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## ABSTRACT

Surface sealing, and their role in runoff and erosion, especially, in agricultural fields have been recognized as major set-backs to irrigation operations. Though the process is restricted to only the topmost soil layer of some few millimetres in depth, surface sealing can substantially impede the infiltration of water into the soil. However, information on this process is much less documented. The aim of this study was to investigate the possible relationships between seal type and hydraulic resistance. The paper presents a simple theoretical approach which allows the estimation of changes in hydraulic resistance at the soil surface as a function of time following the formation of surface seals formed from different sediment particles at different concentrations in suspension.

Analysis of hydraulic resistance of soil surface

seals in relation to sediment particle size

**Original Research Article** 

Keywords: Slaking, Surface seal, Hydraulic conductivity, Hydraulic resistance, Infiltration

### 10 1. INTRODUCTION

11 12 Slaking of soil aggregates with resultant surface sealing are common characteristics of many cultivated soils, especially, in arid and semi-arid areas [1]. These processes of soil slaking and sealing 13 14 are the result of the kinetic impact of raindrops on the soil surface and the translocation of soil particles by flowing water. The formation of seal depends on many factors, including the texture and 15 stability of the soil, intensity and energy of rainfall, gradients and length of slope, and electrolyte 16 17 concentration of the soil solution and rainwater [2]. The extent of surface sealing has been reported to 18 be highly dependent on soil texture, with the silt content being a good indicator of the soil's 19 susceptibility [1, 3]. Upon deposition, the translocated particles could clog soil pores and form 20 superficial layers characterised by higher bulk density and lower saturated hydraulic conductivity than 21 the soil beneath [1, 4]. Due to the loss of soil water storage and infiltration capacities, soil erosion and flooding are significantly increased [1]. The reduction in infiltration rate under sealed conditions is 22 23 controlled by the surface seal rather than the water content of the soil profile [5]. The objectives of this 24 study were to measure the effect of surface seal formation from different sediment particles on 25 infiltration under field conditions, and to develop a technique to quantify the hydraulic resistance of the 26 developing seal. The technique would be useful for the management of irrigation practices in Ghana. 27

# 28 **1.1 Theory**29

According to Segeren and Trout [6], the most direct method to simulate the process of soil surface sealing is to model a two-layer soil profile in which the seal is the top layer. In this case, the hydraulic conductivity of the seal  $K_x(d)$  is measured as a function of time. From Darcy's law, the conductivity of the seal, which is a function of the particle diameter of the sediment [1] can be calculated as [6]:

$$K_x(d) = -q\left(\frac{Z_x}{\Delta m + \Delta g}\right) \tag{1}$$

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36 During transient state flow under unsaturated conditions, we assume that the matric potential gradient 37 across the seal is larger than the gravitational gradient, hence, the gravitational component can be 38 neglected and equation (1) reduces to:

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$$K_x(d) = -q\left(\frac{\Delta m}{\Delta g}\right) \tag{2}$$

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However, during steady state flow under saturated conditions, we assume a unit hydraulic gradient.
 Therefore, equation (1) could be expressed as:

(3)

$$K_x(d) = q$$

 $K_{x}(d) = \left(\frac{K_{s}}{c}\right)d_{*}$ 

45 where, 46  $Z_x =$  Seal thickness [L] q = Flux through the soil [L/T] 47  $\Delta g$  = Change in gravitational potential across the seal [L] 48 49  $\Delta m$  = Change in matric potential across the seal [L] 50  $K_x(d) =$  Hydraulic conductivity of the surface seal [L/T] given as [1]:

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53  $K_s$  = Hydraulic conductivity of the initial soil surface [L/T]

54 c = Concentration of soil sediment in suspension [M/L<sup>3</sup>]

55  $d_* =$  Dimensionless particle diameter of sediment defined as [1, 7]:

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$$d_* = d \left[ \sqrt[3]{\left(\frac{\rho f g \rho_{\gamma}}{\omega^2}\right)} \right]$$
(5)

58 Since seal thickness is highly variable with time and is difficult to measure directly, the most 59 convenient method to measure this parameter is given by modification of the relation by Tuffour et al. 60 [1]:

(6)

(4)

$$Z_x = cK_x(D)t + cV_st$$

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63  $V_s$  = Settling velocity of sediment [L/T] t = Time[T]

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66 Swartzendruber [8] defined the hydraulic resistance  $R_h$  [T] of the seal to describe the resistance of the 67 seal to flow regardless of thickness as:

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$$R_h = \frac{Z_x}{K_x(D)} = \frac{ct(K_s + V_s)}{\left(\frac{K_s}{c}\right)d_*}$$
(7)

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70 The fundamental assumptions for this method as reported by [1, 6, 9] are:

71 The seal does not form instantly, but upon formation, it is saturated from the start.

72 2. The hydraulic resistance R<sub>h</sub> is the only soil hydraulic property that changes after the start of 73 infiltration.

74 3. Flux through the soil is uniform. 75

76 Additionally, the assumptions propounded by Tuffour and Bonsu [10] apply to this study. These 77 assumptions require that all soil properties with influence on infiltration remain constant for the sub 78 seal layer [6]. 79

#### 2. MATERIALS AND METHODS 80

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The performance of the proposed model was verified with a series of ponded infiltration tests with 82 83 clear and muddy water as described in Tuffour and Bonsu [10], and Tuffour et al. [1]. Laboratory 84 infiltration studies were conducted with a series of ponded infiltration tests for 60 minutes with clear 85 and muddy water. The muddy water were made of suspensions of different soil particle diameters, viz., fine-sand, clay and silt, at different concentrations. The different concentrations were made by 86 87 adding clean (distilled) water to, 10 (T1), 20 (T2), 30 (T3) and 40 g (T4) of soil to make a total of 400 88 cm<sup>3</sup> and dispersed in a mechanical shaker for 60 minutes. Additionally, an infiltration test was 89 conducted with distilled water (T5), which served as a reference for the study. The computation of the 90 parameters and plotting of the graphs were done using Microsoft EXCEL.

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# 94 3. RESULTS AND DISCUSSIONS95

With consideration of the mass balances of sediment particles, the flux of suspension through the soil column was captured through infiltration measurements and thickness of a surface seal. Seal thickness from the different sediment particles as estimated from equation (6) as presented in Table 1 varied widely between sand and the finer sediments (clay and silt). However, no clear differences were observed between those of clay and silt. In addition, Figures 1 - 3 show that hydraulic resistance has a linear relationship with seal thickness, in that, an increase in seal thickness results in an increase in hydraulic resistance of the seal. Thus, increases in sediment concentration which eventually results in high seal thickness would be expected to result in seal hydraulic resistance by cursory analysis. However, a close observation of the results clearly showed that clay seals which produced lowest seal thickness had the greatest hydraulic resistance than sandy textured seals, which had the highest seal thickness as presented in Table. In addition to these discrepancies, silt seals, which had similar thickness as clay seals had the lowest hydraulic resistance. Thus, hydraulic resistance and infiltration rates followed the same pattern as total infiltration rates, that is, higher as crust development increased, except for the lichen crust on fine-textured soils, which generated steady state infiltration rates similar to the PSC.

	Seal thickness (mm)											
Time (S)	Clay suspension†				Silt suspension†				Sand suspension†			
	10	20	30	40	10	20	30	40	10	20	30	40
30	1.875E-3	3.750E-3	5.625E-3	7.500E-3	1.875E-3	3.751E-3	5.626E-3	7.502E-3	3.750E-3	7.500E-3	1.125E-2	1.500E-2
300	1.875E-2	3.750E-2	5.625E-2	7.500E-2	1.876E-2	3.751E-2	5.626E-2	7.502E-2	3.750E-2	7.500E-2	1.125E-1	1.500E-1
600	3.750E-2	7.500E-2	1.125E-1	1.500E-1	3.751E-2	7.502E-2	1.125E-1	1.500E-1	7.500E-2	1.500E-1	2.250E-1	3.000E-1
900	5.625E-2	1.125E-1	1.688E-1	2.250E-1	5.626E-2	1.125E-1	1.688E-1	2.251E-1	1.125E-1	2.250E-1	3.375E-1	4.500E-1
1800	1.125E-1	2.250E-1	3.375E-1	4.500E-1	1.125E-1	2.251E-1	3.376E-1	4.501E-1	2.250E-1	4.500E-1	6.750E-1	9.000E-1
2100	1.313E-1	2.625E-1	3.938E-1	5.250E-1	1.313E-1	2.626E-1	3.939E-1	5.251E-1	2.625E-1	5.250E-1	7.875E-1	1.0500
2700	1.688E-1	3.375E-1	5.063E-1	6.750E-1	1.688E-1	3.376E-1	5.064E-1	6.752E-1	3.375E-1	6.750E-1	1.0125	1.350
3000	1.875E-1	3.750E-1	5.625E-1	7.500E-1	1.876E-1	3.751E-1	5.626E-1	7.502E-1	3.750E-1	7.500E-1	1.125	1.500
3600	2.250E-1	4.500E-1	6.750E-1	9.000E-1	2.251E-1	4.501E-1	6.752E-1	9.002E-1	4.500E-1	9.000E-1	1.350	1.800
1/10	+Mass of sodiments in suspension (g)											

### 148 Table 1: Estimated seal thickness for the different sediment particles at various concentrations in suspension

149 **†**Mass of sediments in suspension (g)

150 The surface sealing process could be viewed to have resulted from a filtration process, wherein, there 151 was a phase transition of the sediments from the flowing fluid phase into a solid phase upon settling 152 on the soil surface or in the pore spaces [11, 12]. Two main mechanisms could explain this filtration 153 process - Transport of fluidized sediments with characteristic size larger than the size of the pore 154 constrictions of the pore network was not possible. This implies that the sediment material was 155 blocked and settled only at the soil surface (i.e., the occurrence of pore clogging was restricted only at 156 the surface), as could be depicted for the coarse fragments. On the other hand, in the case of the 157 smaller fluidized sediments relative to the pore constrictions, transport depended solely on the hydraulic conditions (i.e., hydraulic gradient) of the soil column [12]. Of these, high concentrations of 158 159 suspended sediment, irrespective of its characteristic diameter appeared to promote sealing capacity, with increasing seal thickness and hydraulic resistance. Herein, the sealing capacity was observed to 160 161 be high for sediments with smaller diameter. This is a clear indication that the sealing process is 162 related to the geometrical properties of the porous medium and of the sediments [11, 12].

163 164



Figure 1: Relationship between surface seal thickness and hydraulic resistance of sand

particles

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168 169

#### PEER REVIEW UNDER





174 Figure 2: Relationship between surface seal thickness and hydraulic resistance of silt particles 175 176



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Figure 3: Relationship between surface seal thickness and hydraulic resistance of clay

181 182 It is clear from Figures 1 - 3 that increasing seal thickness results in increasing hydraulic resistance 183 for the different seal types. At lower sediment concentrations, seal thicknesses were low with 184 corresponding low hydraulic resistance. Thus, hydraulic resistance increased with increasing surface 185 seal development. The type (i.e. texture) of seal significantly influenced hydraulic resistance which 186 consequently affected infiltration parameter values [10]. As can be seen in Figures 1 - 3, the clay seal 187 showed the highest seal hydraulic resistance, which eventually produced lower infiltration parameters

particles

as reported by [10]. Thus, the seals from coarse-textured sediments produced high infiltration
 parameter values, whereas those from fine-textured soils, produced lower infiltration parameters [10].
 Thus, fine sediments in the irrigation water have high capability of soil surface seal formation.

191 192 The depositional layer densities and saturated hydraulic conductivities for the various sediments were 193 assumed constant for each concentration. However, the characteristic thickness for the different 194 sediment concentrations varied with time. The continuing gradual increase in hydraulic resistance 195 during the infiltration process as observed in Figures 1 - 3 was as a result of the seal formation. This 196 implies that the seal resistance continued to increase throughout the process. From the study, it is 197 evident that although infiltration is directly related to the conductivity of the seal, the relationship is not 198 proportional, as might be assumed from a cursory analysis [12]. Thus, a relative decrease in 199 infiltration requires a larger relative increase in the seal hydraulic resistance [6]. Accordingly, Glanville 200 and Smith [5] reported that in sealed soils, the surface seal rather than the water content of the soil 201 profile determines the reduction in the infiltration rate. This report also clearly highlights the role of 202 seal resistance in water infiltration.

### 204 4. CONCLUSIONS

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206 Observations and measurements from the study showed that surface sealing, seal thickness and seal 207 hydraulic resistance were highly dependent on the characteristics of soil sediment and fluid. Thus, the 208 diameter of the sediment in suspension strongly affected the development of surface seals. 209 Additionally, sediment concentration also greatly affected the surface sealing process. Moreover, the 210 formation of the surface seals with increasing thickness irrespective of the sediment diameter had a 211 marked influence in reducing infiltration rates. Therefore, hydraulic resistance can be a very useful 212 parameter to describe the effects of surface seals on infiltration process in soils and the key effect of 213 sealing in increasing surface runoff and the potential for erosion was made obvious from the study 214 results. 215

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