

- 39 40 management over the years and it is still becoming more relevant because of its several capabilities to deal with various situations of modern power system operations [operations [2]. The

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41 optimization process is applicable to power system analysis based on the possibility of 42 modeling power system parameters in terms of variables, constraints and objective function. 43 In power system parlance\_, OPF is the process of obtaining the optimal setting of the control 44 or decision variables within the electrical power network by optimizing (minimizing or maximizing) objective function of interest without violating the power flow constraints as well 45 as the equipment operating limits while maintaining acceptable system performance in terms 46 of generator capability limits, line flows and output of the compensating devices [3]. 47 48 49 Like the conventional (non-optimal) power flow, OPF is also useful for real-time control, 50 operational planning, scheduling, modern Energy Management Systems (EMSs)\_ and also Comment [a4]: You do not need to make support deregulation transactions of electrical power system. Though the load flow is bereft 51 abbreviation for these words since it is used one 52 of yielding the most economic, secured and optimum power system operation but in most time cases, it serves as a precursor for OPF. While the economic dispatch, which is a particular 53 54 case of OPF ignores or sometimes, partly up-keep the security of the system, but the OPF 55 has the capability to determine the holistic optimal power system operation [1]. OPF, also helps in determininge the marginal cost data which in turn aids the pricing aspect of 56 Formatted: No underline, Underline color: 57 power system operation. It also furnishes the dispatchers or power system operators with Auto, Pattern: Clear possible tradeoffs between different objectives and also enlightens on which of the 58 59 objectives will pay off, without violation of constraints. 61 A typical OPF problem is formulated in cognizance to the power network model, objective 62 function, operating limits, and the intended solution technique. Due to its versatility, different formulations represent each of the possible cases of OPF and the quality of the result relies 63 Formatted: No underline, Underline color: on accurate model formulation as well as the solution techniques. Among the OPF 64 Auto 65 formulations are [1],[3],[4]: 1. Optimal Scheduling: ensuring optimal generation with a saving (proper allocation) of 66 67 the energy resources (fuel) invariably a saving in operating cost (fuel cost in thermal plants), such is a case of OPF called; classical economic dispatch [3] 68 69 2. Security - Constrained Optimal Power Flow (SCOPF): Curtailing outages and contingencies while ensuring optimum system operation. Also is the Security -70 71 Constrained with Voltage Stability (SCOPF-VS) another particular case of OPF [4]. The scope of OPF can also be extended to accommodate Flexible Alternating 72 73 Current Transmission System (FACTS) devices as well as renewable energy 74 generation [1] Comment [a5]: We need more paragraph here to describe how is the paper organized 7475 Formatted: List Paragraph, Line spacing: 75 single, No bullets or numbering, Tab stops: Not at 1.9" 2. METHODOLOGY 76 78 The methodology of OPF is synonymous to that of a typical optimization process, with the **Comment [a6]:** It would be grateful, if you add 79 appropriate problem formulation in terms of objective function, variables, and constraints the reference here to describe the methodology. Or you may describe the typical optimization process 80 such that it captures the desire of the system operators; then, the deployment of solution briefly methodologies or optimization techniques. 81 Comment [a7]: This is incomplete sentence. 2.1 Optimal Ppower Fflow Fformulation Could you please re-write it? 83 the deployment of solution methodologies or 85 Several OPF formulations have been reported in the literature to address several instances optimization techniques is used 86 of the problem. In recent times, the restructuring and developments in power system are Comment [a8]: Could you please capitalize each 87 causing increment in electric power system complexity. Also, the advent of Independent word Power Producers (IPPs) and, the prospect of integrating distributed, and renewable 88 generation in the grid, further expand the scope of OPF. Thus, various formulations abound, Comment [a9]: You do not need to make 89 abbreviation for these words since it is used one 90 which goes by many names depending on choice of objective function and the constraints. time Regardless of the name, any power systems optimization problem that includes a set of 91 92 power flow equations in the constraints may be classified as a form of OPF [5].

93	In spite of the changes in the traditional power system operation and control due to increase	
94	in power system size and complexities, with complexities, with the introduction of mode	ern
	devices and	
95	renewable energy to alleviate the bottleneck and maximize system utility, the, the general	
96	structure of OPF formulation still maintains the classical format. Expressed as follows ([6];	
97	[7]):	
	1627 ST 1 11 SW	
98	Optimize $F(x, u)$ (1)	
99		
00	Subject to:	
102	G(x, u) = 0 (2)	
103		
104	$H_{\min}(x,\mathbf{u}) \leq H(x,u) \leq H_{\max}(x,\mathbf{u}) \tag{3}$	
105	$n_{\min}(x, u) \le n(x, u) \le n_{\max}(x, u) $	
06	Where: $(x, u)$ , vector) is the vector of controllable or independent variables and dependent	nt or
00	state	
07	variables of the system respectively; $F(x, u)_{is}$ , the objective function: whose selection is based	
08	on the operating philosophy of the system operator; $G(x,u)$ and $H(x,u)$ , are vector	
109	representing the system equality and inequality constraints respectively.	
10	2.1.1 Variables	<b>Comment [a10]:</b> Add full word.
		Variables of what?
11	Optimal power flow OPF analysis requires certain power system variables to be controlled or modified	in
12	order to optimize the operation of electrical power system as well as variables to reflect the effect of	
113	the optimization processprocesses. The variables are thus classified as the control (decision or independent	dent)
14	variables and the state or dependent variables, accordingly. Generally, the state variables are said to be	
15	continuous in nature, while the control variables may be continuous or discrete; as in the case of	Comment [a11]:
16	switched devices or lines, they are binary [8]; [9]. In [9] and [10], the examples of the variables are	<b>Comment [a12]:</b> continuous or discrete of what?
17	enumerated as follows:	Is parameters?
118	The control variables which-includes:	
19	1. Active power at the generator buses except for the slack bus	
20	2. Voltage magnitudes at the generator buses	
21	3. Position of the transformer taps	
22	4. Position of the phase shifter (quad booster) taps	
23	5. Status of the switched capacitors and reactors	
24	6. Control of power electronics (HVDC, FACTS)	<b>Comment [a13]:</b> Define it
25	7. Amount of load disconnected, etc.	
26		
27	While that of the state variables includes:	
29	1. Voltage magnitudes at load buses	
30	2. Voltage phase angle at all buses	
31	3. Active power output of the slack bus only.	
32	4. Reactive power of all generator buses.	
33	5. Line flows <del>, etc.</del>	
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135		
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.,,		

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139	2.1.2 Constraints		Comment [a14]: Constraints what?	
140 141 142 143 144 145 146 147 148 149 150 151	Constraints, are generally <u>regard_regarded</u> as an integral part of a practical optimization problem and are sometimes use as the key for the classification of OPF problems, for instance, the security-constrained OPF, economic dispatch, security-constrained with voltage stability-ete. Besides, the system variables has to be within a permissible range (constrained), which should not be violated except causing damage to electrical power system equipment or resulting into a mal-operation. The constraints are generally categorized as equality and inequality constraints. More so, some of these constraints, which employ the method of penalty functional dependent ones of the inequality constraints, which employ the method of penalty functions, lagrange multiplier or others, in handling such functional constraints. In OPF, the equality constraints are basically the power flow network equations, which can either be the steady state power flow or the contingency state power flow, <u>either of which or it</u> is non-linear though their level of complexity differs widely [10].			
153 154	electrical power system as well as the limits needed to guarantee system security [11]. The Comment [a15]: where the subject here?			
155	a) Control variables limits, which includes:			
156	Generator real power			
157	$P_{G_i}^{min} \leq P_{G_i} \leq P_{G_i}^{max}$	(4)		
158	Generator bus voltage			
159	$V_{G_i}^{min} \leq V_{G_i} \leq V_{G_i}^{max}$	(5)		
160	Volt – Ampere Reactive (VAR) power			
161	$Q_{C_i}^{min} \leq Q_{C_i} \leq Q_{C_i}^{max}$	(6)		
162	Transformer tap position			
163	$T_i^{min} \leq T_i \leq T_i^{max}$	(7)		
164	b) State variables limits :			
165	Voltage magnitude of load bus			
166	$V_{L_i}^{min} \leq V_{L_i} \leq V_{L_i}^{max}$	(8)		
167	Line flow limits			
168	$S_{l_i} \leq S_{l_i}^{max}$	(9)		
160	A delition of incompatible company into include, we patient many of experiments	and the state of t		

Additional inequality constraints include, reactive power of generator, prohibited zones of the generating units, rotor angle stability, limit on transient voltage electromagnetic field levels, etc [9]. 169 170 171

#### 172 2.1.3 Objective Ffunction Comment [a16]: Of what? 173 Practical OPF problems have several objective functions to reflect the different possible 174 operations of power system. T, the objective function is multi-faceted as no single objective 175 function fit into all the emerging scenarios of OPF. The selection and consideration of the 176 objective functions depend on the operating philosophy of the power system operator [1]. The most commonly used objective function is the minimization of generation costs with and 177 without consideration of system losses, since the issue of cost used to take precedence in 178 power system operations. This is the classicalclassic case of OPF, which is called economic 179 dispatch. 180 conomicClassical economic dispatch controls only the generation units to dispatch Cla while OPF 181 controls all power flow within the electrical power system [3]. 182 It is to be noted that the cost, is the operating cost and not the total capital outlay of the power system, which is known in thermal and nuclear stations as the fuel cost. But for the 183 case of hydro plants, where water is seeming free, there exist techniques for hydro scheme 184 coordination as well as for incorporating pumped-storage hydro units into OPF formulation 185 [12]. The fuel cost is usually equated to the operating cost or generating cost with the 186 realization thatrealization other that other variables cost like: labour cost, maintenance 187 cost and fuel transportation cost, etc which are difficult to express directly as a function of the output of the 188 thermal generator unit, areunit, expressed are expressed as a fixed a portionfixed 189 portion of the fuel cost [3],[10]. 190 Emphatically, fixed costs, such as the capital cost of installing equipment, are not included, 191 only thoseonly costs those costs that are a function of unit power output are considered in the OPF 192 formulation. 193 Besides minimization of generation costs, other objectives function are the minimization of Comment [a17]: Here if you use other, then system losses, maximization of power quality often through minimization from a given given 194 function should be plural. i.e functions. This will schedule of a control variable (such as voltage deviation) maximization of voltage stability, 195 make sense Or you could use another and leave function as 196 load curtailment and emissionand of certain gases etc. Sometimes, inSometimes, singular word, but you need to change are to be is. in a multi-objective 197 problems, the objective functions are augmented with respect to each other, where 198 importance is attached to a particular objective using the method of weighted sum, as seen Comment [a18]: Or presented in ref. [11]. 199 in [11]. 200 201 2.2 Optimization Techniques 202 203 The wide varieties of OPF formulations and the nature of the OPF problems, as previously 204 discussed, brought about wide varieties of optimization techniques. In the past decades, 205 OPF algorithms or techniques were designed in line with simplified assumptions of the 206 problem formulation. Such techniques were termed as traditional or deterministic or better 207 still mathematical optimization technique. The technique The technique have Comment [a19]: Technique is single word you

- been applied to OPF
- 208 problems and were-was used in power industry. However, they suffer some shortcomings, mainly
- as a result of the simplification made in the formulation of the problem, without which the 209
- technique might not converge, making the traditional have minimal applications [13]. 210
- 211 However, the However, the new dawn in optimization computations are the heuristics or non
- 212 deterministic optimization techniques, which differ conceptually from the traditional
- techniques, and are found to outweigh the shortcoming of the previously used traditional 213
- methods [methods [13]. It is it however is however noted that, there are still no known 214 universal or almighty

must use has

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- techniques that fits exactly for all varieties of the OPF problems, although some algorithms might perform excellently well than others in certain OPF model. A common theorem in this aspect of study is the no free lunch theorem; which states, no algorithms in all aspect is better than the other except in certain aspect where one may outweighsoutweigh the others [14]. The heuristic The heuristic techniques are, however, reported with many theoretical advantages and advantages and
- practically outperform the classical techniques. Though, they are computational intensive,

- 221 are not inherently applicable to constrained problems and the development of their software
- 222 package is burdensome relative to the traditional or deterministic techniques. Some of the
- 223 performance metrics for discerning between the algorithms as used in OPF researches,
- 224 were identified by [15] [16] as follows: computational speed, reliability, robustness, versatility
- 225 or flexibility, scalability, solution quality and time of convergence. Evidently, it is very difficult
- for a single algorithm to possess all these traits. However, [16] stated that solution quality,
- 227 robustness, time of convergence, reliability, and scalability should be considered in choosing
- and rating an OPF optimization techniques.

### 229 2.2.1 Traditional or Deterministic Oeptimization Techniques

- 230 These techniques are principally based on the criterion of local search for the optimal
- solution through the feasible region of the solution, they use single path search methods and
- 232 follow deterministic transition rules. Also known as derivative-based optimization methods,
- as its employed gradient and Hessian operators [5]. In these techniques, the criterion for
- 234 optimality is based on Karush-Kuhn-Tucker (KKT) criterion which is a necessary but not
- 235 sufficient criterion for optimality. <u>These techniques These havetechniques have</u> been widely used in solving
- 236 optimization problems and OPF problems in particular, the reason being their efficiency,
- simplicity, solid mathematical foundation and readily available software tools for their implementation [2].
- 239 Common among these techniques as applied to OPF are: Newton method, simplex method,
- 240 Lambda-iterative techniques, Gradient-based techniques, Linear and non-linear 241 programming, Quadraticprogramming, Quadratic and dynamic programming and interior point method etc [13]
- 242 However, in<u>However, in</u> spite of their application to OPF problem, the techniques suffer from the
- following drawbacks which make them to have minimal applications in solving practical OPF problems as reported in [13], [2], [5]-:]:
- Local solvers; cannot guarantee global optimality except for the case of convex
   problem; because the Karush-Kuhn-Tucker (KKT) conditions are not sufficient for a
   global optimum.
- <u>Uses</u> approximate assumptions (such as linearity, differentiability, convexity etc.)
   which are unlike practical OPF problem.
- 250 Sensitive Sensitive to objective function and the initial estimate or starting points.
- <u>Theo The</u> majority are meant to handle continuous variables, whereas the practical power
   system consist of binary or integer and discrete variables.

### 253 2.2.2 Heuristic or Non – Ddeterministic Oeptimization Ttechniques

- 254 These techniques employed exhaustive or stochastic search with randomness in moving
- from one solution to the next in the feasible solution region to obtain the optimal solution. T this
- 256 majorly helps in circumventing being trapped in local minima. Thus, they are versatile in
- 257 handling various OPF format even with non-convexities and complicating constraints that are
- 258 typical of practical OPFpractical OPF. These techniques are evolved to overcome the drawbacks of
- 259 conventional techniques .Mosttechniques. Most of these techniques imitate certain natural phenomenon in
- their search for an optimal solution, which brought about their various categories [17].

Comment [a21]: It is better to write authors' names. Wang and Thomas [16] stated that...... Or Wang and Thomas stated that....... [16].

**Comment [a22]:** What pronoun "they" mentioned for?

Comment [a23]: For what?

- 262
- Thus, each one of them have peculiar philosophy, but their common denominator is the systematic exploration of the search space for the solution. For instance, the philosophy of species evolutionspecies evolution, is employed in the case of Genetic Algorithms and Evolutionary

The

- 264 programming; the neural system philosophy, as the case of Artificial Neural Networks.;+tThe
- 265 thermal annealing of heated solids as the case of Simulated Annealing (SA); and the philosophy
- 266 of social behaviors and foraging of living things, as in the case of Ant Colony Optimization,
- 267 Particle Swarm Optimization (PSO), Fire-fly Algorithm, Teaching – Learning - Based optimization
- 268 and so on, ([9]). These techniques are called many names, popular among are: heuristic,
- meta-heuristic, artificial intelligent, modern optimization technique etc. 269
- 270 It is to be emphasized that the application of these techniques requires selection of some
- algorithm specificalgorithm parameters specific parameters for their proper performance. Also, 271
- theseAlso, techniquesthese are aretechniques are inherently designed to handle unconstrained problems, but with incorporation of penalty 272 273 terms except when using the direct method, the constrained problems are easily handled.
- 274 Most of these techniques are sensitive to the choice of parameter and penalty terms, such
- 275 that the improper selection either increases the computational effort or vields or vields the local
- optimal solution, also, a change in the parameters change their effectiveness [18]. 276
- 277 difficulty indifficulty in the selection of algorithm parameters, and their lack of solid mathematical
- 278 foundation with their complicated programming, are the major drawbacks of these
- 279 techniques [9]. However, advancementHowever, advancement in research are bringing to limelight some
- 280 techniques that requires selection of fewer algorithm specific parameters, such techniques is
- 281 the Teaching - Learning-Based Optimization (TLBO), Jaya algorithm among others [18].
- 282 2.2.3 Hybrid optimization Optimization Ttechniques
- 283 Optimization techniques continues to grow in importance due to its wide range of application
- 284 and thus becomes an active area of research. In spite of the landmark success of both
- deterministic and non-deterministic optimization techniques generally and in the aspect of 285 286 OPF in particular, there are still some inherent shortcomings of each of these techniques.
- 287 This brought about the quest of having a hybrid optimization algorithm techniques that
- 288 carefully combine two or more techniques into one, such that the advantages of each can be
- 289 used to strengthen the others or to surmount its disadvantages. Significant improvements
- 290 such as computation time, convergence properties, and solution quality or parameter
- 291 robustness over each of the individual methods are achievable [17]. The hybridization could
- 292 be:
- 293 i. Deterministic method combined : Instances of this as applicable to OPF are the Sequential Quadratic Programming (SQP) combined with quasi - Newton [19], 294 Interior Point Method (IPMS) combined with Benders Decomposition [4], Interior 295 296 Point Method (IPMS) combined with lagrangian Relaxation and Newton's method [20] etc. 297
- 298 ii. Deterministic and non-deterministic combined : Examples of this as applicable to 299 various form of OPF are Newton's method combined with Simulated Annealing (SA) [21], combined chaotic Particle Swarm Optimization (PSO) with linear Interior 300 301 Point Method (IPM) [22] Newton's method combined with Particle Swarm Optimization (PSO) [23] etc. 302
- iii. Non deterministic Methods Combined: Differential Evolution (DE) combined with 303 304 other meta-heuristics [24]; Particle Swarm Optimization (PSO) combined with Simulated Annealing (SA) [25]; combined Differential Evolution (DE) and Simulated 305 Annealing (SA) [26], etc. 306

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#### 308 3. PREVIOUS STUDIES

309 Application of the variants of Genetic Algorithm (GA) to the problem of economic dispatch of 310 generation was the focus of [27]. In this study, both the Conventional Genetic Algorithm 311 (CGA) and Micro Genetic Algorithm (µGA) were applied to minimize the generation cost, the 312 power balance constraints was the equality constraints considered. The authors reported 313 that the major drawback of the conventional genetic algorithms approach was that it can be 314 time consuming. Micro genetic algorithms approach was proposed as a better time efficient alternative. The effectiveness of both techniques to solving economic dispatch problem was 315 316 initially verified on a 6-bus IEEE test system and then on the 31-bus Nigerian grid systems. It 317 was concluded that the results obtained from both approaches were satisfactory. However, 318 from the view point of economic and computational time, micro genetic algorithms performed 319 better than the conventional genetic algorithms and overly better to that of Newtonapproach, on both the 6-bus IEEE test system and then on the 31-bus longitudinal Nigerian 320 321 grid systems.

323 In [28], voltage profile correction and power loss minimization through reactive power control using Differential Evolution (DE) and Particle Swarm Optimization (PSO) technique was 324 investigated. The feasibility, effectiveness and generic nature of both Differential Evolution 325 (DE) and Particle Swarm Optimization (PSO) approaches were demonstrated on the 31- bus 326 Nigerian grid system and the 39- bus New England power system with MATLAB application 327 package. The simulation results revealed that both approaches were able to remove the 328 329 voltage limit violations, but Particle Swarm Optimization (PSO)-procured in some instances slightly higher power loss reduction as compared with Differential Evolution (DE). However, 330 Differential Evolution (DE) was observed to require a considerably lower number of function 331 332 evaluations while compared with Particle Swarm Optimization (PSO), if this observation could be substantiated by further investigation on the longitudinal Nigerian grid system, the 333 334 DE approach will be more viable for potential real time application in control centre where 335 the computation time is very relevant.

336 337 More so, the Elitist Non-dominated Sorting Genetic Algorithm II (NSGA-II), was applied to 338 solve the multi-objective optimal dispatch of the Nigerian 24-bus hydrothermal power system 339 with fuel cost and transmission loss as the objectives, with the consideration of power balance [29]. The authors established that the solutions obtained by elitist non-dominated 340 341 sorting genetic algorithm (NSGA-II) converged better over both conventional genetic algorithms and micro genetic algorithms approaches used in earlier studies on the Nigerian 342 343 power grid. It was observed that as the modification of the algorithm increases, their 344 performance get better.

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346 The optimal dispatch of generation with the minimization of system total generation cost, 347 subjected to power balance constraint equation using Newton Raphson iterative techniques 348 was examined in [30]. This iterative techniques was applied to Nigerian grid system to 349 determine the total cost of generation as well as the total system transmission losses. While 350 the simulation was done with a MATLAB based program. At certain buses where voltage 351 drops were noticed, Load Tap-changing Transformer (LTCT) were introduced to adjust the 352 voltage magnitude, which furthered reduced the losses on the system. It was observed that the optimality in this study was determined based on Karush Kuhn Tucker (KKT) criterion; 353 being a traditional technique, the result obtained trailed that of previous works ([27],[28]and 354 355 [28]) in solution quality. 356

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357 Constrained Elitist Genetic Algorithm (CEGA) was adopted in [31] to solve the economic

#### **Comment [a25]:** I would be recommended to add finding result as section her Also, this section need to be include in introduction section

358 load dispatch problem of the 31-bus Nigerian power system, to reduce both the transmission

359 power loss and total cost of generation, while maintaining an acceptable generation output.

360 Simulation results show that CEGA performed better while comparing with the result of the micro genetic algorithm (µGA) and a Conventional Genetic Algorithm (CGA), previously used

361 362 with the same data set as reported in [27]. It was observed that the modification of the

363 algorithm brought about a better result for the Nigerian power grid.

### 364

The optimal load dispatch in the South / South Zone of Nigeria Power System by means of a 365 366 Particle Swarm optimization and Lambda-iteration techniques was investigated in [32]. The

economic load dispatch problem were solved for two different cases, the Sapele plant with 367

three units in generating stations and the Afam plant, with six units in the generating 368

stations. The analysis was simulated on MATLAB software package. The objective was cost 369

370 minimization with and without consideration of losses. It was reported that PSO gave a better solution in terms fuel cost and losses when compared to the result obtained by

- 371
- 372 lambda-iteration, for the same test case.

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#### 374 4. CONCLUSION

376 This paper has dissected and presented the nitty-gritty of OPF analysis of a longitudinal 377 power grid with emphasis on the Nigerian power system. From the reviewed works, the heuristic or non - deterministic optimization techniques demonstrated its effectiveness and 378 superiority over the traditional techniques with a better numerical result and computational 379 380 time unlike the traditional techniques. Although, the programming aspect or the development of software package of the heuristics techniques might be tedious relative to traditional 381 techniques. Noteworthy also, the performance of the non-deterministic techniques get better 382 as their modification and hybridization increases. These are cue forcue for further works. 383 384 Subsequent works should leverage on the application of non - deterministic and 385 combinatorial (hybrid) optimization techniques to solving OPF problems.

386 More so, it was evident from the review that bulk of the studies focused on generation cost

387 and transmission losses minimization; a particular case of OPF called economic dispatch. Extension of the scope of OPF to accommodate other operational constraints and objectives 388

- 389 with the consideration of FACTS controllers, hydro-plants and, distributed generations, are also
- 390 recommended; if included in the analysis, it This will further enhance the performance and
- 391 operation of the power system.

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Comment [a26]: It is recommended to add discussion section for finding from this review and your recommendation as your paper is A survey of Optimal Power Flow Analysis

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Comment [a27]: Define the abbreviation of OPF, as some reader may read only the conclusion

Comment [a28]: Define the abbreviation of FACTS

Comment [a29]: It may need subject here

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