**Original Research Article** 

# CHALLENGES AFFECTING THE ADOPTION OF AGROFORESTRY PRACTICES AROUND CHEPALUNGU FOREST IN BOMET COUNTY, KENYA

## 5 ABSTRACT

1

6 Anthropogenic activities around Chepalungu forest has lead to its reduction in size and has resulted in its degradation. Continued dependency on this forest may result in its total depletion despite its high 7 8 biological diversity and natural resource conservation value. There have been low levels of adoption of agroforestry practices (less than 33% of farmers) around Chepalungu. This study identified 9 10 challenges affecting the adoption of agroforestry practices around Chepalungu forest in Bomet County, Kenya. The researchers adopted descriptive survey research design in this study. The study 11 12 was done in 2016. Study sites were four locations (Bing'wa, Siongiroi, Ndanai, and Abosi) which were selected within a distance of 5 km from the forest edge using simple random sampling method. A total 13 14 of 377 household questionnaires were administered in the four locations proportionately. Chi-Square and Mann Whitney U tests were used in the analysis of the results. Significant levels were expressed 15 16 at P<0.05 using SPSS version 17 software. The results showed that there was a significant 17 association ( $\chi^2$  = 530.8; P < 0.01) between the types of agroforestry practices and challenges affecting the adoption of agroforestry practices. Notable challenges were: damage by animals, damage by 18 man, tree nursery problems, inadequate capital, natural calamities, competing land uses, managerial 19 20 problems and seed acquisition problems.

## 21 Key words: agroforestry, challenges, Chepalungu, adoption

#### 22 1. INTRODUCTION

23 Over 60% of forest dependent people in the world are farmers [1]. A significant number of these

24 farmers depend heavily on forest resources for their livelihood [2]. Due to this high dependence on

25 existing forest resources, natural forests are being depleted and the supply of future forest products is

26 becoming uncertain [3].

27 Natural forests in Kenya stand at about 1.2 million hectares, mostly being in high potential areas

where they are facing intense competition with other land use practices [4, 5]. The growing population

29 is exerting immense pressure on the forest resources with about 80% of forest dependent people in

30 these areas being farmers [6, 7]. Forest ecosystems are fast dwindling in the high potential ecological

31 zones, forcing people to move into forests and other areas which are less endowed in biodiversity [8].

32 The role of forests in the livelihood of the forest adjacent communities is diverse. Rural forest adjacent

33 communities derive food, medicine and fuel-wood in addition they enjoy non-wood benefits such as

34 spiritual, aesthetic and environmental services provided by forests. Therefore, continued degradation

35 of forests is likely to reduce forest resources capacity to support environmental conservation and

36 people's socio-economic livelihood [5, 9, 10].

Chepalungu forest is very important to people living near and far from it by providing both timber and non-timber forest products, but it is highly degraded due to grazing, settlement and farming. In addition, forest excision at Chepalungu cleared important tree species such as *Olea capensis* (Olea) and *Juniperus procera* (Cedar) [11]. Agroforestry, which involves incorporation of trees on farms for subsistence and commercial purposes, is an important land use option that has the potential to take over a substantial part of the functions of indigenous and plantation forests and to reduce pressure on natural forests [12].

44 Agroforestry offers many benefits to both landholders and to the wider community. In the short term, it 45 can provide many private benefits, such as increasing the visual amenity of the farm, improved soil 46 stability and in some cases improved productivity of other farm activities [12]. Over the longer term, agroforestry provides landholders with a source of income and a means of diversifying the farm 47 48 business [5, 13]. Agroforestry also offers many potential benefits to the wider community by 49 improving catchment water quality, reducing stream-bank erosion, and increasing landscape 50 biodiversity. A well managed agroforestry system can also improve the economic and social 51 sustainability of a region [14].

In early 2000s, Green Belt Movement initiated conservation programmes that included agroforestry initiatives to reduce continued encroachment and destruction of the remnant forest. However, [15] reported a low level of adoption of agroforestry practices (less than 33% of farmers) around Chepalungu Forest but they did not provide detailed account of the challenges affecting agroforestry development in the area. Moreover, communities adjacent to Chepalungu forest are still dependent on this forest as source of products accessed through destructive activities [15]. This study aimed at identifying challenges affecting the adoption of agroforestry practices around Chepalungu forest.

## 59 2. MATERIALS AND METHODS

#### 60 2.1 Study area

Chepalungu Forest lies on latitude 00° 53' 00" S and longitude 35° 10' 00" E. The study was carried
out around Kapchumbe and Siongiroi blocks of Chepalungu forest, Bomet County, Kenya.
Chepalungu forest is administratively divided into two management blocks, Kapchumbe (in the SouthWest) and Siongiroi (in the North-East) (Fig.ure 1).



# 65

66 Figure 1: Chepalungu forest and adjacent sampled settlement Locations [8]

The area has medium to long cropping season followed by a medium to short and intermediate rains. The mean annual rainfall is 1200 mm – 1350 mm per year with an altitude range of  $1550_{m} - 2000_{m}$ above the sea level. The mean annual temperature ranges from  $17.9^{\circ}C - 20.5^{\circ}C$ .

The soils are predominantly loamy black cotton soils. Maize and marginal coffee crops are the main response to the area which occupies almost  $18.72 \text{ K} \text{km}^2$  of the agricultural land [16].

# 72 2.2 Target Population

73 Kapchumbe and Siongiroi blocks of Chepalungu forest are adjoined by six settlement Locations.

74 These locations are Abosi, Bingwa, Siongiroi, Makimenyi, Ndanai and Kongasis. These locations

have a total of 80,673 persons occupying approximately 15,849 households [11, 17].

# 76 2.3 Research Design

77 The study employed the use of descriptive survey research design in establishing the challenges

78 affecting agro<u>f</u>orestry development among the communities living adjacent to Chepalungu forest.

## 79 2.4 Sample Size and Sampling Procedures

80 Simple random sampling technique based on random numbers generated on a scientific calculator,

81 | was used to select four Hocations and households adjacent to Chepalungu forest for the study. The

82 Locations selected were Bing'wa, Siongiroi, Ndanai, and Abosi.

The household sample size in each location was calculated based on formula equation 1 at 0.1
margin error [18]:

85 
$$n = [\frac{N}{(1+Ne^2)}]....1$$

86 Where

87 N= population size

88 n = sample size

89 e = margin error

90 Therefore the sample size in each Location was calculated based on the Location's available

91 households. According to [19], there were 2010, 1820, 2003, and 1157 households in Bing'wa,

92 Siongiroi, Ndanai and Abosi Locations respectively.

93 Therefore, using equation 1. the sample size in:

94 Bing'wa =  $n = \left[\frac{N}{(1+Ne^2)}\right] = \left[\frac{2010}{(1+(2010*0.1^2))}\right] = 95.67$  households = 96 households

95 Siongiroi = 
$$n = \left[\frac{N}{(1+Ne^2)}\right] = \left[\frac{1820}{(1+(1820+0.1^2))}\right] = 94.79$$
 households = 95 households

- 96 Ndanai =  $n = \left[\frac{N}{(1+Ne^2)}\right] = \left[\frac{1459}{(1+(142003*0.1^2))}\right] = 93.58$  households = 94 households
- 97 Abosi =  $\left[\frac{N}{(1+Ne^2)}\right] = \left[\frac{1143}{(1+(1143*0.1^2))}\right] =$ 91.95 households = 92 households

# 98 2.5 Data Collection Procedures

99 The study used semi-structured questionnaires containing both closed and open ended questions that

100 were administered to sampled households. The questionnaires were divided into two sections.

- 101 Section A: Sought information on types of agroforestry practices in the area while Section B: captured
- 102 information on the challenges affecting the adoption of the various agroforestry practices. The
- 103 questionnaires were administered to the respondents within a distance of 5 km from the forest edge.

105 questionnaires which were then filled by the respondents and collected back.

## 106 2.6 Data Analysis and Presentation

107 The responses from household questionnaires were coded and analyzed by identifying relevant 108 qualitative activities and outcomes. The quantitative data was cleaned, coded and analyzed with 109 the help of SPSS version 21 software and using both descriptive and inferential statistics as 110 described below.

111 The test for variations in challenges hindering agroforestry development was carried out using Chi-

- 112 Square test of association. Chi-Square Test of association was used to identify factors that are
- 113 significantly associated with the various agroforestry practices. The null hypothesis was rejected if the
- 114 computed P was less than or equal to 0.05.

# 115 3. RESULTS AND DISCUSSION

## 116 **3.1 Results**

117 It was found that inadequate capital and competing land uses are the most notable challenges facing
118 adoption of agro<u>f</u>orestry practices among farmers living around Chepalungu Forest. Damages by
119 animals affect home-gardens, riparian planting, wind breaks, scattered trees and shade trees.
120 Destruction by human man was associated with home-gardens and wind breaks (Table 1).

#### 121 Table 1: Challenges affecting adoption of agroforestry practices in Chepalungu

Types of	f		Response	(%) on Ma	jor Challen	iges			 Formatted Table
Agroforestry	Damaged	Damaged	Seed	Tree	Managerial	Inadequate	Competing	Natural	Formatted: Font: 0 nt
practice	by animals	by man	acquisition	nursery problems	problem	capital	land use	calamities	Formatteu: Font. 9 pt
Home- garden	52.9	5.9	0.0	0.0	0.0	23.5	5.9	11.8	
Riparian Planting	50	0.0	0.0	0.0	0.0	25.0	25.0	25.0	
Wind breaks	0.0	40.0	0.0	0.0	0.0	0.0	21.4	40.0	
Hedgerowing	0.0	0.0	0.0	42.9	0.0	28.7	0.0	0.0	
Scattered	64.3	0.0	0.0	21.4	28.6	7.1	0.0	7.1	
Shade Trees	66.7	0.0	0.0	0.0	0.0	0.0	33.3	0.0	
Boundary planting	0.0	0.0	28.6	0.0	0.0	21.4	28.6	0.0	
Woodlot	0.0	0.0	0.0	0.0	87.5	0.0	12.5	0.0	

122

## 123 Chi-Square test of association indicated that there was a significant association between types of

- 124 farm forest practices and potential challenges affecting adoption of farm forest practices (Table 2).
- 125

### 126 Table 2: Chi-Square tests of association

	Value	df	Exact Sig. (2-sided)
Pearson Chi-Square	5.308E2 <sup>a</sup>	63	0.000

127

- 128 It was also found that seed acquisition had no significant association with any type of agroforestry
- 129 practice. Tree nursery problems were significantly associated with hedgerawing, scattered trees on
- 130 farms and woodlot practices (Table 3).

## 131 Table 3: Chi-Square tests on individual challenges in Chepalungu

Types of				•					
	agroforestry	Animal	Mandamage	Seed	Nursery	Managerial	Capital	Competing	Natural
	practice	damage	_	acquisition	-	_		land use	calamities
	Home-	X <sup>2</sup> =	X <sup>2</sup> = 18.097	$X^2 = 4.042$ ,	X <sup>2</sup> =	$X^2 = 45.500$ ,	X <sup>2</sup> =	$X^2 = 3.752,$	X <sup>2</sup> = 24.798,
	garden	23.54,	P=.045	P=.050	12.762,	P=.094	17.225,	P=.453	P=.<.001
		P<.001	2	2	P=.077		P=.002	2	2
	Riparian	X <sup>2</sup> =	X <sup>2</sup> =9.007,	X <sup>2</sup> = 5.223,	$X^2 =$	X <sup>2</sup> = .318,	$X^2 =$	X <sup>2</sup> = 17.717,	X <sup>2</sup> = 15.517,
	Planting	41.017,	P=.134	P=.091	8.073,	P=.980	41.017,	P<.001	P=.037
		P=.001	2	2	P=.067	0	P=.001	2	2
	Wind breaks	X <sup>2</sup> =	X <sup>2</sup> = 22.500,	X <sup>2</sup> = 1.755,	X <sup>2</sup> =	X <sup>2</sup> = 45.500,	X <sup>2</sup> = .947,	X <sup>2</sup> = 17.342,	X <sup>2</sup> = 27.423,
		21.401,	P<.001	P=.670	8.276,	P=.094	P=.824	P=.040	P=.020
		P<.001			P=.292				
	Hedgerawing	X2=	X <sup>2</sup> = 4.544	X <sup>2</sup> = 8.229	X2=	X <sup>2</sup> = 6.766,	X <sup>2</sup> = 10.541	X <sup>2</sup> = .545,	X <sup>2</sup> = 9.171,
		6.802	P=.395	P=.327	45.401,	P=.330	P=.001	P=.946	P=.411
	<b>.</b>	P=301			P=<.001	v <sup>2</sup>			
	Scattered	X*=	X <sup>2</sup> = 9.155	X <sup>2</sup> = 1.053	X-=	X <sup>2</sup> = 67.300	X-=	X <sup>2</sup> = 3.333,	X <sup>2</sup> = 11.001,
	Trees	44.870	P=.467	P=.818	10.111	P=.025	16.855,	P=.102	P=.067
		P=.031	v <sup>2</sup> 4.044	x <sup>2</sup> 4 004	P=.011	x <sup>2</sup> 04 500	P=.770	×2	v <sup>2</sup> 0.700
	Shade Trees	X =	X = 1.041	X = 1.261	X =	X = 91.500	X = .705,	X =	X = 8.760,
		9.870	P=.820	P=1.000	3.709	P=1.000	P=.970	132.900 D= 001	P=.094
	Boundary	P=.007	$x^2$ 2.700	V <sup>2</sup> - 40.050	P=.308 $y^2=$	V <sup>2</sup> - 0.745	$x^{2}$ - 20 004	P=.001 $y^2 = 51.500$	$v^2$ 1001
	Boundary	X =	X = 3.709	X = 13.350	X =	X = 2.715,	X =20.981	X = 51.500	X = 4.981,
	planting	2.230 D- 556	P=.900	P=.029	4.01Z	P=.090	P=.009	P=<.001	P=.100
1	Woodlot	$V^2$	$v^2 - 1.404E2$	$v^2 - 72.010$	$v^2$	$v^2$ 10.055	$x^2 - 14000$	$v^2$ 12 271	$v^2 - 11750$
	woouldt	∧ - 0 602	A = 1.494EZ	$\Lambda = 73.010$	∧ - 2 720	$\Lambda = 10.900$ , $D = 260$	A = 14.900	A = 12.271	A = 11.759, D= 075
		0.002 P= 547	F =.390	F =.025	D= 717	F =.300	F =.290		075

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132

133 The majority of farmers practicing different types of agroforestry practices in the four locations obtain

134 seedlings from tree nurseries (Fig<u>ure</u> 2).

135



## 136

#### 137 Figure 2: Respondents obtaining seedlings from tree nurseries

Tree nurseries around Chepalungu forest were found to be small in size (Plate 1) due to unavailability of essential production factors like water supply, quality soils, poor road network, and distance to the planting site. The experience of seed collectors is also low, eventually jeopardizing the quality of seedlings supplied to farmers.

142



143

144 Plate 1: A tree nursery in Bing'wa Location in April, 2016

145 Managerial Problems: Tree Managerial problems were significantly associated with woodlot and

146 scattered trees on farms (Table 4). Over 36% of respondents in the four locations were unaware of

147 silvicultural practices like pruning, thinning and pollarding (Fig<u>ure 3)</u>.





#### 149 Figure 3: Unawareness level of silvicultural practices in Chepalungu as at 2016

150 Inadequate Capital: Inadequate capital was significantly associated with home-garden, riparian

- 151 Planting, wind breaks, shade trees, and boundary planting.
- 152 Competing Land Use: Competing land use was significantly associated with riparian planting, wind
- 153 breaks, shade trees, boundary planting, and woodlot practices.
- Natural Calamities: Natural calamities were significantly associated with home-garden, riparian
   planting, and wind breaks.

#### 156 3.2 Discussion

The most notable challenges facing farmers practicing agroforestry could be categorized into
Damages by Animals and Human beings, Seed Acquisition and Tree Nursery Problems, Managerial
Problems and Inadequate Capital, Competing Land Use, Natural Calamities.

# 160 3.2.1 Damages by animals and human beings

161 Domestic animals including sheep, goats and cattle destroy farm forest trees through mechanical 162 means like stepping on them and or feeding on shoots. On the other hand, human beings engage in 163 various activities that either affects the on-farm trees either directly or indirectly. Direct destructions 164 include cutting of trees for various purposes, -- and use of mechanical cultivation like tractors and 165 combined harvesters. Indirect destruction of farm-trees entails making the soils unsupportive to trees 166 through soil pollution by using chemical fertilizers, herbicides, and insecticides. The results concur 167 with [20] that some chemical fertilizers make it difficult for micro-organisms in the soil to produce 168 nutrients naturally; hence making soils unsupportive to plant growth. Globally, farmers are clearing 169 scattered trees on their farms to ensure easy mechanized farming [21].

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170 **3.2.2** Seed acquisition and tree nursery problems

171 Seed acquisition is not a significant challenge because above 82% of farmers obtain planting 172 materials from locally established private nurseries. The significant challenge emanates from tree 173 nursery production problems like inadequate water supply, poor road network and inexperienced seed 174 collectors. Such challenges emerge because privately established tree nurseries in Chepalungu 175 Forest neighborhoods are small in size, implying that owners have inadequate capital to institute all 176 necessary conditions that will ensure production of quality seedlings. According to [22], agroforestry in 177 developing countries experience the supply of low quality seeds, seedlings, cuttings or propagules, 178 resulting to unsatisfactory benefits in terms of fruit, timber and shade quality. [23] adds that 179 inadequate experience among local seed collector in Africa is alarming, and eventual results is low 180 quality planting materials that lowers the survival rates of trees and effectiveness of agroforestry 181 initiatives.

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#### 182 **3.2.3** Managerial problems and inadequate capital

Inadequate managerial knowledge on silvicultural practices like pruning, pollarding, and thinning is a 183 184 significant challenge facing agroforestry programmes in Chepalungu Forest neighborhood. This is 185 coupled with inadequate capital to undertake adequate land preparation, and disease control among 186 other tree management practices. The results are coincides with [24] that the level of practicing 187 silvicultural practices varies from one location to the other as some farmers practice better silvicuture 188 than others. This is because of variations in the understanding of silvicultural practices. Poorly 189 managed on-farm forests, affects the quality of output products and services that eventually 190 discourages farmers from engaging in agroforestry [23].

191 **3.2.4 Competing land use** 

Agroforestry faces significant competition from other profitable land uses like crops. Therefore, since agroforestry practices like scattered trees on-farm competes with crops for limited resources water and nutrients, farmers prefer cutting trees to maximize their yields on farm crops. These findings concur with that of [25] who found that on-farm forestry was reducing in Trans-Nzoia County as farmers preferred maize production that was perceived to be more profitable. Also [26, 25, 28] found that adoption of agroforestry was hampered by preference of other profitable land use activities like Formatted: Font: Bold, Not Italic, No Formatted: Indent: Left: 0" Formatted: No underline

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198 crop farming.

200 Natural calamities including strong winds and pests like aphids which attack Cypress are significantly 201 challenging the existence of trees on farms in the study area. This is because they destroy already 202 established trees on farms resulting in huge losses. These losses therefore discourage farmers from 203 establishing new farm forest practices. According to [29], natural disasters including fires, droughts, 204 cyclones & typhoons, and diseases have both direct and indirect impacts on agroforestry. Floods 205 make land unsuitable for vegetation growth, while diseases and hurricanes destroy trees on-farms. 206 In general, the findings of this study raveled that education, capacity building and training is very 207 important in enhancing the success of agroforestry programmes. This is because some of the 208 challenges like competing and use, managerial problems and inadequate capital can be curbed 209 through education, capacity building and training on the proper arrangement of trees on farm and 210

cheapest practices available.

3.2.5 Natural calamities

199

CONCLUSIONS AND RECOMMENDATION 211 4.

The main challenges affecting the adoption of agroforestry by communities living adjacent to 212 213 Chepalungu Forest include: damages by animals and human beings, seed acquisition and tree 214 nursery problems, managerial problems and inadequate capital, competing land use, natural 215 calamities. If these challenges are left unaddressed, they will threaten the conservation and 216 regeneration efforts directed to Chepalungu Forest. This is because such challenges will discourage adoption of agroforestry practices, leading to a situation where the community will entirely depend on 217 218 Chepalungu Forest. Total dependence on the forest will lead to unsustainable harvesting in the forest 219 that will eventually lead to forest degradation.

220 Since tree nursery is a significant challenge affecting adoption of agroforestry, the study recommends that forest extension officers should educate private tree nursery owners on the best nursery practice, 221 222 while the Bomet county government should improve the road network into the rural areas to enhance 223 ease of movement these officers.

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