1 Case study

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Functional massage of the teres major muscle in patients with subacromial impingement syndrome. A randomized controlled case series study.

6

7 Abstract

8 Aims: Subacromial impingement syndrome is the most common shoulder condition. Myofascial trigger 9 points in teres major muscle can be associated with this syndrome. Our objective is to determine whether 10 adding manual therapy specifically for teres major trigger points can produce better results in these 11 patients.

12 **Study design**: Randomized controlled case series.

Place and Duration of Study: Public Primary Care Center in the Spanish National Health System
 (Cornellà de Llobregat - Barcelona) and the FREMAP Mutual Society for Work-related Injuries and
 Occupational Illness (Arnedo - La Rioja), between January and March 2014.

Methodology: Fifty-eight people were recruited but 8 subjects were lost during the follow-up period. The sample consisted of 50 patients (17 male and 33 female, age range 23-80 years) randomly assigned to one of two groups: the intervention group or the control group. Both groups received a protocolized physical therapy treatment, while the intervention group also received manual therapy for teres major trigger points.

Results: Pain intensity (p=.01) and function (p=.01) showed significant improvement in the control group, whereas pain intensity (p=.01), function (p=.01) and active range of motion (p=.01) showed significant improvement in the intervention group. Between-group differences were statistically significant for abduction (p=.01), extension (p=.02) and lateral rotation (p=.02), and clinically significant (Cohen's d) for function, flexion, extension, lateral rotation and abduction.

Conclusion: Although our findings must be considered as preliminary, they suggest that adding manual
 therapy to treat teres major trigger points achieves better results in the glenohumeral range of motion.

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Keywords: Functional massage. Subacromial impingement syndrome. Manual therapy. Teres major
 muscle. Physical therapy.

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32 1. INTRODUCTION

33 The prevalence of shoulder pathology ranges between 16% in the general population [1] and 21% in the 34 population over 70 years old [2]. In the Spanish population of working age, the shoulder is the extremity 35 region with the highest percentage of subjects affected by musculoskeletal symptoms (13.8%), only 36 exceeded by the lumbar (44.9%), cervical (34.3%) and dorsal (27.1%) spines [3]. The incidence has been 37 estimated at 11.2 per 1,000 patients/year, with a majority of cases (41%) diagnosed with subacromial 38 impingement syndrome (SIS) [4]. SIS is characterized by pain emanating from subacromial space 39 structures that increases with upper extremity elevation, and restriction of mobility causing functional 40 limitation affecting the patient's quality of life [5].

A biomechanical cause that can cause the impingement of the subacromial structures is the lack of coordination of muscle activation during extremity elevation [6]. Most studies of muscle coordination have been based on the model of Inman et al, [7] which focuses on the role of the infraspinatus, teres minor and subscapularis muscles opposing the deltoid muscle in order to minimize the impact of the humeral head against the coracoacromial arch during elevation. However, a recent study has included the evaluation of other adductor muscles, considering the classic concept of normal function of the shoulder obtained by a balance between the deltoid and rotator cuff muscles as inadequate [8].

In a study evaluating muscle activity during a functional elevation and depression movement of the extremity, Hawkes et al demonstrated that the teres major muscle is more active during elevation than during depression in asymptomatic subjects. Moreover, during the elevation phase, the maximal activity peak of the adductor group (latissimus dorsi and teres major) appears earlier and lasts longer than the rotator cuff [8]. The role of these muscles in the dynamic balance of the glenohumeral joint may be more important than usually thought, and their dysfunction should be taken into account when evaluating patients with SIS.

55 Travell and Simons reported that the symptoms produced by trigger points in the teres major muscle 56 could be similar to one of other causes of pain in the shoulder, such as subacromial bursitis or supraspinatus tendonitis [9]. In fact, the area of referred pain associated with the trigger points of teres
 major is similar to the region where subjects diagnosed with pathology of the subacromial structures
 usually perceive pain [10].

60 In our daily clinical practice, we have frequently observed that patients diagnosed with SIS present 61 myofascial trigger points in the teres major muscle, where palpation reproduces a pain that patients 62 identify as their usual pain. However, only a few studies have analyzed the involvement of this muscle in 63 the clinical context of SIS, and there seems to be no agreement on the role of the adductor muscles in the 64 management of SIS. Some authors recommend that strengthening exercises of the adductor (due to their 65 depressor moment arm) [11] and the rotator cuff muscles [12], should be included, while others 66 recommend stretching the medial rotators (all of which are adductors) and isolated strengthening of the 67 lateral rotators due to the fact that these muscles are fewer in number and weaker [13].

Our hypothesis is that teres major muscle involvement in the clinical status of patients diagnosed with SIS is greater than classically considered, and requires specific treatment. Our objective is to determine whether adding manual therapy specifically for the teres major muscle to a conventional physical therapy program produces better results than applying a conventional physical therapy program in isolation for patients with SIS.

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74 2. METHODOLOGY

A randomized controlled experimental case series study was carried out. The participants were recruited at two centers: a Primary Care Center in the Spanish National Health System (Cornellà de Llobregat -Barcelona) and the FREMAP Mutual Society for Work-related Injuries and Occupational Illness (Arnedo -La Rioja). The IDIAP Jordi Gol Clinical Research Ethics Committee approved the protocol of this study on 2013-10-02, with code number P13/082. This study was registered with the US National Institutes of Health website: ClinicalTrials.gov identifier NCT02374073.

Due to the lack of previous studies with specific treatment of teres major muscle, there were no statistical data to estimate a previous calculation of the sample size. Participation in the study was offered to the patients at both centers who were referred for SIS treatment during the period from January to March 2014. 85 The inclusion criteria were: age 18 years and over, a clinical diagnosis of SIS, the presence of myofascial 86 trigger points in the teres major muscle, and signing of the informed consent. The Hawkins-Kennedy [14] 87 and Neer [15] tests were used for the clinical diagnosis of SIS. This inclusion criteria was met if one (or 88 both) of these tests were positive, i.e. if the patient's pain was reproduced. Trigger point localization in the 89 teres major muscle was carried out with the patient in a supine position, with the shoulder in a non-painful 90 abduction position in the scapular plane and searching for the presence of nodules within a taut band with 91 a digital pincer grip. It was considered positive if the patient showed some pain or signs of pain 92 avoidance.

The exclusion criteria were: the presence of wounds or cutaneous alterations in the shoulder region, previous surgery on the shoulder, the presence of an acute inflammatory process in the shoulder (< 7 days), being involved in litigation or compensation processes, and not having a command of the language that could make the informed consent impossible to understand.

97 Figure 1 shows the design of the study and the flow of the participants throughout each stage of the 98 study, from the initial contact to the analysis of the results. Ninety-eight patients were asked to participate 99 in this study and none refused to take part, but 40 were excluded. Of the 98 patients contacted, 86 100 showed positive results in the clinical tests for SIS and 12 did not. Of the 86 patients with a positive result 101 in the clinical test for SIS, 60 presented trigger points in the teres major muscle, and 26 did not. Of the 60 102 people that met the inclusion criteria, 2 were excluded due to having pending litigation or compensation.



Figure 1. Consort diagram. Participants flow throughout the study.

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The patients recruited for this study (n=58) were randomly assigned to one of the two groups: either the intervention group or the control group. Randomization was stratified for each center, and was carried out before subject recruitment with a computer program that generated a list of consecutive numbers which were assigned to one of the study groups.

110 During the treatment period, 8 subjects were lost from follow-up, 4 of which were in the intervention group

and 4 in the control group, due to various personal reasons unrelated to the study. The treatment protocol

112 was completed with 50 subjects (25 in each group) who joined the sample of this study.

113 Regardless of the assigned group, all participants received a three-week protocol of treatment, with daily

114 sessions of therapeutic exercises (30 minutes) performed in non-painful arc of motion only under

115 supervision by a physiotherapist, analgesic electrotherapy (20 minutes) and cryotherapy (10 minutes).

116 The participants in the intervention group also received a functional massage in the teres major muscle.

Functional massage is a manual therapy technique, indicated in cases of painful muscle tightness [16] that combines a rhythmic and non-painful passive joint mobilization in the direction of muscle stretching, together with compression/decompression of the muscle to be treated [17]. It begins with compression of the muscle in a position of muscle shortening, and progresses with the passive mobilization of the joint in the direction of muscle stretching until the tightening reaches the compressed muscle area. The muscle compression is then removed and the joint is moved to the starting joint position and the procedure is repeated rhythmically (Figure 2).



- 124 125 126
- Figure 2. Final position of the functional massage technique.
- 127 The functional massage technique has some shared characteristics with the trigger point pressure 128 release technique proposed by Travell and Simons as a substitute for the ischemic compression 129 technique [18]. In the pressure release technique, non-painful maintained pressure is applied in a

130 lengthening position of the muscle, while in the functional massage technique the pressure is applied

131 intermittently. This reduces the likelihood of causing ischemia, and passive joint mobilization in the

132 direction of muscle stretching may improve the local circulatory flow, thereby minimizing the energy crisis

133 at the myofascial trigger points.

134 In our study, the functional massage technique was applied within 5 minutes of each treatment session,

135 with a frequency of 20 to 25 movements per minute.

The following result variables were measured, immediately before and after the treatment period: pain intensity, level of function and active range of movement. The subjective opinion of the subject regarding the results obtained was also recorded at the end of the treatment period.

A Visual Analogue Scale (VAS) 100 millimeters in length without intermediate references was used to measure pain intensity [19]. The subjects were asked to register their level of pain in the shoulder region. If the patient felt that the pain intensity was variable, the subject was asked to register the pain intensity perceived in the shoulder region at the most painful point in time.

The level of function was measured with the simplified Constant-Murley Test, in which force measurement is not considered, with a potential maximum score of 75 points [20]. The use of the simplified test is justified because the force measurement is the less standardized parameter of the original test, with various procedures for registration (and scoring) that have not been validated. Moreover, the measurement position (abduction) may be painful for patients with SIS, hindering precise measurement

148 [21].

149 The active range of movement in flexion, abduction, extension and lateral rotation was measured with a 150 two-arm universal goniometer and the results were expressed in degrees. The flexion and extension were 151 measured in the sagittal plane, with the elbow in extension and the forearm in the mid position of 152 pronosupination (thumb pointing forward). Abduction was measured in the scapular plane with the elbow 153 in extension and the forearm in the mid position of pronosupination. Lateral rotation was measured in 154 neutral position of the shoulder (arm beside the trunk), elbow in 90° of flexion and forearm in the mid 155 position of pronosupination [22]. The active range of movement in medial rotation was measured with the 156 hand-behind-back reach test. The position reached with the tip of the thumb was marked with a

dermographic pencil, and the distance between this mark and the lower end of the spinous process of C7
was measured in centimeters; the shorter the distance, the greater the mobility [22].

The subjective results perceived by the subjects after the treatment were rated using a Global Rating ofChange scale (GROC scale) [23].

161 The process of measurement and data collection and the treatment protocol were determined by the 162 physical therapists at the two participating centers and practiced during a common training session.

Blinding techniques were not applied during this study. The same physical therapist that collected the variable data applied the manual treatment, and could not be blinded. The participants assigned to the control group were aware that no additional manual therapy was applied.

Statistical analysis of the results was carried out with version 20.0 of the SPSS program, using nonparametrical tests due to the reduced sample size. The level of significance was established at alpha =.05 and the limits of the confidence interval at 95%. In order to compare the groups at the beginning of the study, the Chi-square and Fisher exact tests were used for the qualitative variables, and the Mann-Whitney U test was used for the quantitative variables. The Wilcoxon signed rank test was used in order to analyze the intra-group differences in the result variables. ANCOVA was used for the comparison between groups.

To estimate the clinical relevance of the results, apart from the results from the GROC scale that were analyzed with the Fisher exact test, the effect size of the inter-group results were estimated (difference of standardized averages, Cohen's *d*) with an online calculator (http://www.uccs.edu/~lbecker/). Cohen describes 0.2, 0.5, and 0.8 as a small, moderate and large effect size respectively [24].

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178 3. RESULTS AND DISCUSSION

The average age of the participants was 61.6 years (SD 10.71) with a range between 23 and 80 years, 66% were women. The most affected shoulder was the right one (68%). Only one participant was lefthanded. The demographic characteristics of the participants, including the values of the result variables at baseline, are shown in Table 1.

 Variables
 Intervention group (n=25)
 Control group (n=25)

 Age in years
 58.1 (10.30)
 65.2 (10.08)

Sex		
Male N (%)	11 (44)	6 (24)
Female N (%)	14 (56)	19 (76)
Affected shoulder		
Right N (%)	18 (72)	16 (64)
Left N (%)	7 (28)	9 (36)
Pain duration in months	13.16 (13.64)	10.64 (11.38)
Occupation (out-home)		
Active N (%)	11 (44)	5 (20)
Unemployed N (%)	1 (4)	1 (4)
Retired N (%)	9 (36)	13 (52)
No N (%)	4 (16)	6 (24)
Sporting activity		
Yes N (%)	15 (60)	17 (68)
No N (%)	10 (40)	8 (32)
Previous trauma		
Si N (%)	2 (8)	7 (28)
No N (%)	23 (92)	18 (72)
Type of pain		
Continuous N (%)	9 (36)	11 (44)
In specific movements N (%)	16 (64)	14 (56)
Predominant pain		
Daytime pain N (%)	13 (52)	8 (32)
Nighttime pain N (%)	12 (48)	17 (68)
Most painful movement		
Lying on the affected side N (%)	7 (28)	3 (12)
Lying on the non-affected side N	1 (4)	1 (4)
(%)		
Elevation N (%)	11 (44)	11 (44)
Hand to back N (%)	5 (20)	9 (36)
Others N (%)	1 (4)	1 (4)
Pharmacological treatment		
Yes N (%)	14 (56)	11 (44)
No N (%)	11 (44)	14 (56)
Pain intensity (1)	61.0 (21.34)	63.5 (21,80)
Function (Constant-Murley)	41.4 (12.85)	45.6 (9.92)
Flexion (2)	118.9 (30.00)	118.2 (23.91)
Abduction (2)	111.6 (28.27)	116.4 (22.05)
Extension (2)	41.9 (16.97)	29.6 (9.77)
Lateral rotation (2)	29.8 (17.24)	25.6 (13.21)
Medial rotation (3)	26.7 (13.81)	33.4 (13.30)

NOTE: The results are presented as the mean and standard deviation, except when shown as %. (1) EVA in millimeters from 0 to 100. (2) Mobility in degrees from zero until maximum active range of movement. (3) Distance in centimeters from spinous process of C7 to the tip of the thumb.

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No statistically significant between-group difference was found for any of the qualitative demographic variables. For the quantitative demographic variables, there were statistically significant between-group differences in age (p=.02) and extension range of movement (p=.01) at baseline. The differences in pain

duration, pain intensity, function and the remaining mobility variables were not statistically significant.

- 192 Intra-group analysis of the differences between baseline and post-treatment assessments are shown in
- 193 Table 2. In the intervention group, all the result variables showed a statistically significant improvement. In
- 194 the control group, the pain intensity and level of function variables had a statistically significant
- 195 improvement, while no mobility variables had a statistically significant improvement.
- 196
- 197 Table 2: Changes in each variable between baseline and post-treatment assessments.

	Intervention group			Control group		
Variable	Mean (SD)	ĊI 95%	Р	Mean (SD)	CI 95%	р
Pain intensity (1)	21.16 (19.16)	13.2 / 29.1	.01	22.92 (20.90)	14.3 / 31.5	.01
Function (C-M)	10.60 (8.36)	7.1 / 14.1	.01	6.92 (7.75)	3.7 / 10.1	.01
Flexion (2)	14.76 (17.24)	7.6 / 21.9	.01	4.48 (19.08)	-3.4 / 12.4	n.s.
Abduction (2)	23.00 (15.93)	16.4 / 29.6	.01	1.00 (21.45)	-7.9/9.9	n.s.
Extension (2)	5.64 (9.50)	1.7 / 9.6	.01	0.84 (7.85)	-2.4 / 4.1	n.s.
Lateral rotation (2)	8.76 (10.53)	4.4 / 13.1	.01	0.72 (8.21)	-2.7 / 4.1	n.s.
Medial rotation (3)	2.60 (4.54)	0.7 / 4.5	.01	1.56 (6.10)	-1.0 / 4.1	n.s.

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200 201 NOTE. p: value of the intra-group comparison. n.s. not significant. C-M: Constant-Murley. (1) VAS in millimeters from 0 to 100. (2) Mobility in degrees from zero to maximum active range of motion. (3) C7-thumb distance in centimeters.

- 202 In the between-groups comparison, the intervention group showed a larger improvement in all the result
- variables, except the similar result in both groups for pain intensity (Fig. 3). ANCOVA results, considering
- age and the initial values of each result variable as covariables, were statistically significant in abduction
- 205 (p=.01), extension (p=.02) and lateral rotation (p=.02) movements.



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207 **Fig. 3. Graphical representation of changes on each variable.**

The clinical significance of the between-group differences, analyzed by estimating the effect size (Cohen's *d*) showed a small effect size (.2 to .5) at the level of function, flexion, extension and lateral

- 210 rotation; a moderate effect size (=0.5) in abduction, and no significance for pain intensity and medial
- rotation. The subjective results expressed by the participants using a GROC scale are shown in Table 3
- and are very similar for both groups.

Table 3: Results of the Global Rating of Change scale (GROC scale)			
	Intervention group	Control group	
Clinical improvement (1)	17	16	
Without clinical changes (2)	8	8	
Clinical worsening (3)	0	1	

214 215 NOTE: (1) Values between "Moderately better" and "A very great deal better". (2) Values between "Somewhat better" and "Somewhat worse". (3) Values between "Moderately worse" and "A very great deal worse".

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The results of this study support our hypothesis that the teres major muscle is also involved in the clinical status of many patients diagnosed with SIS, and that adding a specific treatment helps to obtain better results than a conventional physical therapy treatment.

The teres major muscle had myofascial trigger points in sixty (70%) of the 86 patients showing positive results in clinical tests for SIS, which is similar to the results of Bron et al., who concluded that 76% of the subjects with pain in the shoulder with a non-traumatic etiology had trigger points (27% active and 49% latent) in the teres major muscle [25].

224 Although conventional physical therapy has enabled us to achieve satisfactory results for these patients 225 with improvements in pain intensity and level of function, the addition of manual therapy focused on the 226 trigger points in the teres major muscle improved the mobility results, and achieved statistical significance 227 in abduction, extension and lateral rotation, as well as clinical significance at the level of function, 228 abduction, extension and lateral rotation. Other studies showed similar results. The systematic review of 229 Kung JE concluded that therapeutic exercises are effective for improving pain and function, but not for the 230 range of movement or the force of the subjects with SIS, and that its efficacy improves if manual therapy 231 is added [26].

Pain provocation in the structures of the subacromial space of previously asymptomatic subjects alters the pattern of muscle activation, thereby increasing the activity of the adductor muscles [27]. It has also been shown that patients with a full-thickness tear of the rotator cuff present an increased activation of the deltoid muscle, considered to compensate for the absence of the supraspinatus, together with an increase in the activity of the teres major and latissimus dorsi [28]. This increased activation of the 237 adductor muscles is attributed to the need to stabilize the humeral head in order to minimize the 238 impingement and protect the subacromial structures. Despite the almost complete pain alleviation (from 239 7.7 to 0.9 in VAS) due to lidocaine subacromial infiltration, it did not recover the pattern of activation 240 considered normal in the overall sample, but only partially and only in some subjects [28]. In our study, a 241 conventional therapeutic approach focused on the subacromial structures, despite achieving a significant 242 reduction in the pain intensity regardless of the group assignation, it did not enable a recovery of mobility 243 unless specific treatment of the dysfunctional muscle was added, in this case, after functional massage 244 treatment of the myofascial trigger points in the teres major muscle. Studies of the effects of the pressure 245 release technique also show an increase in the restricted mobility of the muscles involved [29,30].

Although the intervention group showed better results for all variables of mobility, function improvement

247 measured with the simplified Constant-Murley Test was only slightly higher than that obtained by the

248 control group. The Constant-Murley test is an aggregated score of various items, including four shoulder

249 movements, but only two movements (flexion and abduction) are rated using the angular range of motion,

and the score only increases with every 30 degrees of improvement. Minor improvements, albeit
 statistically and clinically significant, cannot be reflected in the global score.

The subjective results expressed by the participants using a GROC scale were very similar for both groups, as well as the improvement in pain intensity and function. Therapeutic exercises are effective for improving pain and function [26] and adding a specific manual technique for the teres major muscle does not have any significant additional effect on these variables. Although it is plausible to consider that pain and function are the most important items to support the subjective opinion of the patient, we found no studies of the relationship between the results of the GROC scale and other clinical variables in patients with subacromial impingement syndrome.

Our study supports the existing evidence, which revealed that in the treatment of the pathology of the subacromial space, a therapeutic approach of physical therapy that includes manual therapy techniques is superior to a physical therapy approach that does not include those techniques [31,32]. Choosing the manual technique to be applied to the specifically affected structures may improve the results in these patients.

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Our study presents some limitations, such as the lack of blinding of the evaluator and the reduced sample size. We were also unable to ensure the representativeness of our sample, so we cannot guarantee that the data obtained have external validity. Additionally, we must take into account that a potential placebo effect has not been controlled, and this may have an influence on the subjects treated with an additional manual therapy technique.

269 **4. CONCLUSION**

Although our conclusions must be considered with caution due to the limitations of our study, our results show that the association between SIS and trigger points in the teres major muscle may be more frequent than described in the literature, and adding functional massage of the teres major muscle helps to achieve better results in the glenohumeral range of movement.

274

275 CONSENT

All authors declare that written informed consent was obtained from all the patients for publication of this

article and the accompanying images. A copy of the written consent is available for review by the Editorial

278 office/Chief Editor/Editorial Board members of this journal.

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280 ETHICAL APPROVAL (WHERE APPLICABLE)

All authors hereby declare that the protocol of this study was examined and approved by the IDIAP Jordi

Gol Clinical Research Ethics Committee on 2013-10-02, with code number P13/082. All the experiments

283 have therefore been performed in accordance with the ethical standards laid down in the 1964

284 Declaration of Helsinki."

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