1 2	<b>Original Research Article</b> Analyze of risk factors affecting the outcomes of docetaxel -prednisone combination in
3	the treatment of metastatic castration-resistant prostate cancer
4	Abstract:-Objective: To analyze potential factors affecting the outcomes of docetaxel and
5	prednisone (DP) combination therapy in patients with castration resistant prostate cancer
6	(CRPC).
7	Methods: A total of 272 patients were treated with DP chemotherapy for CRPC between April
8	2006 and January 2014. Patients received docetaxel (75 mg/m2) administered as $\geq$ 1-h
9	intravenous infusion on day 1, every 3 weeks plus oral prednisone 5 mg twice daily starting
10	on day 1 and continuing throughout the treatment. Patients were evaluated for prostate
11	specific antigen (PSA) response, toxicity and factors affecting the treatment outcomes.
12	Results: 132 (48.6%) patients achieved a PSA response (47 complete and 85 partial
13	response). There were no differences between PSA responders and PSA non-responders in
14	terms of age, gleason score, initial PSA value and Eastern Cooperative Oncology Group
15	(ECOG) performance status. Alkaline phosphatase (ALP) level of non-responders was
16	significantly higher compared to PSA responders (p= 0.042), total serum protein levels
17	(p=0.035) and albumin (p=0.012) were significantly lower in non-responder group. Median
18	survival rate of PSA responders was significantly higher compared to PSA non-responders
19	(19 months vs 14 months, $p=0.000$ ). The most common grade 3-4 toxicity of chemotherapy
20	was neutropenia which was observed in 95 (34.7%) patients.
21	Conclusions: Serum ALP, total protein and albumin levels can be used to predict treatment
22	outcomes following docetaxel and prednisone combination therapy in patients with CRPC.
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24	docetaxel ; prostate specific antigen ; castration resistant prostate cancer ; neutropenia
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## 27 Introduction

28 Androgen deprivation therapy in patients with metastatic prostate cancer (PC) provides 29 symptomatic relief by producing a rapid improvement in bone pain and soft tissue metastasis, 30 with initial response rates of about 80% and significant decrease in prostate specific antigen (PSA).<sup>[1]</sup> Although most patients with advanced metastatic prostate cancer respond well to 31 32 this treatment, the median duration of effective management in metastatic prostate cancer 33 patients is between 18 and 24 months.<sup>[2]</sup> Castration resistant prostate cancer (CRPC) is 34 defined as a rising PSA with castrate levels of serum testosterone and symptomatic progression plus radiologic evidence of progressive disease.<sup>[3]</sup> If hormonal manipulations fail, 35 36 various modalities including chemotherapeutic regimens, vaccines (sipuleucel-T; an 37 autologous cellular immunotherapy agent), second-line hormonotherapy with new drugs 38 (Abiraterone, Enzalutamide), palliative radiotherapy or radium-223 (the only bone-specific 39 drug that is associated with a survival benefit) for bone metastases, drugs targeting vascular 40 endothelial growth factors (sunitinib and bevacizumab) can be used to control disease progression.<sup>[4,5]</sup> Docetaxel plus prednisone (DP) therapy has been established as a standard 41 42 therapy for CRPC. Although new hormonal therapies are very effective in the treatment of 43 CRPC, DP therapy is still considered as the first-line treatment due to high costs of new 44 treatment modalities and financial limitations in social insurance systems.

Previous studies, which reported the efficacy of the mitoxantrone and corticosteroid (MC) combination therapy showed that MC could relieve metastasis related pain and decrease PSA values, but MC combination therapy provided no survival benefit in long-term follow-up.<sup>[6,7]</sup> Since 2004, treatment of CRPC has considerably evolved after TAX 327 and SWOG 99-16 studies.<sup>[1,8,9]</sup> According to the results of these large randomized trials, the overall survival time, disease progression, pain control, quality of life and PSA response significantly improved with docetaxel treatment when compared with previous chemotherapy protocols.<sup>[8,9]</sup> However, many patients experienced considerable toxicities during docetaxel
 chemotherapy.<sup>[10]</sup>

54 Docetaxel chemotherapy can lead to serious side effects in patients over 70 years of 55 age and should be administered carefully. Currently, the identification of readily available 56 prognostic factors is an essential step in optimizing the management of patients who are 57 treated with docetaxel.<sup>[10]</sup>

In this study, we aimed to analyze factors, which can potentially affect the outcomesof DP in the treatment of patients with CRPC.

60 Material and methods

61 We retrospectively analyze 272 patients who were treated with DP chemotherapy for CRPC 62 between April 2006 and January 2014. All patients had histologically confirmed 63 adenocarcinoma of the prostate and disease progression was identified during androgen 64 deprivation therapy. Anti-androgen therapy was discontinued 4-6 weeks before the 65 administration of chemotherapy to allow the withdrawal of the anti-androgen therapy's effect. 66 Testosterone levels were measured to confirm the castrated levels (<50ng/dl). Disease 67 progression was defined with presence of one or more of the following criteria; at least two 68 consecutive increases in PSA from the reference level measured prior to the initiation of the 69 study regardless of any prior hormonal therapy and progression of measurable visceral and/or 70 soft tissue lesions or appearance of new lesions. Patients received docetaxel administered 75 71 mg/m2 of docetaxel as  $\geq$ 1-h intravenous infusion on day 1, and same dose repeated every 3 72 weeks. These patients also received 5 mg of oral prednisone twice daily, starting on day 1 and 73 continued throughout the treatment. 8 mg of Ondansetron was administered orally at the 74 beginning of each treatment cycle to prevent emesis. All patients had an Eastern Cooperative 75 Oncology Group (ECOG) performance status of  $\leq 2$  and hematological (leukocytes  $\geq$ 3000/mm<sup>3</sup>;hemoglobin  $\geq$ 10g/dl;and platelets>10<sup>5</sup>/mm<sup>3</sup>), renal (serum creatinine<2.0mg/dl), 76

77 and hepatic function tests (serum bilirubin<2.0 mg/dl) were all within normal limits. Patients 78 who had previous chemotherapy, congestive heart failure, recent myocardial infarction or any 79 other previous malignant disease except basal cell carcinoma of the skin were excluded from 80 the study. All patients signed the informed consent form before chemotherapy. Before each 81 cycle of chemotherapy, laboratory tests were conducted to determine the presence of any 82 hematological, hepatic and renal toxicity. In case of severe toxicity, the treatment was delayed 83 until the laboratory test values returned to normal. To evaluate the severity of toxicity, criteria 84 of World Health Organization (WHO) were used.

85 All patients were evaluated for PSA response, toxicity and factors affecting treatment 86 outcomes. The reference PSA level to evaluate PSA responses was measured within 2 weeks 87 prior to DP therapy. Serum PSA level which was measured after the therapy was confirmed 88 with measurement of a second PSA level 3 weeks later. We defined response categories as 89 complete or partial response. Complete response (CR) was defined as a serum PSA level<4.0 90 ng/ml and partial response (PR) as at least 50% reduction in serum PSA levels. PSA 91 progression during DP therapy was defined as at least 25% increase in serum PSA levels or 92 an increase from a value within the normal limits to an abnormal value.<sup>[11]</sup>

In order to compare the clinical and pathological differences of treatment outcomes according to PSA responses, Mann-Whitney U test, Chi-square and Fishers exact tests were used. Statistically significant parameters in the univariate analysis were included in the multivariate model. A survival analysis was performed using Kaplan-Meier method. The logrank test was used to compare the groups. A p value <0.05 was considered to indicate statistical significance. Statistical analyses were performed using SPSS software version 17.0, Chicago IL.

### 100 Results

101 Demographic and disease characteristics for all patients were summarized in Table 1. Mean 102 age of the patients was 70.1±7.4 years (53-89). All patients received maximal androgen 103 blockage (MAB) therapy with luteinizing hormone releasing hormone (LH-RH) agonist or 104 bilateral orchiectomy plus antiandrogen. 26(9.7%) patients underwent radical retropubic 105 prostatectomy and 49 (18.0%) patients received radiotherapy before hormonal therapy. The 106 number of patients with Gleason scores 7, 8 and 9 were 79 (29.1%), 60 (22.2%) and 133 107 (48.7%), respectively. According to ECOG performance status, 226 (83.3%) patients had a 108 performance status of 0, 34(12.5%) patients had a performance status of 1 and 12(4.2%)109 patients had a performance status of 2. All patients with metastatic disease had bone 110 metastasis. Additionally, 3 patients had lymph node metastasis, 1 patient had liver and 1 had 111 lung metastasis. Initial median PSA value was 41.60ng/ml (range 3.40-843). Mean 112 hemoglobin value was  $10.6\pm0.2$  gr/dl; mean ALP level was  $371\pm107$  IU/L; and mean lactate 113 dehydrogenase (LDH) was 231±76 IU.

The median follow-up period was 27.1 months (3-52). Patients received 8.8 cycles of DP treatment in average. Complete response was achieved in 47 patients and partial response was achieved in 85 patients. There were no differences between PSA responders and nonresponders in terms of age, Gleason score, initial PSA value and ECOG performance status. On the other hand, serum ALP level of non-responders was significantly higher when compared to PSA responders (447 IU/L vs. 292 IU/L) (p= 0.042). Total protein (p=0.035) and albumin levels (p=0.012) were significantly lower in the non-responder group (Table 2).

Initial PSA (18.5ng/ml vs. 51.8ng/ml,p=0.046) and ALP (101.7 IU/L vs 417 IU/ L, p= 0.038) levels were significantly lower in patients with complete response when compared to the patients with partial response (Table 3). The duration of PSA response was 7.4(5-10) and 5.2(2-7) months in patients with complete and partial response, respectively (p=0.009). 151 of our patients experienced serious pain before the treatment and analgesic drug intake was
reduced in 52 (34%) of those patients. The median survival rate of the PSA responders was
significantly higher than the PSA non-responders (19 months vs 14 months, p= 0.000)
(Figure 1).

129 Although univariate analysis revealed that there were statistically significant 130 differences in levels of ALP, total protein, and albumin between PSA responders and PSA 131 non-responders (Table 2), multivariate analysis revealed serum albumin level as the single 132 significant parameter when two groups are compared. (OR=10.8; 95% CI (1.5-78.2); 133 p<0.001).

134 The most common toxicity was bone marrow suppression and the most common 135 grade 3 and 4 toxicity of chemotherapy was neutropenia, which was seen in 95 of 272 136 (34.7%) patients. 99 (33.4%) had grade 3-4 leukopenia, 76 (27.8%) had grade 1-2 137 neutropenia, 37(13.8%) had grade 1-2 leukopenia and 171 (62.5%) had grade 1-2 138 thrombocytopenia. Granulocyte-colony stimulating factor was administered to 106(38.8%) 139 patients. The most frequent non-hematological side effects were general fatigue (53.6%) and 140 diarrhea (12.1%). Maculopapular rash and oral candidiasis which were observed in two 141 different patients were treated with topical agents.

## 142 **Discussion**

143 With the development of new chemotherapy protocols, many studies demonstrated 144 improvement in survival of patients with CRPC. In 2004, two randomized trials (TAX 327 145 study and the SWOG trial) showed a significant survival advantage in the treatment of these 146 patients with chemotherapy and docetaxel treatment has been established as standard chemotherapy for CRPC.<sup>[6,7]</sup> The regimen of 3 weekly docetaxel (75mg/m<sup>2</sup>) plus low dose 147 148 prednisone has been widely considered to be the recommended treatment of choice for symptomatic, metastatic CRPC.<sup>[1]</sup> Several alternative agents including hormone derivatives 149 150 and chemotherapeutic drugs are continued to be investigated. In patients with relapse 151 following docetaxel chemotherapy, cabazitaxel, abiraterone and enzalutamide are regarded as 152 first-choice options for second-line treatment in CRPC. Abiraterone inhibits cytochrome P17 153 enzyme which is expressed in testicular, adrenal, and prostatic tumor tissues and is required 154 for androgen biosynthesis. Enzalutamide has been shown to competitively inhibit androgen 155 binding to androgen receptors and inhibit androgen receptor nuclear translocation and interaction with DNA. <sup>[12,13]</sup> Outcomes of further clinical investigations will possibly change 156

157 the guidelines in the close future.

158 There are certain prognostic factors affecting disease progression and survival in docetaxel chemotherapy but no consensus exists about these prognostic factors.<sup>[14]</sup> Qu et al. 159 160 investigated possible prognostic factors for overall survival in patients with metastatic CRPC who have treated with docetaxel-based chemotherapy.<sup>[10]</sup> The authors stated the significance 161 162 of several independent prognostic factors including PSA doubling time, baseline hemoglobin (Hb) concentration, cycles of chemotherapy and time to castration resistance. An increase in 163 164 ALP level was previously thought to be a substitute for bone scans progression as prognostic factor.<sup>[14]</sup> The significance of ALP for evaluating bone metastasis was also reported in 165 previous studies.<sup>[15,16]</sup> Armstrong et al. investigated pre-treatment factors predicting PSA 166

167 decline and overall survival in men treated with docetaxel chemotherapy by subgroup analysis of TAX327.<sup>[17]</sup> They found four independent risk factors for PSA decline and overall 168 169 survival. These factors were pain, visceral metastases, anemia and bone scan progression. 170 Saad et al. investigated PSA kinetics and outcomes in treated with or without zoledronic acid.<sup>[18]</sup> The authors concluded that PSA is an important prognostic tool for survival 171 172 in patients with CRPC who had bone metastasis, and PSA is also prognostic for bone disease 173 progression and skeletal associated events. De Bono et al. mentioned the effect of circulating tumor cells on survival benefit in the treatment of CRPC.<sup>[19]</sup> Bournakis et al. emphasized time 174 175 to castration resistance as an independent factor of castration resistant prostate cancer survival.<sup>[20]</sup> Our study showed that patients with high baseline ALP concentration, low total 176 177 protein and albumin levels had lower response to docetaxel chemotherapy. Although there are 178 many risk factors affecting treatment results, albumin level was found to be a significant 179 parameter in multivariate analysis.

180 In our study, PSA response rate was 48.6% and median overall survival was 19.1 181 months. Our results are comparable with those from TAX 327 study with a PSA response of 45% and a median overall survival of 19.2 months.<sup>[8,21]</sup> In another study, median survival was 182 found to be 15.9 months with a PSA response time of 6.8 months in 88 men with CRPC.<sup>[22]</sup>In 183 184 a phase II study of docetaxel re-treatment in docetaxel- pretreated CRPC patients, the authors 185 showed that docetaxel re-treatment preserves antitumor activity and is well tolerated in a selected population of pretreated patients with CRPC.<sup>[21]</sup> In a recent randomized phase II 186 187 study comparing docetaxel and epirubicin (D/EPI) with docetaxel and prednisone(D/P) in 188 advanced castrate-resistant prostate cancer, the median survival was 27.3 months in the D/EPI arm and 19.8 months in the D/P arm.<sup>[23]</sup> All studies including the current study found 189 190 survival advantage with good tolerance, which provides docetaxel-based chemotherapies as 191 the standard of care for men with metastatic CRPC.

192 Bone metastasis can be seen in different stages of metastatic disease and have an 193 important role in the treatment design. ALP is an important marker for evaluating bone 194 metastasis. Kawahara et al. used ALP as a surrogate marker of bone scan and found a significant association with overall survival and a higher ALP level.<sup>[14]</sup> Sonpavde et al. 195 196 mentioned total serum ALP as a relatively nonspecific marker which can increase in case of liver or bone metastasis.<sup>[16]</sup> However, patients with bone metastasis and an elevated baseline 197 198 ALP were likely to have bone as the dominant source of ALP. In the present study, patients 199 with complete response had lower ALP levels than partial responders (p=0.038). It is 200 doubtless that none of the risk factors can be a unique reason for the evaluation of treatment 201 response due to variable etiologies in the natural formation of prostate cancer. However, high 202 baseline ALP levels may predict a possible increase of mortality in metastatic CRPC patients. 203 Our study revealed that total protein and albumin levels are also associated with the response 204 to docetaxel chemotherapy.

205 Toxic side effects of a chemotherapy regimen are crucial to completely terminate the 206 therapy. Hematological toxicity, particularly neutropenia and neutropenic sepsis, is always a 207 challenge with chemotherapy regimens utilizing docetaxel. Several previous studies showed acceptable adverse events with docetaxel.<sup>[1,23-28]</sup> The most common side effects were fatigue, 208 209 diarrhea and skin rashes. In our study, grade 3 and 4 neutropenia was recorded in 95 210 patients(34.7%) with febrile neutropenia in 22 patients (8.3%). 38.8% of the patients were 211 treated with granulocyte colony-stimulating factor. Grade 1 or 2 thrombocytopenia was also 212 identified in more than half of our patients.

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DP combination therapy is a suitable and effective regimen with acceptable tolerance in the treatment of men with CRPC. Serum ALP, total protein and albumin levels can be used to predict chemotherapy outcomes following DP chemotherapy in patients with CRPC.

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- 309 **Table I.** Patients' characteristics

Characteristics	Number of patients ± SD
Age; mean (years)	$70.1 \pm 7.4$
Baseline PSA;	
mean (ng/ml)	$107.9 \pm 176.7$
median (ng/ml)	41.6 (3.40 - 843)
Hb; mean (gr/dl)	$10.6 \pm 0.2$
ALP; mean (IU/I)	371 ± 107
LDH; mean (IU/I)	$231 \pm 76$

Total protein; mean (g/dl)	$6.6 \pm 0.09$
Albumin;mean (g/dl)	$3.9\pm0.06$
Gleason score	
7	79 (29.1%)
8	60 (22.2%)
9	133 (48.7%)
Pain	151(55.5%)
ECOG score	
0	226 (83.3%)
1	34 (12.5%)
2	12 (4.2%)
Prior treatment	
Radical prostatectomy	26 (9.7%)
Radiotherapy	49 (18.0%)
Hormonotherapy	197 (72.3%)
Metastasis	
Bone	266 (98.0%)
Lymph node	3 (1.1%)
Visceral	2 (0.7%)
Number of cycles; mean	$8.8 \pm 4.7$

# **Table II.** Comparison of clinical features of PSA responders and non-responders

Characteristics	PSA	PSA non-	p value
	responder	responder	
	n= 132	n= 140	
Age; mean (years)	69.6	70.7	0.520
Baseline PSA;			
mean (ng/ml)	52.1	161.1	0.108
median (ng/ml)	30.9	120	
Hb; mean (gr/dl)	10.8	10.5	0.566
ALP; mean (IU/I)	292	447	0.042
LDH; mean (IU/I)	238	224	0.215
Total protein; mean	6.92	6.45	0.035
(g/dl)			
Albumin;mean (g/dl)	4.13	3.82	0.012
Gleason score			
7	41	38	0.286
8	18	42	
9	73	60	
Reduction in analgesic	30	22	0.477
requirements			
ECOG score			
0	115	111	0.246
1	12	22	
2	5	7	
Prior treatment			

Radical prostatectomy	14	12	0.677
Radiotherapy	21	28	
Hormonotherapy	97	100	
Number of cycles; mean	9.1 ± 3	$8.7\pm6$	0.158

# **Table III.** Comparison of patients with complete and partial PSA response

Characteristics	<b>Complete PSA</b>	<b>Partial PSA</b>	p value
	response	response	
	n= 47	n= 85	
Age; mean (years)	68.3	71.9	0.631
Baseline PSA;			
mean (ng/ml)	31.6	63.2	0.046
median (ng/ml)	18.5	51.8	
Hb; mean (gr/dl)	11.6	10.9	0.311
ALP; mean (IU/I)	101.7	417	0.038
LDH; mean (IU/I)	201	266	0.326
Total protein; mean	7.0	6.7	0.415
(g/dl)			
Albumin;mean (g/dl)	4.2	4.1	0.744
Gleason score			
7	18	23	0.451
8	4	14	
9	25	48	
ECOG score			
0	41	74	0.575
1	4	8	
2	2	3	
Number of cycles;	9.7	8.3	0.512
mean			
Duration of PSA	7.4	5.2	0.009
response			
(months);mean			

318 Figure-1: The median survival rate between responders and non-responders

