Second and third growing season consecutive irrigation influenced survival and establishment. Meanwhile that mortality were highest under plots of dense and moderate shades without irrigation in the second and third dry season (67%), followed by those without irrigation only in the second dry season (58%) and (52%) in those without irrigation in only the third dry season was as a result of completion for deficit in soil moisture and shallow root development/penetration in the soil. This was in line with the findings of Alvim *et.al.*, (1974a), Balasimha (1988), and Darusman, *et.al.*, (1997b).

Earliness in the commencement and progression towards key physiological events such as flowering and pod formation observed in this study, were consistent with earlier studies (Bell and Wright, 1998, Agele *et al.*, 2004) while the high yield recorded (average pod production per plant in the 1st, 2nd and 3rd year as 12, 67 and 169 respectively while those irrigated for first and second year only produces 13, 60 and 122 pods for 1st, 2nd and 3rd year) under no shade treatments that were irrigated throughout the three dry season was as a result of unrestricted growth and development in both rainy and dry season, un-hindered access to solar energy and farm sanitation and management practices. This was supported by Famuwagun, (2016) and Agele *et.al.*, (2016) that dry season irrigation ensure continuous supply of needed moisture for growth and development of cacao.

The low pod yield recorded under shaded cacao plots were due to excessive effects of shade on assimilate production vis-à-vis dry matter accumulation which impeded growth and development. This was in tandem with the findings of Merkel *et. al.*, (1994) that un-hindered insolation enhance good vigour and improved pod yield in cacao. The significantly higher proportion of trees bearing flowers at 14 and 15 MAT in open sun compare with the moderate and dense shaded cacao might have resulted from the initial differential vigour of growth between the No shade plots and shaded ones. These advantages also extended to the higher proportion of trees bearing pods under open sun (no shade). Opeke, (2006) reported that flower

development in cacao is determined predominantly by vigour of growth and biomass accumulation.

The increased stand mortality witnessed under no-shade treated plots was as a result of prolonged dry season that led to diminishing soil moisture deficit around the cacao root zone due to direct exposure to sunlight with increased evaporation from the soil surface (Daymond *et.al.*, 2002a). The reduction in stand mortality under moderate and dense shaded plots was traced to improved microclimate conditions occasioned by shade plants that aided reduced air and soil temperature, reduced moisture loss through evaporation and increased activities of microbial organism under shaded microclimate.

More so, the early canopy cover from individual cacao plant under no shade plots may have contributed to reduced moisture loss to the atmosphere via evaporation which thereby helped in soil moisture conservation which thereby increase the amount of available moisture for growth and development. Irrigation may be implicated for the non-significant effects of shade on percent seedling survival at the end of first dry season. Irrigation enhanced soil moisture availability during the dry season. These results were supported by Joly (1988) and Agele *et. al.*, (2015) that moisture is the principal requirement for crop survival during the dry season to supplement soil moisture loss due to transpiration, evaporation and diminishing soil water due to dry and hot air. Soil evaporation decreases proportionally over the growing season as the ground surface is increasingly shaded by the crop canopy. The effect of both crop transpiration and soil evaporation are integrated into a single crop coefficient (Kc) incorporating crop characteristics and average effects of evaporation from the soil' (Kassam & Smith 2001).

Conclusion

It was concluded that cacao field establishment, growth and pod yield will improved significantly if dry season irrigation is provided for the first three years of establishment.

More so, stand mortality as a result of dry season soil moisture deficit in the first, second and third dry season can be avoided through dry season irrigation.

Shade can be considered to ameliorate the cocoa micro-environment.

In terms of optimizing the physiological performance of cocoa, the optimal shade level will depend on how harsh the local climate is.

References

- Agele, S.O., Adenawoola, A.R. and Doherty M. (2004) Growth response of soybeans lines to contrasting photo thermal and soil moisture regimes in a Nigerian tropical rain forest, *International Journal of Biotronics* 33:49-64.
- Agele, S.O., I.B. Famuwagun, O.P. Aiyelari, A.O. Ogunleye, & E.F. Charles, 2016: Soil and Water Management Strategies for Enhancing Cacao Productivity, Food Security and Adaptation and Resilience Building in the Frame of Variable Climate/Weather. *1st European Conference of Post graduate Horticulture Scientists* May 12-13, 2016 - Palermo – Italy
- Alvim PT, Machado AD, Vello F (1974a): Physiological responses of cacao to environmental factors. *Rev. Theobroma* 4: 3-25
- Anglaaere, L. C. N., 2005: Improving the Sustainability Cocoa Farms in Ghana through the Utilization of Native Trees in Agroforestry Systems. A Thesis Submitted in Partial Fulfillment for the Philosophiae Doctor the University of Wales, Bangor, UK.
- Balasimha D (1988): Water relations, growth and other indicators of plant water stress in cocoa under drought. In: *Proc. 10th Int. Cocoa Res. Conf.*, Santo Domingo, Dominican Republic, pp.215-217.
- Bell, M.J and Wright, G.C. 1998. Groundnut growth and development in contrasting environment.2. Heat unit accumulation and photo-thermal effect on harvest index. *Experimental Agriculture* 34, 113-124
- Bisseleua, D. H. B., Missoup, A. D. and Vidal, S., 2009. Biodiversity conservation, ecosystem functioning and economic incentives under cocoa agroforestry intensification. *Conservation Biology*, 23, 1176–1184.
- Boa E., J. Bentley and J. Stonehouse, 2000: Cacao neighbor trees in Ecuador: How and Why Farmers Manage Trees for shade and other Purposes. Final Technical Report
- Darusman, Khan, A.H., Stone, L.R., Spurgeon, W.E., and Lamm, F.R. (1997b): Water flux below the root zonesvs, irrigation in drip irrigated corn. *Agronomy J* 89;375-379

- 295 Daymond A, Fiona Lahive, Liam Handley and James Gattward (2013): Shade in cocoa- A
296 physiological perspective. Thames Valley Cocoa Club publication, 2013.
- 297 Daymond AJ, Hadley P, Machado RCR, Ng E (2002a): Canopy characteristics of contrasting
298 clones of cacao (*Theobroma cacao*). *Experimental Agriculture* 38:359-367.
- 299 Ewel, J. J. and Mazzarino, M. J., 2008. Competition from below for light and nutrients shifts
300 productivity among tropical species. *Proceedings of the National Academy of Science of*
301 *the United States of America*, 105, 1 88 36 –18841.
- 302 Famuwagun I B. (2016). Cacao developmental pattern, soil temperature and moisture variation
303 as affected by shade and dry season drip irrigation *American Journal of Experimental*
304 *Agriculture* 12(3): 1-6, 2016, Article no.AJEA.22628 *www.sciencedomain.org*
- 305 Famuwagun I.B and S.O Agele (2011): Effects of sowing methods and plant population densities
306 on root development of cacao seedlings in the nursery. *International Journal of*
307 *Agricultural Research*, 5(7), 445-452
- 308 Greenberg R (1998): Biodiversity in the cacao agroecosystems : shade management and
309 landscape considerations. *Proceedings of the Smithsonian Migratory Bird Center Cacao*
310 *Conference*. Available from [http://nationalzoo.si.edu/conservationand science/migratory](http://nationalzoo.si.edu/conservationand%20science/migratory%20birds/research/cacao)
311 [birds/research /cacao](http://nationalzoo.si.edu/conservationand%20science/migratory%20birds/research/cacao).
312 <http://www.fao.org/AG/AGL/aglw/cropwater/docs/method.pdf> Accessed 14 August
- 313 Isaac, M.E., Gordon, A.M., Thevathasan, N., Oppong, S.K. and Quashie-Sam, J. (2005).
314 Temporal changes in soil carbon and nitrogen in West African multi-strata agroforestry
315 systems: a chronosequence of pools and fluxes. *Agroforestry Systems* 65:23–31
- 316 Joly, R.J. (1988). Physiological adaptations for maintaining photosynthesis under water stress in
317 cacao. In: *Proc. 10th Int. Cocoa Res. Conf.*, Santo Domingo, Dominican Republic,
318 pp.199-203.
- 319 Kassam A & Smith M, 2001. *FAO Methodologies on crop water use and crop water meeting on*
320 *crop water productivity*, Rome, 3–5 December, 2001
- 321 Kohler,M., Schwendenmann, L. and Holscher, D. 2010. Throughfall reduction in a cacao
322 agroforest: tree water use and soil water budgeting. *Agricultural and Forest Meteorology*
323 150, 1079–1089

- 324 Lawton, R. O., Nair, U. S., Pielke, R. A., Sr & Welch, R. M., 2001. Climatic impact of tropical
325 lowland deforestation on nearby montane cloud forests. *Science*, 294, 584 –587.
- 326 Martin K. N, S. I. Mulua, A. J. Armathée and S. N. Ayonghe 2013: Impacts of Climate Change
327 and Climate Variability on Cocoa (*Theobroma Cacao*) Yields in Meme Division, South
328 West Region of Cameroon. *Journal of the Cameroon Academy of Science*. Vol 11, No 1,
329 (2013).
- 330 Merkel U, Müller MW, Serrano-Minar P, Biehl B (1994): Light intensity influence on the
331 characteristics of the photosynthetic apparatus from cocoa tree (*Theobroma cacao* L.)
332 during leaf development. In: Proc.11th Int. Cocoa Res. Conf., Yamoussoukro, Côte
333 D'Ivoire, pp.645-653.
- 334 Ong, C. K., Kho, R. M. and Radersma, S., 2004. Ecological interactions in multi-species
335 agroecosystems: concepts and rules. *Below-ground Interactions in Tropical*
336 *Agroecosystems. Concepts and Models with Multiple Plant Components*(eds M. van
337 Noordwijk, G. Cadisch & C.K. Ong), pp. 1–15. CABI Publishing, Wallingford.
- 338 Opeke, L.K., (2006): *Tropical commodity crops*. Spectrum Books Ltd., Ibadan, Nigeria. 213pp.
- 339 Padi B, Owusu JK (1998) *Towards an Integrated Pest Management for Sustainable Cocoa*
340 *production in Ghana*. Paper from workshop held in Panama, 3/30-4/2. Smithsonian
341 Institution. Washington, D.C. [[Links](#)]
- 342 Perfecto, I., Armbrrecht, I., Philpott, S. M., Soto-Pinto, L. and Dietsch, T. M., 2007. Shaded
343 coffee and the stability of rainforest margins in northern Latin America. *The Stability of*
344 *Tropical Rainforest Margins, Linking Ecological, Economic and Social Constraints of*
345 *Land Use and Conservation* (eds T. Tscharntke, C. Leuschner, M. Zeller, E. Guhadja &
346 A. Bidin), pp. 227–264.
- 347 productivity. FAO (Food and Agriculture Organization) Paper No. CWP-M07. Expert
348 Ruf, F. and Zadi H., 1998. *Cocoa: from deforestation to reforestation*. Paper from workshop on
349 *Shade Grown Cocoa* held in Panama, 3/30-4/2, 1998. Smithsonian institution.
350 Washington, D.C.

Steffan-Dewenter I., Kessler M., Barkmann J., Bos M. M., Buchori D., Era-smi S., Faust H., Gerold G., Glenk K., Gradstein S. R., Guhardja E., Harteveld M., Hertel D., Hohn P., Kappas M., Kohler S., Leuschner C., Maertens M., Marggraf R., Migge-Kleian S., Mogea J., Pitopang R., Schaefer M., Schwarze S., Sporn S. G., Steingrebe A., Tjitrosoedirdjo S. S., Tjitrosoemito S., Twele A., Weber R., Woltmann L., Zeller M. and Tscharntke T., 2007. Tradeoffs between income, biodiversity, and ecosystem functioning during tropical rainforest conversion and agroforestry intensification. Proceedings of the National Academy of Sciences of the United States of America, 104, 4973– 4978

Table 1: Effects of shade regimes on percent survival of cacao at the onset and end of dry seasons

Shade	2012/2013		2013/2014		2014/2015	
	Onset of dry season	End of Dry season	Onset of dry season	End of dry season	Onset of dry season	End of dry season
Dense	99.5a	68.2a	68.0a	52.1a	51.0a	48.4a
Moderate	99.9a	60.1a	58.9b	44.2b	44.2b	40.0b
No Shade	100.0a	31.4b	31.4c	27.1c	27.1c	27.1c

Table 2: Effects of shade regimes on percent stand mortality of cacao at onset and end of dry seasons

Shade treatment	2012/2013		2013/2014		2014/2015	
	Onset of dry season	End of Dry season	Onset of dry season	End of dry season	Onset of dry season	End of dry season
Dense	0.5a	31.8b	32.0b	47.9b	49.0b	51.6c
Moderate	0.5a	39.9b	41.1b	55.8b	53.8b	60.0b
No Shade	0.00b	59.6a	68.6a	72.9a	72.9a	72.9a

Table 3: Effects of varying seasons of irrigation on percent stand survival of cacao at onset and end of dry seasons.

Irrigation treatment	2012/2013			2013/2014			2014/2015		
	Onset of dry season	End of dry season		Onset of dry season	End of dry season		Onset of dry season	End of dry season	
Three season irrigation	99.8a	99.8a		98.5a	98.5a		98.5a	98.5a	
Two season irrigation	99.8a	99.8a		99.8a	99.8a		99.8a	65.4b	
One season irrigation	99.5a	99.5a		99.5a	75.2b		75.2b	55.5c	

Table 4: Effects of shade regimes and varying seasons of irrigation on percent survival of cacao at the onset and end of dry seasons

Shade Treatment	Irrigation treatment	2012/2013			2013/2014			2014/2015		
		Onset of dry season	End of dry season		Onset of dry season	End of dry season		Onset of dry season	End of dry season	
Dense shade	Three seasons irrigation	99.8a	99.8a		99.5a	99.5a		96.5a	98.5a	
	Two seasons irrigation	99.8a	99.8a		99.5a	99.5a		99.5a	85.5b	
	One season irrigation	99.5a	99.5		99.5a	73.5b		73.0b	54.7c	
Moderate shade	Three seasons irrigation	99.8a	99.8a		97.6	97.5a		97.5a	97.5a	
	Two seasons irrigation	99.7a	99.7a		99.5a	97.5a		97.5a	83.5b	
	One season irrigation	100.0a	100.0		99.0a	73.5b		73.5b	52,5c	
No shade	Three seasons irrigation	100.0a	100.0a		99.5a	99.5a		99.5a	99.5a	
	Two seasons irrigation	100.0a	100.0a		98.0a	97.0a		97.5a	89.5b	
	One season irrigation	100.0a	100,0a		99.0a	77.0b		57.0b	56.5c	

Table 5: Effects of shade regimes on percent number of flower and pod bearing stands between 10-18 months after transplanting.

Shade treatment	% number of flower bearing stands in months after transplant						% number of pod bearing stands in months after transplant						
	10	11	12	13	14	15	12	13	14	15	16	17	18
Dense	0.0a	0.0a	0.0a	2.0a	2.2a	5.4a	0.0a	0.0a	0.0b	3.3a	3.7a	5.4a	15.1a
Moderate	0.0a	0.0a	0.0a	3.1a	4.1a	8.2a	0.0a	2.4a	3.6a	5.3a	5.2a	6.6a	17.3a
No Shade	0.0a	0.0a	0.0a	0.0b	0.0b	2.1b	0.0a	0.0a	0.0b	1.5b	2.2b	2.7b	3.0b

Table 6: Effects of varying seasons of irrigation on percent number of flower and pod bearing stands between 10-18 months after transplanting

Irrigation treatments	% number of flower bearing stands in months after transplant						% number of pod bearing stands in months after transplant						
	10	11	12	13	14	15	12	13	14	15	16	17	18
Three seasons irrigation	0.0b	6.3a	20.4a	69.3a	100a	100a	6.2a	13.1a	30.4a	45.0a	65.a	95a	100a
Two seasons irrigation	2.1a	5.4a	16.1ab	60.2a	100a	100a	3.1a	10.2a	25.2a	30.1b	60a	92a	100a
One season irrigation	2.3a	5.1a	16.3ab	60.1a	100a	100a	5.3a	10.0a	27.3a	37.7a	55b	90a	95a

389

Table 7: Effects of shade regimes and varying seasons of irrigation on percent number of flower and pod bearing stands between 10-18 months after transplanting

Irrigation treatments	Shade treatment s	% number of flower bearing stands in months after transplant						% number of pod bearing stands in months after transplant						
		10	11	12	13	14	15	12	13	14	15	16	17	18
Dense shade	Three seasons irrigation	0.0b	0.0b	3.5c	8.2b	8.5c	16.0b	0.0b	0.0c	2.4b	2.4c	10.3b	16.0b	25.8b
	Two seasons irrigation	0.0b	0.0b	5.4b	6.0c	12.8b	17.1b	0.0b	0.0c	0.0c	2.2c	11.6b	18.5b	26.3b
	One season irrigation	0.0b	0.0b	3.2c	6.1c	9.6c	14.3b	0.0b	0.0c	0.0c	5.0b	7.3c	15.2b	21.2b
Moderate Shade	Three seasons irrigation	0.0b	5.1a	8.6b	8.5b	16.2b	21.3b	0.0b	3.2b	3.2b	7.3b	14.2b	19.5b	29.0b
	Two seasons irrigation	5.1a	7.3a	7.3b	10.3b	19.4b	23.3b	2.2b	5.4b	7.3b	10.5b	13.4b	23.0b	32.1b
	One season irrigation	3.3a	10.5a	10.1b	10.3b	15.2b	21.5b	0.0b	3.1b	5.1b	8.2b	16.9b	21.1b	26.4b
No shade	Three seasons irrigation	0.0b	9.2a	15.1a	60.0a	76.4a	95.4a	7.2a	9.3a	20.3a	35.2a	69.2a	85.4a	98.5a
	Two seasons irrigation	0.0b	5.4a	13.3b	51.7a	70.5a	89.0a	6.5a	10.6a	24.2a	50.4a	75.1a	92.2a	100.1a
	One season irrigation	0.0b	5.4a	25.4a	55.4a	68.3a	96.1a	8.2a	10.3a	29a	46.5a	79.5a	96.0a	100.2a

392

Table 8: Effects of shade regimes on pod yield during the peak and off season

Shade treatment	Pod yield in months after transplant									
	15	16	17	18	19	20	21	22	23	
Dense	7.2b	12.0b	12.0b	5.3b	2.0b	0.0a	0.0a	0.0a	5.2a	
Moderate	13.4a	15.2ab	16.0b	7.8a	1.0b	0.0a	0.0a	0.0a	4.0a	
No Shade	17.4a	22.5a	29.5a	11.5a	5.0a	0.0a	0.0a	0.0a	2.1b	

394

395 **Table 9:** Effects of varying seasons of irrigation on pod yield during the peak and off season

Shade treatment	Pod yield in months after transplant (Peak season)				Pod yield in months after transplant (off season)				
	15	16	17	18	19	20	21	22	23
Three seasons irrigation	16.4a	17.5a	22.6a	24.0a	4.2a	0.0a	6.1a	14.5a	16.0a
Two seasons irrigation	19.2a	20.0a	26.1a	26.1a	1.8a	0.0a	4.6a	11.3a	15.5a
One season irrigation	14.0a	16.5a	21.5a	23.9a	3.0a	0.0a	0.0b	0.0b	0.0b

396

397 **Table 10:** Effects of shade regimes and varying seasons of irrigation on on-season and off-season pod
398 yield in cacao.

Irrigation treatments	Shade treatments	Average number of pod per stand per treatment in months after transplant											
		July	Aug	Sept.	Oct.	Nov.	Dec.	Jan	Feb.	Mar	April	Oct	Dec
Dense shade	Three seasons irrigation	6.5a	7.3b	8.6b	9.0c	13.0b	6.0b	2.3b	0.0b	3.7a	11.5a	20b	35b
	Two seasons irrigation	5.5a	6.7b	8.5b	11.2b	12.5b	7.3ab	3.5a	1.2a	5.6a	13.2a	22b	37b
	One season irrigation	2.4c	5.5b	7.8b	8.0c	10.1b	5.5b	0.0c	0b	0.0b	0.0c	12c	24c
Moderate Shade	Three seasons irrigation	6.6a	7.0b	8.5b	11.0b	13.3b	6.4b	3.0ab	2.0a	3.0a	15.1a	27b	46b
	Two seasons irrigation	4.8ab	6.0b	8.8b	10.4b	12.8b	6.6b	2.0b	1.0a	3.2a	12.3a	23b	42b
	One season irrigation	4.2b	5.8b	7.9b	11.7b	13.4b	5.0b	1.5b	0.0b	0.0b	1.3b	13c	20c
No shade	Three seasons irrigation	7.5a	10.2a	13.2a	18.3a	22.9a	9.0a	4.5a	3.5a	3.5a	17.8a	45a	65a

Two seasons irrigation	8.3a	12.8a	17.1a	22.4a	27.9a	7.2ab	2.5ab	3.0a	2.5a	14.0a	43a	70a
One season irrigation	2.2c	11.4a	20.0a	26.2a	27.1a	6.1b	0.0c	0.0a	0.0b	0.0c	14c	28c

399

400