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Original Research Article

CHALLENGES AFFECTING THE ADOPTION OF FARM FORESTRY LAND USE AROUND CHEPALUNGU FOREST

4 ABSTRACT

5 Anthropogenic activities around Chepalungu forest has lead to its reduction in size and has resulted in 6 its degradation. Continued dependency on this forest may result in its total depletion despite its high 7 biological diversity and natural resource conservation value. There have been low levels of adoption 8 of farm forestry practice (less than 33% of farmers) around Chepalungu. This study identified challenges affecting the adoption of farm forestry practices around Chepalungu forest. The 9 10 researchers study adopted ex-post facto research design in this study. The study was done in 2016. Study sites were four locations (Bing'wa, Siongiroi, Ndanai, and Abosi) selected around the forest 11 12 using simple random sampling method. A total of 377 household questionnaires were administered in the four locations proportionately. Chi-Square and Mann Whitney U tests were used in the analysis of 13 the results. Significant levels were expressed at P<0.05 using SPSS version 17 software. The results 14 showed that there was a significant association (χ^2 = 530.8; P <0.01) between types of farm forestry 15 practices and challenges affecting farm forestry adoption. Notable challenges were: damage by 16 animals, damage by man, tree nursery problems, inadequate capital, natural calamities, competing 17 18 land uses, managerial problems and seed acquisition problems.

19 Key words: farm forestry, challenges, Chepalungu, adoption

20 1. INTRODUCTION

Over 60% of forest dependent people in the world are farmers [1]. A significant number of these farmers depend heavily on forest resources for their livelihood [2]. Due to this high dependence on existing forest resources, natural forests are being depleted and the supply of future forest products is

24 becoming uncertain [3].

25 Natural forests in Kenya stand at about 1.2 million hectares, mostly being in high potential areas

where they are facing intense competition with other land use practices [4, 5]. The growing population

27 is exerting immense pressure on the forest resources with about 80% of forest dependent people in

these areas being farmers [6, 7]. Forest ecosystems are fast dwindling in the high potential ecological

29 zones, forcing people to move into forests and other areas which are less endowed in biodiversity [8].

30 The role of forests in the livelihood of the forest adjacent communities is diverse. Rural forest adjacent

31 communities derive food, medicine and fuel-wood in addition they enjoy non-wood benefits such as

32 spiritual, aesthetic and environmental services provided by forests. Therefore, continued degradation

33 of forests is likely to reduce forest resources capacity to support environmental conservation and

34 people's socio-economic livelihood [5, 9, 10].

35 Chepalungu forest is very important to people living near and far from it by providing both timber and 36 non-timber forest products, but it is highly degraded due to grazing, settlement and farming. In

addition, forest excision at Chepalungu cleared important tree species such as *Olea capensis* (Olea) and *Juniperus procera* (Cedar) [11]. Farm forestry, which is the growing of trees on farms for subsistence and commercial purposes, is an important land use option that has the potential to take over a substantial part of the functions of indigenous and plantation forests and to reduce pressure on natural forests [12].

42 Farm forestry offers many benefits to both landholders and to the wider community. In the short term, 43 it can provide many private benefits, such as increasing the visual amenity of the farm, improved soil 44 stability and in some cases improved productivity of other farm activities [12]. Over the longer term, 45 farm forestry provides landholders with a source of income and a means of diversifying the farm 46 business [5, 13]. Farm forestry also offers many potential benefits to the wider community by 47 improving catchment water quality, reducing stream-bank erosion, and increasing landscape 48 biodiversity. A well managed farm forestry can also improve the economic and social sustainability of 49 a region [14].

In early 2000s, Green Belt Movement initiated conservation programmes that included farm forestry to reduce continued encroachment and destruction of the remnant forest. However, [15] reported a low level of adoption of farm forestry practice (less than 33% of farmers) around Chepalungu Forest but they did not provide detailed account of the challenges affecting farm forestry development in the area. Moreover, communities adjacent to Chepalungu forest are still dependent on this forest as source of products accessed through destructive activities [15]. This study aimed at identifying challenges affecting the adoption of farm forestry land use around Chepalungu forest.

57 2. MATERIALS AND METHODS

58 2.1 Research Design

59 The study employed the use of ex-post facto research design in establishing the farm forestry 60 challenges affecting farm forestry development among the communities adjacent to Chepalungu 61 forest.

62 2.2 Study area

63 Chepalungu Forest lies on latitude 00° 53' 00" S and longitude 35° 10' 00" E. The study was carried
64 out around Kapchumbe and Siongiroi blocks of Chepalungu forest, Bomet County, Kenya.
65 Chepalungu forest is administratively divided into two management blocks, Kapchumbe (in the South-

66 West) and Siongiroi (in the North-East) (Figure 1).



68 Figure 1: Chepalungu forest and adjacent sampled settlement Locations [8]

69 The area has medium to long cropping season followed by a medium to short and intermediate rains.

- 70 The mean annual rainfall is 1200 mm 1350 mm per year with an altitude range of 1550m 2000m
- above the sea level. The mean annual temperature ranges from $17.9^{\circ}C 20.5^{\circ}C$.
- 72 The soils are predominantly loamy black cotton soils. Maize and marginal coffee crops are the main
- rops in the area which occupies almost 18.72 Km² of the agricultural land [16].

74 2.3 Target Population

67

75 Kapchumbe and Siongiroi blocks of Chepalungu forest are adjoined by six settlement Locations.

76 These locations are Abosi, Bingwa, Siongiroi, Makimenyi, Ndanai and Kongasis. These locations

have a total of 80,673 persons occupying approximately 15,849 households [11, 17].

78 2.4 Sample Size and Sampling Procedures

Simple random sampling technique based on random numbers generated on a scientific calculator,
was used to select four Locations and households adjacent to Chepalungu forest for the study. The
Locations selected were Bing'wa, Siongiroi, Ndanai, and Abosi.

The household sample size in each location was calculated based on formula equation 1 at 0.1 margin error [18]:

84
$$n = [\frac{N}{(1+Ne^2)}].....1$$

85 Where

86 N= population size

87 n = sample size

88 e = margin error

89 Therefore the sample size in each Location was calculated based on the Location's available 90 households. According to [19], there were 2010, 1820, 2003, and 1157 households in Bing'wa, 91 Siongiroi, Ndanai and Abosi Locations respectively.

92 Therefore, using equation 1, the sample size in:

93 Bing'wa =
$$n = \left[\frac{N}{(1+Ne^2)}\right] = \left[\frac{2010}{(1+(2010*0.1^2))}\right] = 95.67$$
 households = 96 households

94 Siongiroi =
$$n = \left[\frac{N}{(1+Ne^2)}\right] = \left[\frac{1820}{(1+(1820+0.1^2))}\right] = 94.79$$
 households = 95 households

95 Ndanai =
$$n = \left[\frac{N}{(1+Ne^2)}\right] = \left[\frac{1459}{(1+(142003 \cdot 0.1^2)}\right] = 93.58$$
 households = 94 households

96 Abosi =
$$\left[\frac{N}{(1+Ne^2)}\right] = \left[\frac{1143}{(1+(1143*0.1^2))}\right] = 91.95$$
 households = 92 households

97 2.5 Data Collection Procedures

98 The household questionnaires were administered to the sampled household samples.

99 2.6 Data Analysis and Presentation

100 The responses from household questionnaires were coded and analyzed by identifying relevant 101 qualitative activities and outcomes. The quantitative data was cleaned, coded and analyzed with

the help of SPSS version 21 software and using both descriptive and inferential statistics asdescribed below.

104 The test for variations in challenges hindering farm forestry development was carried out using Chi-

105 Square test of association. Chi-Square Test of association was used to identify factors that are

significantly associated with the various farm forestry practices. The null hypothesis was rejected if the

107 computed P was less than or equal to 0.05.

108 3. RESULTS AND DISCUSSION

109 3.1 Results

- 110 It was found that inadequate capital and competing land uses are the most notable challenges facing
- adoption of farm forestry among farmers living around Chepalungu Forest. Damages by animals
- affect home-gardens, riparian planting, wind breaks, scattered trees and shade trees. Destruction by
- 113 human man was associated with home-gardens and wind breaks (Table 1).

114 Table 1: Challenges affecting adoption of farm forestry practices in Chepalungu

Types of	Response (%) on Major Challenges							
Farm Forestry	Damag ed by animals	Damag ed by man	Seed acquisiti on	Tree nursery proble ms	Manageri al problem	Inadequa te capital	Competi ng land use	Natural Calamiti es
Home- garden	52.9	5.9	0.0	0.0	0.0	23.5	5.9	11.8
Riparian Planting	50	0.0	0.0	0.0	0.0	25.0	25.0	25.0
Wind breaks	0.0	40.0	0.0	0.0	0.0	0.0	21.4	40.0
Hedgerowi ng	0.0	0.0	0.0	42.9	0.0	28.7	0.0	0.0
Scattered Trees	64.3	0.0	0.0	21.4	28.6	7.1	0.0	7.1
Shade Trees	66.7	0.0	0.0	0.0	0.0	0.0	33.3	0.0
Boundary planting	0.0	0.0	28.6	0.0	0.0	21.4	28.6	0.0
Woodlot	0.0	0.0	0.0	0.0	87.5	0.0	12.5	0.0

115

116 Chi-Square test of association indicated that there was a significant association between types of

117 farm forest practices and potential challenges affecting adoption of farm forest practices (Table 2).

118

119

120 Table 2: Chi-Square tests of association

	Value	df	Exact Sig. (2-sided)
Pearson Chi-Square	5.308E2 ^a	63	0.000

121

122 It was also found that seed acquisition had no significant association with any type of farm forestry

123 practices. Tree nursery problems were significantly associated with hedgerawing, scattered trees on

124 farms and woodlot practices (Table 3).

125 Table 3: Chi-Square tests on individual challenges in Chepalungu

								\bigcirc
Types of	Response of		\rightarrow					
Farm Forestry	Animalda mage	Mandam age	Seed acquisit	Nurse ry	Manage rial	Capital	Compet ing land	Natural calamiti
Home-	X ² = 23.54,	X ² =	ion X ² =	X ² =	X ² =	X ² =	use X ² =	<u>es</u> X ² =
garden	P<.001	18.097 P=.045	4.042, P=.050	12.76 2, P=.07 7	45.500, P=.094	17.225, P=.002	3.752, P=.453	× - 24.798, P=.<.00 1
Riparian Planting	X ² = 41.017, P=.001	X ² =9.007 , P=.134	X ² = 5.223, P=.091	X ² = 8.073, P=.06 7	X ² = .318, P=.980	X ² = 41.017, P=.001	X ² = 17.717, P<.001	X ² = 15.517, P=.037
Wind breaks	X ² = 21.401, P<.001	X ² = 22.500, P<.001	X ² = 1.755, P=.670	X ² = 8.276, P=.29 2	X ² = 45.500, P=.094	X ² = .947, P=.824	X ² = 17.342, P=.040	X ² = 27.423, P=.020
Hedgera wing	X ² = 6.802 P=301	X ² = 4.544 P=.395	X ² = 8.229 P=.327	X ² = 45.40 1, P=<.0	X ² = 6.766, P=.330	X ² = 10.541 P=.001	X ² = .545, P=.946	X ² = 9.171, P=.411
Scattered Trees	X ² = 44.870 P=.031	X ² = 9.155 P=.467	X ² = 1.053 P=.818	01 X ² = 10.11 1 P=.01 1	X ² = 67.300 P=.025	X ² = 16.855, P=.770	X ² = 3.333, P=.102	X ² = 11.001, P=.067
Shade Trees	X ² = 9.870 P=.007	X ² = 1.041 P=.820	X ² = 1.261 P=1.000	1 X ² = 3.709 P=.30 8	X ² = 91.500 P=1.000	X ² = .705, P=.970	X ² = 132.900 P=.001	X ² = 8.760, P=.094
Boundary planting	X ² = 2.238 P=.556	X ² = 3.709 P=.900	X ² = 13.350 P=.029	o X ² = 4.012 P=.20 6	X ² = 2.715, P=.096	X ² =26. 981 P=.009	X ² = 51.500 P=<.001	X ² = 4.981, P=.100
Woodlot	X ² = 8.602 P=.547	X ² = 1.494E2 P=.390	X ² = 73.018 P=.623	0 X ² = 3.720 P=.71 7	X ² = 10.955, P=.360	X ² = 14.900 P=.290	X ² = 12.271 P=<.001	X ² =11.7 59, P=.075

127 The majority of farmers practicing different types of farm forestry in the four locations obtain seedlings







131 Figure 2: Respondents obtaining seedlings from tree nurseries

Tree nurseries around Chepalungu forest were found to be small in size (Plate 1) due to unavailability of essential production factors like water supply, quality soils, poor road network, and distance to the planting site. The experience of seed collectors is also low, eventually jeopardizing the quality of seedlings supplied to farmers.

136



137

138 Plate 1: A tree nursery in Bing'wa Location in April, 2016

139 Managerial Problems: Tree Managerial problems were significantly associated with woodlot and

scattered trees on farm forestry practices (Table 4). Over 36% of respondents in the four locations

141 were unaware of silvicultural practices like pruning, thinning and pollarding (Figure 3).



142

143 Figure 3: Unawareness level of silvicultural practices in Chepalungu as at 2016

Inadequate Capital: Inadequate capital was significantly associated with home-garden, riparian
 Planting, wind breaks, shade trees, and boundary planting.

Competing Land Use: Competing land use was significantly associated with riparian planting, wind
 breaks, shade trees, boundary planting, and woodlot practices.

Natural Calamities: Natural calamities were significantly associated with home-garden, riparian
 planting, and wind breaks.

150 **3.2 Discussion**

151 The most notable challenges facing farmers practicing farm forestry could be categorized into 152 Damages by Animals and Human beings, Seed Acquisition and Tree Nursery Problems, Managerial 153 Problems and Inadequate Capital, Competing Land Use, Natural Calamities.

154 **3.2.1** Damages by animals and human beings

Domestic animals including sheep, goats and cattle destroy farm forest trees through mechanical means like stepping on them and or feeding on shoots. On the other hand, human beings engage in various activities that either affects the on-farm trees either directly or indirectly. Direct destructions include cutting of trees for various purposes, and use of mechanical cultivation like tractors and combined harvesters. Indirect destruction of farm-trees entails making the soils unsupportive to trees

160 through soil pollution by using chemical fertilizers, herbicides, and insecticides. The results concur 161 with [20] that some chemical fertilizers make it difficult for micro-organisms in the soil to produce 162 nutrients naturally; hence making soils unsupportive to plant growth. Globally, farmers are clearing 163 scattered trees on their farms to ensure easy mechanized farming [21].

164

3.2.2 Seed acquisition and tree nursery problems

165 Seed acquisition is not a significant challenge because above 82% of farmers obtain planting 166 materials from locally established private nurseries. The significant challenge emanates from tree 167 nursery production problems like inadequate water supply, poor road network and inexperienced seed 168 collectors. Such challenges emerge because privately established tree nurseries in Chepalungu 169 Forest neighborhoods are small in size, implying that owners have inadequate capital to institute all 170 necessary conditions that will ensure production of quality seedlings. According to [22], farm forestry 171 in developing countries experience the supply of low quality seeds, seedlings, cuttings or propagules, 172 resulting to unsatisfactory benefits in terms of fruit, timber and shade quality. [23] adds that 173 inadequate experience among local seed collector in Africa is alarming, and eventual results is low 174 quality planting materials that lowers the survival rates of trees and effectiveness of agroforestry 175 initiatives.

176 3.2.3 Managerial problems and inadequate capital

177 Inadequate managerial knowledge on silvicultural practices like pruning, pollarding, and thinning is a 178 significant challenge facing farm forestry in Chepalungu Forest neighborhood. This is coupled with 179 inadequate capital to undertake adequate land preparation, and disease control among other tree 180 management practices. The results are coincides with [24] that the level of practicing silvicultural 181 practices varies from one location to the other as some farmers practice better silvicuture than others. 182 This is because of variations in the understanding of silvicultural practices. Poorly managed on-farm 183 forests, affects the quality of output products and services that eventually discourages farmers from 184 engaging in farm forestry [23].

185 3.2.4 Competing land use

186 Farm forestry faces significant competition from other profitable land uses like crops. Therefore, since 187 farm forestry practices like scattered trees on-farm competes with crops for limited resources water 188 and nutrients, farmers prefer cutting trees to maximize their yields on farm crops. These findings concur with that of [25] who found that on-farm forestry was reducing in Trans-Nzoia County as farmers preferred maize production that was perceived to be more profitable. Also [26, 25, 28] found that adoption of agroforestry wais hampered by preference of other profitable land use activities like crop farming.

193 **3.2.5 Natural calamities**

Natural calamities including strong winds and pests like aphids which attack Cypress are significantly challenging the existence of farm forestry in the study area. This is because they destroy already established trees on farms resulting in huge losses. These losses therefore discourage farmers from establishing new farm forest practices. According to [29], natural disasters including fires, droughts, cyclones & typhoons, and diseases have both direct and indirect impacts on farm forestry. Floods make land unsuitable for vegetation growth, while diseases and hurricanes destroy trees on-farms.

In general, the findings of this study raveled that education, capacity building and training is very important in enhancing the success of farm forestry. This is because some of the challenges like competing and use, managerial problems and inadequate capital can be curbed through education, capacity building and training on the proper arrangement of trees on farm and cheapest practices available.

205 4. CONCLUSIONS

206 The main challenges affecting the farm forestry by communities living adjacent to Chepalungu Forest 207 include: damages by animals and human beings, seed acquisition and tree nursery problems, 208 managerial problems and inadequate capital, competing land use, natural calamities. If these 209 challenges are left unaddressed, they will threaten the conservation and regeneration efforts directed 210 to Chepalungu Forest. This is because such challenges will discourage adoption of farm forestry, 211 leading to a situation where the community will entirely depend on Chepalungu Forest. Total 212 dependence on the forest will lead to unsustainable harvesting in the forest that will eventually lead to 213 forest degradation.

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