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4 Morphological and optical characteristics of ZnO and F:
5 ZnO thin films by a sol-gel spin coating technique

6 **ABSTRACT**

The increase in the application of transparent conducting oxides (TCOs) thin films has led to a continuous demand for improved quality films for solid-state devices. Indium tin oxide (ITO) which is mostly used as TCO is reportedly scarce, thus making it more expensive. This paper investigates physical characteristics and effect of temperature on sol-gel synthesized zinc oxide (ZnO) and fluorine doped zinc oxide (F: ZnO). Samples of ZnO and F: ZnO thin films were prepared by sol-gel spin coating technique using zinc acetate dehydrate as a precursor, methanol as solvent, monoethanolamine as a stabilizer and ammonium fluoride as a dopant at a deposition speed of 4000 r.p.m for 30 seconds and later annealed at temperatures ranged from 100 °C to 400 °C. The morphological and optical characteristics of prepared thin films were characterized using Scanning Electron Microscopy (SEM) and UV- Visible Spectroscopy respectively. The SEM images of the films showed good homogeneity with distinct grain size ranged from 10.31 to 11.97 nm for ZnO and 7.90 to 17.53 nm for F: ZnO. The average **optical transmission in the range** (300-1100 nm) for ZnO and F: ZnO thin films were greater than 80% and 70% respectively. The energy band gap increased with temperature ranged from 3.80 to 4.05 eV for ZnO and 3.76 to 3.90 eV for F: ZnO. There is a change in physical characteristics of ZnO and F: ZnO as the temperature varies. The obtained results from this study make ZnO and F: ZnO thin films suitable for solar cell and optoelectronic applications.

7 *Keywords: Zinc oxide, F: ZnO, TCO, sol-gel, spin coating, annealing temperature, optical properties*

8 **1. INTRODUCTION**

9 ZnO films are n-type wide-gap semiconductors with optical transparency in the visible region and
10 several researchers have studied it extensively due to its interesting physical and chemical properties.
11 Zinc oxide (ZnO) a class of materials called transparent conducting oxide which is relatively less

12 expensive, abundant in nature and non-toxic compared to other TCO such as indium oxide, cadmium
13 oxide and Tin oxide e.t.c.

14 ZnO is an II-VI group compound semiconductor with wide-gap semiconductors (3.37eV) and a large
15 excitonic binding energy of 60meV [1]. It is used in UV-light emitting diodes, transparent electrodes,
16 window layers, photovoltaic cells, varistors and also in the fabrication of hydrogenated amorphous
17 silicon solar cell with better stability in hydrogen plasma when compared with that of indium oxide [2].

18 The properties of ZnO films can be further improved by doping and annealing. Doping with halogen
19 (fluorine) a group VII element asides from the commonly group III elements such as gallium, boron
20 and aluminium, fluorine act as dopants in ZnO thin films by anion substitution replacing the oxygen [3]
21 and does not introduce significant perturbation into the conduction band due to the size compatibility
22 of the oxygen and fluorine atoms.

23 However, there are numerous ZnO thin films and doped ZnO thin films deposition methods such as
24 Sol-gel spin coating, sputtering, chemical vapour deposition, spray pyrolysis, and pulse laser
25 deposition [4, 5, 6, 7, 8] but the well-known sol-gel spin coating process is relatively easy, cheap and
26 not energy intensive.

27 In this work, results of the properties of annealed ZnO and F:ZnO deposited by the spin coating
28 process are presented. The observed changes in the structural and optical characterization are
29 shown as the functions of temperatures.

30 **2. MATERIALS AND METHODS**

31 **2.1 PREPARATION OF SOLUTION**

32 All reagents used were analytically grade. ZnO was synthesized from Zinc acetate dehydrate [Zn
33 $(\text{CH}_3\text{COO})_2 \cdot 2\text{H}_2\text{O}$] and fluorine was synthesized from ammonium fluoride (NH_4F). 121.5 g of Zinc
34 acetate dehydrate was weighed and dissolved in 250 ml of methanol which serves as solvents. The
35 solution was refluxed with a magnetic stirrer at 60°C for 2 hours to yield homogeneous solution in a
36 balloon flask which was tightly sealed.

37 121.5 g of zinc acetate dihydrate was weighed into a beaker afterwards 2 g of ammonium fluoride
38 was added in which the ammonium fluoride serves as the dopants. The reaction was carried out with
39 the use of methanol as solvent and monoethanolamine ($\text{C}_2\text{H}_7\text{NO}$, MEA) as a stabilizer. The solution
40 was refluxed with a magnetic stirrer at 80°C for 3 hours to yield homogeneous solution in a balloon
41 flask which was tightly sealed. The two different solutions (ZnO and F:ZnO) were prepared and

42 deposited on glass substrates. The same concentration of solutions was prepared for ZnO and F:ZnO
43 but were annealed at different temperatures from 100°C to 400°C after been deposited on a glass
44 slides by a spin coater. The combination procedure that resulted into different solution is shown below

45 **Table 1: Solution preparation and chemical combination**

Sample	ZnO & F:ZnO Concentration (M)	Solvent (Methanol & monoethanolamine) (ml)	Mass of dopant (g)	Mass of zinc precursor (g)
ZnO	1.5	250	0	82.31
F:ZnO	1.5	250	2	82.31

46 **2.2 Substrate Cleaning**

47 The glass slides were clean with cotton wool, cleaning soap and distilled water, the slides were
48 checked under the microscope to check if there were still more dirt and later clean with distilled water
49 to remove the remaining dirt. The glass slides were soaked in ethanol for 30 minutes; this was done to
50 remove all organic particles and solutions droplets. Finally, the glass slides were put in an
51 ultrasonicator at 69°C for 5 minutes.

52 **2.3 Spin coating**

53 The spin coater used was a model Ws – 400BZ – 6NPP/AS and the process was carried out under a
54 stream of compressed air. An amount of 1ml of the dispersed solution was drawn into a syringe and
55 dropped gently on the surface of the glass substrate repeatedly for five times, the solution was
56 deposited on the whole surface of the glass substrate so as to have the thin film covering the total
57 surface. The solution was dropped slowly and evenly on the surface of the glass substrate before the
58 start of spinning.

59 The spin coater was set to rotate first at low speed to spread the liquid and then gradually increase
60 speed. The spin coater was set at a speed of 4000 rpm for 30 seconds. The deposited glass
61 substrates where sintered at 250°C for 5 minutes to evaporate the solvent and cooled to room
62 temperature for both ZnO and F: ZnO. This procedure was repeated 5 times to obtain the intended
63 film thickness and quality and w cooled to room temperature and finally were annealed at 100 °C to
64 400 °C for 30 minutes.

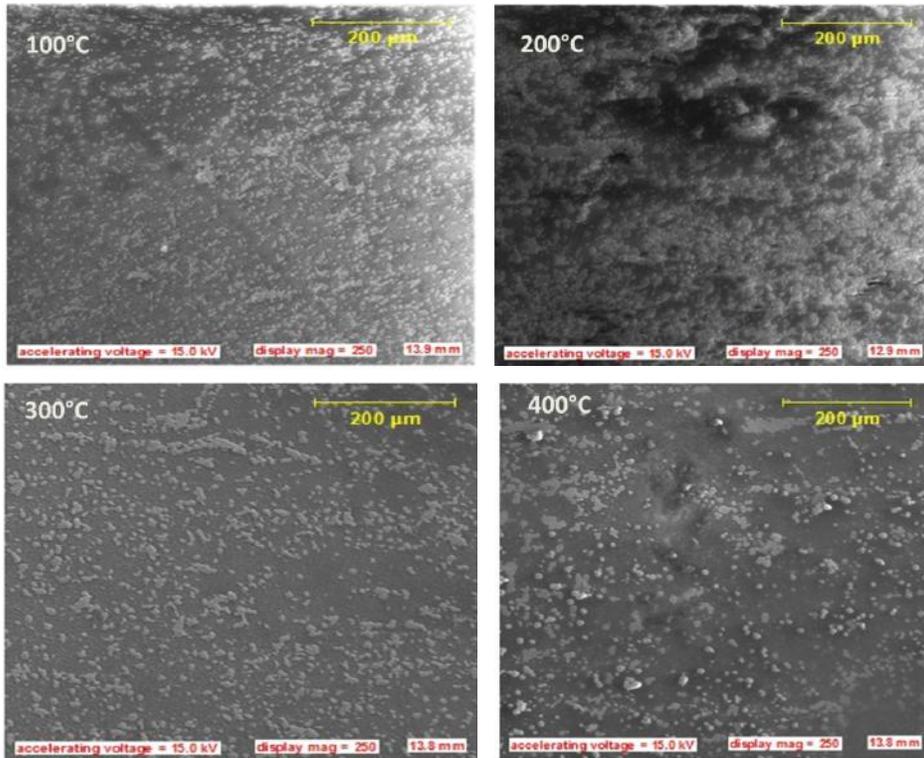
65 **2.4 Characterization**

66 The optical properties of the thin films were carried out by using UV spectrophotometer (Model-
67 AvaSpec-204B) in the wavelength 300 to 1000nm. Micro-structural analysis of the films was carried
68 out by obtaining the SEM micrographs from the scanning electron microscope (Model ASPEX 3020).

69 **3. RESULTS AND DISCUSSION**

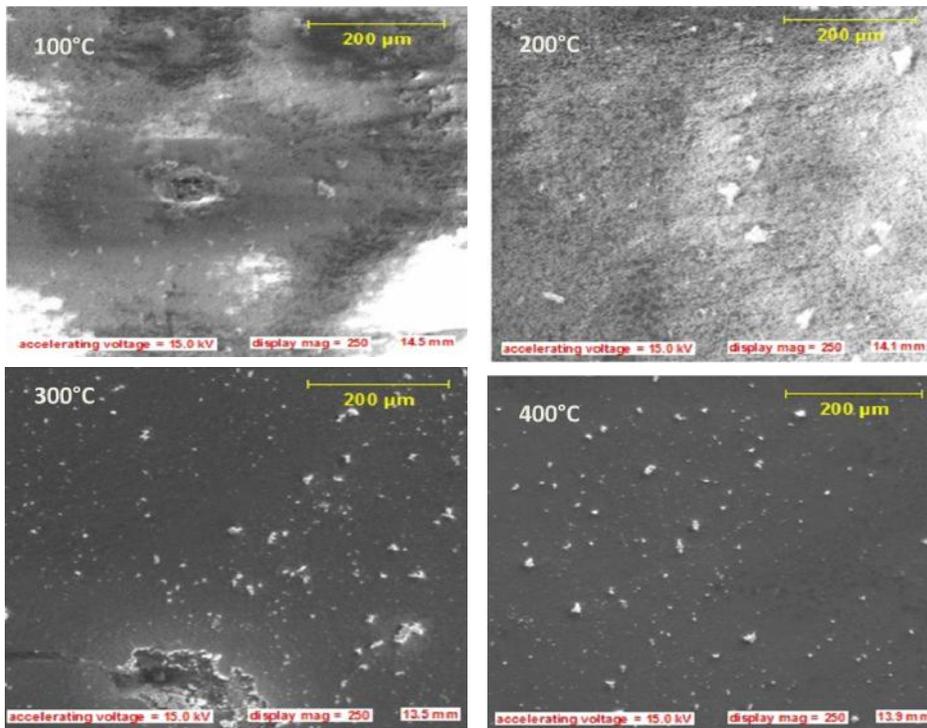
70 Figure 1 shows the SEM micrographs of the film annealed at 100°C, 200°C, 300°C and 400°C
71 respectively. For undoped ZnO thin films at annealed temperatures of 100°C and 200°C the films
72 were well and evenly distributed across the substrate with more pores while thin films at temperatures
73 of 300°C and 400°C were also evenly distributed with fewer pores. There was an indication that
74 temperature increases the crystallinity of the films. This was due to the fact that increase in
75 temperature increases the crystallinity of the thin film.

76 Figure 2 shows the micrographs of fluorine doped ZnO thin films for 100°C and 200°C, the films were
77 well dispersed and less porous while 300°C and 400°C were dispersed and uniform across the
78 substrate with distinct grains. Further examination of the micrographs showed that the grain size and
79 densification of the particles increases with increase in temperature but the grain size for doped ZnO
80 films was found to be more pronounced. The increase in the grain size of doped ZnO shows low
81 lattice mismatch of Zn²⁺ and F⁻ as the ionic radius of F⁻ is 0.77 Å while that of Zn²⁺ is 0.74 Å. This
82 shows that fluorine is an adequate anion doping candidate due to lower lattice distortion compared to
83 Al, Ga or In [9].



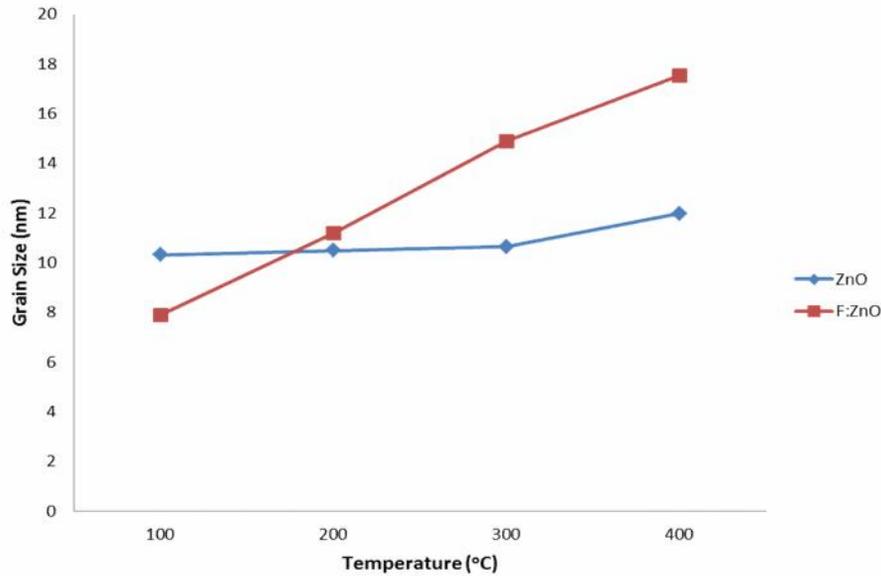
84

85 Fig. 1: SEM micrographs of undoped ZnO thin films for 100°C to 400°C temperature



86

87 Fig. 2: SEM micrographs of fluorine doped ZnO thin films for 100 °C to 400 °C temperature



88

89 **Fig. 3: Grain size for undoped and fluorine doped ZnO thin films**

90 The variations of grain size of both undoped and doped ZnO films were shown in figure 3. It was
 91 observed that as the temperature increases the grain size of the films also increases. In figure 4, the
 92 result of 400 °C ZnO shows a higher transmittance of 85 % in the visible region which decreases with
 93 a decrease in temperature to about 50 % for 100 °C deposition. This implies that generally there is an
 94 increase of transmittance with an increase in annealing temperature.

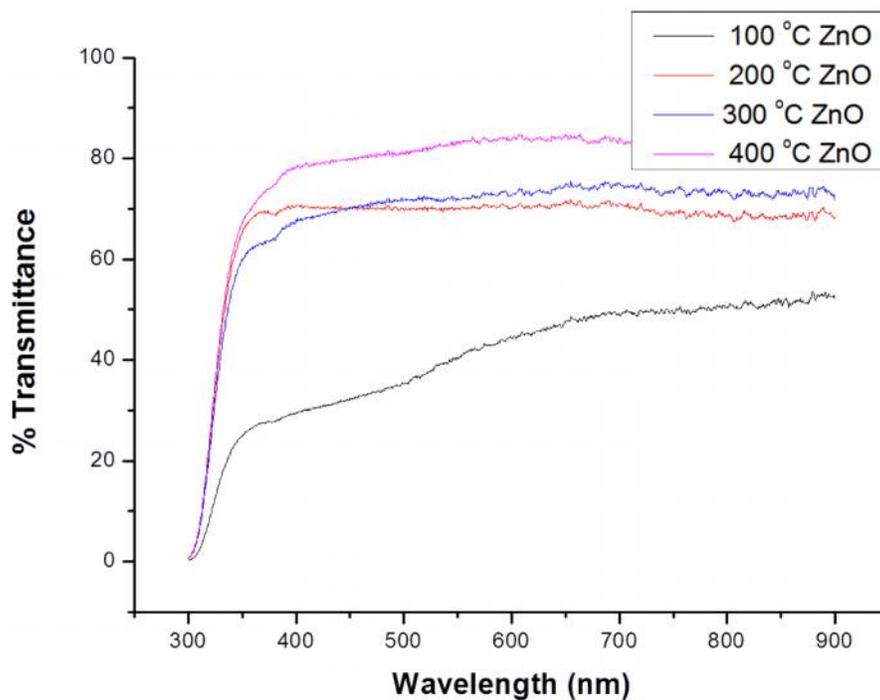
95 The optical transmittance T was calculated from the following relation

96
$$A = \log_{10} \frac{I_t}{I_0} = \log_{10} T \quad (1)$$

97 where A (absorbance) is the logarithm to base 10 of the transmittance

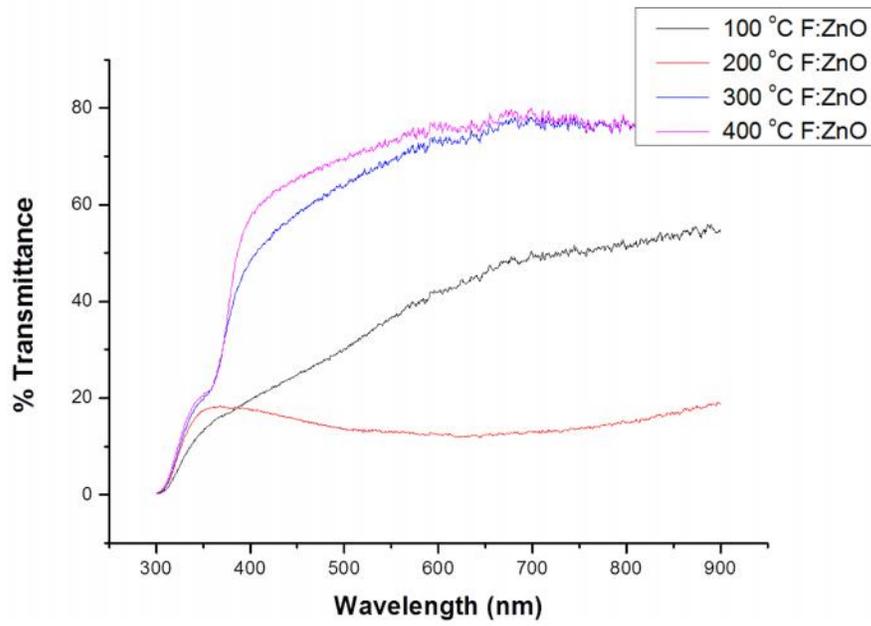
98 Figure 5 shows a higher transmittance of 75% in the visible region and decreases gradually to about
 99 55% for 100°C. The decrease in transmittance may occur due to the increase of the particle size
 100 because of the progression of F in the ZnO thin films except for 200 °C deposition which gave lower
 101 transmittance to 100°C. The observed trend for 200°C spectrum is a result of the lower precursor.
 102 Comparing the undoped and fluorine doped thin films, all films were highly transparent in the visible
 103 region of the electromagnetic spectrum and this increases with increase in temperature. The doped
 104 films have a reduced transmittance in the visible region compared with the undoped films which was
 105 due to the dopant. This is consistent with the fact that fluorine concentration leads to intensity
 106 reduction in the visible region [9]. This suggest that the films have good optical quality due to low
 107 scattering or absorption losses making it suitable for optoelectronic applications.

108 Figure 6 shows the reflectance spectra of ZnO thin films. Low reflectivity of about 20% was shown in
109 the UV region for 400 °C deposition which reduces to about 7 % for 100 °C deposition while in the
110 visible region a higher reflectivity of 32 % for 400 °C deposition reduces to 12 % for 100 °C deposition.
111 This generally low reflectivity is correlated to the fact that the films have highly absorbing property.
112 The reflectance spectra of F: ZnO thin films are presented in Figure 7. It was observed that the
113 reflectivity of F: ZnO for 100 °C– 400 °C deposition were very low in both UV and Visible region and
114 this decreases rapidly with decrease in temperature and increasing wavelengths.
115 The optical band gap of all the films w calculated using the transmittance data obtained in the region
116 between 300 nm to 1100 nm.



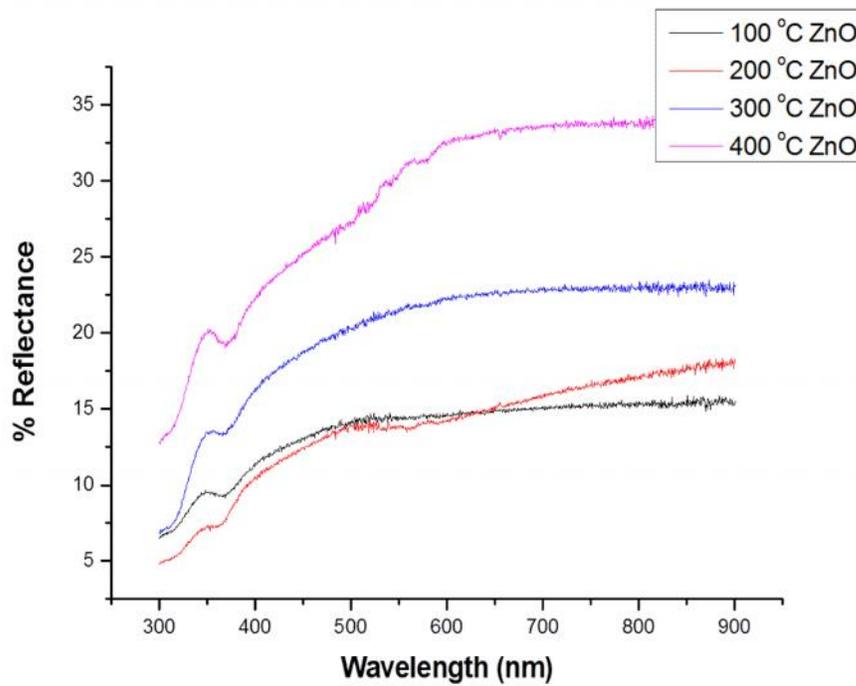
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118 **Figure 4: Transmittance Spectra of 100 °C – 400 °C undoped ZnO thin films**



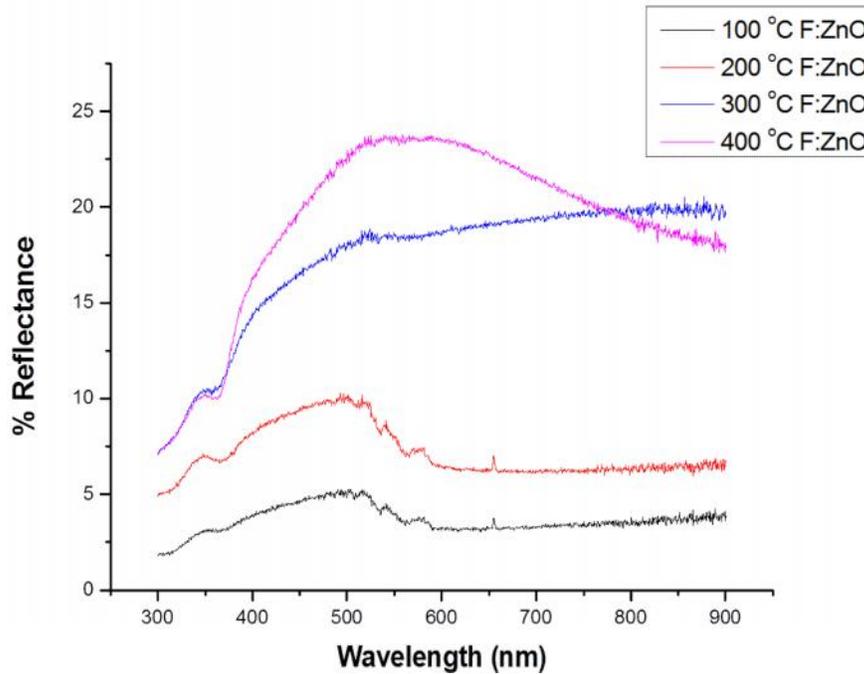
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120 **Fig. 5: Transmittance Spectra of 100 °C – 400 °C fluorine doped ZnO thin films**



121

122 **Fig. 6: Reflectance spectra of 100 °C – 400 °C undoped ZnO thin film**



123

124 **Fig. 7: Reflectance spectra of 100 °C – 400 °C Fluorine doped ZnO thin films**

125 The energy band gap (E_g) is related to the absorption coefficient (α) and can be calculated using
 126 equation 2.

$$127 \quad (\alpha h\nu) = A(h\nu - E_g)^n \quad (2)$$

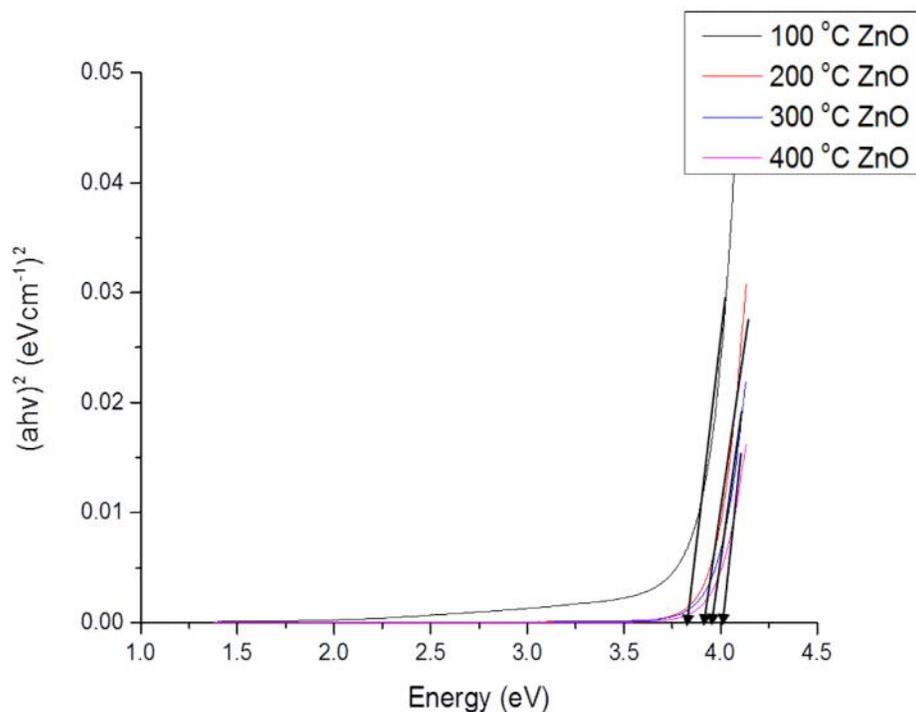
128 Where "A" is a constant, " E_g " is the energy band gap corresponding to a particular transition occurring
 129 in the film, " ν " is the transition frequency, "h" is the Planks constant and "n" is the characteristic
 130 nature of the band transition [10]. We determine the energy band gap by plotting $(\alpha h\nu)^{\frac{1}{n}}$ against $h\nu$
 131 whose intercept on the energy axis gives the energy band gap E_g as shown in Figures 8 and 9.

132 From Figure 8, it was observed that a blue shift occurs as the temperature increases from 100 °C to
 133 400 °C, E_g was found to be 3.80 eV, 3.90 eV, 3.95 eV and 4.0 eV respectively. 100 °C to 400 °C F:
 134 ZnO thin films as shown in Figure 9, reveals the increase in band gap energy by increasing the
 135 temperature. E_g was found to be 3.76 eV, 3.80 eV, 3.82 eV and 3.90 eV respectively. This observed
 136 trend suggests an increase in improved crystal formation due to increase in temperature.

137 However, comparing undoped ZnO thin films and fluorine doped ZnO thin films, a red shift was
 138 observed. The redshift result shows the fact that fluorine atoms are replacing the Zn atoms or
 139 competition with Zn atoms sites. This observed trend also suggest an increase in carrier generation,
 140 which is increased with the addition of fluorine atoms. Hence, the band gap of ZnO can be tailored

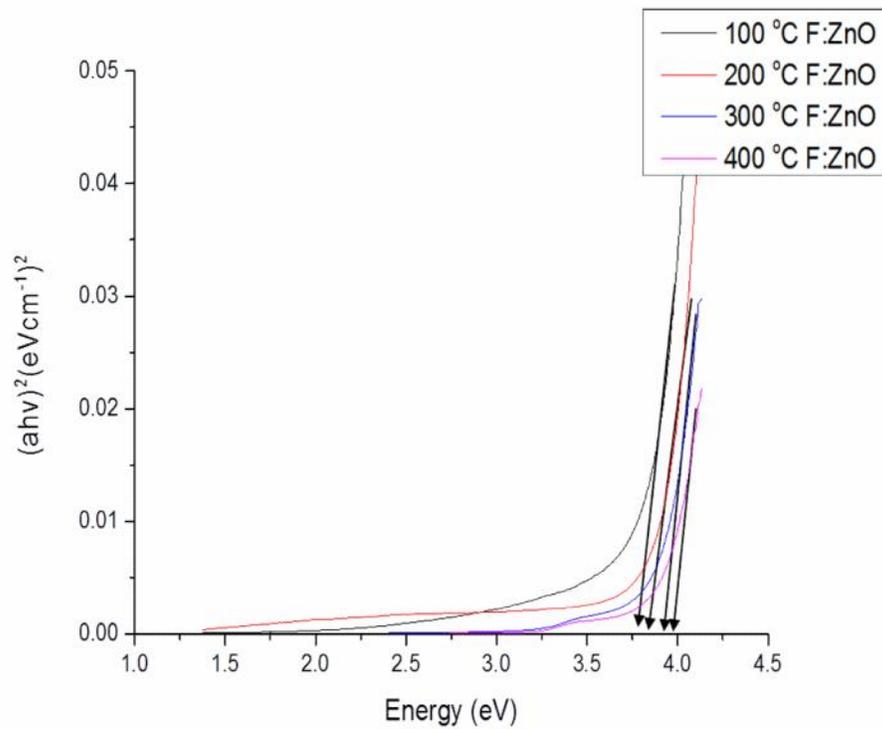
141 with the addition of fluorine and varying temperature for engineering applications. Similar trend ha
142 been reported by [11] for Al: ZnO thin films.

143 The refractive index spectra for undoped ZnO thin films at a temperature range of 100°C- 400°C is
144 shown in Figure 10. The results show an increase in the refractive index from 100°C deposition to a
145 400°C deposition temperature in both UV and visible regions. The values of the refractive index are
146 2.2, 2.4, 2.8 and 3.75 respectively. The refractive index spectral for fluorine doped ZnO thin films at a
147 temperature range of 100 °C to 400 °C is shown in Figure 11.



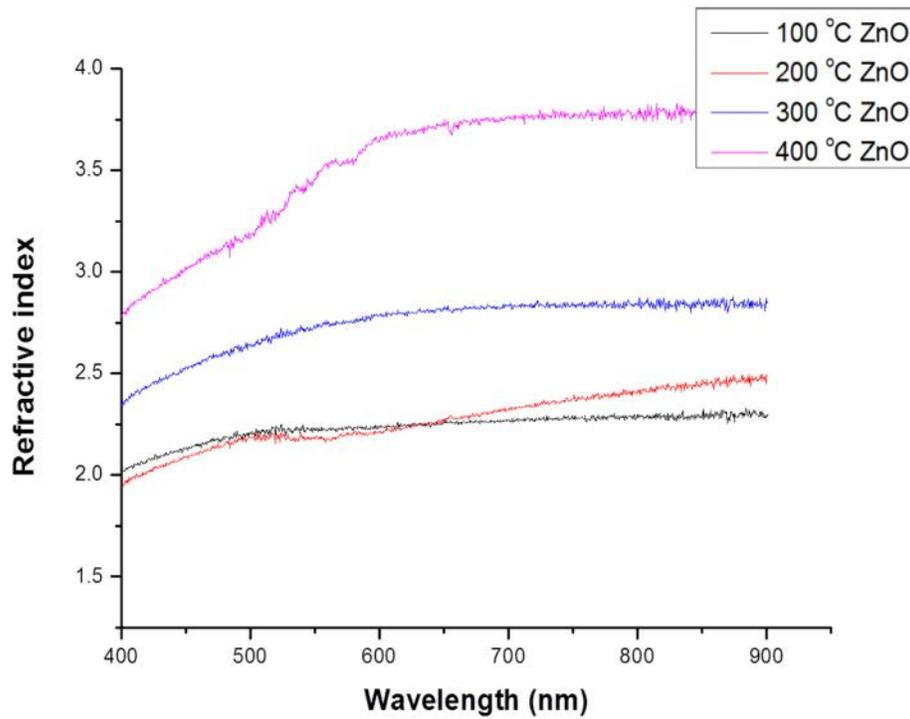
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149 **Figure 8: Optical band gap spectra for 100 °C to 400 °C undoped ZnO thin films**



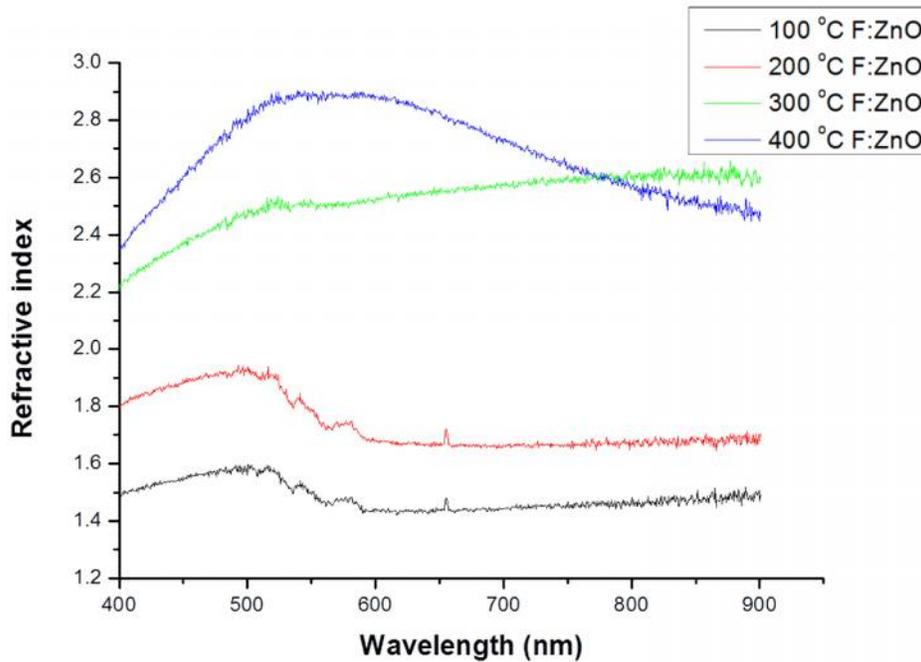
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151 **Figure 9: Optical band gap spectra for 100 °C to 400 °C fluorine doped ZnO thin films**



152

153 **Figure 10: Refractive index spectra for 100 °C to 400 °C undoped ZnO thin films**



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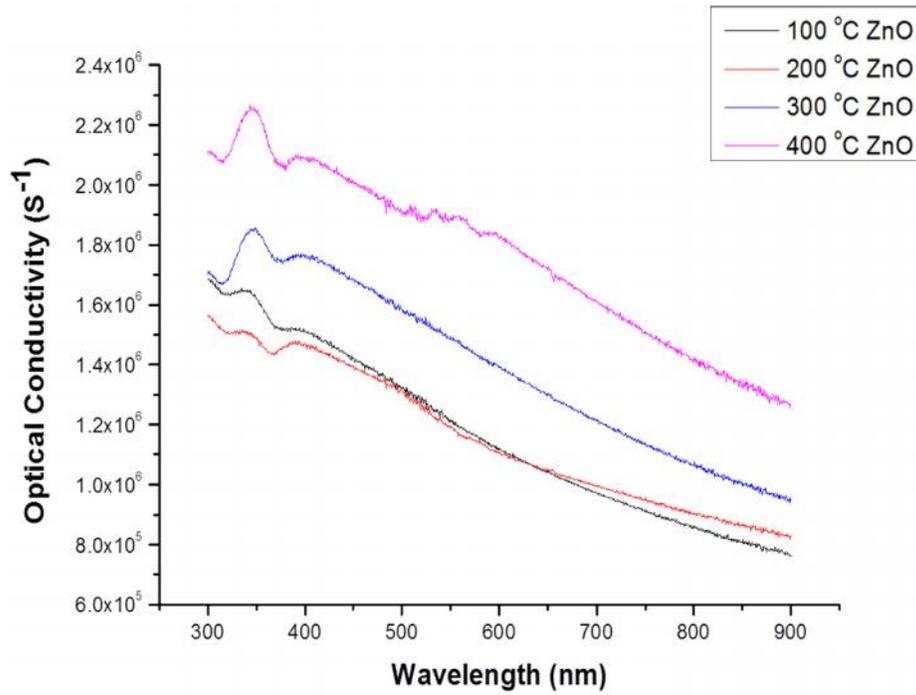
155 **Figure 11: Refractive index spectra for 100 °C to 400 °C fluorine doped ZnO thin films**

156 The results show an increase in the refractive index in both UV and visible regions. The values of the
 157 refractive index are 1.6, 1.9, 2.6 and 2.9 respectively. Comparing undoped and fluorine doped ZnO
 158 thin films, there is a decrease in the refractive index of the ZnO thin films when doped with fluorine.
 159 Therefore, we can say that the value of the refractive index was found to be dependent upon the
 160 doping element and temperature.

161 Optical conductivity in semiconductors depends strongly on the optical band gap. For thin films, the
 162 optical conductivity is dependent upon many parameters such as the absorption coefficient, refractive
 163 index, the frequency of incident photons and the extinction coefficient.

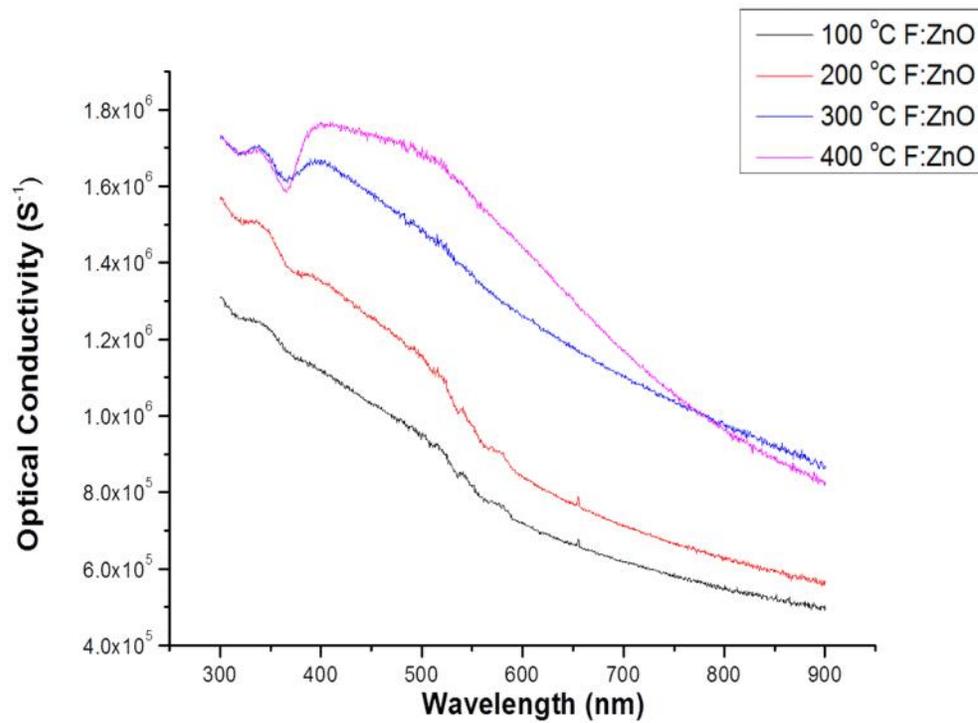
164 100°C to 400°C undoped ZnO thin films optical conductivity spectra is shown in Figure 12. The
 165 results shows an increase in the optical conductivity in the UV region at wavelength $\lambda < 400$ nm which
 166 gradually decreases in the visible region from 100°C deposition to 400°C deposition temperature.

167 From 100°C to 400°C fluorine doped ZnO thin films optical conductivity spectra is shown in Figure 13.
 168 The results shows an increase in the optical conductivity in the UV region at wavelength $\lambda < 400$ nm
 169 which gradually decreases in the visible region from 100°C deposition to 400°C deposition
 170 temperature. Comparing undoped and fluorine doped ZnO thin films, there is a decrease in the optical
 171 conductivity of the ZnO thin films when doped with fluorine. This may be due to the dopant.



172

173 **Figure 12: Optical conductivity spectra for 100 °C to 400 °C undoped ZnO thin films**



174

175 **Fig. 13: Optical conductivity spectra for 100°C to 400°C fluorine doped ZnO thin films**

176 **4. CONCLUSION**

177 ZnO and F: ZnO thin films have been successfully deposited using spin coating technique. Films were
178 prepared by using the same concentration for both ZnO and F: ZnO but annealed the thin films from
179 100°C to 400°C. The prepared films showed wide energy band gap, transmittance spectra with films
180 that are highly transparent while the reflectance spectra analysis showed that the films are low. The
181 high value of transmittance showed that the thin films have good optical quality due to low scattering
182 or absorption losses making it suitable for optoelectronic applications. The low reflectance at low
183 temperature makes the thin film a good material for anti-reflective coatings and the wide bandgap
184 showed the films are good material for the optoelectronic application.

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