

**Complex changes in blood biochemistry revealed by a composite score derived from principal component analysis: effects of age, patient acuity, end of life, day-of week, and potential insights into the issues surrounding the ‘weekend’ effect in hospital mortality**

**ABSTRACT**

**Aims:** To determine if a score (PCA score derived from Principal Component Analysis), a validated score of frailty and mortality, based on 12 blood biochemistry parameters can shed light on the issue of patient acuity, end of life and weekend mortality in hospitals.

**Study design:** The PCA score was calculated from over 280,000 blood tests. Average PCA score was calculated for different patient groups on different days of the week. An accompanying literature review of day-of-week variation in human mental and physical performance, and of studies investigating hospital mortality.

**Place and Duration of Study:** Retrospective analysis of 280,000 blood test results from 80,000 patients attending the Milton Keynes University Hospital in the interval January 2012 to July 2015.

**Participants:** Patients at outpatient clinics, the emergency department or as an inpatient who had one or more blood samples comprising the 12 biochemical tests.

**Methodology:** Average PCA score was calculated for patients in different hospital departments, on different days of the week, in different age groups, and at different times prior to death.

**Results:** The PCA score for individuals ranges from -6 to +6, with scores above zero generally associated with higher morbidity and mortality. The average PCA score is lowest in outpatient and A+E settings, varies across wards dedicated to different types of inpatient care, and is highest in ICU. The average PCA score reaches a minimum around age 18, and shows a modest increase with age in those who are not an inpatient. There is a day-of-week variance in the PCA score which is higher at the weekends, and dips to a minimum around Wednesday. The strength of the day-of-week effect varies by age and condition, and occurs in locations where staffing levels remain constant throughout the week.

**Conclusions:** Variation in human blood biochemistry follows day-of-week patterns and responds to different conditions, age, and the acuity of the condition. These add further weight to the argument that weekend staffing levels, and proposed 7 day working patterns, do not take account of all the factors that contribute to a day-of-week variation in hospital mortality and morbidity.

*Keywords: Weekend mortality, day of week, blood biochemistry, mortality, morbidity, age, principle component analysis, critical care, inpatient care, emergency department*

**1. INTRODUCTION**

In March of 2015 Cohen et al published an original article describing a PCA score (Principal Component Analysis) that represented a measure of frailty and risk of death based a large number of biochemical markers [1], that could be tailored down to 15 inexpensive and commonly performed blood tests (in Canada and the USA). With an algorithm that ‘weights’ the different tests appropriately, a resulting ‘score’

23 emerges that is predictive of frailty and mortality. However, only 12 of these tests are commonly available  
24 in the UK. The PCA score was kindly recalculated based on these 12 tests by Cohen and Moiressette-  
25 Thomas. It was then successfully re-tested for validity against their original dataset. The resulting  
26 composite score is best understood as the collective sum of weighted deviations from the average. The  
27 score therefore pivots about zero. Scores above zero represent a greater risk of frailty and mortality, and  
28 below zero a lower risk. As expected, there is considerable variation between individuals which  
29 necessitates the use of very large data sets to elucidate changes in population averages.

30  
31 The rationale behind the pathological mechanism being measured is based on complex systems theory.  
32 No single marker was able to accurately monitor this 'integrated albuminaemia', which is generally  
33 associated with anemia, inflammation and low levels of albumin and calcium. The emergent PCA score  
34 suggests a 'higher order or emergent physiological process' is being measured [1].

35  
36 In this large study, we used the adapted 12 test PCA score on our Milton Keynes University Hospital  
37 electronic database between the years of 2012 and 2015 comprising some 279,984 PCA scores for  
38 80,424 patients. In our study we are testing the population average of the PCA score with recorded  
39 patient outcomes such as outpatient versus inpatient, specialty of care, age, death, and periods of ICU  
40 (Intensive Care Unit) care.

41  
42 This analysis also enabled day of the week to be analyzed as an independent factor relating to the  
43 average PCA score in a variety of inpatient settings.

44  
45 In the context of weekday staffing levels; data relating to patients seen in the accident and emergency  
46 department (A+E), and in the intensive care unit (ICU) enabled a reasonable assumption (that staffing  
47 levels did not vary by day of the week or weekend) to be made in interpreting the resulting data. In  
48 England, hospital mortality as it relates to the day of the week, most especially weekends, has been  
49 highly topical of late. This, following a publication by Freemantle et al [2] which has been linked to moves  
50 towards enhancing 7 day working in England. However, the link between mortality and hospital admission  
51 is complex, and needs to be understood in full before any conclusion can be drawn about causation. This  
52 latter point was emphasized in the comprehensive review by Becker [3], and it is unfortunate that many of  
53 the issues raised in this review have been overlooked in subsequent publications on this topic.

## 54 55 **2. MATERIAL AND METHODS**

### 56 57 **2.1 Data Sources**

58  
59 The data available for this study came from three sources. The primary data source was from the  
60 pathology data base which provided details of internal hospital number, patient age, gender,  
61 ward/department and date of biochemistry tests. The internal hospital number was used to link the  
62 biochemistry results with patients who had died during an inpatient admission, as an alive/dead extract  
63 obtained from the hospital Patient Administration System. Finally, the internal hospital number was also  
64 used to locate details of patients who had died within 30 days of discharge via a Healthcare Evaluation  
65 Data (HED) data extract, this is a third party information system provided by the University Hospitals  
66 Birmingham NHS Foundation Trust.

### 67 68 **2.2 Data Manipulation**

69  
70 Due to the progressive nature of the project various data extracts were grouped into three data sets. The  
71 first contained data from July 2014 to June 2015 (27,228 persons; 97,420 PCA scores), which was used  
72 for an initial feasibility study. This data set contains biochemistry test results for all inpatient admissions  
73 and A+E attendances. In this data set a complete patient history was generated for every person who  
74 died, and for persons having large numbers of repeat biochemistry requests. The second data set  
75 (53,196 persons; 182,564 PCA scores) expanded the time frame and scope to January 2012 through to  
76 June 2014, plus additional biochemistry test results for outpatient attendances. The focus of this data set  
77 was to generate a complete time profile for all patients with a large number of repeat biochemistry

78 requests. (See Fig. A1 in the Appendix showing day-of-week profiles for 5 patients to illustrate that the  
79 day-of-week profile occurs in individuals). In the third data set (1,398 persons; 26,689 PCA scores)  
80 biochemistry test results for all persons having a stay in the intensive care unit were collected for every  
81 available patient contact (outpatient, inpatient and A+E between Jan-12 to Jun-14, and inpatient and A+E  
82 between Jul-14 to Jun-15). The focus of this data set was to generate a complete time history for patients  
83 having the highest number of repeat biochemistry requests during their time in intensive care.

84  
85 Patients were categorized (as above) as either having a death in hospital during their final admission or  
86 alive at the point of last contact with the hospital during the study period.

87  
88 Further analysis of these three data sets was conducted using Microsoft Excel with data extracted using  
89 the Pivot Table function in Excel. Microsoft Excel was used to create various charts and tables.

## 90 91 **2.3 Missing Values**

92  
93 All test results undulate over time due to systematic factors, or due to measurement uncertainty. Patients  
94 will have multiple biochemistry tests, which on some occasions will contain missing values. On less than  
95 half of occasions between 1 and 7 of the 12 values can be missing. In this study missing values were not  
96 addressed via blind assignment of average values, but were added back via linear interpolation between  
97 adjacent values. Interpolation has not been used to create a score on those days when test results have  
98 not been requested, but only on those days when at least some test results are available. Hence, on  
99 those occasions when all 12 tests were not performed the time series of contacts for each patient was  
100 used to interpolate the missing values for that particular day. A linear relationship was assumed to  
101 interpolate any missing values. No attempt was made to interpolate missing values where there was an  
102 insufficient time history, indeed as discussed above; the emphasis was on obtaining a time series for  
103 patients with a high number of repeat test requests. RDW (Red blood cell Distribution Width), CRP (C  
104 Reactive Protein), ALP (Alkaline Phosphatase) and AST (Aspartate Transaminase) all undergo log  
105 transformation, and are therefore insensitive to any minor uncertainty due to interpolation – the latter  
106 three being the most commonly missing. These three tests also had the least impact on the PCA score  
107 due to a low weighting (Table 1), and hence uncertainty due to interpolation of results is minimised. See  
108 Table A1 in the Appendix for an example.

## 109 110 **2.4 Statistical Evaluation**

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112 Patients were aggregated by different types of attendance/admission, and average PCA scores were  
113 calculated. The standard error of the mean (SEM) was calculated to give a 95% confidence interval (CI)  
114 for these averages (95% CI = 1.96 x SEM). The SEM = standard deviation ÷ the square root of the  
115 sample size. The SEM is especially appropriate when seeking to compare averages derived from  
116 populations where there is considerable variation around the average.

## 117 118 **3. RESULTS AND DISCUSSION**

### 119 **3.1 Results**

#### 120 **3.1.1 The nature of the PCA score**

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122 Table 1 lists the 12 biochemical tests (along with the weighting parameters) which comprise the PCA  
123 score, and gives the weighted standard deviation as a measure of the relative contribution of each test to  
124 the overall score. As can be seen variation in Hb (Haemoglobin) and HCT (Haematocrit) make the biggest  
125 contribution while AST (Aspartate Transaminase) makes the least, except on the few occasions when this  
126 parameter reaches very high levels in certain types of inflammation. The unit transform converts UK units  
127 of concentration into the units used in the international studies, the log transform shows which tests are  
128 subject to a log 10 manipulations, while the weighting reflects the UK equivalent to that observed in the  
129 international cohort used by Cohen et al [1].

133 **Table 1. Biochemical tests (and weighting parameters) comprising the PCA score and**  
 134 **relative contribution to the overall score as measured by the weighted standard deviation for each**  
 135 **test**  
 136

Test	Unit Transform	Components of the Z-score			Z-score weight	STDEV of weighted values
		Log 10	Mean	STDEV		
Hemoglobin	0.1	No	12.144	2.208	-0.416	0.385
Hematocrit	100	No	36.236	6.009	-0.389	0.384
Albumin	0.1	No	3.281	0.745	-0.383	0.383
RBC	1	No	4.181	0.723	-0.344	0.347
Alb:Glob ratio	1	No	1.109	0.362	-0.339	0.313
RDW	1	Yes	2.69	0.142	+0.287	0.294
MCHC	0.1	No	33.456	1.489	-0.247	0.272
CRP	1	Yes	2.776	1.817	+0.289	0.259
ALP	1	Yes	4.419	0.526	+0.159	0.176
Platelets	1	No	277.275	129.214	+0.131	0.174
MCH	1	No	29.143	2.714	-0.16	0.168
AST	1	Yes	3.335	0.574	+0.022	0.027

137 *RBC = red blood cell (RBC) count; RDW = red blood cell distribution width; MCHC = mean corpuscular hemoglobin*  
 138 *concentration, MCH = mean corpuscular hemoglobin*  
 139

140 Table 2 demonstrates that the average PCA score is sensitive to both the acuity and nature of the  
 141 condition, i.e. differences between average score between outpatient specialties and inpatient wards. The  
 142 Standard Error of the Mean (SEM) is shown as an indication of the uncertainty associated with the mean.  
 143 Note that these are not always representative samples, but are only those patients that the clinician has  
 144 deemed to require the full 12 biochemistry tests to assist in diagnosis or management. Scores for  
 145 individuals vary from -6.0 to +6.0, i.e. the equivalent to  $\pm 6$  standard deviation equivalents of weighted  
 146 biochemistry scores. The average PCA score varies from around +2.0 in the intensive care unit through to  
 147 -2.0 in a variety of outpatient settings (average for outpatient departments is -1.25).  
 148

149 **Table 2. Variation in average PCA score for different inpatient and outpatient departments**  
 150 **(Jan-12 to Jun-14), where a clinician has deemed it necessary to request the full suite of 12 tests**  
 151

Location	Average PCA Score	Standard Error of Mean	Sample size
Intensive care	2.16	0.02	5,034
Gastroenterology	1.17	0.02	7,422
Orthopaedic	1.14	0.03	2,543
Medicine	1.11	0.02	11,637
Endocrine/Haematology	1.10	0.02	8,780
Surgery	1.04	0.02	9,981
Respiratory/Cardiology	0.95	0.01	14,573
Antenatal/Gynaecology	0.80	0.04	680
Ante-Natal Assessment	0.66	0.02	1,537
Maternity Delivery	0.51	0.03	1,548
Ante-Natal OPD‡	0.46	0.07	184
Stroke Rehabilitation	0.44	0.03	3,213
Pediatric	0.12	0.04	1,735
Postnatal/Gynecology	0.10	0.08	1,088
Gynecology OPD	0.07	0.08	300

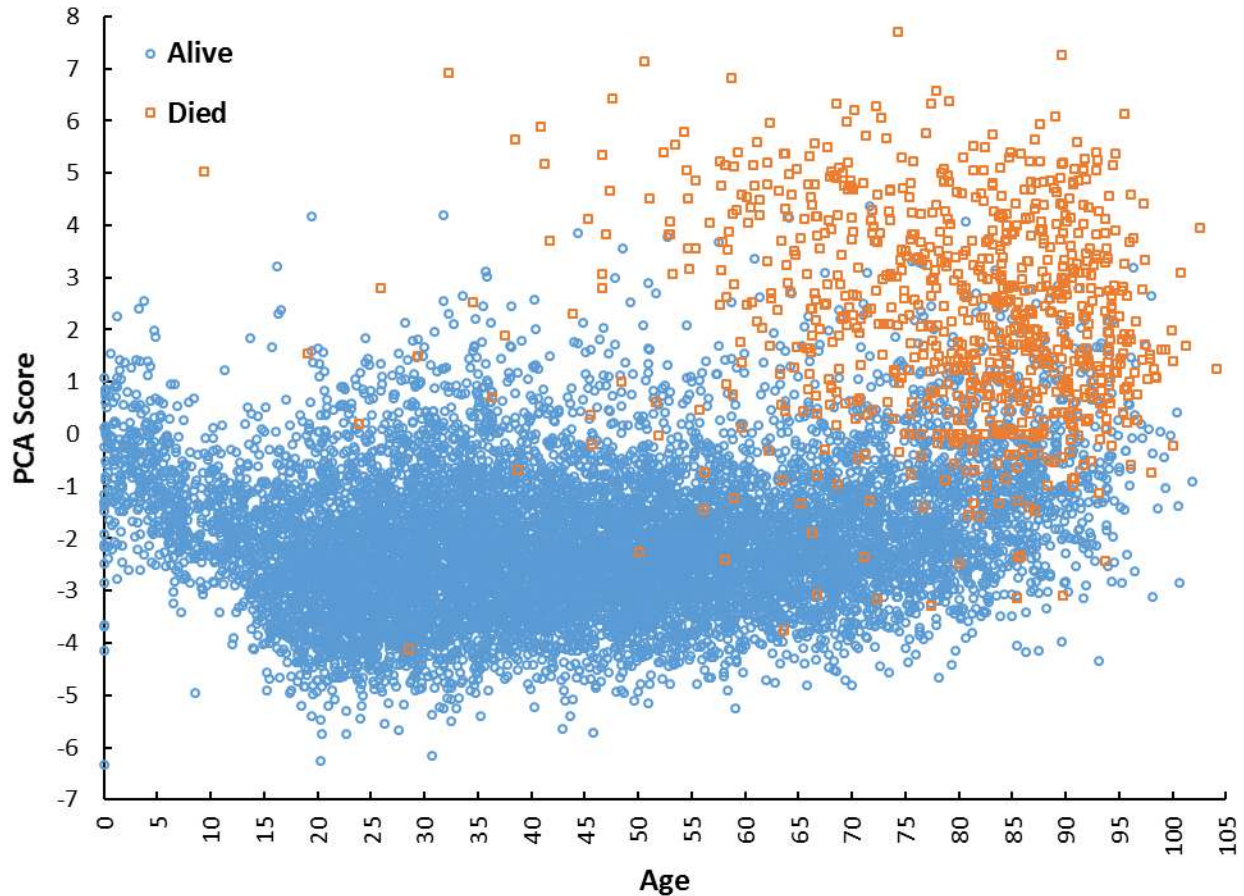
Coronary Care	0.01	0.05	1,640
Medical Assessment	-0.16	0.02	12,494
MacMillan Cancer OPD	-0.27	0.01	15,262
Ambulatory Care OPD	-0.46	0.02	7,435
Surgical Assessment	-0.49	0.02	9,693
Pediatric Assessment	-0.72	0.02	2,274
Neo-Natal Unit	-0.77	0.06	1,488
Infectious Disease Clinic OPD	-1.06	0.09	246
Orthopedic OPD	-1.15	0.10	230
Day Surgery	-1.20	0.06	225
Medical Oncology OPD	-1.20	0.04	843
Accident & Emergency (A+E)	-1.25	0.01	40,030
Diabetic Clinic OPD	-1.30	0.04	194
Ophthalmology OPD	-1.32	0.15	101
Hematology OPD	-1.34	0.03	3,008
Endoscopy OPD	-1.39	0.15	108
Cardiology OPD	-1.57	0.07	413
Angiography	-1.71	0.04	793
Dermatology OPD	-1.74	0.04	841
Neurology OPD	-1.93	0.09	137

‡ OPD = outpatient department

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The stability of the average score can be assessed by comparing the value for intensive care in Table 2 (Jan-12 to Jun-14), with the same calculation derived from the Intensive care data set (Jan-12 to Jun-15) with  $2.16 \pm 0.04$  ( $n = 5034$ ) versus  $2.23 \pm 0.04$  ( $n = 8936$ ). On this occasion the 95% confidence intervals for the average are given, and these overlap. See Fig. A2 in the Appendix for the power law relationship between SEM and sample size. SEM for all averages in this study (where SEM or 95% CI are not shown) can be estimated from the power law relationship in Fig. A2. Fig. A2 illustrates that in the face of wide variation in PCA scores between individuals, sample sizes above 1,000 are required to give a reliable estimate for the average PCA score.

Fig. 1 shows the effect of age on the PCA score for patients attending A+E who had all 12 tests performed, but were not admitted to hospital. Data for this figure comes from the Jul-14 to Jun-15 data set. This group is the best proxy available for a moderately healthy population. The maximum PCA score (from the same data set) for all inpatients who died in hospital is also shown, to indicate generally higher scores for those who die. Investigation shows that low PCA scores in those who die are associated with sudden death such as aneurism, hemorrhage, major trauma, as opposed to a progressive disease. Note that variability in the PCA score between individuals reaches a minimum around age 10, while the population average reaches a minimum around age 20. There is also far greater variation between individuals who die than between individuals who are moderately healthy. The population average slowly increases with age but tends to rise more rapidly above age 75.

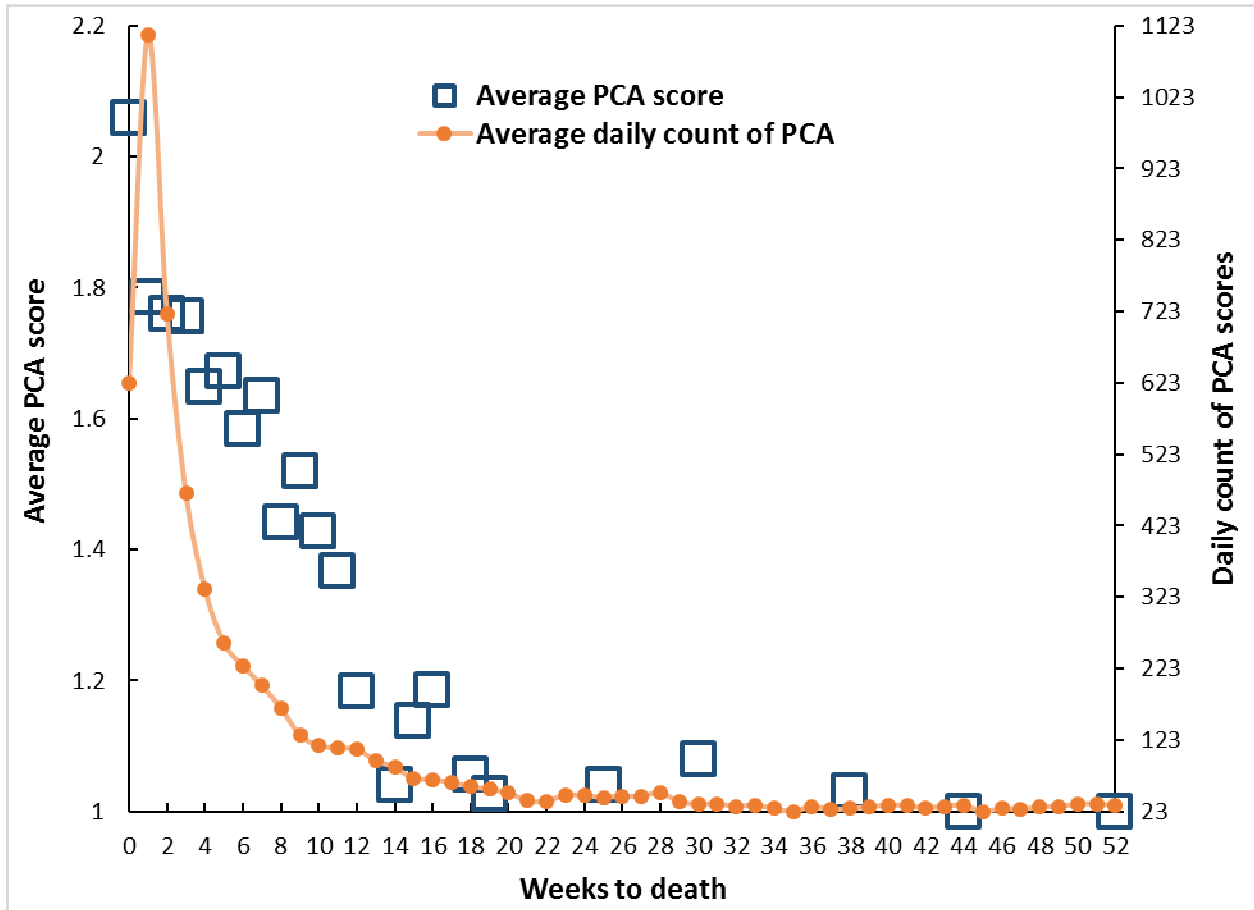


174 **Fig. 1. PCA score for A+E attendance without inpatient admission (alive) versus highest PCA**  
 175 **score in those who died during final inpatient admission (Jul-14 to Jun-15)**  
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177  
 178 The last weeks of life represent a key period of general rapid decline in functional and immune status.  
 179 Fig. 2 demonstrates that the average PCA score begins to rapidly increase (as a population average)  
 180 around 26 weeks prior to death (combined data from all three data sets), and that this increase in  
 181 population average PCA score is accompanied by increasing usage of inpatient services via bed  
 182 occupancy. Around one year prior to death the population average for bed occupancy is around 44-times  
 183 lower than during the last week of life. At greater than 20 weeks before death there is a slow decline in  
 184 the PCA score to an asymptote at around 2 years (not shown). The trend upward at less than 20 weeks is  
 185 not a general trend per se, but rather a composite picture of individuals experiencing both a general and a  
 186 rapid increase in PCA score just prior to death. Fig. 2 also confirms the fact that from the viewpoint of  
 187 individuals who die in hospital the vast majority of health service contacts (admissions and occupied  
 188 beds) occur in the last weeks of life, irrespective of the age at death [4-5]. However, at an individual level  
 189 this transition appears to be more abrupt with a sudden and permanent shift to a higher PCA score at  
 190 some critical point prior to death (Fig. 3a).  
 191

192 For the individual in Fig. 3a their PCA score around 2 years prior to death is somewhat unstable ranging  
 193 between 0.1 and 2.5, however it is higher than the scores for 'healthy' individuals seen in Fig. 1. Then  
 194 follows a one-year period of frequent hospital care and a generally higher PCA score around 2.5. There is  
 195 a period of seeming respite, however around 1 month prior to death there is a sudden transition to a  
 196 permanently higher PCA score ranging around 3.0. This end-of-life transition is unique to each individual  
 197 with some making this transition over a period of months. However, in all cases the final score is far  
 198 higher than that seen at first contact (within the limits set by the time period of the study).  
 199

200 However, as Figure 3b illustrates some individuals can experience rapid deterioration where almost  
 201 certain death is averted after treatment in the ICU. These individuals can then go on to make a seeming  
 202 full recovery. The key observation here is that a calculated PCA score is useful to assess each  
 203 individual's health status over extended periods of time, and especially when the score goes above zero.  
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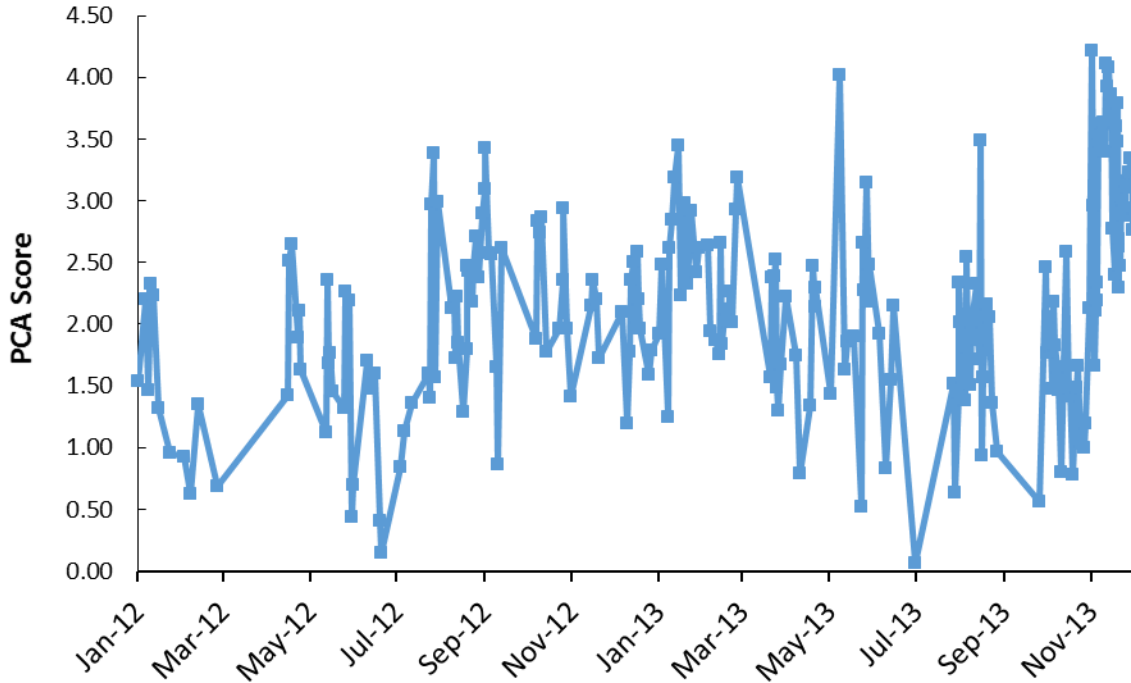


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 207 **Fig. 2: Change in average PCA score and the number of weeks prior to death (n = 44,365)**  
 208 *The daily count of PCA is equivalent to occupied beds, due to double counting between the three data sets the trend*  
 209 *is more a relative measure of occupied beds, i.e. bed occupancy in the dying peaks sharply in the last week of life.*  
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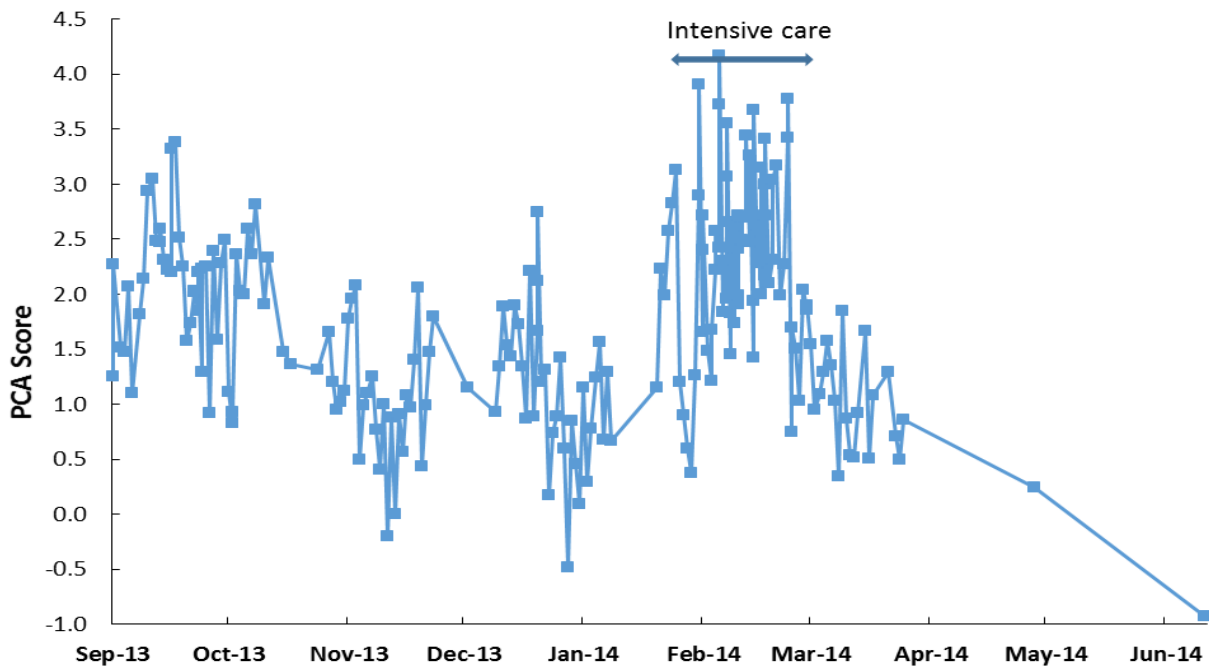
211 The time trajectory in average PCA score prior to death for the smaller ICU data set is more gradual and  
 212 only declines to an average of 1.0 beyond three years prior to death. The profile is also dominated by  
 213 high average scores between 6 to 25 days prior to death, when the bulk of time in ICU would appear to  
 214 occur (See Fig. A3). By implication persons who spend time in ICU have a poorer health state as  
 215 measured by population average PCA score over an extended period prior to ICU admission, however,  
 216 PCA score *per se* for individuals is not predictive of ICU admission. Those who are admitted to ICU have  
 217 a wide range of PCA scores prior to ICU, but typically show a +1.0 change in PCA score between  
 218 biochemistry conducted just before ICU and the first biochemistry after admission to ICU (data not  
 219 shown). Factors other than the PCA score, such as liver function, comorbidity and physiology scores  
 220 appear more important predictors of the need for ICU [6], although rapid deterioration in health state is  
 221 implied by the higher PCA score soon after ICU admission.  
 222

223 Figs. 3a and 3b illustrates the more complex individual trends which lie behind the collective population  
 224 trend seen in Fig. 2. In Fig. 3a, the male has repeated contacts and admissions at the hospital over a two-  
 225 year period. His initial PCA score is above zero indicating poor biochemical balance. There are periods of  
 226 acute exacerbation, with a final rapid and pronounced increase in the PCA scores (involving admission to

227 intensive care) prior to death, with pneumocystosis as the primary diagnosis. In Fig. 3b, a woman with  
 228 cancer has repeated visits/admissions, spends time in intensive care and finally recovers with the PCA  
 229 score eventually returning to -1.0. Interestingly the rudiments of a weekly cycle in health can be discerned  
 230 in both figures which leads to an element of apparently high volatility in the daily PCA scores (see also  
 231 Fig. A1 for examples of day-of-week changes in the PCA score).  
 232



233  
 234 **Fig. 3a. PCA score over time for a male aged between 50 and 60 years who eventually dies**  
 235 *Large gaps between data points indicate periods between consecutive hospital attendance/admission.*  
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239 **Fig. 3b. PCA score over time for a woman aged between 60 and 70 years who recovers after**  
240 **treatment**

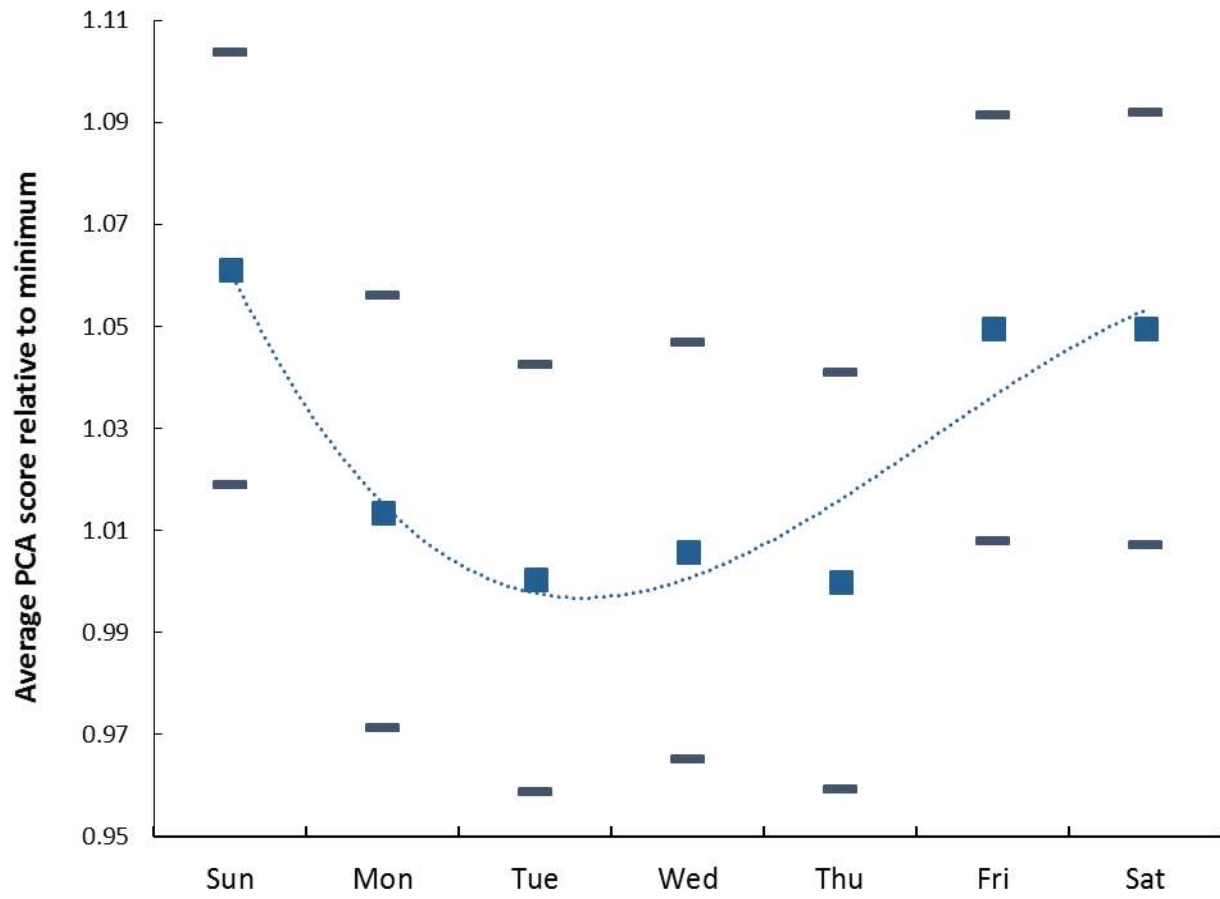
241 *The final two data points come from follow-up visits to confirm the efficacy of treatment*  
242

243 **In terms of potential seasonal effects, analysis reveals that there** is no evidence for a seasonal effect  
244 upon the PCA score (Fig. A4), however, behavior of the 28 day running average PCA score over time  
245 suggests that it may be detecting as yet unexplained changes in population health status **(possibly**  
246 **infectious)**, a possibility which requires further exploration. **In this respect it should be noted that up to the**  
247 **present the vast quantities of pathology test results collected around the world have not been harnessed**  
248 **to their full potential, and that application into epidemiological studies is long overdue.**

249  
250 Given that higher PCA score has been shown to be associated with death, and has been shown to be  
251 highest in the demonstrably sickest patients in the hospital, i.e. on ICU, **it is possible to investigate the**  
252 **detail of any day-of-week effects, with a higher average score potentially indicating a 'sicker' patient**  
253 **cohort.**

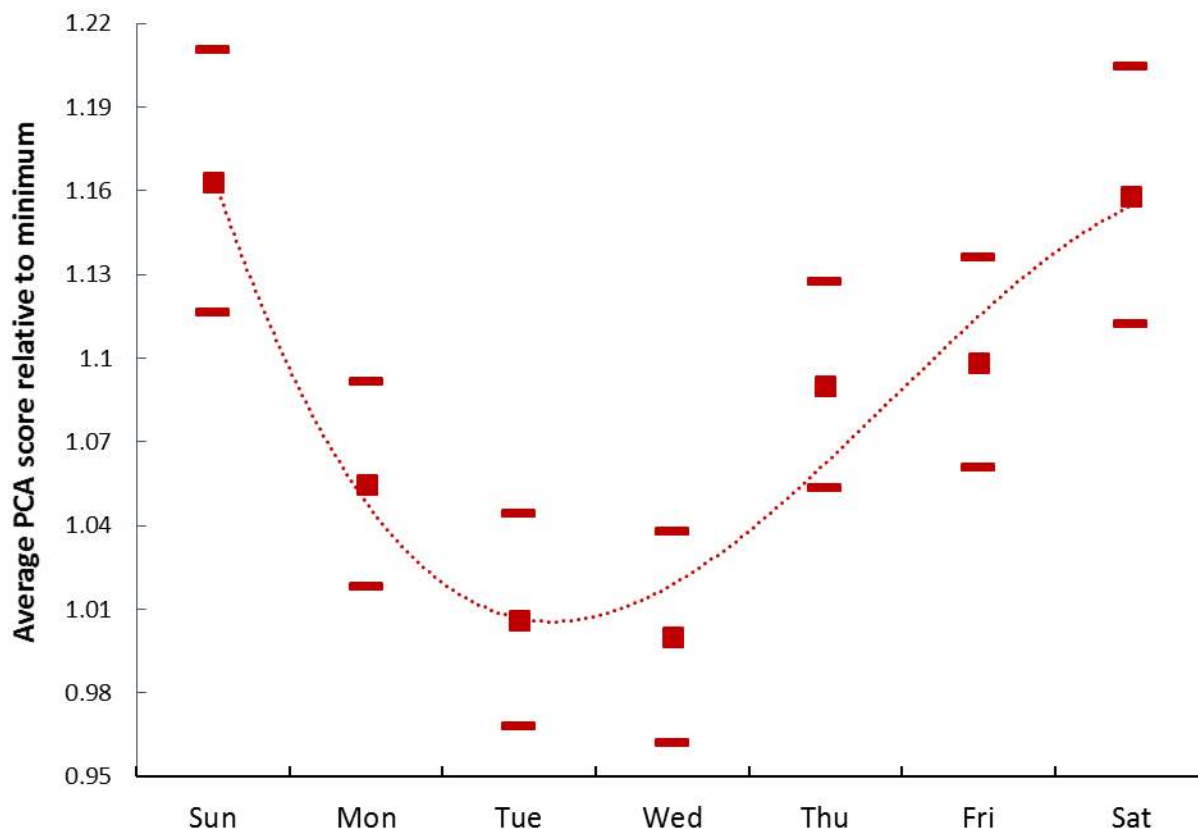
254  
255 **3.1.2 Day-of-week patterns**  
256

257 Figs 4a and 4b show the day-of-week profile in the average PCA score for a cohort of patients who have  
258 all spent time in the intensive care unit. Fig. 4a shows the day of the week profile for average PCA scores  
259 during the time spent in the intensive care unit, while Fig. 4b expands this to include any previous and  
260 subsequent attendances/admissions for these persons over a two-year period. The intensive care unit  
261 was chosen because there are no day-of-week staffing issues, while the bigger picture for these  
262 individuals is used to illustrate common behaviour outside of the intensive care unit. Both figures show a  
263 clear day of the week variation in PCA score, being highest at the weekend and lowest around  
264 Wednesday.  
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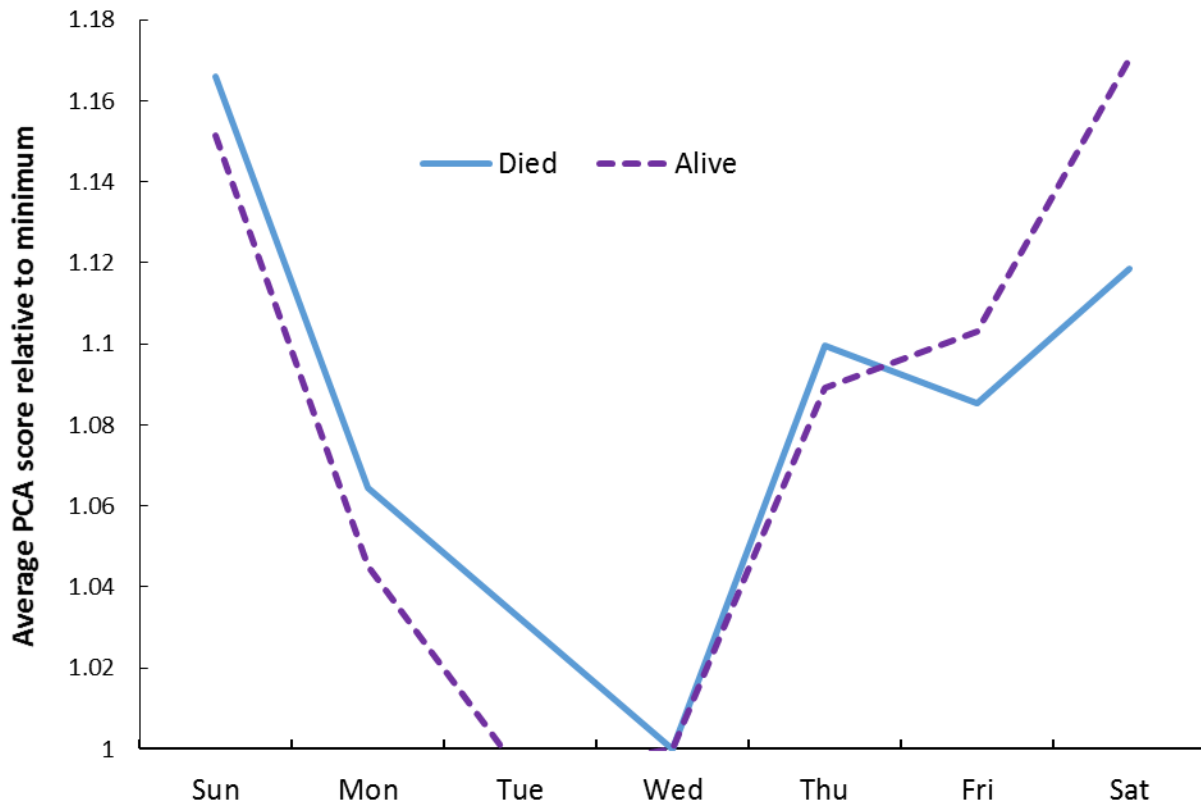
268 **Fig. 4a. Day-of-week effects upon the average PCA score for patients in the intensive care unit**  
 269



270 **Fig. 4b. Day-of-week effects upon the average PCA score for patients who were admitted to ICU**  
 271 **along with attendances/admissions for these persons previous to and after ICU**  
 272 **admission/discharge**  
 273  
 274

275 Fig. 5 shows the average PCA score by day-of-week for those patients who died in hospital (not  
 276 necessarily in the ICU), and those who were still alive (all three data sets). The PCA score is calculated  
 277 across all patient contacts during the study period, with alive/dead based on the status at final contact in  
 278 the study period. The error bars are not shown in this figure since they overlap, i.e. given the sample size  
 279 there is no statistically significant difference between the two groups. The number of test results in the  
 280 'died' group is significantly lower than the 'alive' group, and hence the trend line appears more volatile.  
 281 This shows that in both the people who were still alive at the end of the study or those who died there is a  
 282 clear day of the week variation in PCA score, being highest at the weekend and lowest around  
 283 Wednesday.

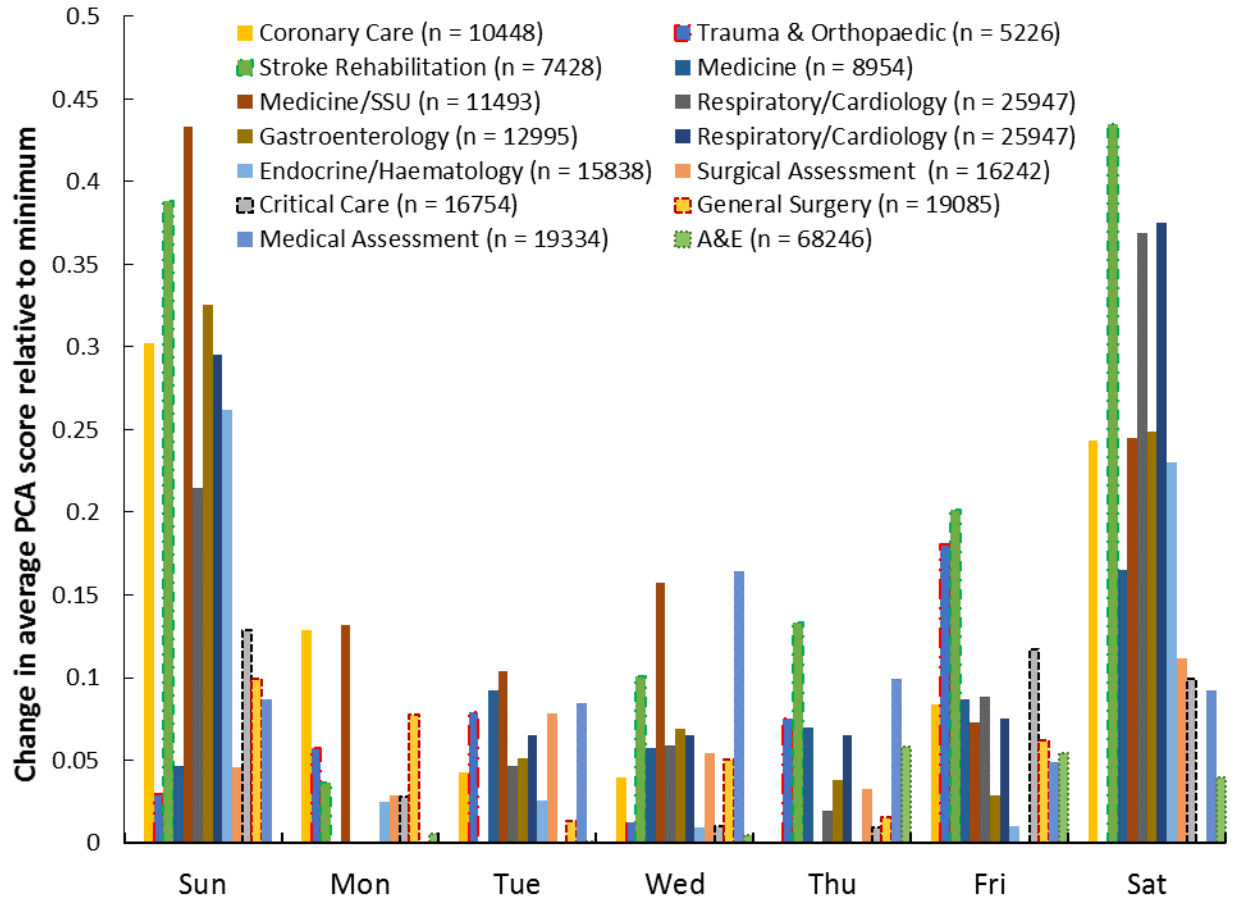
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 288 **Fig. 5: Weekday trend in average PCA score for patients who spent time in intensive care and who**  
 289 **eventually died in hospital or were alive at discharge**

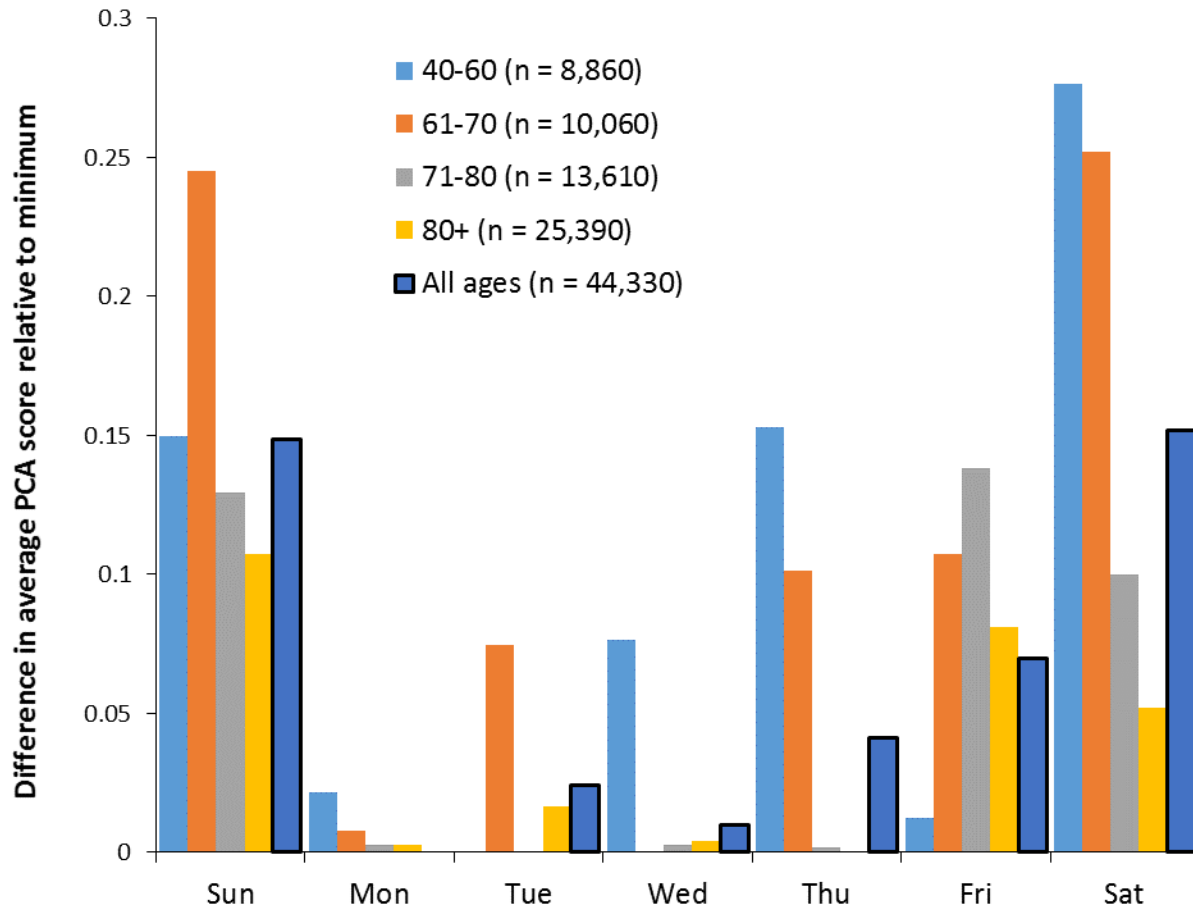
290 *Includes PCA score for any outpatient (n = 240), A+E (n = 2082), intensive care (n = 8936) or other*  
 291 *inpatient stay (n = 15,505) for each patient over the entire study period.*

292  
 293 Fig. 6 (a composite from all three data sets) explores the possibility that different patient groups may  
 294 experience different weekday profiles for the average PCA score. On this occasion the absolute  
 295 difference in the PCA score has been displayed in Fig. 6 rather than the percentage change, since the  
 296 percentage change can be unduly magnified in those situations where the PCA score is close to zero. As  
 297 can be seen the profile is most pronounced for stroke rehabilitation, acute cardiac care and general  
 298 cardiology down to intensive care as the least pronounced. Both general surgery and trauma and  
 299 orthopaedics show statistically insignificant changes which confirms the observation that death in persons  
 300 with a low PCA score is usually caused by sudden organ failure, i.e. the blood biochemistry has had no  
 301 time to change away from the basal 'healthy' level.  
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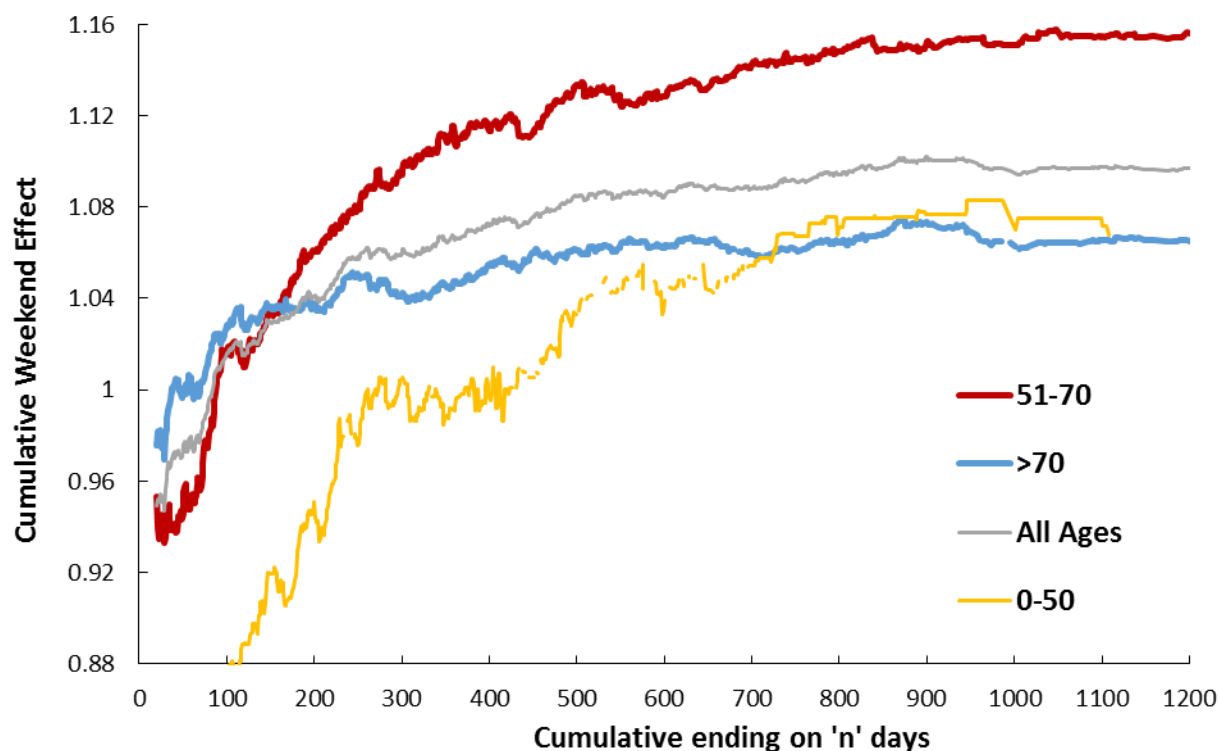
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305 **Fig. 6. Weekday difference in average PCA score (relative to minimum) for patients on different**  
306 **wards**

307  
308 Fig. 7 therefore explores the effect of age on **day-of-week** profiles. As can be seen in Fig. 7 the 'weekend'  
309 effect is strongest for the age band 51-70, and diminishes for ages above and below. The day-of-week  
310 profile gradually strengthens from slightly weekend biased at 31-40 through to a stronger profile at 41-50.  
311 Beyond 51-70 the profile once again weakens, and may even slightly invert above age 80 in those  
312 patients who are approaching death, i.e. higher in mid-week (see Fig. 8).  
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314  
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316 **Fig. 7. Effect of age on weekday differences in average PCA score**  
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318  
319 Finally, Fig. 8 explores the effect of time to death on the strength of the weekend effect. In this figure time  
320 to death was calculated for every occurrence of biochemistry tests. The strength of the weekend effect  
321 was calculated as the average PCA score for weekends (Saturday and Sunday), divided by the average  
322 PCA score for midweek (Tuesday to Thursday). A score of 1.0 therefore is equivalent to no weekend  
323 effect, >1 a weekend effect, and <1 indicates higher PCA scores in midweek rather than weekend, i.e. an  
324 inverted profile. Fig. 8 requires some explanation. The majority of biochemistry tests occur close to death  
325 and in order to avoid small number effects, the cumulative PCA score for each day of the week was  
326 calculated from death backward. Scores are therefore cumulative (moving away from death), and  
327 illustrative of the fact that the strength of the weekend effect increases further away from death. Closer to  
328 death it weakens, flattens and then inverts. Exactly when the average strength of the weekend effect  
329 flattens cannot be discerned in these cumulative charts, however, it will be shifted to the left of the  
330 apparent point in the cumulative chart. Larger national samples will be required to clarify the exact nature  
331 of these effects, and if they are also condition specific.  
332



333  
334  
335 **Fig. 8. Age and time to death and strength of the weekend effect**  
336

337 **3.2 Discussion**

338 **3.2.1 History behind the study**  
339

340 This study was originally initiated to investigate if the PCA score could assist MKUH in the investigation of  
341 in-hospital deaths as measured by the Hospital Standardized Mortality Ratio (HSMR). MKUH already  
342 ranks in the best 10% of hospitals in England for HSMR, however, unexplained differences in HSMR  
343 between clinical divisions were of interest. It quickly became apparent that while the absolute value of the  
344 PCA score was not a direct predictor of death, at the level of the individual patient, a significant  
345 deterioration in the PCA score seemed associated with persons who were about to die. The project was  
346 then expanded to investigate death associated with 'weekend' admission, which was a highly topical  
347 issue at that time in England.  
348

349 **3.2.2 Insights from the literature**  
350

351 Both weekend and day-of-week effects upon hospital mortality are a well-documented phenomenon, with  
352 over 120 studies located in our literature search (available on request).  
353

354 A wider search of the literature seems to point to the possibility that day-of-week effects upon human  
355 health and mortality may also occur. Acute cardiovascular disease has a distinct Monday peak for both  
356 admissions and in/out-of-hospital deaths, and also has seasonal and circadian patterns [7-8]. Age-  
357 specific effects have also been reported, and cardiovascular mortality in men aged <65 years is highest  
358 on Mondays and Saturdays [7]. Death from suicide shows day-of-week patterns [10]. In England and  
359 Wales from 1969 to 1972 deaths from myocardial infarction, cerebrovascular disease, other cardiac  
360 diseases and to a lesser extent, bronchitis and pneumonia, all showed a Monday peak, while influenza  
361 and pneumonia showed a Saturday peak [11]. The occurrence of stroke is day-of-week specific, however  
362 this depends on the type of stroke; where cerebral infarction is more prevalent on a Monday and less so

363 on Thursday/Friday, while cerebral haemorrhage or subarachnoid haemorrhage show no day of week  
364 variation [12].

365  
366 Other factors can affect day of death, and patients on different dialysis schedules experience different  
367 weekday patterns of cardiovascular and non-cardiovascular death [13]. A Canadian study of deaths from  
368 1974 to 1994 noted day-of-week effects upon all-cause mortality, with highest average deaths on a  
369 Saturday and lowest on Thursday. This profile was more exaggerated for motor vehicle deaths with a  
370 minimum between Monday to Wednesday, and a distinct day-of-week cycle on the other days peaking at  
371 Saturday (40% higher than Wednesday). Suicides showed a less pronounced cycle with a minimum on  
372 Thursday, which was 8% less than the maximum on Sunday [14].

373  
374 Further day-of-week effects have been observed in the stock market volatility and returns [15-16]. Worker  
375 productivity appears to show day-of-week effects [17], as does job satisfaction and feelings of personal  
376 well-being [18-19]. Mood, vitality and sickness symptoms also show day-of-week effects [20]. College  
377 students show a weekend peak in smoking frequency [21]. The ability to assimilate and retain new  
378 information in college students peaks on Wednesday [22]. This limited selection should be sufficient to  
379 point to the possibility of day-of-week effects in hospital mortality arising from a fundamental human  
380 weekly cycle in both mental and physical health. It is of interest to note that atmospheric temperature also  
381 follows a weekly cycle which seemingly arises from the day-of-week patterns in human activity [23].

382  
383 There have been relatively few studies on the day-of-week cycles in blood biochemistry. One study  
384 conducted in 1935 demonstrated that the levels of blood constituents varied considerably from day to  
385 day, and that the degree of variability appeared to correlate with the personality trait of emotional stability  
386 [24]. It would appear that the PCA score is a way of summarising some of this natural variability.

387  
388 Hence, while a fundamental week-day cycle in human health and wellbeing appears to exist the issue of  
389 higher mortality associated with weekend admission appears complicated by a range of factors. The  
390 seminal review by Becker published in 2008 identified the following issues relating to studies in this area  
391 [3]. Firstly, the potential for selection bias for patients admitted on the weekend. This author cited an  
392 example of one study which showed that conditions having the greatest decline in weekend admission  
393 also showed the highest apparent weekend mortality. Secondly, aggregation of conditions can mask  
394 underlying differences between conditions, an issue relevant to the larger all-condition studies. Next, few  
395 studies have explored the specific pathways by which the weekend effect may occur, and finally solutions  
396 to the problem must be tailored to the exact cause(s).

397  
398 Based on the 120 studies identified in our literature search the following general observations are relevant  
399 which demonstrate that the observed day-of-week effects in inpatient mortality is indeed a composite of  
400 different causes. Selected studies from the 120 have been cited.

401  
402 Irrespective of setting or patient group the profile of inpatient mortality is clearly a day of week (admission)  
403 profile rather than a simple 'weekend effect' [2,25-27]. This also applies to emergency and elective  
404 general surgical patients [26-27], and also to delivery and obstetric outcomes, except that different  
405 shaped weekday profiles applied to different conditions [28]. Somewhat cryptically, those already in  
406 hospital are seemingly less likely to die on a weekend, with a slight peak around Monday to Tuesday [29].  
407 A section in the discussion is devoted to explaining this apparent contradiction in the light of the curious  
408 behaviour of the PCA score as the point of death draws near.

409  
410 However, for a set of specific conditions access to resources (mainly staff) leads to higher weekend  
411 mortality. This effect is generally higher in smaller hospitals [30-31], is associated with a lower standard of  
412 documentation [32], and is also higher in out-of-hours admissions [36-37]. Higher rates of 11 hospital-  
413 acquired conditions for weekend admission have been documented [37], as has lower access to  
414 interventions/procedures on a weekend [38-41], and lower access to multi-disciplinary care [42]. The  
415 effect seemingly reduces over time as resource inequalities are remedied [43]. For example, reduced for  
416 COPD after the introduction of a 24/7 medical assessment unit [44]. The weekend effect is absent in well-  
417 resourced Level 1 trauma centers [45], other specialist units [48-49], intensive care units [49-51], in a  
418 specialized neurosciences intensive care unit (where no out-of-hours effects were also observed) [49], or



419 where emergency surgery is routinely available, i.e. laparoscopic appendectomy [52], and only for a set of  
420 specific conditions [29,53-54].

421  
422 For some conditions, such as meningococcal disease, there is no difference between day-of-week for in-  
423 hospital death and for those who are never admitted [53]. However, certain groups of patients are 'sicker'  
424 on the weekends, i.e. selection bias. In this respect numerous studies have confirmed a drop in  
425 admissions over the weekend such as: all admissions -41% [54] hip fracture -2.4% [55], general stroke -  
426 21% [56], acute ischemic stroke -3.8% [46], urgent surgical interventions -23% [57], urgent pediatric  
427 surgery -14% [58], lower extremity ischemia -54% [59], leukaemia -50% [60], metastatic prostate cancer -  
428 50% [61], acute myocardial infarction -4% [62]. This is not universal and some admissions increase on  
429 the weekend such as non-ST-segment elevation acute coronary syndrome +2.7% [62]. Leukaemia and  
430 metastatic cancer patients presenting on the weekend are 'sicker' than their weekday equivalent [59-60],  
431 and biochemistry-based risk scores in medical patients are higher on the weekend [63]. Various  
432 specialised person-based risk scores for particular conditions are higher at the weekend [44,45,61,64],  
433 and in one study of medical admissions such adjustment reduced the apparent value of the weekend  
434 effect by 50% [63]. Medical patients admitted on the weekend have a higher incidence of neurological  
435 conditions and less gastrointestinal conditions [64]. The proportion of persons admitted to intensive care  
436 is higher on the weekend [34], with ICU admission generally omitted as a risk factor in most models.  
437 Intracerebral haemorrhage score (ICH) was higher for weekend patients admitted to the ICU [66]. All-  
438 cause mortality in senile elderly men is higher on the weekend [67]. Stroke admissions on the weekend  
439 are more likely to require thrombolytics or tissue plasminogen activator [65,68]. Upper gastrointestinal  
440 bleeding patients admitted on the weekend had higher rates of shock, melaena, hematemesis and red  
441 blood cell transfusion [69-70], and higher death rates could not be fully explained by delay to endoscopy  
442 [39,71]. Peritonitis admissions are more complex on the weekend [47]. Patient safety indicator (PSI)  
443 events have similar incidence for weekend and week day admissions, however, when a PSI occurs for a  
444 weekend admission the risk of death is substantially higher [72] - either 'sicker' patients or staffing.  
445 Weekend effect is restricted to a particular set of conditions [73]. Higher acuity can be inferred from a US  
446 study where the weekend effect was highest in major teaching hospitals compared to non-teaching  
447 hospitals [73].

448  
449 The study of Freemantle et al [2] demonstrated that risk of death for Sunday admission relative to  
450 Wednesday was condition specific with all-condition mortality (1.5-times), cardiovascular (1.2-times), and  
451 Oncology (1.29-times). A study on obstetric outcomes showed a progression to higher weekend  
452 admission for the most deprived, and a somewhat confusing range of day-of-week profiles depending on  
453 the condition being measured [28]. Studies at different locations (ethnic groups) can give conflicting  
454 results, and medical admissions in Kenya showed no weekend effect compared to most other Western  
455 studies [74].

456  
457 The weekend effect can disappear as conditions are stratified by specific type. The magnitude of the  
458 difference between weekend and weekday is highly condition specific [75], hence all-cause studies which  
459 group many diagnoses into a limited number of groups may be inadvertently mixing dissimilar conditions.  
460 The weekend effect disappears when stroke admissions are stratified into ischaemic or haemorrhagic  
461 types, plus full adjustment for individual risk factors [12,76].

462  
463 As can be seen the reasons for the weekend effect appear highly multifactorial and condition specific.  
464 The studies of nurse to patient ratios (including nurse education and qualifications), and their effect upon  
465 hospital mortality [77-79], appear to have led to the *de facto* conclusion that patients admitted on the  
466 weekend must therefore have higher mortality due to staffing alone. Dissonant studies such as the effect  
467 of day of onset for stroke [76,80], and a weekday cycle in intensive care mortality [81], appear to have not  
468 been generally referred to in the ensuing debate.

469  
470 It is also apposite to remember that relevant factors may be overlooked. For example, in one study on  
471 death from sepsis in intensive care units there were no demonstrable weekend or night admission (from  
472 the ED) effects on mortality, however daily bed occupancy was associated with higher mortality [82], i.e.  
473 the issue may not be about staffing per se but about surges in busyness [83]. Busyness is known to be  
474 associated with many types of poor outcome in hospitals [84,85].

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### **3.2.2 Have the mortality models contributed to the confusion?**

To understand how the PCA score may shed light on the weekend effect we need to understand the limitations of the current methodologies. Firstly, both the hospital standardised mortality rate (HSMR) and the summary hospital mortality index (SHMI) are heavily reliant on the use of diagnosis as the fundamental basis for assessing supposed 'excess' mortality [86]. All known clinical models for predicting hospital mortality and death subsequent to discharge rely on a mix of vital signs, biochemistry test results, metabolic profiles, inflammatory markers and cognitive state (in the elderly) [1,87-95]. Addition of co-morbidity to one laboratory test-based method did not improve the model prediction [95], emphasizing that diagnosis *per se* is of limited value. Since these are not routinely available in the NHS, modellers have resorted to readily available administrative data as a proxy for the more accurate clinical variables.

In any attempt to model, the use of proxies is a decidedly questionable basis for the production of an adequate model. For example, at the Milton Keynes University hospital (MKUH) the instigation of clinical audit by the Mortality Review Group of supposed instances of excess mortality as measured by HSMR and SHMI has only ever uncovered false positive flags. Clearly the models are not infallible. A clue to this potential unreliability lies in a comparative study on day-of-week profiles between hospitals in the UK, US, Australia and the Netherlands relating to emergency and elective surgical admissions [27]. This was a large study conducted over four years. Australian hospitals showed no day-of-week effects for deaths up to 30-days post emergency discharge, but did show a profile for 7-day mortality. While most hospitals displayed a roughly similar Saturday and Sunday effect for emergency surgery at 30-days post discharge, Dutch hospitals showed an apparent very large Saturday effect for maximum elective mortality. Minimum elective mortality appeared to occur on Tuesday, except for Friday in the US, while minimum emergency mortality occurred around Tuesday or Wednesday except for the Netherlands on a Friday [27]. So-called process differences are unlikely to explain such seemingly anomalous profiles.

Finally, is there any evidence that the weekend effect for admission to hospital may in some instances be an artefact? In a Japanese study of mortality following stroke, the weekend effect, based on day of admission, disappeared when mortality was re-calculated using day of onset [80]. A US study of patients admitted to the intensive care unit (ICU), where staffing is can reasonably be assumed not to be an issue, showed a 9% higher risk of death for patients admitted to the ICU on the weekend compared to mid-week. However, risk of death was also 8% higher for admission on a Monday or Friday, i.e. a day-of-week cycle rather than a simple weekend effect. Length of stay was also 4% higher for weekend or Friday admission compared to mid-week. The authors concluded that the weekend effect was most likely to be due to unmeasured severity of illness rather than differences in quality of care [81]. In an Australian study it was observed that stillbirths, low birth weight and neonatal mortality were all higher for weekend born babies – an effect which was concluded to be unrelated to variation in the quality of care over the weekend [96]. These are examples of human health being poorer at the weekend, and if true, would act as a confounder for weekend admissions.

It is of interest that the UK study [2] steered clear in its discussion on the wider day-of-the-week literature. This paper was also careful to avoid discussion of studies showing that crude adjustment based on routine data leads to over-estimation of the weekend effect. Hence numerous studies (discussed above) showing a reduction in the weekend effect after the inclusion of patient-specific risk factors. It has been repeatedly noted in the literature that risk of death in the elderly is far higher for persons with delirium and other cognitive function deficits [97], and these and other person-specific factors such as number of prescribed drugs [98-99] are omitted in the majority of the larger all-cause studies using simple administrative data, i.e. they simply have insufficient relevant information to accurately quantify any weekend effect. A large study of mortality after cardiac surgery (where staffing issues are not a problem) noted that 95.75% of the variation in in-hospital mortality was due to patient specific risk as measured by the EuroSCORE model [100]. However, in support of a probable link with weekend staffing, is the observation that adverse events are more common in those who die in hospital [101] – although the effect may be due to poor care pathways than number of staff *per se*. Another study on emergency general surgery showed that resources were involved with lowest overall mortality in UK Trusts with highest levels

530 of medical and nursing staff, and those with highest provision of operating theatres and critical care beds  
531 [25]. As in other studies a distinct day-of-week profile was observed with a minimum on Wednesday.  
532

533 Also it is surprising to note that many studies on this topic establish that the 'weekend' effect is actually a  
534 day-of-week pattern, with a minimum in mid-week and a maximum on Sunday, or variations on this  
535 theme, [102] with patterns seemingly shifted either forward or backward by one or more days. Having  
536 explored the complex issues behind the 'weekend effect' and how it may or may not link to staffing, the  
537 issue of how the PCA score could shed light must be addressed.  
538

539 There are two fundamental approaches to measuring the day-of-week effects on the PCA score. The first  
540 would involve single measurement of PCA score from individuals based on random day-of-week  
541 sampling. Patients attending A+E but not then admitted are an example of this approach. As can be seen  
542 from Fig. 1 this approach suffers from the wide variability in PCA scores between individuals. The second  
543 approach is to follow single individuals with multiple samples taken on different days, which is illustrated  
544 in Fig. 3. On this occasion the variation in PCA score over time is far less than the variation between  
545 individuals. To gain the benefit of this approach this study has used linear interpolation to replace missing  
546 values so as to generate a long time series for all patients with a prolific biochemistry history. This is then  
547 supplemented by random scores from other patients whenever all 12 tests were present.  
548

### 549 **3.2.3 Age and the PCA score**

550  
551 Our unpublished studies on the complex nature of the biochemical issues reflected in the composite PCA  
552 score are most apparent in the effect of age. The following preliminary observations, are apposite. Firstly,  
553 on the day of birth the average score starts at around -3.0, and then steadily climbs to around +1.0 at day  
554 45 of life. The score then reaches another minimum around day 160 followed by various shifts up and  
555 down through to the first birthday. Beyond the first birthday the average score then progressively declines  
556 to another minimum of around -2.0 between the ages of 16 to 18, and thereafter shows a slow increase  
557 with age, interspersed with periods of higher score during illness, and a sudden jump to higher values in  
558 the months or days preceding death. Interestingly the distribution of individual PCA scores at each age is  
559 skewed, but the skewness changes with age. Clearly the PCA score is reflecting complex developmental  
560 changes along with complex distributions of the score for individuals, which is also reflected in the subtle  
561 day-of-week changes observed in this study.  
562

563 In Fig. 7 the following data is not shown, but is illustrative of the complex relationships with age. No  
564 standard weekday profile can be discerned in the first year of life due to the complex movements in the  
565 average score discussed above. For the age band 1-10 there is a strong weekday profile roughly similar  
566 in magnitude to the age band 51-70 shown in Fig. 7. The weekday profile in the teenage years appears to  
567 be inverted with lowest average PCA score on the weekends – which may partly explain the weekend  
568 behaviour of teenagers in general. The error bars for age 21-30 all overlap, and there are probably no  
569 day-of-week effects for this group (data not shown). Day to day changes in human biochemistry and  
570 health are seemingly far more complex than has hitherto been appreciated.  
571

### 572 **3.2.4 The PCA score and biochemical imbalance**

573  
574 This study has firstly demonstrated that the PCA score (as a measure of biochemical imbalance) is  
575 indeed a measure (albeit a complex one) of frailty and mortality, and can therefore be usefully extended  
576 to examine the issues regarding the weekend effect. Hence Table 2 demonstrated a logical gradient in  
577 average PCA scores between different hospital departments which highest average in the ICU and lowest  
578 in the A+E among those who were not admitted, and in various outpatient departments. Fig. 1  
579 demonstrated age dependent changes in PCA score for those who were not admitted, with generally  
580 higher PCA scores in those who died. Fig. 2 illustrated the fact that the population average PCA score  
581 tends to rapidly increase at around 20 weeks prior to death, and that the average PCA score on the day  
582 of death is generally the highest. Finally, Fig. 3a and b showed a time profile for an individual who  
583 eventually died just after a stay in ICU and one who showed full recovery. Potential day-of-week effects  
584 could be discerned.  
585

586 Having established the credibility of the PCA score as a measure of declining health and immanence to  
587 death, Fig.s 4a and 4b illustrated that the day-of-week effect in the ICU was slightly lower than for the  
588 same patients both within and outside of the ICU. Given that a stay in the ICU represents a period of the  
589 highest PCA score for an individual, and that these individuals are being kept alive by active intervention,  
590 the lower week day gradient is probably constrained by the fact that the PCA score for that individual is  
591 already high. However, Fig. 4a in particular has clearly established that in an inpatient environment where  
592 weekend staffing is not an issue there is still a weekday effect inherent in human health.  
593

594 Fig. 5 demonstrated little difference between those who die and those still alive regarding day-of-week  
595 effects. The same profile observed in many studies applies with highest average score on weekends and  
596 a minimum around Wednesday. Differences between hospital departments were then illustrated in Fig. 6  
597 with the lowest day-of-week cycle seen for those who are closest to being healthy, i.e. orthopaedics,  
598 surgery, and the emergency department.  
599

600 The effect of age reveals more complex patterns in the day-of-week cycle with maximum weekend  
601 difference seen in those aged 61-70. Potential inversion in the week day profile for those aged over 80  
602 and the 'teenage' effect prompted the final evaluation of the shape of the day-of-week cycle as a function  
603 of both age and time to ultimate in-hospital death. Complex age and time-to-death profiles were revealed  
604 and the weekend bias in the day-of-week profile in the average PCA score seems to diminish at around  
605 three years prior to death, reaches a flat profile and then seemingly inverts to higher mid-week scores  
606 (similar to the teenager effect) at times very close to death.  
607

608 Clearly the PCA score is detecting highly nuanced changes in the day-of-week profile of biochemistry test  
609 results which has hitherto not been appreciated. Indeed, how doctors interpret biochemical scores may  
610 need to be re-evaluated in the light of these findings. It is implied that how age standardization is applied  
611 in the base models of many studies may contain flaws affecting the perceived weekend effect as the  
612 living and the dying (according to their age) respond differently to time. A seemingly complex series of  
613 confounding effects can be anticipated in studies seeking to characterise the weekend effect in the  
614 absence of a knowledge of the importance of biochemical issues.  
615

### 616 **3.2.5 Why do in-hospital deaths peak in mid-week?**

617  
618 There are a number of apparent contradictions between higher mortality for those admitted on the  
619 weekend, slightly higher in-hospital deaths during mid-week, 30 and the apparent behavior of the PCA  
620 score with the approach of death. The following observations are an attempt to reconcile these apparent  
621 contradictions with the observed behavior of the PCA score close to death.  
622

623 Firstly, many of those who die in-hospital, and within 30 days of discharge have a cancer as their  
624 recorded cause of death (as per mortality coding rules), but will have something like pneumonia recorded  
625 as their reason for admission (morbidity coding rules). As a result, the pneumonia group usually shows up  
626 as the largest cause of death at the MKUH Mortality Review meetings. See Fig. A5 for an example of  
627 persons whose cause of death is lung cancer, yet the reason for admission. i.e. their required  
628 management, is reported on 65% of occasions as something other than lung cancer.  
629

630 Second observation, in the literature it is noted that in-hospital day of death has a slight peak toward mid-  
631 week [30], while death associated with day of admission has an apparent contradictory weekend peak.  
632

633 Curiously, the day-of-week profile of the PCA score (blood biochemistry) inverts as the person gets closer  
634 to death, i.e. the PCA score on the weekend of admission will show a tendency to a weekend peak, while  
635 it will show a midweek peak on the day of death - as per the conundrum posed above.  
636

637 In addition, the literature is reasonably consistent that cancer patients admitted on the weekend are more  
638 complex than their weekday equivalent [60-61].  
639

640 Lastly, the higher weekend PCA score for those who are discharged alive could potentially explain the  
641 higher re-admission rates observed in those discharged on the weekend [103], i.e. they are sicker.

642  
643 Hence both this study on the PCA score and the wider literature agree that the seeming higher death for  
644 weekend admissions is probably around 50% lower than its seeming value due to the inability of current  
645 mortality models to adjust for the subtleties associated with the real cause of the admission and the  
646 approach of death.

### 647 648 **3.2.6 Implications to the NHS**

649 It is vitally important to remember that over 90% of all deaths following admission to hospital are medical  
650 in nature (at MKUH 4% are orthopaedic and 6% are surgical). While elective surgical deaths may be  
651 higher on the weekend, the numbers are so small that unfocussed attempts to address any problem  
652 would have a poor cost benefit ratio. It would simply be easier to not conduct elective surgery on the  
653 weekend.  
654

655 Any issues with trauma weekend admissions are simply addressed via well-staffed regional trauma  
656 centres dealing with the highest risk patients [45]. The same applies for various cardiovascular and  
657 digestive conditions [46-51].  
658

659 Birth is one of the few genuinely 24/7 activities and resources have been matched to this reality since  
660 before the NHS was established. Unrestricted immigration into the UK of mainly younger people, together  
661 with a serious issue regarding bed availability, coupled with fewer trained midwives has led to a  
662 somewhat intractable situation [104-106]. Day-of-week deaths for birth related conditions likewise show a  
663 confusing variety of profiles suggesting that a specific plan of action (which may or may not involve  
664 doctors) is required. The PCA score associated with obstetrics/maternity in Table 2 is surprisingly high  
665 (given the relatively young age of expectant mothers) suggesting a weekend effect is possible due to  
666 biochemical factors. A far larger national study would be required to resolve these issues.  
667

### 668 669 **3.2.7 Primary cause of death**

670 With reference to the discussion above, a massive 33% (1271/3882) of all deaths at MKUH have cancer  
671 as the primary cause of death (as described on the death certificate), which lies masked behind a diverse  
672 range of diagnoses relating to the condition requiring management at last admission. This reality will be  
673 totally ignored by all current models predicting so-called weekend mortality. It is also known that cancer  
674 patients admitted on the weekend are 'sicker' than weekday admissions. It is highly unlikely that poor  
675 medical care is contributing to these deaths since MKUH consistently lies in the lowest 20% of hospitals  
676 for in-hospital deaths as measured by HSMR.  
677

678 At MKUH the next highest reported cause of death are various respiratory conditions (mainly pneumonias  
679 and COPD) accounting for 22% of all deaths (844/3882). Medical consultants make the observation that  
680 pneumonia is an 'end of life' disease, i.e. it is the manifestation of declining health and immune function.  
681 A national programme to focus on the management of pneumonias may be of benefit, but at the same  
682 time may fail to prevent an appreciable number of persons from somewhat ultimate and certain decease.  
683

684 The issues appear far more complex than at first thought, and the plans (and assumed reduction in  
685 mortality) to introduce 7-day (doctor) working **in England** based on this assumption may be flawed.  
686

### 687 688 **3.2.8 Limitations of the study**

689 The limitations of this study are that it does not investigate circadian or gender effects. The study is  
690 limited to the frequency of testing dictated by patients in various departments at a typical general hospital  
691 and is mainly for unscheduled attendances/admissions. This study needs to be complemented by studies  
692 on 'healthy' persons with samples taken at the same time each day.  
693

### 694 695 **3.2.9 Further research**

696

697 Effects during first year of life or oldest ages will require a national data set to fully elucidate. Long-term  
698 studies are required to elucidate if persons with a low PCA score live longer than their higher PCA score  
699 counterparts. The role of specific diseases and cancer types on the PCA score requires further  
700 investigation. The potential for the PCA score to detect events of public health significance needs to be  
701 further explored. Why the apparent variation in the PCA score reaches a minimum around age 10  
702 requires investigation.

703

#### 704 **4. CONCLUSION**

705

706 The very fact that other studies have used biochemical scores to develop risk of death models [1,87-95],  
707 confirms the assertion that what is being observed is not exclusively due to poor care but rather is partly  
708 due to a day-of-week cycle in patient acuity. This study has not proved this link per se but has inferred  
709 that it is highly likely. Based on the literature our best estimate is that around half of the so-called  
710 weekend effect is probably due to biochemical and specific patient-risk factors, which will considerably  
711 affect any return on investment calculations relating to proposed 7-day working in the NHS in England.  
712 This is probably an underestimate given the large numbers of hospital deaths which are actually cancer  
713 related as the primary cause of death.

714

715 This is not an argument to retain lower staffing levels on the weekend (although well-staffed regional  
716 centres make more sense for specific conditions), but rather that anticipated reductions in in-hospital  
717 mortality may be significantly less than otherwise anticipated. Indeed, some are already beginning to  
718 question if the cost of the implied extra staff may outweigh the anticipated benefits [107], and a net benefit  
719 approach is required [108]. Other research suggests that the high occupancy so common among UK  
720 hospitals [84,85], may also act as a mitigating factor in the ability to make the reductions in deaths, which  
721 the studies on weekend mortality seem to imply are possible – within the context that poor staffing ratios  
722 will always lead to poor outcomes [109]. As suggested in the seminal review by Becker [22], tailor the  
723 solutions exactly to the real cause(s) of the problem(s), rather than indiscriminately throwing doctors at a  
724 perceived, and ill-defined problem.

725

726 The study of Concha et al [110] is entirely relevant in that they demonstrated that only 16 of 430  
727 diagnosis groups (accounting for 40% of deaths) had a significantly higher weekend effect. As mentioned  
728 earlier, both experience and recent research [111-121] shows that current HSMR and SHMI models are  
729 poorly suited to pointing anyone in the right direction, and they miss the subtleties associated between  
730 the reasons for admission (medical management of a presenting condition) versus the genuine underlying  
731 cause of death.

732

733 The inversion in the PCA score toward the last days of life appears to explain the apparent conundrum as  
734 to why in-hospital deaths appear to slightly peak in mid-week, while weekend admission seems linked  
735 with higher death.

736

#### 737 **CONSENT**

738

739 No patient consent was required for this retrospective study which did not involve any patient contact or  
740 intervention. No patient identifiable data is contained in this study.

741

#### 742 **ETHICAL APPROVAL**

743

744 Ethical approval was not required for this retrospective study, which is for the purpose of epidemiological  
745 study. The need for ethical approval was checked using the on-line tool provided by the NHS Health  
746 Research Authority (England), see <http://www.hra-decisiontools.org.uk/ethics/>. Internal approval for the  
747 study and study oversight was given by the Hospital Medical Director. The data used in this study is not  
748 available outside of MKUH.

749

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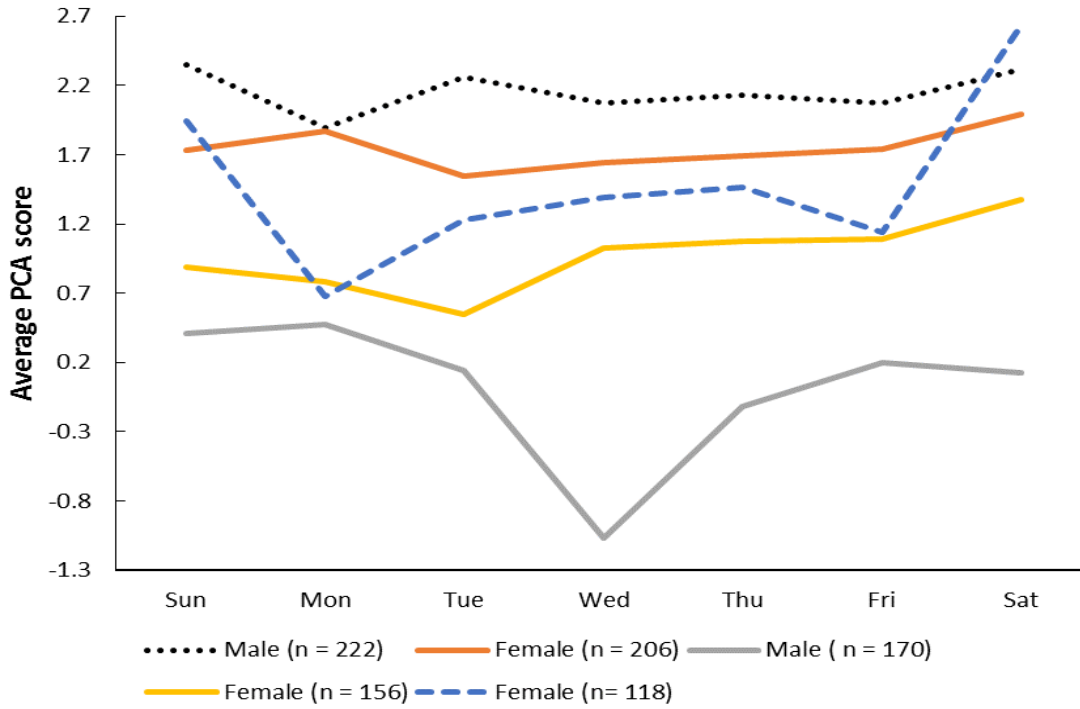
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1012 *press.*  
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1016 **APPENDIX**  
 1017  
 1018 **Table A1: Example of interpolation history for one patient (interpolated values are in bold italic)**  
 1019

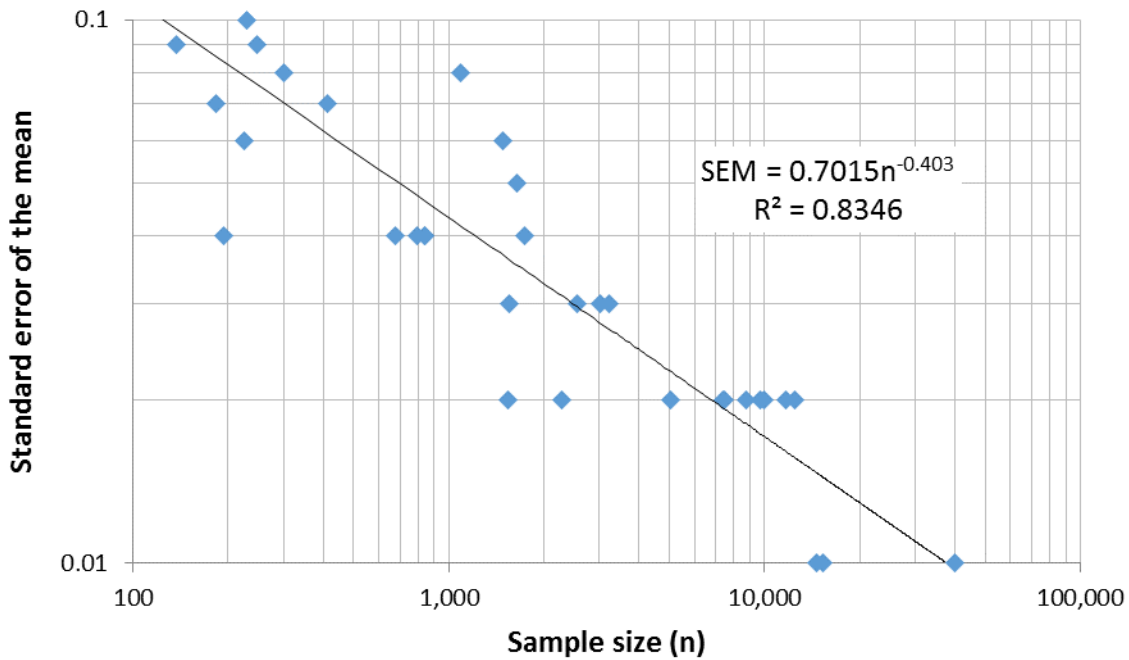
Date	Day	Raw Test Results												
		HB	HCT	MCH	MCHC	RBC	RDW	PLT	ALB	GLOB	ALB:GLOB	CRP	ALP	AST
12/01/12	5	102	0.3	28	343	3.64	20.8	101	38	15	2.53	10.4	97	22
18/01/12	4	101	0.29	28.1	345	3.59	20.5	157	40	18	2.22	18.4	97	22
30/01/12	2	98	0.29	29	343	3.38	19.8	82	35	19	1.84	33	97	22
08/02/12	4	96	0.27	29.1	354	3.3	18.8	211	37	19	1.95	5.5	124	13
09/02/12	5	98	0.27	29.5	359	3.32	19	213	38	18	2.11	3.7	106	19
16/02/12	5	85	0.24	29.8	350	2.85	18	107	35	17	2.06	7.1	90	21
29/02/12	4	88	0.25	29.7	346	2.96	17.9	159	37	21	1.76	7.5	80	22
09/03/12	6	77	0.21	30.1	360	2.56	16.2	64	35	17	2.06	74	199	28
10/03/12	7	71	0.2	30.5	359	2.33	16	39	32	15	2.13	96	64	36
12/03/12	2	107	0.31	29.7	345	3.6	16.3	43	33	21	1.57	108	<b>90</b>	<b>34</b>
13/03/12	3	111	0.32	29.6	351	3.75	16.3	60	34	22	1.55	60	126	31
15/03/12	5	113	0.32	29.7	358	3.8	15.9	102	36	22	1.64	<b>52</b>	<b>116</b>	<b>29</b>
21/03/12	4	111	0.32	29.4	352	3.77	15.3	191	38	22	1.73	<b>48</b>	<b>106</b>	<b>27</b>
02/04/12	2	92	0.26	29.8	352	3.09	16.7	94	34	20	1.70	<b>40</b>	<b>99</b>	<b>25</b>
12/04/12	5	91	0.26	29.6	357	3.07	17.4	133	38	18	2.11	38	92	23
03/05/12	5	104	0.3	31	342	3.36	17.5	168	38	19	2.00	<b>41</b>	<b>73</b>	<b>22</b>
17/05/12	5	102	0.3	29.7	346	3.44	15	115	37	19	1.95	45	54	21
26/06/12	3	115	0.33	29.1	352	3.95	13.7	141	38	18	2.11	1.8	61	28
03/07/12	3	112	0.32	29.1	350	3.85	14	91	37	19	1.95	1.8	85	18
30/07/12	2	122	0.35	28.2	354	4.32	13.8	132	41	17	2.41	233	88	34
30/08/12	5	118	0.34	28	350	4.21	14.1	126	39	19	2.05	<b>175</b>	<b>98</b>	<b>29</b>
03/09/12	2	118	0.34	28	349	4.22	14.1	120	39	20	1.95	<b>117</b>	<b>108</b>	<b>24</b>
15/09/12	7	117	0.33	28	358	4.18	15.1	66	36	26	1.38	<b>59</b>	<b>118</b>	<b>19</b>
16/09/12	1	101	0.29	27.7	349	3.65	14.9	85	31	19	1.63	1.8	127	13
17/09/12	2	<b>107</b>	<b>0.32</b>	<b>27.6</b>	<b>347</b>	<b>3.88</b>	<b>15</b>	<b>115</b>	30	20	1.50	<b>6</b>	<b>101</b>	<b>14</b>
17/09/12	2	113	0.33	27.4	345	4.12	15.1	146	32	21	1.52	10.3	<b>75</b>	<b>15</b>
18/09/12	3	94	0.27	27.2	343	3.46	15.1	143	28	22	1.27	1.8	54	15
19/09/12	4	91	0.26	27.7	349	3.28	15.5	203	25	26	0.96	1.8	48	21
19/09/12	4	96	0.28	27.4	349	3.5	15.4	267	26	22	1.18	<b>30</b>	<b>61</b>	<b>26</b>
20/09/12	5	102	0.29	27.6	347	3.69	15.9	430	27	23	1.17	58	74	29
21/09/12	6	92	0.26	28	350	3.29	16	298	26	29	0.90	54	125	20
22/09/12	7	92	0.27	27.7	339	3.32	16.3	292	28	23	1.22	31	176	29
23/09/12	1	90	0.28	27.4	327	3.29	16.4	231	28	21	1.33	2.8	50	17
24/09/12	2	96	0.3	27.5	324	3.49	16.7	240	29	20	1.45	4.9	66	26
25/09/12	3	89	0.28	27.6	321	3.22	17.6	171	28	19	1.47	1.8	48	17
26/09/12	4	102	0.31	28.4	325	3.59	19.1	159	29	21	1.38	1.8	54	21
27/09/12	5	104	0.34	27.6	308	3.77	19.6	<b>127</b>	30	<b>20</b>	1.50	1.8	54	15
28/09/12	6	94	0.3	28.1	314	3.34	19.6	96	28	19	1.47	27	75	13
29/09/12	7	99	0.317	27.9	312	3.55	19.5	99	28	19	1.47	101	82	12
30/09/12	1	93	0.287	28.7	324	3.24	19.3	84	26	18	1.44	56	<b>134</b>	<b>13</b>
01/10/12	2	88	0.266	28.5	331	3.09	19.1	61	27	17	1.59	96	185	13
02/10/12	3	82	0.248	28.3	331	2.9	18.7	48	27	17	1.59	<b>118</b>	<b>150</b>	<b>65</b>
03/10/12	4	75	0.23	27.9	326	2.69	18.5	32	25	16	1.56	141	115	116
04/10/12	5	96	0.285	28.3	337	3.39	17.9	38	25	16	1.56	1.8	52	27
04/10/12	5	83	0.257	27.7	323	3	18.2	33	22	18	1.22	95	53	149
05/10/12	6	82	0.255	27.7	322	2.96	18.2	36	22	17	1.29	15.8	150	62

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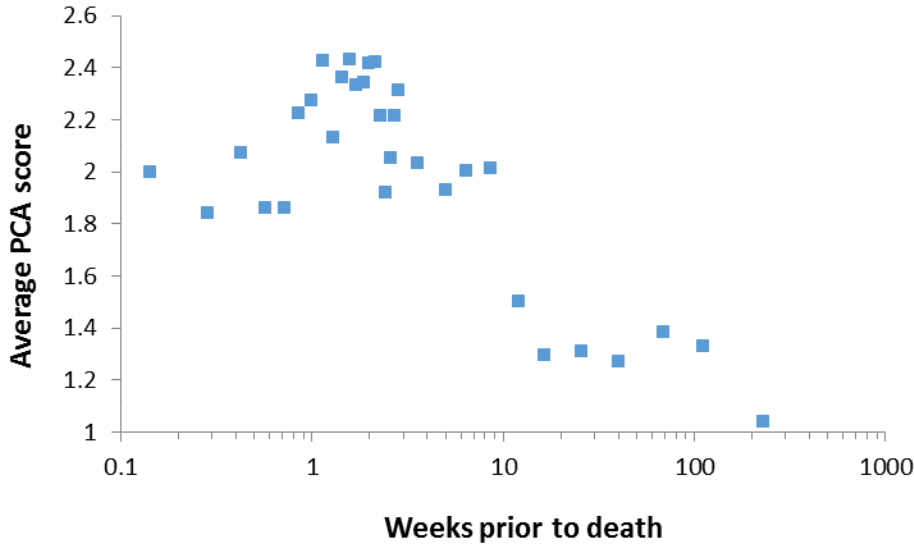
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Fig. A1. Day-of-week profile calculated for 5 patients



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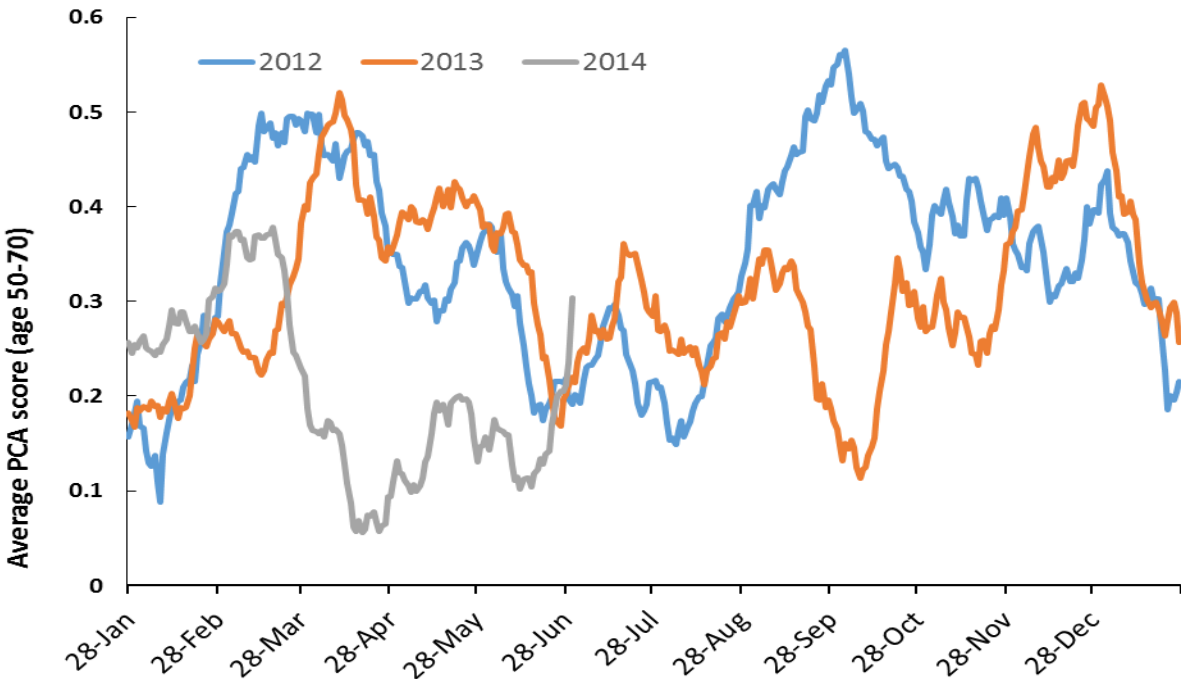
Fig. A2. Relationship between sample size and standard error of the mean



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**Fig. A3. Average PCA score in the weeks prior to death for the cohort of patients who spend time in the intensive care unit**

There are 7,888 PCA measurements from 368 patients prior to in-hospital death. The x-axis is a log scale to enable better discrimination of the differences in average PCA score close to death. Highest number of PCA values ( $n=372$ ) is on the day prior to death. Beyond 13 days prior to death there are less than 100 measurements per day, and less than 10 per day beyond 100 days prior to death. The final data point is the average of everything beyond three years prior to death.

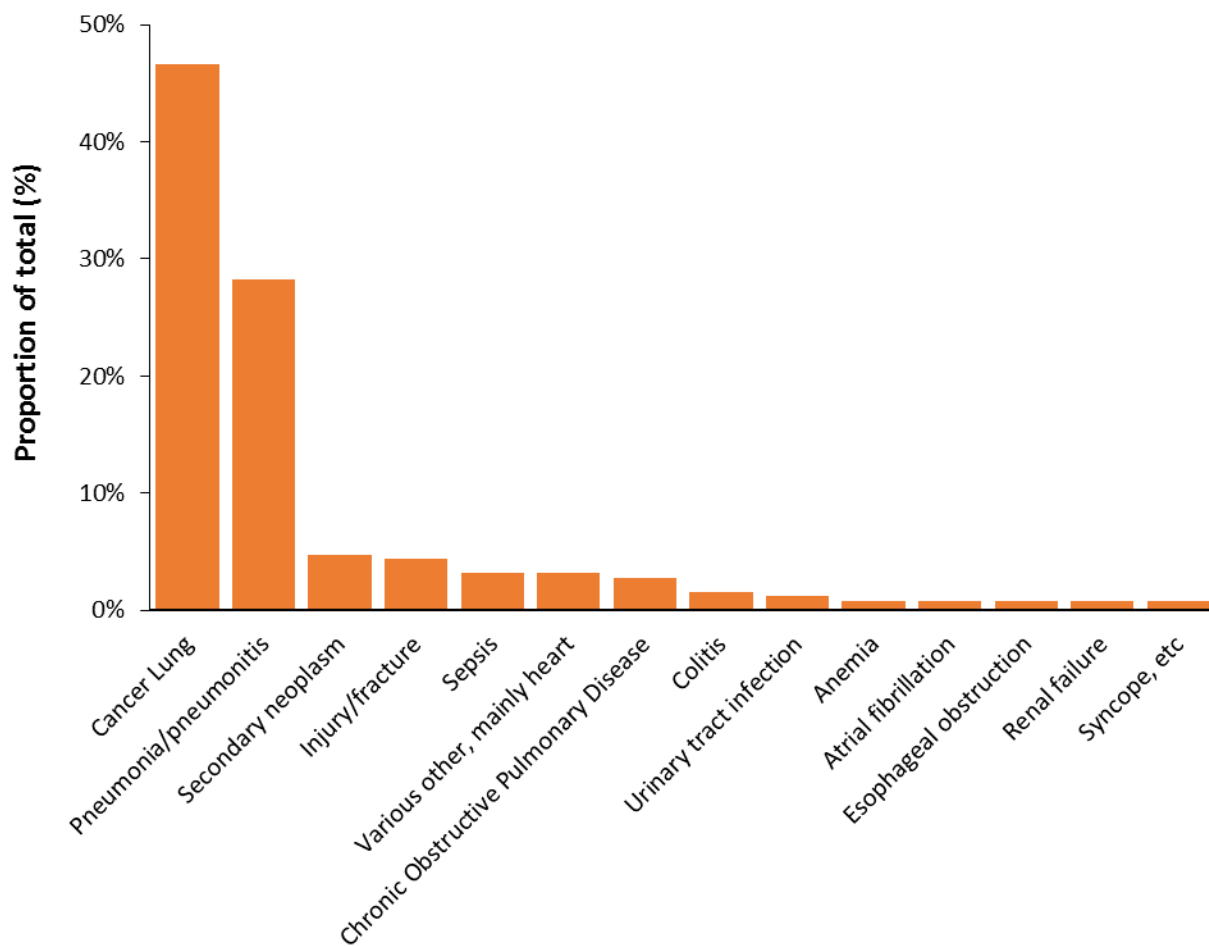


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**Fig. A4. Running 28 day average PCA score for inpatients aged 50-70 ( $n>1,300$  for 28-day average)**

A running 28-day average acts as a frequency filter to detect events which affect population health with a 28-day duration. Other frequency filters can be applied to detect events lasting 7 and 365 days (data not shown). For an

1043 explanation of the use of running averages and running totals see references [111-114]. The key point is the utility of  
1044 the PCA score to translate blood biochemistry into a potentially useful tool for population health screening.  
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1048 **Fig. A5. Reason for final admission (morbidity coding) involving in-hospital death or death within**  
1049 **30 days of discharge for persons having a cause of death (mortality coding) listed as neoplasm of**  
1050 **lung (n = 251 persons)**  
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