

24 **1. Introduction**

25 Breast cancer remains a serious problem to human health worldwide and is associated with high mortality
26 rates [1]. The poor prognosis of breast cancer patients is generally due to the high rates of recurrence and
27 metastasis of tumors [2]. In addition, the age of onset has gradually become younger, and increasing numbers of
28 younger patients are being diagnosed with breast cancer [3]. Although a large number of studies have helped
29 elucidate the mechanisms of breast cancer development and metastasis, there are still many problems around the
30 clinical treatment and prevention of cancer [4]. Increasing evidence has shown that immune function is critical to
31 restrain the initiation, development and metastasis of cancers [5, 6]. Therefore, improving the body's own
32 anti-cancer defense potential is the most important direction to prevent and treat malignant tumors [7]. However,
33 successful immune therapy and prevention for cancers requires understanding of the relationship between the
34 development of cancers and changes in the immune system.

35 The immune system is a very complex defense system that protects the body against exogenous pathogens
36 and autologous diseases and consists of multiple types of organs, tissues, cells and biomolecules [8].
37 Lymphocytes, including B cells, T cells and NK (natural killer) cells [9], are major constituents of this system
38 and carry out multiple functions in the body. T cells directly destroy pathogens and tumor cells and also help
39 antigens to stimulate B cells to produce antibodies [10]. For instance, the costimulatory molecule B7 expressed
40 by melanoma activates CD8+ T cells to directly destroy melanoma cells, and then inhibited the development of
41 melanoma in mice [11, 12]. CD4+ T cells and CD8+ T cells generated from the mouse immune system
42 previously stimulated by human pathogen chlamydia trachomatis could directly kill the pathogens [13]. NK cells
43 also function to kill target pathogens and tumor cells directly after activation by cell stimulatory factors [14]. NK
44 cells were expressed in the highest activity by C57BL/6 mice during the 2-6 days after infection with rickettsia
45 [15], and their synergy with IFN- γ could stimulate the mice early anti-rickettsia immune response [15, 16]. NK

46 cells isolated from malignant pleural effusions in mice had high antitumor activity *in vitro* after activated
47 by IL-2 and IL-15 [17]. Thus, the defense of the human body against foreign pathogens requires close
48 synergistic effects among T, B, and NK cells and other immune cells [14, 18, 19].

49 There is a considerable diversity in the type of the immune cells. B lymphocytes are classified into two
50 categories, B1 and B2, according to their functions [20]. T lymphocytes are divided into helper T cells, cytotoxic
51 T cells, regulatory T cells and memory T cells [21]. NK cells consist of activated and anergic cells [21]. Within
52 each of these immune cell types, a number of subsets have been identified and more novel sorts of immune cells
53 are continually being uncovered [22]. This diversity of the immune cells aids in defending the body against the
54 infection of various exogenous pathogens and the development of autologous tumors.

55 The relationship between the immune system and tumor development is extremely complicated. The
56 immune system is capable of recognizing and eradicating sporadic neoplasm cells in the body, but a certain
57 proportion of primary tumor cells still manage to escape from the immune surveillance to develop and
58 metastasize [23]. Loss of HLA class I antigen expression on the surface of tumor cells would lead to colorectal
59 cancer, lung cancer and other tumors to escape from T cell-mediated immune surveillance and metastasize
60 [24-26]. The interaction between CD147 on hepatoma cell surface and its natural ligand cyclophilin A activated
61 the ERK1/2 signaling pathway to help hepatoma cells to evade the immune surveillance of T cells and promote
62 their proliferation [27]. Tumors can also avoid immune attack by suppressing the body's immune system or
63 recruiting the immune cells [28]. The myeloid derived suppressor cells (MDSCs) derived from immature
64 myeloid cells suppress the body immune system. The levels of MDSCs were increased by cancer development in
65 hepatic carcinoma, lung cancer and acute myeloid leukemia [29-31]. In leukocyte infiltrating tumors such as
66 breast cancer and colorectal cancer, MDSCs reduced immune attack on tumors [32-34]. Although studies have
67 shown that tumor development is associated with changes in the immune system, the understanding of the

68 mechanisms by which tumors interact with the immune system remains rather limited.

69 For an effective immune response to tumors, the body's immune defense system should be able to
70 discriminatively respond to the different types of tumors and tumor cells, as cell types are diverse within a single
71 type of tumor and tumors also show great diversity [35, 36]. The types of cells within a single tumor vary as
72 cancers develop, and this variation then imposes a continuously changing effect on the immune system [37].
73 Therefore, each tumor has its specific relationship with the immune system and immune cells show exceptional
74 variation patterns in response to a given cancer. The understanding of the exact effects of specific types of tumor
75 on immune cells will be helpful to study the anti-tumor mechanisms of the immune system and provide valuable
76 references for medical treatments of cancers and health care practice.

77 In this study, to examine the relationship between the development of breast tumors and the response of
78 immune cells, we used a 4T1 breast cancer cell transplantation mouse model. The processes of growth and
79 metastasis of 4T1 breast cancer cells in mouse are similar to those observed in human breast cancer. After
80 transplantation into BALB/C mice, 4T1 cells form orthotopic breast tumors at the transplantation site and
81 metastasize, spontaneously and rapidly, to lung, liver, lymph nodes and brain [38]. Here we examined B cells, T
82 cells and NK cells from peripheral blood in mice transplanted with 4T1 breast cancer cells to detect changes in
83 the immune system in response to the development of breast tumors.

84

85 **2. Materials and methods**

86 *2.1. Animals*

87 BALB/C female mice 4–6 weeks of age were purchased from Liaoning Changsheng Biological Technology
88 Company in China. Twenty mice were randomly divided into the control group and the 4T1 breast cancer cell
89 transplantation group, and all mice were kept in SPF (Specific pathogen free) conditions. Mice were allowed

90 for a 1-week acclimatization period at room temperature with a 12 h light/dark cycle before treatment. The
91 animals were fed with normal rodent chow and allowed free access to drinking water.

92 2.2. Cell culture and transplantation

93 The mouse breast cancer cell line 4T1 cells were cultured in RPMI 1640 media supplemented with 10%
94 FBS (Fetal bovine serum), 1% penicillin/streptomycin in a 5% CO₂ atmosphere at 37°C. We collected 4T1 cells
95 in the logarithmic phase and mice in the transplantation group were injected with 2×10^5 cells into the fourth
96 breast fat pad.

97 2.3. Peripheral blood collection and immune cell isolation

98 Mouse peripheral blood was collected from the mouse facial vein vascular bundle at four time points: day 0,
99 7, 14 and 28 (Fig.1). Lymphocytes were isolated with lymphocyte separation medium (MP Biomedicals, CA,
100 US).

101 2.4. Lymphocyte labeling

102 The peripheral blood immune cells were washed twice with washing buffer (0.15 M PBS (Phosphate
103 buffered solution), 0.5% BSA (Bovine serum albumin) , 0.1% NaN₃ (Sodium azide)). The cells were then
104 re-suspended in 100 µL washing buffer and incubated with optimized amount of fluorochrome conjugated mAbs
105 (Monoclonal antibodies) for 30 min at 4°C in the dark. The total B cells were labeled by CD19-PerCP/Cy5.5
106 (Biolegend, San Diego, California, US. Catalog#115533); the total T cells were labeled by CD3e-FITC (Miltenyi
107 Catalog#130-102-496), helper T cells by CD4-FITC (Biolegend Catalog#100405), cytotoxic T cells by CD8a-PE
108 (Biolegend Catalog#100707) and memory T cells by CD127-PE (Biolegend Catalog#135009). NK cells were
109 labeled by CD49b-PE (Miltenyi Catalog#130-108-174). The labeled cells were then washed twice with washing
110 buffer and reserved for flow cytometry analysis.

111 2.5. Flow cytometry analysis

112 Flow cytometry was conducted using a FACSCalibur flow cytometer (BD Biosciences) and the data were
113 analyzed with FlowJo software.

114 2.6. Anatomic observation

115 Mice were sacrificed and dissected to observe visceral organ morphology at day 29 (Fig. 1). The spleen,
116 lung and liver were harvested for observation.

117 2.7. Statistical analysis

118 All data are presented as the mean \pm S.E.M. Statistical significance between more than two groups was
119 tested using one way ANOVA. *P* values < 0.05 and < 0.01 were considered statistically significant and extremely
120 statistically significant, respectively.

121

122 3. Results

123 3.1. Effects of 4T1 cell transplantation on total T cells and total B cells

124 We examined changes in total T cells and total B cells in mouse peripheral blood in the 4T1 cell
125 transplantation and control mice (Fig. 2) and detected significant variations in both cell populations in the 4T1
126 cell transplantation mice compared with control mice. At day 7, we observed a significant decrease in the total T
127 cells to $36.67 \pm 1.91\%$ in mice transplanted with 4T1 cells compared with controls ($45.27 \pm 1.62\%$) ($P < 0.05$),
128 with further decreases to $26.2 \pm 2.8\%$ at day 14 compared with controls ($44.37 \pm 4.05\%$) ($P < 0.01$) and $13.47 \pm$
129 3.11% at day 28 compared with controls ($44.57 \pm 3.16\%$) ($P < 0.01$). This result showed that the transplantation
130 of 4T1 cells had a rapid inhibitory effect on the total T cell level in mouse peripheral blood.

131 On examining the total B cells in peripheral blood, a very significant decline ($P < 0.01$) was observed at day
132 7 in the 4T1 cell transplantation group compared with controls ($22.8 \pm 1.44\%$ versus $29.37 \pm 2.3\%$, respectively).
133 The total B cells further decreased to $10.27 \pm 1.7\%$ at day 14 compared with controls ($29.57 \pm 1.32\%$) ($P < 0.01$).

134 At day 28, the total B cells in the 4T1 cell transplantation group were still significantly lower than that in control
135 mice ($5.05 \pm 1.52\%$ versus $27.93 \pm 3.11\%$, respectively) ($P < 0.01$). These results showed that the transplantation
136 of 4T1 cells had a strong inhibitory effect on the total B cell level in mouse peripheral blood.

137

138 *3.2. Effects of 4T1 cell transplantation on helper T cells and cytotoxic T cells*

139 We also examined the helper T cells and cytotoxic T cells in mouse peripheral blood in the 4T1 cell
140 transplantation and control groups (Fig. 3). On examining helper T cells, a significant decrease was detected in
141 the 4T1 cell transplantation group at day 7 compared with the control ($22.4 \pm 1.65\%$ vs. $27.2 \pm 4.19\%$,
142 respectively) ($P < 0.05$). The helper T cells in the 4T1 cell transplantation group further decreased to $16 \pm 1.67\%$
143 at day 14, which was a very significant difference ($P < 0.01$) compared with the control ($26.33 \pm 4.04\%$). At day
144 28, the helper T cells in the 4T1 cell transplantation further decreased to $7.6 \pm 2.51\%$, which also was very
145 significantly different compared with the control ($27.2 \pm 3.62\%$) ($P < 0.01$). This result showed that the
146 transplantation of 4T1 cells had a strong inhibition effect on the helper T cell level in mouse peripheral blood.

147 We also detected a significant alteration in the cytotoxic T cell population after transplanting 4T1 cells. At
148 day 7, we observed a remarkable decrease in cytotoxic T cells in the 4T1 cell transplantation group compared
149 with the control ($9.69 \pm 1.15\%$ and $11.07 \pm 1.78\%$, respectively) ($P < 0.05$). At day 14, the cytotoxic T cells in the
150 4T1 cell transplantation group continued to decrease compared with controls ($7.92 \pm 1.67\%$ and $12.13 \pm 1.1\%$,
151 respectively) ($P < 0.01$). At day 28, the cytotoxic T cells in the 4T1 cell transplantation group were even more
152 significantly lower than that in controls ($3.75 \pm 1.09\%$ and $11.57 \pm 1.63\%$) ($P < 0.01$). This result showed that
153 the transplantation of 4T1 breast cancer cells had an inhibitory effect on the cytotoxic T cell level in mouse
154 peripheral blood.

155

156 *3.3. Effects of 4T1 cell transplantation on memory T cells*

157 To explore whether transplantation of 4T1 cells has a long-lasting effect on the immune system, we
158 examined memory T cells by monitoring the surface marker CD127 with flow cytometry. As shown in Fig. 4, at
159 day 28, the level of memory T cells in the 4T1 cell transplantation group was $3.55 \pm 2.14\%$, which was
160 extremely significantly reduced compared with the control ($35.77 \pm 1.66\%$) ($P < 0.01$). This result suggested that
161 the transplantation of 4T1 cells could suppress memory T cells and exert a long-lasting inhibition effect on the
162 immune system in mice.

163

164 *3.4. Effects of 4T1 cell transplantation on NK cells*

165 As shown in Fig. 5, we did not detect a significant change between the treatment and control groups after
166 4T1 cell transplantation at day 7. However, at day 14, the NK cells increased to $36.93 \pm 1.83\%$ in the 4T1 cell
167 transplantation group, which was significantly higher compared with controls ($20.67 \pm 1.46\%$) ($P < 0.01$). At day
168 28, the NK cells in the 4T1 cell transplantation group fell back to $26.33 \pm 4.93\%$, which was similar to that
169 detected in the control ($22.23 \pm 2.81\%$). This result showed that the transplantation of 4T1 cells had a lagged and
170 transient promotion effect on the NK cell level in mouse peripheral blood.

171

172 *3.5. Effects of 4T1 cell transplantation on mouse visceral organs*

173 To observe whether transplantation of 4T1 breast cancer cells has a direct influence on the mouse visceral
174 organs, we sacrificed and dissected mice on day 29. As shown in Fig. 6, the spleen in the 4T1 cell transplantation
175 group was larger than that of control mice. However, we did not observe any significant difference in lung and
176 liver between the transplantation and control mice. This result showed that the transplantation of 4T1 cells had
177 an effect on the spleen development in mouse.

178

179 4. Discussion and Conclusion

180 Our investigation showed that the transplantation of 4T1 cells in mice remarkably reduced the amount of B
181 cells and T cells, including cytotoxic T cells, helper T cells and memory T cells, in peripheral blood and induced
182 NK cells to transiently increase and then decrease. These results indicate that B cells and T cells in the peripheral
183 blood of mice were vulnerable and susceptible to 4T1 breast cancer development. In other words, the
184 development of breast cancer can strongly inhibit the proliferation of immune cells or destroy immune cells. The
185 similar results to this experiment were also observed in some studies. The contents of CD4+ T and CD8+ T cells
186 in peripheral blood in breast cancer patients was found significantly lower than in healthy controls [39, 40]. T
187 lymphocytes are the main tumor-infiltrating immune cells with antitumor effects in breast cancer [41]. The
188 elevated ratio of infiltrating lymphocytes CD4 / CD8 indicated the better prognosis of breast cancer patients after
189 surgery and chemotherapy [42]. The content of infiltrating B lymphocytes increased in tumor tissue of breast
190 cancer patients [43], and the infiltrating B lymphocytes in malignant breast cancer tissue was significantly higher
191 than in benign tumors [44]. However, the relationship between the changes of B lymphocyte content and the
192 development of tumor in peripheral blood of breast cancer patients is rarely studied. Memory T cells in bone
193 marrow of breast cancer patients was significantly higher than healthy individuals [45]. The percentage of NK
194 cells was decreased in peripheral blood of mice after transplantation of 4T1 breast cancer cells, while it was
195 significantly increased after tumor resection [46]. Although increasing evidence suggests that tumor-infiltrating
196 leukocytes may promote angiogenesis, growth and invasion of the tumors [47, 48], the decline of leukocyte
197 levels in the peripheral blood likely has an adverse effect on the body's defense against solid tumors, as the
198 vascular system is an important pathway for leukocyte transport and a pivotal defense line to block solid tumor
199 metastasis through vascular system.

200 The function of B cells is to carry out humoral immunity *in vivo* [49]. After stimulation by antigens, B
201 lymphocytes differentiate and proliferate into plasmocytes, which synthesize and release antibodies to defend the
202 body against the infection of various pathogens [50]. The mice, in which the effector subset of B cells was
203 deficient or depleted, displayed a slower tumor growth compared with control mice [48, 51-53], however, in our
204 experiment, B cell level was decreased in peripheral blood of 4T1 transplantation mice. This suggests that the
205 decrease of B cell level is adverse to the defense of body to cancer. The effector subset of B cells was also shown
206 to directly kill cancer cells via the Fas/FasL pathway [54]. A higher density of cancer-filtrating CD20+ B cells
207 significantly correlated with an improved overall survival in colorectal cancer patients [55]. Moreover, B cells
208 provide co-stimulatory signals and serve as antigen-presenting cells to activate T cells, contributing to cellular
209 immunity [10]. Antigen-presenting B cells were shown to activate tumor-specific T cell cytotoxicity [56] and
210 stimulate NK cells [51].

211 The function of T cells is to implement cellular immunity in the body [8]. Cellular immunity is critical in
212 preventing diseases such as HIV and in targeting pre-cancerous and cancerous cells [57]. After initial stimulation
213 by antigens, T lymphocytes differentiate and proliferate into effector T cells and memory T cells, and the effector
214 T cells then destroy target cells upon secondary exposure to the same antigen [58]. cytotoxic T cells belong to
215 the effector T cells. Helper T cells not only participate in cellular immunity, but are also involved in humoral
216 immunity by assisting antigens to stimulate B cells to synthesize and release antibodies [59]. Immunological
217 memory is one of the pivotal features of the immune response and is key in resisting repeated pathogen invasion
218 and elimination of malignant cells [60]. NK cells kill target pathogens or cells directly after activation by
219 antibodies. Recent studies have suggested that the activated NK cells play an important role in tumor defense
220 [61]. Together these data support the idea that the reduced numbers of populations of immune cells in the
221 peripheral blood of mice will have an adverse effect on anti-cancer responses.

222 Despite our current understanding of some of the functions of immune cells in cancer response, the
223 mechanism of inhibition of immune cells by breast cancer development in peripheral blood is still elusive. The
224 reduction of leukocyte levels in mouse peripheral blood may be attributed to the suppression from the
225 tumor-recruited immune cells.

226 Regulatory B cell subpopulations produce cytokines and/or immune regulatory ligands such as IL-10,
227 TGF- β and PD-L1 in murine autoimmune models [51, 62]. IL-10 is also produced by monocytes, type 2 T helper
228 cells (Th2), mast cells, regulatory T cells and certain subset of activated T cells [63]. However, the variations of
229 IL-10 level in cancer patient serum compared with healthy controls were not shown a consistent patterns, that is,
230 IL-10 level increases in some patients and decreases in others. IL-10 enhances B cell antibody production,
231 proliferation and survival, and also down regulates the expression of co-stimulatory molecules on macrophages,
232 Th1 cytokines and MHC class II antigens [64]. PD-L1 represses T cell and/or NK cell reactions [65]. TGF- β
233 signaling has been demonstrated to suppress memory T cell development [66] and supports the maintenance of
234 regulatory function and homeostasis in peripheral regulatory T cells [67]. Regulatory T cells are critical to
235 maintain the homeostasis of the immune system via negative regulation of other types of immune cells. Adaptive
236 regulatory T cells can be induced and recruited by cancers [68]. The supernatants from cultured follicular
237 dendritic cells also inhibit human B-lymphocyte proliferation [69]. In the tumor environment, dendritic cells can
238 be transformed into immunosuppressive regulatory dendritic cells [70, 71].

239 The function of immune system depends on the coordination among diverse immune cells. Some of the T
240 cells regulate cellular immunity by helping antigens to stimulate B cells to synthesize and release antibodies [59].
241 Some of the antibodies activate NK cells to destroy target cells and pathogens directly [72]. Our observations
242 have shown that 4T1 breast cancer cell transplantation treatment severely inhibited the immune system in the
243 mouse. Although the 4T1 cell transplantation induced a transient increase of NK cell levels in the peripheral

244 blood, this increase may not be sufficient to inhibit tumor development as helper T cells, cytotoxic T cells, B
245 cells and memory T cells were severely suppressed.

246 In summary, our results show that 4T1 cell transplantation exerts inhibitory effects on the body's immune
247 system. Therefore, further research is required to investigate the mechanisms of 4T1 breast cancer cell
248 transplantation effects on the immune cells in peripheral blood.

249

250 **Conflict of interest:** We declare that we have no financial and personal relationships with other people or
251 organizations that can inappropriately influence the manuscript entitled, "Inhibitory effects of 4T1 breast tumor
252 transplantation on mouse peripheral blood immune cell populations".

253 **Ethical Approval:**

254 "All authors hereby declare that "Principles of laboratory animal care" (NIH publication No. 85-23, revised
255 1985) were followed, as well as specific national laws where applicable. All experiments have been
256 examined and approved by the appropriate ethics committee"

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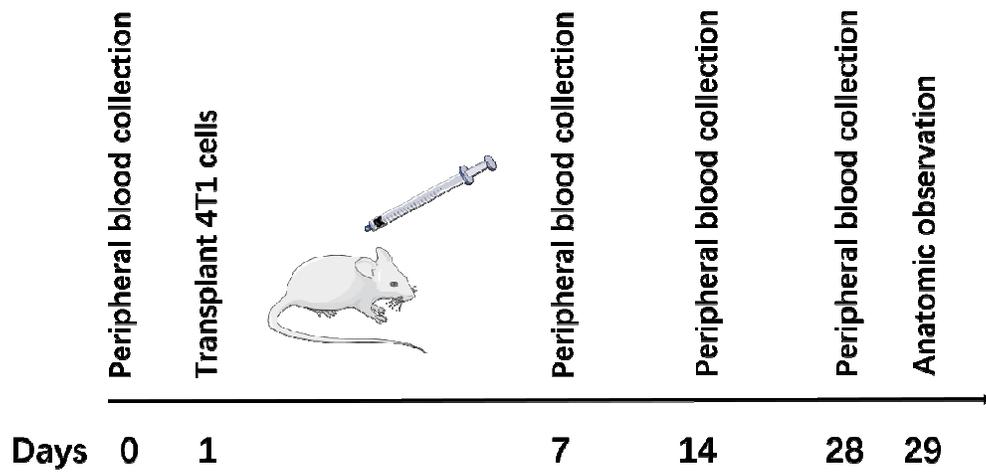
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421 Fig. 1. Experimental strategy for 4T1 cell transplantation and peripheral blood collection

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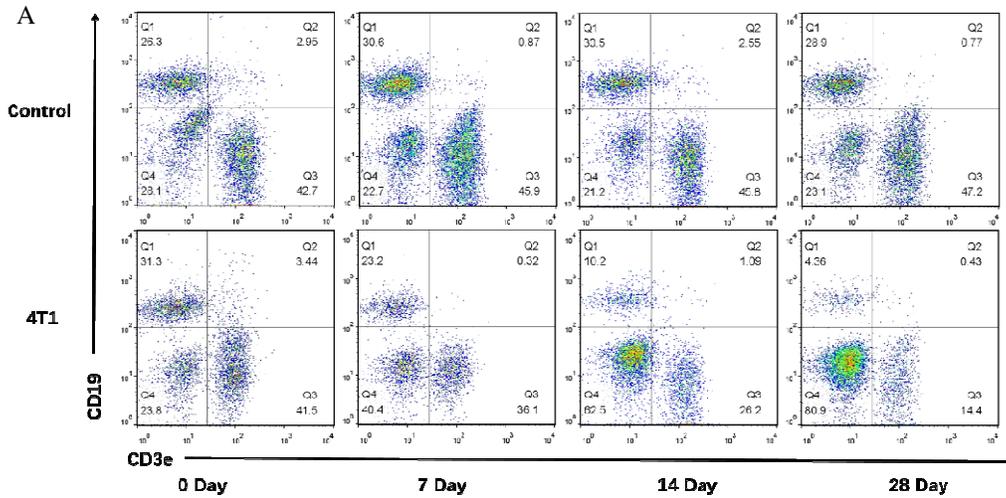
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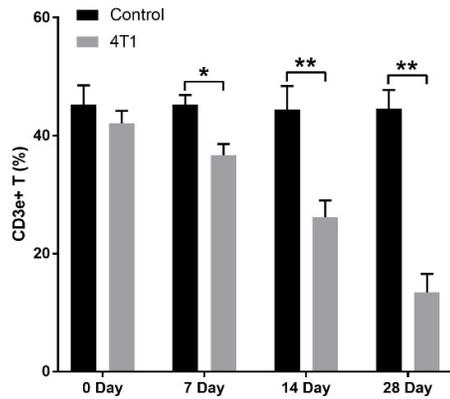
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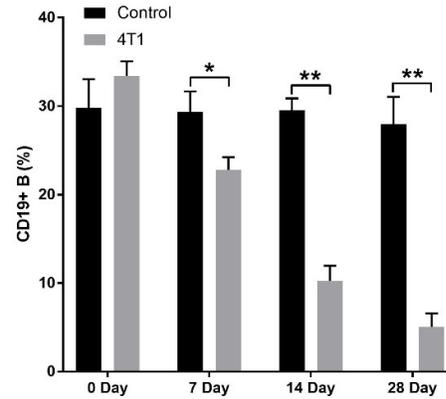
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432 Fig. 2. Effects of 4T1 cell transplantation on total T cells and total B cells in mouse peripheral blood. A.

433 Flow cytometry plots of total T cells and total B cells. B. Comparison of total T cells between the

434 transplantation and control mice. C. Comparison of total B cells between the transplantation and

435 control mice. T cells were labeled by CD3e-FITC and B cells were labeled by CD19-PerCP/Cy5.5. N =

436 10. * $P < 0.05$, ** $P < 0.01$.

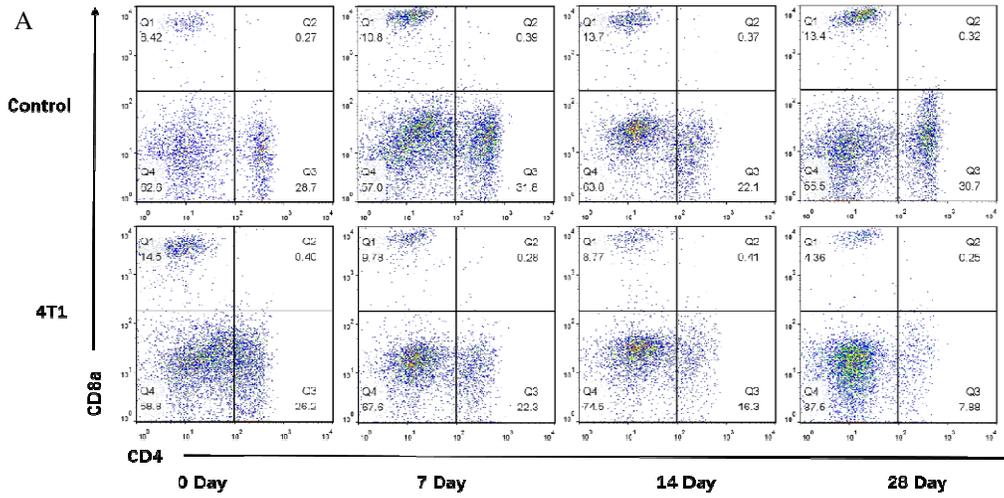
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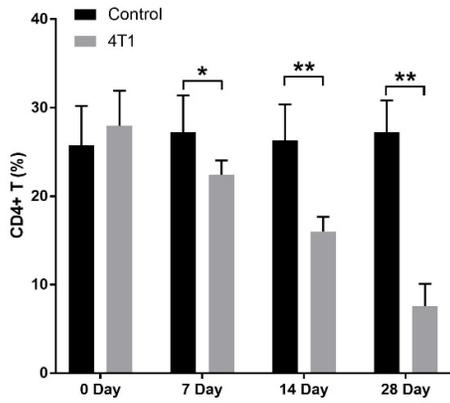
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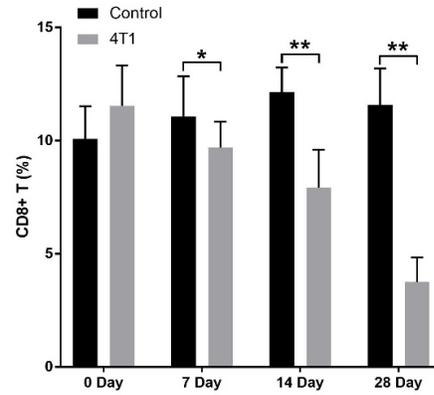
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445 Fig. 3. Effects of 4T1 cell transplantation on helper T cells and cytotoxic T cells in mouse peripheral

446 blood. A. Flow cytometry plots of helper T cells and cytotoxic T cells. B. Comparison of helper T cells

447 between the transplantation and control groups. C. Comparison of cytotoxic T cells between the

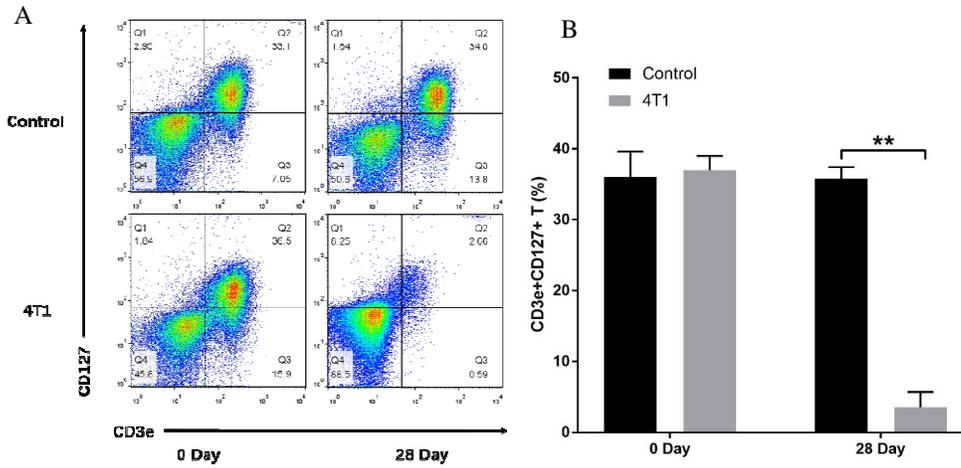
448 transplantation and control groups. T cells were labeled by CD3e-FITC and B cells were labeled by

449 CD19-PerCP/Cy5.5. N = 10. * $P < 0.05$, ** $P < 0.01$.

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454 Fig. 4. Effects of 4T1 cell transplantation on memory T cells in mouse peripheral blood. A. Flow

455 cytometry plots of memory T cells. B. Comparison of memory T cells between the transplantation and

456 control. Memory T cells were labeled by CD127-PE. N = 10. ** $P < 0.01$.

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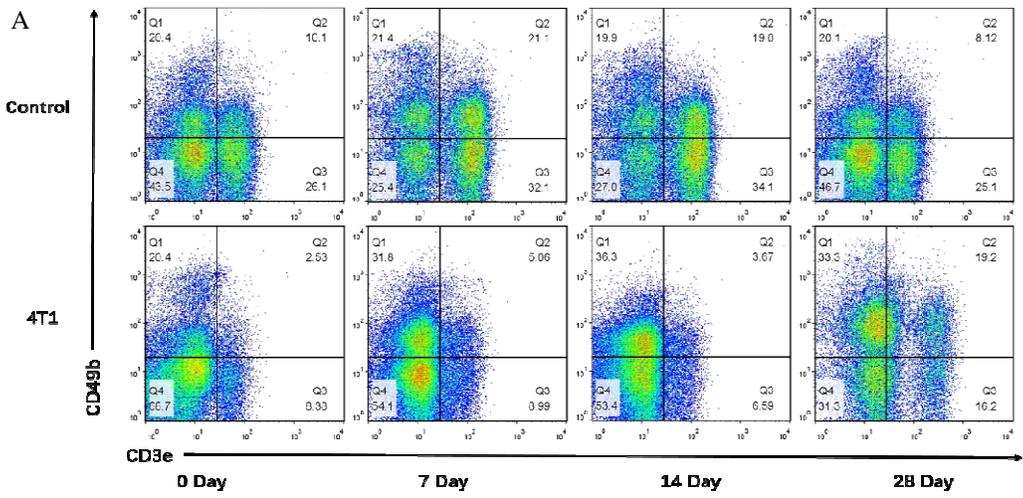
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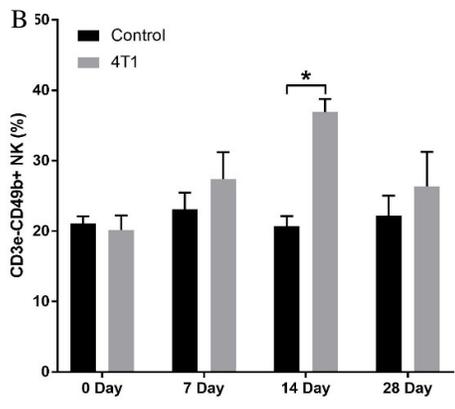
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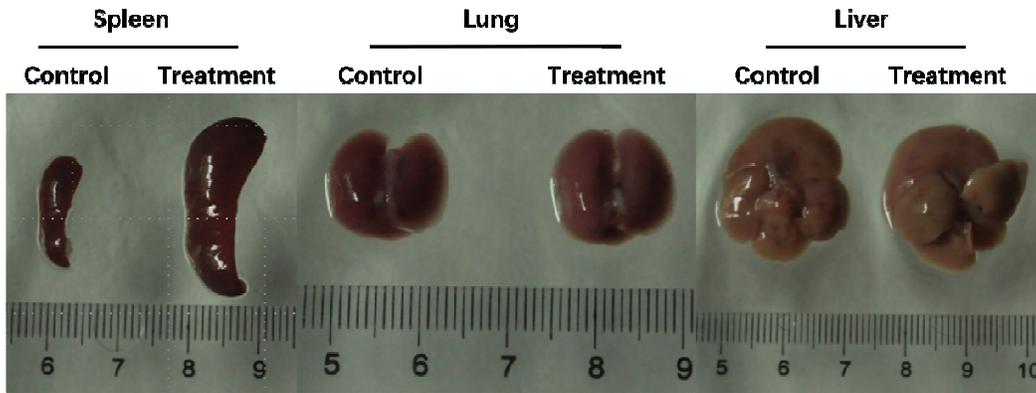
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466 Fig. 5. Effects of 4T1 cell transplantation on NK cells in mouse peripheral blood. A. Flow cytometry
 467 plots of NK cells. B. Comparison of NK cells between the transplantation and control groups. NK cells
 468 were labeled by CD49b-PE. N = 10. *P < 0.05.

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Fig. 6. Effects of 4T1 cell transplantation on mouse visceral organs.

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