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2 Preauricular Sinus: Presentation, Laterality pattern, 3 Ethnic and Gender differences among Nigerians.

4 **ABSTRACT**

5 **Background:** Preauricular sinus (PAS) is a benign congenital malformation evident as a dell,
6 sinus, or fissure usually located at or near the root of the anterior horn of the helix. This study
7 aims to determine the incidence, laterality pattern with ethnic and gender differences among
8 Nigerians.

9 **Method:** The study was a cross sectional descriptive survey conducted in March 2015 using
10 structured questionnaire.

11 **Results:** There were total of 374 participants with their ages ranged from (3 to 80) years.
12 Majority were Yoruba 298(79.5%) followed by Igbo 40(10.7%). Fifty respondents have
13 preauricular sinus giving a prevalence of 13.3% and majority of the affected 32(64%) were
14 females. Thirty four (68%) of the affected were Yoruba followed by Hausa 9 (18%). Right
15 side was mostly affected in 25(50%) and the right dominant was seen mostly among Yoruba
16 and Igbo while left dominant was seen among Hausa. One person had repeated surgical
17 excision due to recurrent infections and sinus discharge while 98.0% were asymptomatic.
18 None of the subjects with PAS was found to have dysmorphic facial features, neither
19 auricular defects nor renal and hearing affection.

20 **Conclusion** Preauricular sinus has a relatively high prevalence with rare association with
21 other congenital craniofacial or renal anomalies in our environment. There is a need for

22 public enlightenment and more screening programmes of the disorder as well as a need for
23 further studies to unravel the reason behind absence of associated other congenital anomalies
24 with preauricular sinus in our environment.

25 **Key Words:** Preauricular sinus, laterality, ethnicity, culture, congenital anomaly.

26 INTRODUCTION

27 Preauricular sinus (PAS) is a benign congenital malformation evident as a dell, sinus, or
28 fissure usually located at or near the root of the anterior horn of the helix¹. It may become
29 infected and symptomatic, when it would require total excision to prevent its recurrence²⁻⁴.
30 Africans are quoted as having the highest incidence, between 4- 10%: from various studies on
31 patients^{4, 5}. The reported incidence is between 0.1% and 0.9% in Europe and the united
32 states, 1.6% - 2.5% in Taiwan^{2, 3}. Pre-auricular sinus is usually asymptomatic and only one-
33 third of persons are aware of their malformations⁶. A researcher had reported that it takes an
34 average of about 9 years before patients with pre-auricular sinuses will seek a medical care
35 usually following symptoms⁶.

36 Various studies have determined a right-sided or left-sidedness (lateralism) of PAS^{7, 8}.
37 Jimoh et al⁴ in Ilorin, Nigeria reported left predominance of PAS while Tobih et al⁵ in
38 Osogbo reported right predominance. Report on gender predisposition had been inconsistent⁵.
39 While some researchers had reported equal gender predisposition of PAS⁹, Most studies from
40 Nigeria reported male predominance of PAS. Other researchers however reported more
41 prevalence of the disease in females^{5, 9 - 11}. Studies from Nigeria and Kenya have proposed
42 socio-cultural belief of the association of PAS with acquisition of wealth^{9 - 11}. The purpose of
43 this study is to determine the incidence, laterality pattern with ethnic and gender differences
44 among Nigerians. The study also aims to determine influence of socio-cultural belief on the

45 presentation / management of preauricular sinus within a Central Business District of Ile Ife,
46 Nigeria

47 MATERIALS AND METHODS

48 The study was a cross sectional descriptive survey conducted in March 2015. Respondents
49 were drawn from shop workers on either side of the main street of the central business district
50 of Ile-Ife from one major intersection (Campus Road 7) to the other (Iremo Street). Informed
51 consent was obtained from all eligible participants following which structured questionnaire
52 was administered for patients' demographic and clinical information. Permission was also
53 obtained from both Yoruba and non-Yoruba ("Ezendigbo" and "Seriki") community leaders
54 before the survey. Participants that gave informed consent from a total population of that
55 district of town were recruited into the study. The information sought for included age, sex,
56 cultural beliefs and ethnic group. Also information included were symptoms, such as
57 presence of pain, swelling, discharge, recurrence of symptoms, and various management
58 modality affected participants have received. Patients that did not give informed consent were
59 not included in the study.

60 Minimum Sample size was calculated using the Fisher formula, where $N = \text{Minimum Sample}$
61 Size , $P = \text{Prevalence from a previous study (of Africans = 10\%)}$, $Z = \text{standard normal}$
62 $\text{deviation, (which is 1.96)}$, $Se = \text{Standard Error (which= 5\% for precision of 10\% at a}$
63 $\text{Confidence Interval of 95\%) i.e. 0.05}$. Thus, $n = Z^2 P (1-P)/Se^2 = 2^2 \times 0.1 \times 0.9 / 0.05 \times 0.05 =$
64 138 subjects . Other quantitative variables were derived as the mean with a 95% confidence
65 interval (CI).

66 Data obtained were entered into a spread sheet and analysis was done using statistical
67 package for social sciences (SPSS version 21.0). Data were presented in a descriptive form in

68 tabular and graphic forms. Chi-square was used to determine the differences between laterality
69 and ethnicity and gender and the level of significant was set at 0.05

70

71 RESULTS

72 There was total of 374 respondents with the median age of 30 years. The ages of the
73 respondents range from 3years to 80 years. Distribution by various ethnic groups shows that
74 majority of the respondents were Yoruba consisting of 298(79.5%) while the least was Tappa
75 1 (0.26%) figure 1 shows the distribution of the respondents by ethnic groups. Fifty
76 respondents were found to have preauricular sinus (PAS) which gives a prevalence of 13.3%.
77 Out of 50 respondents with PAS, majority 32(64%) were female ($X^2 = 0.149$, $p = 0.928$).
78 Table 1 shows the laterality, ethnic and gender distribution of PAS among the respondents.
79 The disorder was found to be more prevalent among the Yoruba's 34 out of 50 (68%)
80 followed by the Hausa 18%, Igbo 12% and the least was among Tappa 2% although this
81 happened to be the only Tappa present in the study population. In ascertaining level of
82 association between ethnic group and gender with laterality of PAS, the chi square test
83 showed that there is no statistical significant differences in distribution of PAS by ethnic
84 group and gender ($X^2 = 7.425$, $p = 0.283$ and $X^2 = 0.149$, $p = 0.928$) respectively (Tables 1).

85 Of the 50 respondents with preauricular sinus, majority 25(50%) had it on the right side,
86 figure 2 shows the laterality by distribution of preauricular sinus among the respondents. PAS
87 on the right was predominant in Yoruba and Igbo ethnic groups but predominant on the left
88 among Hausa ethnic group. The only Tappa ethnic tribe with preauricular sinus was bilateral
89 (table 1). Surgical history of repeated incisions following recurrent infections (with
90 symptoms of pain and sinus discharge) occurred only in the case from Tappa. The remaining
91 98.0% had always been asymptomatic. None of the subjects with PAS was found to have

dysmorphic facial features, auricular defects, nor other sinuses or fistulas in the head and neck region.

DISCUSSION

Preauricular sinus is a benign congenital malformation most of which are incidentally discovered during routine clinical examination⁴. The high prevalence of PAS in the present study is in consonant with the findings of other authors in Nigeria and West African sub-region. Our finding was similar to those of Tobih et al⁵ in Osogbo south western Nigeria and Jimoh et al⁴ in Ilorin North central Nigeria. It was initially postulated that hospital based study may be responsible for the higher prevalence of PAS in most African settings, but ours is a community based study like most studies in the western countries. This implies that differences in the prevalence between African setting and studies in Europe and American countries are more of racial predisposition. Another factor for differences in prevalence may be related to the sample size. For instance, Adegbiyi et al¹ in Ado Ekiti, Nigeria reported a lower prevalence of 4.4% which may be due to higher number of sample size in their study.

Another finding from our study is the ethnic differences in the prevalence of PAS. For instance, 34/298 among the Yoruba (11.4%), 6/40 among the Igbo (15%) and 9/35 among the Hausa (25.7%) shows some differences in the prevalence among various ethnic groups. Although, this has to be interpreted with caution, for instance the only Tapa ethnic group in our study has PAS which cannot be extrapolated to mean 100% prevalence among such ethnic group.

In our study 82% of cases were found to be unilateral with 50% predominance of PAS on the right. This was similar to the findings of Tobih et al⁵ who reported 75% laterality with 49% right dominance. A study by Paulozzi et al⁷ also reported a right sided dominance in incidence of preauricular sinus. Jimoh et al⁴ reported 93% laterality but with left dominance

116 while Adeyemo et al ⁹ also discovered 87.5% unilateralism but without lateralised
117 dominance. Some studies ^{7, 8}, however, reported only 50% unilateralism. Several studies
118 were equivocal as to the actual dominant side with the preauricular sinus: for instance there
119 was equal right and left affectation in one cited Nigerian study⁹. Although, the general
120 finding in our study is right dominance, however PAS is mainly left-sided in the Hausa tribe.
121 Geographical, ethnicity and racial differences had been deduced to be contributed to the
122 laterality of PAS ⁴. It might also be a chance occurrence.

123 With 62% of those affected being female we thus deduced a female: male ratio of 1.66:
124 1. This is similar to the study at Ibadan ⁹ with a F: M ratio of 1.6:1. Adobamen et al⁶ also
125 reported a female preponderance with M:F ratio 1: 3.3. Our finding was however in contrast
126 to the finding in a study in Ilorin who reported a male predominance with a male: female
127 ratio of 1.3: 1⁴. Report from a study in Osogbo, Nigeria also showed male predominance.
128 Findings from an American study ¹⁰ also concluded that male infants are at a greater risk of
129 having birth defects than female infants. These findings show inconsistencies in the gender
130 distributions or predisposition to preauricular sinus ^{10 - 12}.

131 Leung et al¹³ in their published study reported associated renal anomalies- especially
132 Branchio-otorenal syndrome with PAS and that some minor anomalies of the head and neck
133 region may aid presumptive diagnosis during initial examination . The present study however
134 did not find any other associated congenital anomalies with preauricular sinus. Similar to our
135 finding was that reported by other authors most especially in Nigeria about non association of
136 PAS with other anomalies or syndromes unlike what were reported in developed countries ^{4, 5,}
137 ^{9, 14}. There may be a need for further studies like genetic study, auditory testing and renal
138 ultrasound should be considered or need to deliberately search for any of these association or
139 factors responsible for absence of those reported associated anomalies in our environment ^{3,}
140 ¹⁴.

Although, the general notion is that preauricular sinus is rarely infected, symptomatic PAS of 17 – 47 % was reported in most published studies. Findings from our study are however much more less than the reports from most published studies ^{4, 6, 9, 11}. Since our study is a community based one and there is a strong cultural belief and attachment to PAS. Many people may not readily come out to report associated symptoms. For instance, in Yoruba land, there is a strong belief that the presence of PAS in an individual will give such an individual supernatural abilities to be wealthy ⁹. This might actually be the factor while only one person operated upon in the present study is not actually among the Yoruba tribe with largest number of people with PAS. Similar study in south western Nigeria where Yoruba tribe were domicile had shown that even those individuals with symptomatic preauricular sinus had declined surgical intervention or opted for another alternatives in order to avoid excision of pre-auricular sinus⁵.

Surgery is the usual course of action to relieve and prevent recurrence ^{15 - 17}. However, the most economical surgery and most opted for by the patient because of the relatively lower cost is sinectomy which usually results in incomplete extirpation. The identified case from Tappa had repeated excisions for recurrence within three years. Various pre-surgery protocols are in agreement that surgery is usually indicated following at least two subsequent infections ¹⁵. Frequency of recurrence has been stated to be 19- 40% ¹⁶. To prevent recurrence, it has been suggested that a preauricular elliptic incision which is continued upwards around the ear ¹⁷. Total extirpation is still difficult in the presence of infection so excision of uninfected preauricular sinus has been advocated¹⁸. Considering the usually poor socioeconomic background of most people in our environment, patients may result to self medication rather than attend hospital for a more effective lasting treatment of symptomatic preauricular sinus ¹⁹.

Conclusion:

Preauricular sinus; though it was said to be a rare and benign lesion, it has a relatively high prevalence with rare association with other congenital craniofacial or renal anomalies in our environment. The effective management of the condition is influenced by cultural believe and poverty, there is therefore a need for public enlightenment and screening programmes of the various communities for the incidence and morbidity profile of preauricular sinus and hence its socioeconomic impact. A need for further studies to unravel the reason behind absence of associated other congenital anomalies with preauricular sinus in our environment is therefore advocated.

Ethical approval: NA

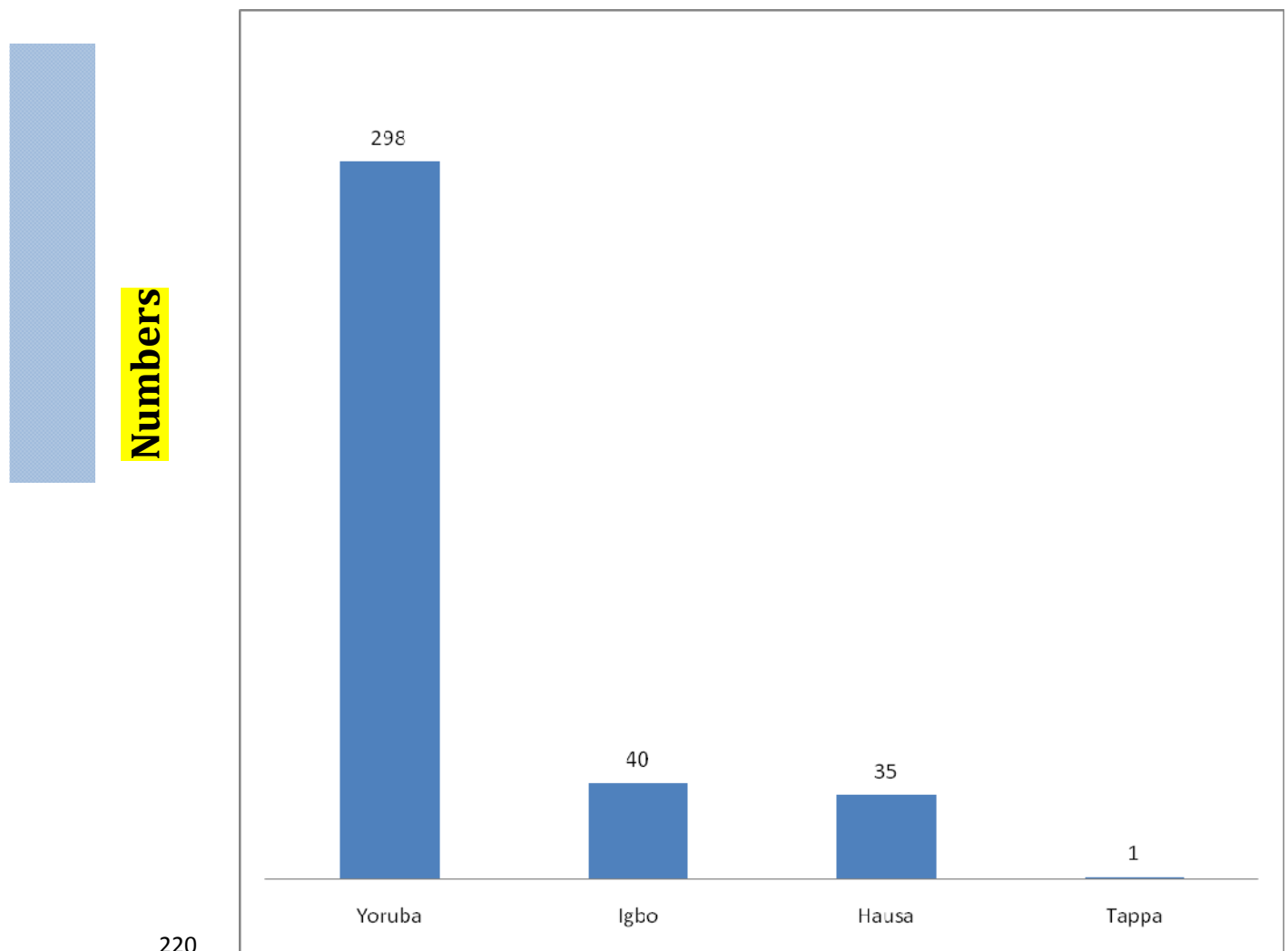
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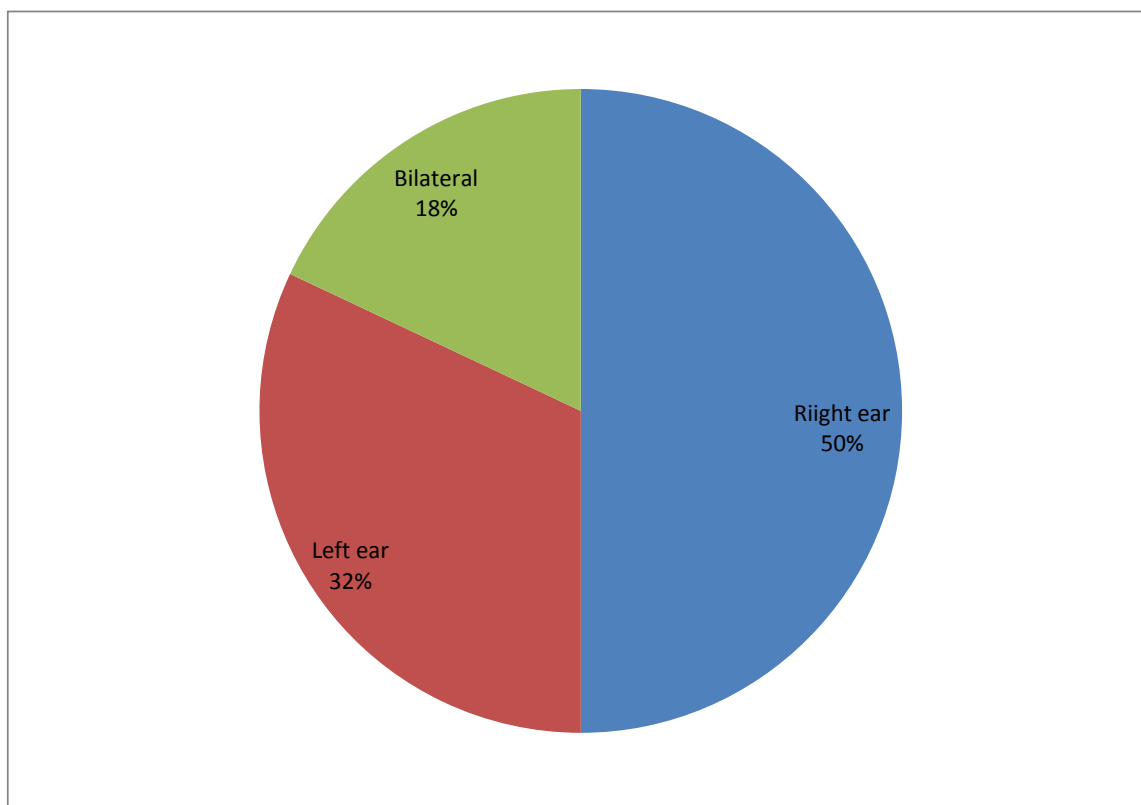


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222 Figure 1. Distribution of the respondents numbers by ethnic groups

Table 1: Laterality of pre-auricular sinus in the study population

Variables	Laterality			Total (%)
Ethnicity	Bilateral (%)	Right (%)	Left (%)	
Yoruba	6 (26.5)	19 (55.9)	9 (26.5)	34 (100)
Hausa	1 (11.1)	3 (33.3)	5 (55.6)	9 (100)
Igbo	1 (16.7)	3 (50)	2 (33.3)	6 (100)
Tappa	1 (100)	0	0	1 (100)
Total ($X^2 = 7.425$, $p = 0.283$)	9 (18)	25 (50)	16 (32)	50 (100)
Gender				
Male	3 (16.7)	9 (50)	6 (33.3)	18 (100)
Female	6 (18.8)	16 (50)	10 (31.3)	32 (100)
Total ($X^2 = 0.149$, $p = 0.928$)	9 (18)	25 (50)	16 (32)	50 (100)



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227 Fig-2: laterality by distribution of preauricular sinus among the respondents