### Inhibitory effects of 4T1 breast tumor transplantation on mouse peripheral blood 1 immune cell populations 2 3 4 Abstract 5 Aims: One of serious threats to women's health is mammary cancer whose occurrence, development, and 6 treatment are related to the body's immunological circumstances. In addition, the cancer also imposes the some 7 effects on the body's immune system. However, the body's response is very diverse because it varies from type to 8 type of cancer. This paper reported that the effects of 4T1 cell transplantation on immune cells and spleen in mice. 9 Methodologys: Twenty female BALB/C mice were randomly divided into a control group and transplantation 10 group. 4T1 cells were injected into the forth mammary fat pad to construct an animal model of breast cancer 11 metastasis. The lymphocytes from mouse peripheral blood after transplantation and were analyzed by flow 12 cytometry. 13 **Results:** The transplantation of 4T1 cells rapidly and continuously decreased the percentages of total T cells, total 14 B cells, cytotoxic T cells and helper T cells in peripheral blood during experimental period (28 days). In addition, 15 memory T cells in the transplantation group were increased at 28 days after transplantation. Only the natural killer 16 (NK) cell percentage was significantly increased at 14 days after transplantation. 17 **Conclusions:** 4T1 cell transplantation exerted distinctive effects on the different types of immune cells in mouse 18 peripheral blood: the transplantation of 4T1 cells decreased the levels of total T cells, total B cells, cytotoxic T cells, 19 helper T cells and memory T cells, and the natural killer (NK) cell was increased transiently than in control group.

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21 Key words: T cell; B cell; NK cell; Breast cancer; BALB/C mice.

#### 23 **1. Introduction**

24 Breast cancer remains a serious problem to human health worldwide and is associated with high mortality 25 rates [1]. The poor prognosis of breast cancer patients is generally due to the high rates of recurrence and 26 metastasis of tumors [2]. In addition, the age of onset has gradually become younger, and increasing numbers of 27 younger patients are being diagnosed with breast cancer [3]. Although a large number of studies have helped 28 elucidate the mechanisms of breast cancer development and metastasis, there are still many questions around the 29 clinical treatment and prevention of cancer [4]. Increasing evidence has shown that immune function is critical to 30 restrain the initiation, development and metastasis of cancers [5, 6]. Therefore, improving the body's own 31 anti-cancer defense potential is the most important direction to prevent and treat malignant tumors [7]. However, 32 successful immune therapy and prevention for cancers requires understanding of the relationship between the 33 development of cancers and changes in the immune system.

The immune system is a very complex defense system that protects the body against exogenous pathogens and autologous diseases and consists of multiple types of organs, tissues, cells and biomolecules [8]. Lymphocytes, including B cells, T cells and NK (natural killer) cells [9], are major constituents of this system and carry out multiple functions in the body. T cells directly destroy pathogens and tumor cells and also help antigens to stimulate B cells to produce antibodies [10]. NK cells also function to kill target pathogens and cells directly after activation by antibodies produced by B cells [11]. Thus, the defense of the human body against foreign pathogens requires close synergistic effects among T, B, and NK cells and other immune cells [11-13].

There is a considerable diversity in the type of the immune cells. B lymphocytes are classified into two categories, B1 and B2, according to their functions [14]. T lymphocytes are divided into helper T cells, cytotoxic T cells, regulatory T cells and memory T cells [15]. NK cells consist of activated and anergic cells [15]. Within each of these immune cell types, a number of subsets have been identified and more novel sorts of immune cells are

45 continually being uncovered [16]. This diversity of the immune cells aids in defending the body against the46 infection of various exogenous pathogens and the development of autologous tumors.

The relationship between the immune system and tumor development is extremely complicated. The immune system is capable of recognizing and eradicating sporadic neoplasm cells in the body, but a certain proportion of primary tumor cells still manage to escape from the immune surveillance to develop and metastasize [17]. Tumors can also avoid immune attack by suppressing the body's immune system or recruiting the immune cells [18]. However, the understanding of the mechanisms by which tumors interact with the immune system remains rather limited.

53 For an effective immune response to tumors, the body's immune defense system should be able to 54 discriminatively respond to the different types of tumors and tumor cells, as cell types are diverse within a single 55 type of tumor and tumors also show great diversity [19, 20]. The types of cells within a single tumor vary as 56 cancers develop, and this variation then imposes a continuously changing effect on the immune system [21]. 57 Therefore, each tumor has its specific relationship with the immune system and immune cells show exceptional 58 variation patterns in response to a given cancer. The understanding of the exact effects of specific types of tumor 59 on immune cells will be helpful to study the anti-tumor mechanisms of the immune system and provide valuable 60 references for medical treatments of cancers and health care practice.

In this study, to examine the relationship between the development of breast tumors and the response of immune cells, we used a 4T1 breast cancer cell transplantation mouse model. The processes of growth and metastasis of 4T1 breast cancer cells in mouse are similar to those observed in human breast cancer. After transplantation into BALB/C mice, 4T1 cells form orthotopic breast tumors at the transplantation site and metastasize, spontaneously and rapidly, to lung, liver, lymph nodes and brain [22]. Here we examined B cells, T cells and NK cells from peripheral blood in mice transplanted with 4T1 breast cancer cells to detect changes in the

67 immune system in response to the development of breast tumors.

68

#### 69 **2. Materials and methods**

70 2.1. Animals

BALB/C female mice 4–6 weeks of age were purchased from Liaoning Changsheng Biological Technology
Company in China. Twenty mice were randomly divided into the control group and the 4T1 breast cancer cell
transplantation group, and all mice were kept in SPF conditions. Mice were allowed for a 1-week acclimatization
period at room temperature with a 12 h light/dark cycle before treatment. The animals were fed with normal rodent
chow and allowed free access to drinking water.
2.2. Cell culture and transplantation

77 The mouse breast cancer cell line 4T1 cells were cultured in RPMI 1640 media supplemented with 10% FBS,

1% penicillin/streptomycin in a 5%  $CO_2$  atmosphere at 37°C. We collected 4T1 cells in the logarithmic phase and

mice in the transplantation group were injected with  $2 \times 10^5$  cells into the fourth breast fat pad.

80 2.3. Peripheral blood collection and immune cell isolation

81 Mouse peripheral blood was collected from the mouse facial vein vascular bundle at four time points: day 0, 7,

82 14 and 28 (Fig.1). Lymphocytes were isolated with lymphocyte separation medium (MP Biomedicals, CA, US).

83 2.4. Lymphocyte labeling

The peripheral blood immune cells were washed twice with washing buffer (PBS 0.15 M, 0.5% BSA, 0.1% NaN<sub>3</sub>). The cells were then resuspended in 100 μL washing buffer and incubated with optimized amount of fluorochrome conjugated mAbs for 30 min at 4°C in the dark. The total B cells were labeled by CD19-PerCP/Cy5.5 (Biolegend, San Diago, California, US. Catalog#115533); the total T cells were labeled by CD3e-FITC (Miltenyi Catalog#130-102-496), helper T cells by CD4-FITC (Biolegend Catalog#100405),

89	cytotoxic T cells by	v CD8a-PE	Biolegend	Catalog#100707)	and memory	v T cells by	v CD127-PE	(Biolegend
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- 90 Catalog#135009). NK cells were labeled by CD49b-PE (Miltenyi Catalog#130-108-174). The labeled cells were
- 91 then washed twice with washing buffer and reserved for flow cytometry analysis.
- 92 2.5. Flow cytometry analysis
- 93 Flow cytometry was conducted using a FACSCalibur flow cytometer (BD Biosciences) and the data were
- 94 analyzed with FlowJo software.
- 95 2.6. Anatomic observation
- 96 Mice were sacrificed and dissected to observe visceral organ morphology at day 29 (Fig. 1). The spleen, lung
- 97 and liver were harvested for observation.
- 98 2.7. Statistical analysis
- All data are presented as the mean ± S.E.M. Statistical significance between more than two groups was tested
- 100 using one way ANOVA. P values < 0.05 and < 0.01 were considered statistically significant and extremely
- 101 statistically significant, respectively.

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- 103 **3. Results**
- 104 3.1. Effects of 4T1 cell transplantation on total T cells and total B cells

We examined changes in total T cells and total B cells in mouse peripheral blood in the 4T1 cell transplantation and control mice (Fig. 2) and detected significant variations in both cell populations in the 4T1 cell transplantation mice compared with control mice. At day 7, we observed a significant decrease in the total T cells to  $36.67 \pm 1.91\%$  in mice transplanted with 4T1 cells compared with controls ( $45.27 \pm 1.62\%$ ) (P < 0.05), with further decreases to  $26.2 \pm 2.8\%$  at day 14 compared with controls ( $44.37 \pm 4.05\%$ ) (P < 0.01) and  $13.47 \pm 3.11\%$ at day 28 compared with controls ( $44.57 \pm 3.16\%$ ) (P < 0.01). This result showed that the transplantation of 4T1

111 cells had a rapid inhibitory effect on the total T cell level in mouse peripheral blood.

On examining the total B cells in peripheral blood, a very significant decline (P < 0.01) was observed at day 7 in the 4T1 cell transplantation group compared with controls ( $22.8 \pm 1.44\%$  versus  $29.37 \pm 2.3\%$ , respectively). The total B cells further decreased to  $10.27 \pm 1.7\%$  at day 14 compared with controls ( $29.57 \pm 1.32\%$ ) (P < 0.01). At day 28, the total B cells in the 4T1 cell transplantation group were still significantly lower than that in control mice ( $5.05 \pm 1.52\%$  versus  $27.93 \pm 3.11\%$ , respectively) (P < 0.01). These results showed that the transplantation of 4T1 cells had a strong inhibitory effect on the total B cell level in mouse peripheral blood.

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#### 119 3.2. Effects of 4T1 cell transplantation on helper T cells and cytotoxic T cells

120 We also examined the helper T cells and cytotoxic T cells in mouse peripheral blood in the 4T1 cell 121 transplantation and control groups (Fig. 3). On examining helper T cells, a significant decrease was detected in the 122 4T1 cell transplantation group at day 7 compared with the control ( $22.4 \pm 1.65\%$  vs.  $27.2 \pm 4.19\%$ , respectively) (P 123 < 0.05). The helper T cells in the 4T1 cell transplantation group further decreased to  $16 \pm 1.67\%$  at day 14, which 124 was a very significant difference (P < 0.01) compared with the control ( $26.33 \pm 4.04\%$ ). At day 28, the helper T 125 cells in the 4T1 cell transplantation further decreased to  $7.6 \pm 2.51\%$ , which also was very significantly different 126 compared with the control  $(27.2 \pm 3.62\%)$  (P < 0.01). This result showed that the transplantation of 4T1 cells had a 127 strong inhibition effect on the helper T cell level in mouse peripheral blood.

# We also detected a significant alteration in the cytotoxic T cell population after transplanting 4T1 cells. At day 7, we observed a remarkable decrease in cytotoxic T cells in the 4T1 cell transplantation group compared with the control (9.69 $\pm$ 1.15% and 11.07 $\pm$ 1.78%, respectively) (*P* < 0.05). At day 14, the cytotoxic T cells in the 4T1 cell transplantation group continued to decrease compared with controls (7.92 $\pm$ 1.67% and 12.13 $\pm$ 1.1%, respectively) (*P* < 0.01). At day 28, the cytotoxic T cells in the 4T1 cell transplantation group were even more significantly

lower than that in controls  $(3.75 \pm 1.09\%$  and  $11.57 \pm 1.63\%)$  (*P* < 0.01). This result showed that the transplantation of 4T1 breast cancer cells had an inhibitory effect on the cytotoxic T cell level in mouse peripheral blood.

136

137 3.3. Effects of 4T1 cell transplantation on memory T cells

To explore whether transplantation of 4T1 cells has a long-lasting effect on the immune system, we examined memory T cells by monitoring the surface marker CD127 with flow cytometry. As shown in Fig. 4, at day 28, the level of memory T cells in the 4T1 cell transplantation group was  $3.55 \pm 2.14\%$ , which was extremely significantly reduced compared with the control ( $35.77 \pm 1.66\%$ ) (P < 0.01). This result suggested that the transplantation of 4T1 cells could suppress memory T cells and exert a long-lasting inhibition effect on the immune system in mice.

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#### 144 3.4. Effects of 4T1 cell transplantation on NK cells

145 Previous reports showed that after activation by antibodies, NK cells can directly kill invasive pathogens and 146 internal tumor cells [23], and thus the numbers of NK cells in the peripheral blood might be an indicator of 147 anti-inflammation and anti-cancer activities. As shown in Fig. 5, we did not detect a significant change between 148 the treatment and control groups after 4T1 cell transplantation at day 7. However, at day 14, the NK cells increased 149 to  $36.93 \pm 1.83\%$  in the 4T1 cell transplantation group, which was significantly higher compared with controls 150  $(20.67 \pm 1.46\%)$  (P < 0.01). At day 28, the NK cells in the 4T1 cell transplantation group fell back to 26.33 ± 151 4.93%, which was similar to that detected in the control ( $22.23 \pm 2.81\%$ ). This result showed that the 152 transplantation of 4T1 cells had a lagged and transient promotion effect on the NK cell level in mouse peripheral 153 blood.

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To observe whether transplantation of 4T1 breast cancer cells has a direct influence on the mouse visceral organs, we sacrificed and dissected mice on day 29. As shown in Fig. 6, the spleen in the 4T1 cell transplantation group was larger than that of control mice. However, we did not observe any significant difference in lung and liver between the transplantation and control mice. This result showed that the transplantation of 4T1 cells had an effect on the spleen development in mouse.

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#### 162 **4. Discussions and Conclusions**

163 Our investigation showed that the transplantation of 4T1 cells in mice remarkably reduced the amount of B 164 cells and T cells, including cytotoxic T cells, helper T cells and memory T cells, in peripheral blood and induced 165 NK cells to transiently increase and then decrease. These results indicate that B cells in the peripheral blood of 166 mice were vulnerable and susceptible to 4T1 breast cancer development. In other words, the development of breast 167 cancer can strongly inhibit the proliferation of immune cells or destroy immune cells. Although increasing 168 evidence suggests that tumor-infiltrating leukocytes may promote angiogenesis, growth and invasion of the tumors 169 [24, 25], the decline of leukocyte levels in the peripheral blood likely has an adverse effect on the body's defense 170 against solid tumors, as the vascular system is an important pathway for leukocyte transport and a pivotal defense 171 line to block solid tumor metastasis through vascular system.

The function of B cells is to carry out humoral immunity *in vivo* [26]. After stimulation by antigens, B lymphocytes differentiate and proliferate into plasmocytes, which synthesize and release antibodies to defend the body against the infection of various pathogens [27]. The mice, in which the effector subset of B cells was deficient or depleted, displayed a slower tumor growth compared with control mice [25, 28-30], however, in our experiment, B cell level was decreased in peripheral blood of 4T1 transplantation mice. This suggests that the decrease of B cell

177 level is adverse to the defense of body to cancer. The effector subset of B cells was also shown to directly kill 178 cancer cells via the Fas/FasL pathway [31]. A higher density of cancer-filtrating CD20+ B cells significantly 179 correlated with an improved overall survival in colorectal cancer patients [32]. Moreover, B cells provide 180 costimulatory signals and serve as antigen-presenting cells to activate T cells, contributing to cellular immunity 181 [10]. Antigen-presenting B cells were shown to activate tumor-specific T cell cytotoxicity [33] and stimulate NK 182 cells [28].

183 The function of T cells is to implement cellular immunity in the body [8]. Cellular immunity is critical in 184 preventing diseases such as HIV and in targeting pre-cancerous and cancerous cells [34]. After initial stimulation 185 by antigens, T lymphocytes differentiate and proliferate into effector T cells and memory T cells, and the effector T 186 cells then destroy target cells upon secondary exposure to the same antigen [35]. cytotoxic T cells belong to the 187 effector T cells. Helper T cells not only participate in cellular immunity, but are also involved in humoral immunity 188 by assisting antigens to stimulate B cells to synthesize and release antibodies [36]. Immunological memory is one 189 of the pivotal features of the immune response and is key in resisting repeated pathogen invasion and elimination 190 of malignant cells [37]. NK cells kill target pathogens or cells directly after activation by antibodies. Recent studies 191 have suggested that the activated NK cells play an important role in tumor defense [38]. Together these data 192 support the idea that the reduced numbers of populations of immune cells in the peripheral blood of mice will have 193 an adverse effect on anti-cancer responses.

Despite our current understanding of some of the functions of immune cells in cancer response, the mechanism of inhibition of immune cells by breast cancer development in peripheral blood is still elusive. The reduction of leukocyte levels in mouse peripheral blood may be attributed to the suppression from the tumor-recruited immune cells.

198 Regulatory B cell subpopulations produce cytokines and/or immune regulatory ligands such as IL-10, TGF-β

199 and PD-L1 in murine autoimmune models [28, 39]. IL-10 is also produced by monocytes, type 2 T helper cells 200 (Th2), mast cells, regulatory T cells and certain subset of activated T cells [40]. However, the variations of IL-10 201 level in cancer patient serum compared with healthy controls were not shown a consistent patterns, that is, IL-10 202 level increases in some patients and decreases in others. IL-10 enhances B cell antibody production, proliferation 203 and survival, and also downregulates the expression of co-stimulatory molecules on macrophages, Th1 cytokines 204 and MHC class II antigens [41]. PD-L1 represses T cell and/or NK cell reactions [42]. TGF-β signaling has been 205 demonstrated to suppress memory T cell development [43] and supports the maintenance of regulatory function 206 and homeostasis in peripheral regulatory T cells [44]. Regulatory T cells are critical to maintain the homeostasis of 207 the immune system via negative regulation of other types of immune cells. Adaptive regulatory T cells can be 208 induced and recruited by cancers [45]. The supernatants from cultured follicular dendritic cells also inhibit human 209 B-lymphocyte proliferation [46]. In the tumor environment, dendritic cells can be transformed into 210 immunosuppressive regulatory dendritic cells [47, 48].

The function of immune system depends on the coordination among diverse immune cells. Some of the T cells regulate cellular immunity by helping antigens to stimulate B cells to synthesize and release antibodies [36]. Some of the antibodies activate NK cells to destroy target cells and pathogens directly [49]. However, our observations have shown that 4T1 breast cancer cell transplantation treatment severely inhibited the immune system in the mouse. Although the 4T1 cell transplantation induced a transient increase of NK cell levels in the peripheral blood, this increase may not be sufficient to inhibit tumor development as helper T cells, cytotoxic T cells, B cells and memory T cells were severely suppressed.

In summary, our results show that 4T1 cell transplantation exerts inhibitory effects on the body's immune system. Therefore, further research is required to investigate the mechanisms of 4T1 breast cancer cell transplantation effects on the immune cells in peripheral blood.

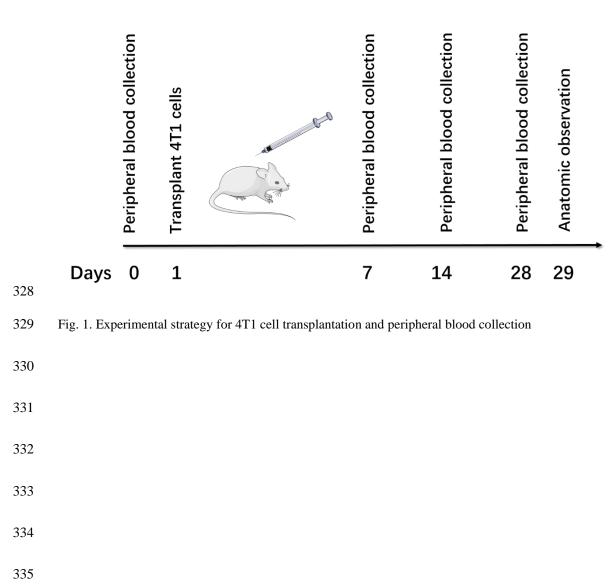
221	Conflict of interest: We declare that we have no financial and personal relationships with other people or
222	organizations that can inappropriately influence the manuscript entitled, "Inhibitory effects of 4T1 breast tumor
223	transplantation on mouse peripheral blood immune cell populations".
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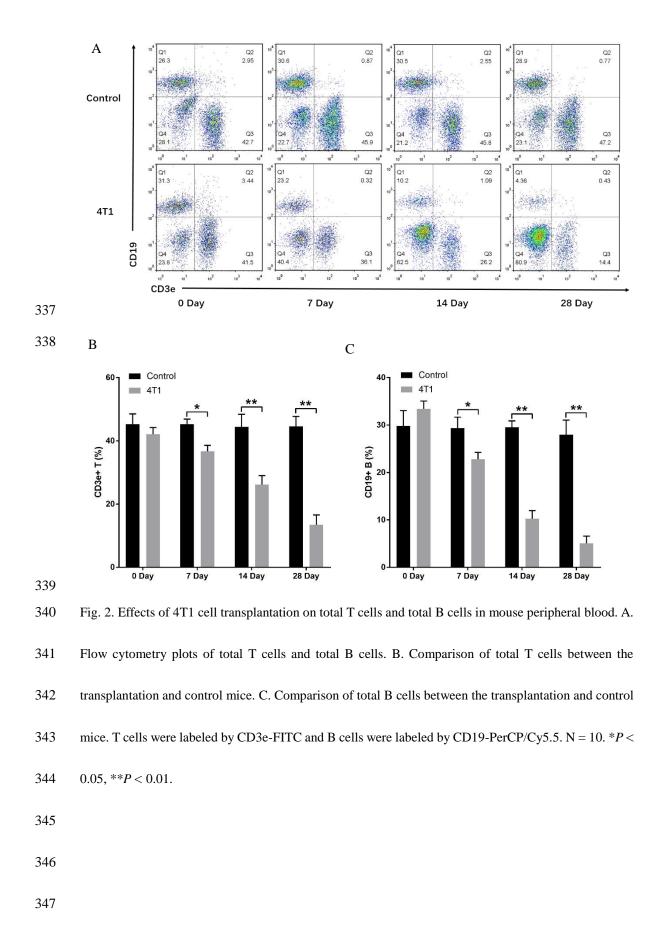
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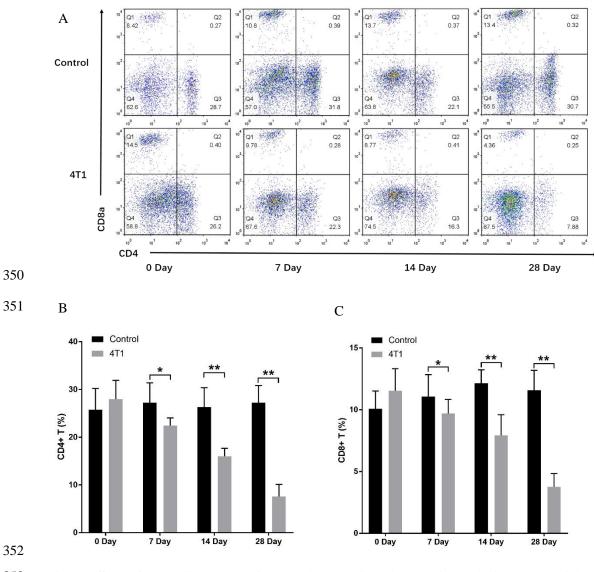
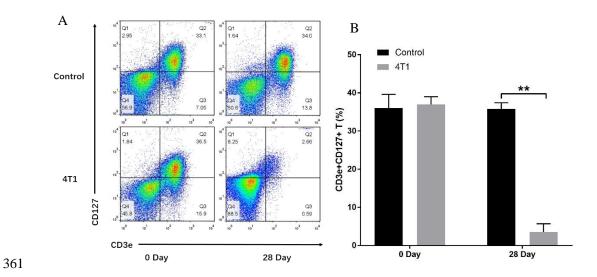


Fig. 3. Effects of 4T1 cell transplantation on helper T cells and cytotoxic T cells in mouse peripheral blood. A. Flow cytometry plots of helper T cells and cytotoxic T cells. B. Comparison of helper T cells between the transplantation and control groups. C. Comparison of cytotoxic T cells between the transplantation and control groups. T cells were labeled by CD3e-FITC and B cells were labeled by CD19-PerCP/Cy5.5. N = 10. \*P < 0.05, \*\*P < 0.01.

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362 Fig. 4. Effects of 4T1 cell transplantation on memory T cells in mouse peripheral blood. A. Flow

363 cytometry plots of memory T cells. B. Comparison of memory T cells between the transplantation and

364 control. Memory T cells were labeled by CD127-PE. N = 10. \*\*P < 0.01.

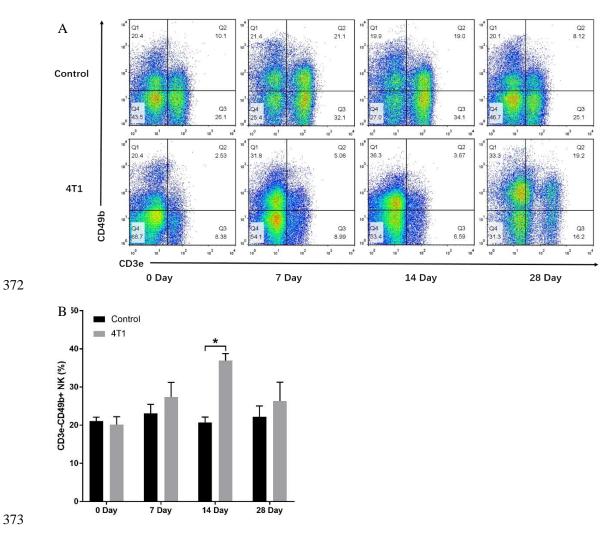
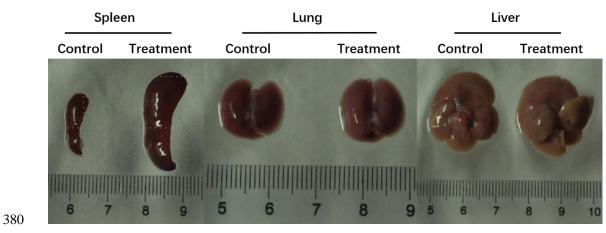


Fig. 5. Effects of 4T1 cell transplantation on NK cells in mouse peripheral blood. A. Flow cytometry

375 plots of NK cells. B. Comparison of NK cells between the transplantation and control groups. NK cells

- 376 were labeled by CD49b-PE. N = 10. \*P < 0.05.
- 377
- 378
- 379



381

Fig. 6. Effects of 4T1 cell transplantation on mouse visceral organs.