Community-based fisheries management approach adopted in Bangladesh

ABSTRACT

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Aims: To promote the sustainable use of inland fisheries resources by empowering communities to manage their own resources.

Study Design: An investigation in the impact of the nationwide Community Based Fisheries Management (CBFM) Project to determine whether or not the Project was successful with respect to the management of floodplain-river fishery resources in Bangladesh.

Place and Duration of Study: The study comprised community managed fisheries (sites) located in five different inland water habitat types in Bangladesh for the period 1997-2005.

Methodology: The assessment employed species-wise catch and gear-wise effort data sampled bimonthly under the Project's catch assessment survey (CAS). Using quantitative indicators of fish production, abundance and biodiversity, the performance of community managed fisheries at up to 86 sites across the country representing a variety of different aquatic habitat was compared with that of fisheries managed under the existing government-driven regime using contingency table analysis and ANOVA.

Results: Production was found to have increased significantly through time at CBFM sites but not 23 24 significantly more than at the control sites. However, annual changes in fish abundance were 25 significantly higher at CBFM compared to control sites corresponding to either sustain or significant 26 increases in fish abundance depending upon the choice of the abundance index. In contrast, fish 27 abundance at control sites, indicated by catch rate estimates, and was found to have decreased 28 significantly through time. Changes in biodiversity were also found to be both positive at CBFM sites 29 and significantly greater than control sites. Changes in fish abundance and fishing intensity explained 30 much (60%) of the variation in fish production. Less (up to a maximum of 24%) of the total variation in 31 the fish abundance and biodiversity indicators could be explained by the type of management 32 although the presence or absence of closed seasons was significant in both cases. Fish sanctuaries 33 had no detectable effects on management performance although they may have been too small to produce any detectable effects. The CBFM appeared to have little effect in controlling fishing effort 34 35 and gear type use.

36 Conclusion: Community-based fisheries management appears to perform significantly better than the 37 existing management regime in Bangladesh. Existing information sharing networks could support 38 experimentation and learning under future initiatives.

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40 *Keywords:* Community-based management; co-management; floodplain; biodiversity, abundance, 41 adaptive management.

4243 **1. INTRODUCTION**

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45 The fisheries sector of Bangladesh contributed 3.61% to national GDP, 24.41% to agricultural GDP in 46 2015-2016 (DoF 2017). The floodplain-river fisheries of Bangladesh support the livelihoods of millions 47 of poor people but landings and species diversity are believed to be declining as a result of high rates 48 of exploitation and habitat degradation (Halls and Mustafa 2017). The significant decline in fish 49 production over the last 20 years can also be attributed to the current access right system and 50 abundance of proper contributed to overfishing, deforestation of swamp forest and restricted migration of fish during spawning season (Mustafa et al 2017). Inland fisheries under competitive leasing have 51 intermediary managers in the form of "leaseholders" local elites who include fisher leaders, money 52 53 lenders, landowners, politicians and professional *jalmohal* managers (Thompson 2004). Until recently, 54 the government's practice of short term leasing of small waterbodies or jalmohals, alongside a 55 combination of ineffectively implemented technical management interventions (gear bans, minimum 56 landing sizes) set out in fisheries legislation had in the past, provided little incentive for leaseholders to 57 harvest aquatic resources in a sustainable manner and often acted as an obstacle to access by poorer 58 members of the community (Craig et al. 2004). In 1995 Government declared "free access to open 59 waterbodies" in order to remove difficulties faced by fisher groups. However, this declaration made 60 open water fisheries management more difficult, as local muscle men took advantage of the open 61 access by excluding poor people from the resources thus, unlimited access for fishing was established 62 (Firoz et al 2016).

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64 The Community Based Fisheries Management (CBFM) Project, funded by the Ford Foundation (1994-65 1999) and the UK Government's Department for International Development (2002-2006), aimed to promote the sustainable use of, and equitable distribution of benefits from, inland fisheries resources 66 67 by empowering communities to manage their own resources. The project was implemented by the WorldFish and the Government of Bangladesh's Department of Fisheries (DoF) with the support of 11 68 69 Non-Governmental Organizations (NGOs). By 2005 the Project had facilitated the establishment of 70 120 community-based organizations (CBOs) located in regions throughout Bangladesh representing 71 more than 23,000 poor fishing households (Figure 1). Each CBO was responsible for the management 72 of a defined area of fish habitat which included a variety of different depressions or beels on the 73 floodplain forming perennial or seasonal lakes, categorized as closed beel, floodplain beel, haor beel 74 or open beel, as well as sections of river channel. The CBOs were encouraged to implement several 75 management interventions, typically in combination, to help manage their fishery resources in a sustainable manner. The main management interventions were stocking with fingerlings, a ban on 76 77 destructive fishing practices (gear bans), a closed season during spawning between May and July, 78 and harvest reserves of varying size. With support from the WorldFish and facilitating NGOs, the 79 CBOs were also encouraged to monitor and help evaluate the outcome of their management 80 interventions.

Following the completion of the Project in May 2006, this paper reports the outcome of a quantitative assessment designed to determine whether or not the Project was successful with respect to the management of floodplain-river fishery resources in Bangladesh. It also aims to report effective management interventions and important lessons that might help inform the design of future co- or community-based fisheries management initiatives and programmes both in Bangladesh and elsewhere.







Figure 1 Location of monitored CBFM and control sites in Bangladesh

92 2. MATERIALS AND METHODS 93

94 **2.1. Data**

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The assessment employed species-wise catch and gear-wise effort data sampled bi-monthly under the Project's catch assessment survey (CAS) between 1997 and 2005 from a maximum of 107 of the total 120 project sites (13 sites were not monitored) divided unequally between those under CBFM and unmanaged control sites (Table 1). Monitoring of control sites did not begin until 2002 and the majority of sites were located in the North and Northwest of the country (Figure 1). Because the catch assessment survey (CAS) performance indicators and explanatory variables were calculated for the split year June-May to maximise the number of study observations.

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Table 1 Number of monitored CBFM and control sites by habitat type and year. CB- Closed beel; FPB Floodplain beel; HB- haor beel; OB-Open beel; R – River section.

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| | | СВ | FM sit | es | | | Co | ntrol si | tes | | Total sites |
|------------|----|-----|--------|----|----|----|-----|----------|-----|---|-------------|
| Split year | СВ | FPB | HB | OB | R | СВ | FPB | HB | OB | R | |
| 1997-1998 | 2 | 2 | | 2 | 10 | | | | | | 16 |
| 1998-1999 | 5 | 2 | | 2 | 10 | | | | | | 19 |
| 1999-2000 | 4 | 2 | | 2 | 9 | | | | | | 17 |
| 2000-2001 | 2 | 2 | | 2 | 8 | | | | | | 14 |
| 2001-2002 | 2 | 2 | | 2 | 7 | | | | | | 13 |
| 2002-2003 | 9 | 23 | 6 | 20 | 16 | 1 | 4 | 4 | 4 | 6 | 93 |
| 2003-2004 | 12 | 24 | 6 | 27 | 19 | 1 | 4 | 4 | 4 | 6 | 107 |
| 2004-2005 | 12 | 23 | 6 | 22 | 20 | 2 | 4 | 4 | 4 | 6 | 103 |
| 2005-2006 | 11 | 22 | 7 | 27 | 19 | 2 | 4 | 4 | 4 | 6 | 106 |

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108 Performance Indicators & Explanatory Variables

109 Management performance was quantified using indicators of production and resource sustainability.

Where appropriate, differences in scale among sites were accounted for by standardizing the indicator by the mean maximum (flooded) area of the site (*MaxArea*_s) observed during the project duration.

by the mean maximum (flooded) area of the site ($MaxArea_s$) observed during the project duration. 112

Annual multispecies catch per unit area (CPUA) was employed as a measure of production at each site:

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$$CPUA_{s,y} = \frac{\sum_{m=June g=1}^{m=May} \sum_{g=1}^{n} Catch_{s,y,m,g}}{MaxArea_s}$$

Equation 1

Equation 2

117 118

119 Where $Catch_{s,y,m,g}$ is the estimated multispecies catch landed by gear type g, during month m and 120 year y at site s measured in kg ha⁻¹ y^{-1} .

Production resulting from stocking activities was excluded from this performance indicator although
the presence or absence of stocking (STOCKED) was employed as an explanatory variable (see
below). The bio-economic performance of different stocking strategies pursued under the CBFM
project is examined in detail by Halls *et al.* (2007).

Fish abundance indicated by multispecies catch per fisher per day or 'catch per day' (CPD) expressed
as kg day⁻¹ was employed as a measure of resource sustainability:

130 $CPD_{s,y} = \frac{Catch_{s,y}}{Annual FishingDays_{s,y}}$

132 Where *Annual FishingDays*_{s,y} is the estimated total number of days spent fishing by the fishers at 133 site s during year y, irrespective of the gear type employed.

One of the fundamental assumptions of the catch per fisher per day (CPD) indicator of fish abundance is that the effective fishing power of fishers and their gear (the *fishing unit*) remains constant with time. This assumption was examined by testing for significant changes in a fishing power index (FPI) for net fishers (Equation 3) through time. The FPI was estimated only for August and September to minimise any seasonal (hydrological) effects on the indicator. Gillnet fishing activity is greatest during this period corresponding to floodplain inundation, but gillnet efficiency is unlikely to change significantly.

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$$FPI_{i,s,y} = \frac{NetArea_{i,s,y} * Hours_{i,s,y}}{NF_{i,s,y}}$$
Equation 3

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144 Where $NetArea_{i,s,y}$ is the area of *i*th net sampled at site *s*, in year *y*, $Hours_{i,s,y}$ is the fishing hours 145 and $NF_{i,s,y}$ is the number of fishers operating the *i*th net.

Because of the fundamental importance of sustaining or improving fish abundance as a management objective, an alternative indicator of fish abundance that accounts for any changes in fishing power was also employed based upon observations of gillnet catch per unit effort (GNCPUE) estimates made between August and September (Equation 4):

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152
$$GNCPUE_{i,s,y} = \frac{Catch_{8-9,i,s,y}}{NetArea_{8-9,i,s,y} \cdot Hours_{8-9,i,s,y}} \cdot 1000$$
 Equation 4

153

154 Where $GNCPUE_{i,s,y}$ is the catch rate of the *i*th gillnet sampled at site *s* between August (month 8) 155 and September (month 9) of year *y*. The ratio was multiplied by 1000 because units (kg m⁻² hr⁻¹) were 156 typically very small.

157

158 Two measures of fishing effort were employed as additional (indirect) indicators of the sustainability of 159 the fisheries. The first; annual days fished per unit area (DPUA), provided an overall measure of 160 fishing effort (Equation 5).

162
$$DPUA_{s,y} = \frac{Annual \ Fishing \ Days_{s,y}}{MaxArea_s}$$
 Equation 5

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164 The second; destructive fishing effort ratio (DFER), provided an estimate of the total annual fishing 165 effort measured in hours with (predefined) destructive gear type (dg = 1 to n) as a proportion of the 166 total annual fishing effort with any type of gear, g (Equation 6). 167

168 $DFER_{s,y} = \frac{\sum_{dg=1}^{n} \sum_{m=June}^{m=May} Fishing hours_{s,y,m,dg}}{\sum_{g=1}^{n} \sum_{m=June}^{m=May} Fishing hours_{s,y,m,g}}$

Equation 6

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The predefined destructive gear types included monofilament gillnets, small-mesh or fine-mesh seine
nets, small mesh set bag net, fencing and dewatering.

Biodiversity, estimated using the Shannon-Weiner biodiversity Index (H') (Shannon, 1948) provided a further indicator of the sustainability of the fisheries from a conservation perspective (Equation 7).

 $H = -\sum_{i} p_{j} (\ln p_{j})$ 176

Equation 7

Where p_i is the proportion of the total biomass arising from the *i*th species. Here p_i was indicated by 177 178 the average gillnet catch rate for species *i* between August and September at site *s*, during year *y* 179 (Equation 8):

180
$$\overline{GNCPUE}_{j,s,y} = \frac{\sum_{i}^{n} GNCPUE_{j,i,s,y}}{n}$$

Equation 8

181

182 Where, 183

184
$$GNCPUE_{j,i,s,y} = \frac{Catch_{8-9,j,i,s,y}}{NetArea_{8-9,i,s,y}}.Hours_{8-9,i,s,y}$$
.1000 Equation 9

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186 2.2 Explanatory Variables

187 Thirteen explanatory variables hypothesised to affect management performance were identified 188 describing natural variation among sites, management interventions, management support, rule 189 enforcement capacity, and institutional arrangements (Table 2). 190

191 2.3 Transformations and Missing Data

192 Estimates of CPUA, CPD, GNCPUE, and DPUA were loge transformed and estimates of DFER 193 square-root transformed to meet the normality assumptions of the statistical tests employed (see 194 below). 195

196 For a variety of different logistical reasons, the CAS was not undertaken every month of the year at 197 some sites. These site-year combinations were not included in the analysis of annual performance 198 indicators (CPUA, CPD, DPUA, and DFER) that were calculated by summing estimates over each 199 calendar month.

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201 Data relating to katha (brushpile) fishing activities were missing for a large proportion of site, month 202 and year combinations. Catch and effort data for this gear type was therefore omitted from the 203 estimation of the performance indicators and explanatory variables. 204

205 **2.4 Analytical Procedure**

206 The impact of fisheries projects or programmes is typically quantified by testing for significant temporal 207 changes in mean estimates of performance indicators at project sites compared to control sites. This 208 type of approach was made difficult here because monitoring of control sites did not begin until six 209 years after the start of the CBFM Project in 2002 effectively creating 'missing cells' in the sampling 210 design. Excluding those CBFM sites that were monitored during these first six years (and beyond) would significantly reduce the dataset and could potentially exclude important historical trends. 211 212 Including the missing cells is possible by employing a 'Type IV sum of squares' model, however, the 213 interpretation of the results of such models is notoriously difficult and often unreliable (see 214 http://www.statsoft.com/textbook/stglm.html for further details).

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216 To address this issue, the trend (average annual change) in each performance indicator was first 217 estimated for each site using the general linear model (GLM) with SPSS v 11.5 where the performance indicator formed the dependent variable and time (year) was treated as the covariate. 218 219 The slope coefficient (b) of the linear (regression) model provided an estimate of the magnitude of the 220 performance indicator trend and whether it was upward (positive slope value) or downward (negative 221 slope value). Only sites with at least three years of observations were included.

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223 The majority of sites were monitored for three or four years following the start of the second phase of 224 the Project (CBFM2) in 2002. Detecting significant (p<0.05) trends within such short time series is 225 difficult because there are few degrees of freedom.

Table 2 Details of hypothesized explanatory variables for each dependent variable.

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| | | | | | Depe | enden | t vari | ables | i |
|----------------------------------|-------------------------|---|--|--------------|--------------|--------------|--------------|--------------|--------------|
| Explanatory variable category | Explanatory variable | Description | Units/coding | CPUA | CPD | GNCPUE | DPUA | DFER | Ť |
| Natural variation | REGION | Geographic location of site | East (E); North (N); Northwest (NW); Southwest (SW) Closed beel (CB): Eloodplain beel (EPB): | \checkmark | \checkmark | \checkmark | \checkmark | \checkmark | \checkmark |
| | HABITAT | Habitat type | Haor beel (Haor b); Open beel (OB); River (R). | | \checkmark | \checkmark | \checkmark | \checkmark | \checkmark |
| | STOCKED | Water body stocked? | Yes (Y); No (N) | \checkmark | \checkmark | \checkmark | | \checkmark | \checkmark |
| | CLOSED | Closed season/gearbans?* | Yes (Y); No (N) | \checkmark | | \checkmark | | | \checkmark |
| interventions | RESERVE | Harvest reserves present? Reserve area : maximum | Yes (Y); No (N) | \checkmark | \checkmark | \checkmark | \checkmark | \checkmark | \checkmark |
| | RESPROP | site area | Ratio | \checkmark | | | | | \checkmark |
| | CPD | See Equation2 | kg day ⁻¹ | \checkmark | | | | | \checkmark |
| Response to | GNCPUE | See Equation4 | $kg m^{-2} hr^{-1}$ | | | | | | |
| management | DPUA | See Equation5 | davs ha^{-1} | | | | | | |
| | DFFR | See Equation6 | Ratio | Ń | Ń | Ń | | | Ń |
| | Bren | | Banchte Shekha: BRAC: Caritas: CNRS: CRED: | • | • | • | | | • |
| Management support | NGO | NGO name | FRA: Proshika: SULION | | | | | | |
| Rule enforcement | 100 | Average maximum flooded | | • | , | • | , | , | • |
| capacity | MAXARFA | area of site | hectares | \checkmark | | | | | |
| Institutional | | | Jalmohol (1): Jalmohol but no fee(2): Private | • | • | • | • | • | , |
| arrangements | JALMOHOL | Resource ownership regime | ownership (3) | | \checkmark | \checkmark | \checkmark | \checkmark | \checkmark |

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*Gearbans and closed seasons were applied together at almost all CBFM sites and therefore their individual effects could not be tested.

Therefore, 2 x 2 contingency tables were first used to test the null hypothesis that the frequency of observed upward and downward trends in the performance indicators were independent of the management regime (CBFM or control) i.e. the CBFM has no effect on management performance.

To help interpret the results of these tests, further chi-square tests were performed on both CBFM and control sites independently to test whether the relative frequency of upward and downward trends in each performance indicator would be expected by chance. For this purpose, it was hypothesised that the expected frequencies of upward and downward trends would be equal if the CBFM (or control) had no effect. Both sets of tests were repeated for only those trends that were found to be significant.

As a means of providing an overall indicator of management performance an average 'Site score' ($\overline{Score_s}$) was also estimated for each site, s (Equation 10) using score values assigned for either upward or downward trends in each of performance indicator, *i* according to Table 3 giving a maximum and minimum attainable site score range of 1 to -1, respectively.

244
$$\overline{Score_s} = \frac{\sum_{i=1}^{n} Score_{i,s}}{n_s}$$
 Equation 10

245 Where n_s is the number of indicators scored at site s.

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247 248

Table 3 Score assigned to observed trends in each performance indicator

| | Score _i | | | | | | |
|-----------|--------------------|----------------|--|--|--|--|--|
| Indicator | Upward Trend | Downward Trend | | | | | |
| CPUA | +1 | -1 | | | | | |
| CPD | +1 | -1 | | | | | |
| GNCPUE | +1 | -1 | | | | | |
| DPUA | -1 | +1 | | | | | |
| DFER | -1 | +1 | | | | | |
| H' | +1 | -1 | | | | | |

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250 Significant differences in mean site score $\overline{Score_s}$ between CBFM and control sites were tested for 251 using GLM. The effects of fixed factors: geographic location (REGION); habitat type (HABITAT); 252 NGO; resource ownership regime (JALMAHOL) and the covariate: waterbody sizes (MAXAREA) on 253 mean site scores were also tested. 254

255 In addition, significant differences in the mean slope coefficient (b) value of each performance 256 indicator for CBFM and control sites was tested for using ANOVA (GLM) after accounting for region 257 and habitat type. Significant differences in mean slope coefficient values were interpreted as a 258 'management effect' rather than an environmental effect during the six-year period prior to the start of 259 monitoring at control sites. No significant (p>0.05) trends in river hydrology, indicated by annual 260 estimates of maximum water height in the main river channels (Padma, Meghna and Brahmaputra), 261 were detected during the Project period, or before or after the monitoring of control sites suggesting 262 that this is not an unreasonable assumption. Two-tailed Student t-tests where used to determine if the 263 mean slope coefficient estimates for each performance indicator were significantly different from zero 264 for both CBFM and control sites. For loge transformed indicators (CPUA; CPD; GNCPUE and DPUA) the mean slope coefficient estimates were used to provide estimates of percentage annual change in 265 266 each indicator after back-transforming the mean slope estimate. The square-root transformed DFER 267 indicator was excluded from the analysis because, unlike the indicators estimated using log-268 transformed variables, the (back-transformed) regression model slope coefficients estimated using 269 square-root transformed data cannot be interpreted meaningfully.

Changes in fishing power through time were examined by plotting log_e transformed estimates of mean
 FPI against year. Student's *t*-test was then used to test whether the mean FPI slope coefficient was
 significantly different from zero for each habitat category.

Finally, the GLM was used to identify explanatory variables that were significant in determining site slope coefficient estimates for each performance indicator using data combined from both CBFM and control sites. Hypothesised explanatory variables were: Site slope coefficient estimates for CPD (cpdb), GNCPUE (cpueb), DPUA (dpuab), and DFER (dferb); habitat type (HABITAT); geographic location (REGION); NGO; presence/absence of harvest reserves and closed seasons (RESERVE and CLOSED respectively); resource ownership regime (JALMOHOL); relative reserve size (RESPROP) and water body size (MAXAREA).

2812823. RESULTS

For each habitat type, the average fishing power index (FPI) slope coefficient was positive (upward through time) but not significantly different from zero at the 5% level, indicating (on average) no significant change in fishing power with time for any habitat type. Catch per day (CPD) was therefore likely to have provided an unbiased indicator of fish abundance.

289 **3.1 Trends in performance indicators**

290 Considering all trends, irrespective of their individual statistical significance, the presence or absence 291 of the CBFM had a significant effect on the relative frequency of upward and downward trends in 292 CPUA, CPD, GNCPUE and H' (Table 4). Trends in DFER and DPUA were found to be independent of 293 management type. These conclusions remained unchanged for those indicators exhibiting significant 294 trends that could be tested.

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 H_0 : The trend (upward or downward) in the performance indicator is independent of the management regime (CBFM or control).

 H_{A} : The trend (upward or downward) in the performance indicator depends upon the management regime (CBFM or control)

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309

The relative frequencies of the upward and downward trends indicated that the CBFM activities have significantly (p<0.01) benefited production (CPUA), fish abundance (CPD) and biodiversity (H') at the majority (70-80%) of CBFM sites (Table 5). If only significant CBFM site trends are considered, the probability that this is a false conclusion was less than 13%. Considering only the significant trends, the proportion of upward trends increased to approximately 90% for the three indicators.

Table 4 Estimates of *p* for the chi-square analysis to test the effect of the CBFM on management performance indicators. NA - Estimate not available.

| Indicator | p (All trends) | p (Significant trends only) |
|-----------|----------------|-----------------------------|
| CPUA | <0.01 | NA ¹ |
| CPD | 0.01 | NA ² |
| GNCPUE | 0.02 | 0.01 |
| DFER | 0.09 | 0.10 |
| DPUA | 0.82 | 0.35 |
| H' | 0.02 | NA ¹ |

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311 NA¹ Estimate is biased because 75% of expected frequencies were less than 5 (Zar, 1984).

312 NA² No significant trends for control sites.

313

Nearly 60% of CBFM sites exhibited downward trends in fish abundance during August and September, indicated by effort standardized gillnet catch rates during the period (GNCPUE). However, these frequencies could be expected by chance. Fishing intensity (DPUA) and destructive fishing practices (DFER) both declined at more CBFM sites than they increased at but these frequencies could also be expected by chance (Table 5). At control sites, downward trends in CPUA, CPD and H' were more frequent than upward trends but the relative frequencies could also be expected by chance (Table 5). The number of downward trends in GNCPUE would not, however be 321 expected by chance for all, and only significant, trends, indicating significant declines in the 322 abundance of fish during August and September at control sites.

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Table 5. Summary of the trends in the performance indicators.

| , | | ALI | SITES | (CBFM | AND C | ONTR | OL) |
|----------------------------|--|-----------------------|------------------------|------------------------|---------------------------------------|----------------------|-------------------------|
| | | | | σ | | | |
| All trends | Frequency Upward Frequency Downward % Upward | 69 5 5 CPUA trend | 2 2 CPD Trend 5 8 2 | 2 54 37 GNCPUE Tren | 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | 48 8 DPUA Trend | puəıL .H 54 31 64 |
| | Chi-square (p) | <0.01 | <0.01 | 0.02 | 1.00 | 0.65 | 0.01 |
| Significant Trends Only | Frequency Upward Frequency Downward % Upward Chi-square (p) | 11 2 85 0.08 | 11 1 92 0.04 | 17 34 33 0.09 | 4 4 50 1.00 | 4 3 57 0.79 | 8 2 80 0.18 |
| | | | СВ | FM SITE | | Y | |
| All trends | Frequency Upward | 49 | 46 | 30 | 29 | 30 | 48 |
| | Frequency Downward | 15 | 18 | 40 | 35 | 34 | 21 |
| | % Upward | 77 | 72 | 43 | 45 | 47 | 70 |
| | Chi-square (p) | <0.01 | <0.01 | 0.23 | 0.45 | 0.62 | <0.01 |
| Significant Trends Only | Frequency Upward Frequency Downward % Upward Chi-square (p) | 10 1 91 0.06 | 11 1 92 0.04 | 17 23 43 0.50 | 2 4 33 0.56 | 3 3 50 1.00 | 7 1 88 0.13 |
| | | | CON | | TES O | NLY | |
| All trends | Frequency Upward | 6 | 6 | 2 | 11 | 8 | 6 |
| | Frequency Downward | 10 | 10 | 14 | 5 | 8 | 10 |
| | % Upward | 38 | 38 | 13 | 69 | 50 | 38 |
| | Chi-square (p) | 0.32 | 0.32 | <0.01 | 0.13 | 1.00 | 0.32 |
| Significant Trends | Frequency Upward | 1 | NA | 0 | 2 | 1 | 1 |
| Only | Frequency Downward | 1 | NA | 11 | 0 | 0 | 1 |
| | % Upward | 50 | NA | 0 | 100 | 100 | 50 |
| | Chi-square (p) | 1.00 | NA | 0.02 | 0.32 | 0.48 | 1.00 |

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330 3.2 Site Scores

331 Mean site score was found to vary significantly among habitat type and between CBFM and control sites. Significant differences in mean site score between CBFM and control sites were detected for 332 closed beel (p=0.03, 1-β =0.60, d.f.=9), open beel (p<0.01, 1-β=0.86, d.f.=25) and river habitat 333 (p<0.01, 1-β=0.98, d.f.=23) (Figure 2). For CBFM sites only, site score varied among habitat type but 334 not significantly (p=0.64; 1- β =0.2, d.f.=76). No significant differences in site score were detected 335 among geographic location (REGION) (p=0.17, 1-β=0.43, d.f.=77), site size (MAXAREA), (p=0.35, 1-336 337 β =0.15, d.f.=79), the NGO facilitating the site management (p=0.18, 1- β =0.56, d.f.=74) or the 338 resource ownership regime (JALMOHOL) (p=0.60, $1-\beta=0.13$, d.f.=74).

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Figure 2 Mean site score with 95% CI for CBFM and control sites by habitat type. CB- Closed beel;

- 343 FPB- Floodplain beel; Haor b Hoar beel; OB Open beel; R River.
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345 **3.3 Mean slope coefficients**

346 Estimates of the mean CPUA slope coefficient (cpuab), representing annual rates of change in fish 347 production, were found to vary significantly (p<0.05) with habitat type, but not between CBFM and 348 control sites suggesting that the CBFM has had no significant detectable effect on CPUA (Figure 3). 349 However, estimates of the mean slope coefficient for CBFM sites were greater than zero for all habitat 350 except haor beel, and significantly greater than zero (p<0.05) for closed and floodplain beel, and river 351 habitat (Figure 3) indicating increasing production through time in these habitats. Average increases in 352 CPUA ranged from approximately 20% to 30% per year (Table 6). Estimates of the mean slope 353 coefficient for control sites were not significantly different from zero for all habitats tested indicating no 354 significant change in fish production (CPUA) at control sites (Table 7 and Figure 3). 355

> СВ FPR Haor b 1.50 1.00 cpuab 0.50 0.00 -0.50 ов R 1.50 1.00 cpuab 0.50 0.0 -0.5 Control CBEN Contro CBFM **CBFMor Control CBFM or Control**

Figure 3 Mean slope coefficient estimates with 95% CI for the fish production indicator CPUA (cpuab) at CBFM and control sites for each habitat. Reference line at zero indicates no change in mean value of indicator.

Variation in fish abundance and fishing intensity, indicated by cpdb and dpuab respectively, best explained the variation in fish production (cpuab) among sites (R²=0.60; p<0.01 d.f.=77). As expected, fish production increases both with increasing fish abundance and fishing effort although these two variables are typically negatively correlated. The 'partial eta-squared' statistic revealed that fish abundance (CPD) explained more of the variation in CPUA than fishing intensity (DPUA) [54% compared to 44% respectively].

368 Two-way ANOVA tests (GLM) indicated no significant difference (p<0.05) in the estimate of the mean 369 CPD slope coefficient among habitat type after accounting for differences between CBFM and control 370 After pooling the data across habitat, the estimate of the mean slope coefficient was sites 371 significantly (p=0.03) greater for CBFM compared to control sites, and significantly (p<0.01) greater 372 than zero (Figure 4). The estimate of the mean slope coefficient for CBFM sites translates to an 373 increase in daily catch rates of 16% per annum. Equivalent increases by habitat ranged from 10-20% 374 per annum (Table 6). Rates of change in fish abundance at control sites were not significantly 375 different from zero (Table 7). In order of importance, fishing intensity (dpuab) and the 376 presence/absence of closed seasons (CLOSED) together best explained the variation in daily catch 377 rates (cpdb) (R²=0.15; p<0.01); d.f.=77). Catch rates (cpdb) were found to decline with increasing 378 fishing intensity (dpuab) and in the absence of closed seasons (CLOSED=N). The presence/absence 379 of harvest reserves was found to have no significant (p>0.05) effect on catch rates.

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Figure 4 Mean slope coefficient estimates with 95% CI for the fish abundance indicators CPD (left)
 and GNCPUE (right) at CBFM and control sites for all habitat sites combined. Reference line at zero
 indicates no change in the value of indicator with time.

387 Estimates of the mean gillnet catch rate (GNCPUE) slope coefficient (cpueb) were found not to vary 388 significantly across habitat type (Table 6). After pooling the estimates across habitat, the estimate of 389 the mean slope coefficient for CBFM sites was significantly greater (p<0.05) than for control sites but 390 not significantly different from zero, indicating no significant decline in mean gillnet catch rates at CBFM sites through time (Figure 4). The estimate of the mean slope coefficient for control sites was 391 however significantly less than zero, equivalent to a decline in catch rates (fish abundance) of 392 393 approximately 30% per annum (Table 7). The presence/absence of closed seasons (CLOSED) was 394 also found to best explain the variation in gillnet catch rates (cpueb) but only at the α =0.1 level. 395 $(R^2=0.04; p<0.07; d.f.=83)$. Gillnet catch rates were also found to decline in the absence of closed 396 seasons.

Estimates of the mean fishing intensity (DPUA) slope coefficient (dpuab) representing annual rates of change in fishing intensity were found to vary significantly (p<0.05) between habitat but not between CBFM and control sites (Figure 5). For CBFM sites belonging to floodplain beel habitat, mean fishing intensity increased significantly (p<0.05) by approximately 10% per annum, but not significantly more than at control sites (Tables 6 and 7). For haor beel habitat, the mean estimate for CBFM sites was significantly less than zero, equivalent to a decline in fishing intensity of more than 30% per year

404 (Table 6). This decline was not significantly different from that estimated for control sites. The 405 remaining combinations indicated no significant change in fishing intensity through time. No 406 management interventions were found to have a significant effect on dpuab.

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Figure 5 Mean slope coefficient estimates with 95% CI for the fishing effort indicator DPUA (dpuab) at CBFM and control sites for each habitat. Reference line at zero indicates no change in mean value of indicator.

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415 Estimates of the mean biodiversity index (H') slope coefficient (hb) representing annual rates of 416 change in biodiversity were found to vary significantly (p<0.05) with habitat and between CBFM and 417 control sites (Figure 6). On average, the value of hb was 0.19 higher at CBFM compared to control 418 Significant increases in biodiversity at CBFM sites through time (mean slope coefficient >0) sites 419 were found for both closed and floodplain beel habitat equivalent to annual increases in H' of 0.12 and 420 0.17, respectively. Significant improvements in H' through time were also estimated for control sites in 421 floodplain beel habitat equivalent to 0.21 per annum (Tables 6 and 7). No significant (p<0.05) changes 422 in biodiversity were detected at either CBFM or control sites in haor, open beel or river habitat. 423 Estimates for control sites were lower than for CBFM sites for open beel and river habitat but not 424 significantly (p>0.05). After accounting for differences among habitat type, the presence/absence of 425 closed seasons (CLOSED) best described variation in biodiversity among sites (R^2 =0.24; p<0.01; 426 d.f.=75). On average, the presence of closed seasons improved the value of the biodiversity indicator 427 by 0.055 per annum.



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Figure 6 Mean slope coefficient estimates with 95% CI for the fish biodiversity indicator H' (hb) at CBFM and control sites for each habitat. Reference line at zero indicates no change in mean value of indicator.

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Table 6 Estimates of the mean slope coefficient (*b*) of regressions of performance indicators with time (year) by habitat for CBFM sites. Bold and underlined slopes are significantly (p<0.05) different from zero. Estimates for all habitats are provided in those cases where habitat was found not to be a significant factor in determining mean slope values. Corresponding annual rates of change are provided below.

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|---|----|----|
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| Habitat | CPUA b | CPD b | CPUE b | DPUA b | H' b |
|----------------------------|---|---|--------------------------------------|--|-----------------------------|
| СВ | <u>0.2006</u> | 0.1946 | -0.0987 | 0.0060 | <u>0.1239</u> |
| FPB | <u>0.2579</u> | <u>0.1166</u> | <u>-0.1869</u> | <u>0.0991</u> | <u>0.1720</u> |
| HAOR | -0.2069 | 0.0892 | <u>-0.2733</u> | <u>-0.3768</u> | 0.0136 |
| OB | 0.1101 | 0.1942 | 0.1656 | -0.0841 | 0.0161 |
| RIVER | <u>0.1983</u> | <u>0.1753</u> | -0.1296 | 0.0230 | -0.0025 |
| All habitat | - | <u>0.1527</u> | -0.0534 | - | - |
| | | | | | |
| | | % Per an | num | | Per |
| | | | | | annum |
| Habitat | CPUA | CPD | CPUE | DPUA | Н' |
| CB | 22.2 | 21.5 | -9.4 | 0.6 | 0.12 |
| 00 | | | | | |
| FPB | 29.4 | <u>12.4</u> | -17.0 | <u>10.4</u> | 0.17 |
| FPB HAOR | <u>29.4</u> -18.7 | <u>12.4</u> 9.3 | <u>-17.0</u> -23.9 | <u>10.4</u> -31.4 | <u>0.17</u> 0.01 |
| FPB HAOR OB | <mark>29.4</mark> -18.7 11.6 | <u>12.4</u> 9.3 21.4 | <u>-17.0</u> <u>-23.9</u> 18.0 | <u>10.4</u> <u>-31.4</u> -8.1 | <u>0.17</u> 0.01 0.02 |
| FPB HAOR OB RIVER | <mark>29.4</mark> -18.7 11.6 21.9 | <u>12.4</u> 9.3 21.4 19.2 | -17.0 -23.9 18.0 -12.2 | <u>10.4</u> <u>-31.4</u> -8.1 2.3 | 0.01 0.02 -0.003 |

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Table 7 Estimates of the mean slope coefficient (*b*) of regressions of performance indicators with time (year) by habitat for control sites. Bold and underlined slopes are significantly (p<0.05) different from zero. Estimates for all habitats are provided in those cases where habitat was found not to be a significant factor in determining mean slope values. Corresponding annual rates of change are provided below.

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|-----|---|-----|
| - + | 4 | - 7 |

| Habitat | CPUA b | CPD b | CPUE b | DPUA b | H' b |
|-------------|---------|----------------|----------------|-----------|---------------|
| СВ | - | - | -0.2242 | | -0.4491 |
| FPB | 0.5158 | 0.0022 | -0.0925 | -0.0102 | <u>0.2130</u> |
| HAOR | 0.1238 | 0.2713 | -0.2931 | -0.5917 | 0.0550 |
| OB | -0.2579 | -0.1648 | -0.5845 | -0.0931 | -0.2718 |
| RIVER | -0.0167 | <u>-0.1654</u> | <u>-0.3556</u> | 0.0324 | -0.2083 |
| All habitat | - | -0.0142 | <u>-0.3435</u> | - | - |

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| | | Per annum | | | |
|-------------|-------|--------------|--------------|-------|-------------|
| Habitat | CPUA | CPD | CPUE | DPUA | H' |
| СВ | - | - | -20.1 | - | -0.45 |
| FPB | 67.5 | 0.2 | -8.8 | -1.0 | <u>0.21</u> |
| HAOR | 13.2 | 31.2 | -25.4 | -44.7 | 0.05 |
| OB | -22.7 | -15.2 | -44.3 | -8.9 | -0.27 |
| RIVER | -1.7 | <u>-15.2</u> | <u>-29.9</u> | 3.3 | -0.21 |
| All habitat | - | -1.4 | <u>-29.1</u> | - | - |

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453 **4. DISCUSSION**

According to the relative frequency of upward and downward trends in performance indicators at CBFM and control sites, the CBFM Project appears to have benefited fish production (CPUA), abundance (CPD and GNCPUE) and biodiversity (H') at participating sites, but has had little or no apparent effect on destructive fishing practices (DFER) or fishing intensity (DPUA). No significant (p<0.05) overall trends in management performance were detected at control sites except for fish abundance indicated by gillnet catch rates (GNCPUE) which declined at significantly more sites, than it rose.

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462 The analysis of slope coefficients corresponding to these trends generated largely consistent results to 463 those above but indicated that some of the above conclusions were habitat specific. The CBFM was 464 found to have a significant beneficial effect on CPD, GNCPUE and H', but not CPUA or DPUA after 465 accounting for variation among habitat type and region.

466

Whilst changes in production at CBFM sites were not significantly different from those observed at
control sites, they were significantly greater than zero in three habitats, with annual increases ranging
from between 20% and 30% per annum. Improvements in production were found to be dependent
upon fish abundance (CPD) and fishing intensity (DPUA).

472 Mean annual increases in fish abundance, indicated by CPD, were significantly greater at CBFM 473 compared to control sites, particularly in river habitat (20% per annum). Furthermore, the mean 474 change in fish abundance at control sites was not significantly different from zero. Fish abundance 475 increased in response to a decrease in fishing intensity (DPUA) and the use of closed seasons, but 476 these factors explained only 15% of the total variation in fish abundance. Whilst gillnet net catches 477 rates (GNCPUE) indicated no significant change in fish abundance at CBFM sites, a significant 478 (p<0.05) decline in mean rates was detected at control sites equivalent to almost -30% per annum.

480 Which abundance indicator is the more reliable? The GNCPUE takes full account of any changes in 481 the fishing power of the fishing unit and is also less susceptible to bias resulting from any changes to 482 relative effort among gear types during each fishing year. However, the fishing power index (FPI) was found not to have increased significantly through time within any habitat suggesting that the CPD 483 indicator is unlikely to be biased from changes in fishing power. Unlike the annual perspective of the 484 485 CPD indicator, GNCPUE provides an index of fish abundance only during a two month period during the flood season when gillnets tend to target migratory whitefish species (Welcomme 1985). 486 GNCPUE may therefore be a poor indicator of the abundance of less migratory blackfish species, and 487 488 thus the entire assemblage. Therefore each indicator has advantages and disadvantages.

490 Irrespective of the choice of indicator, the results suggest that fish abundance does benefit from491 CBFM manifest either as increasing, or at least sustained, abundance.

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Rates of change in biodiversity were found to vary significantly among habitat and were on average also significantly greater at CBFM compared to control sites. Improvements in biodiversity at CBFM sites through time were significant in closed and floodplain beel habitat. Significant improvements in biodiversity were also detected for control sites belonging to floodplain beel habitat. The presence/absence of a closed season best described the variation in biodiversity among sites.

The slope coefficient analyses also supported the conclusion that the CBFM appears overall to have had little effect on fishing intensity (DPUA) although significant declines (31% per annum) were found at CBFM sites belonging to haor beel habitat and modest (10%) but significant increases were observed in floodplain beel habitat. No significant changes in fishing intensity were detected at control sites.

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505 Variation in the slope coefficient estimates for the individual management performance indicators at 506 CBFM sites was significant within the majority of habitats categories but no discernable patterns were 507 evident among the indicators to suggest that overall CBFM performance varied significantly among 508 habitat, nor site size, geographic region or facilitating NGO. 509

The mean composite measure of management performance (site score) was found to be greater at CBFM compared to control sites in four of the five habitats and significantly (p<0.05) greater in three. The size of the waterbody (MAXAREA), the NGO facilitating management and the ownership regime (JALMOHOL) were also found to have no detectable effects on the site score estimates among CBFM sites.

516 Whilst co- and community-based management approaches have long been advocated as a means to 517 addresses the failures associated with conventional 'top-down' approaches to management (Pomeroy & Williams 1994; Hoggarth et al. 1999; Wilson et al. 2003), few studies have quantitatively 518 519 demonstrated their benefits. On the basis of the results presented here, it is concluded that the practices implemented under the Community Based Management (CBFM) Project in Bangladesh have 520 521 improved, or at least sustained, fish abundance and biodiversity without significant loss to production 522 compared to those at the control sites. In other words, the community-based approach adopted under 523 the Project appears to give rise to better management performance than the existing top-down 524 government-driven regime.

526 Increases in fish abundance and fishing intensity explained much (60%) of the variation in fish 527 production. Greater uncertainty surrounds which factors were responsible for improvements in the 528 remaining indicators. Closed seasons appear significant but explain less than 15% of the variation in 529 fish abundance (CPD) after accounting for differences in fishing intensity, and only 24% of the 530 variation in biodiversity. Halls et al. (2001) predicted that closed seasons during the rising flood period 531 (April-July) would significantly increase floodplain fish production and abundance by improving both recruitment and yield-per-recruit. Whilst the effect of gear bans on the response of performance 532 indicators could not be separated from those arising from closed seasons (because the two 533 534 interventions were implemented together at almost all CBFM sites) the observed trends in destructive 535 gear use (DFER) indicated that gear bans had been ineffective and therefore were unlikely to have 536 been responsible. Hoggarth & Kirkwood (1996) predicted that gear bans do not increase overall yield, 537 but can be an effective means of redistributing benefits to preferred gear of fisher socio-economic 538 categories.

Reserves have been recommend as potentially effective means of controlling fishing mortality in the floodplain environment (e.g. Hoggarth *et al.* 1999; 2003) but studies robustly demonstrating their efficacy, and recommendations concerning minimum reserve areas, are lacking. Here, reserves were found to have no detectable effect on any of the management performance indicators. Their apparent ineffectiveness here may reflect poor enforcement, inappropriate reserve location or simply that they were too small to produce any detectable effects. Seventy-five percent of the reserves occupied less than 10% of the dry season area of CBFM sites.

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548 Up to 12 CBFM and control sites were also stocked to improve production. Estimates of fish 549 production employed in the CPUA, CPD and GNCPUE indicators excluded landings of stocked fish 550 although the effect of stocking activities on performance indicators was considered.

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553 554 The CBFM Project has already demonstrated that CBOs are motivated to share and disseminate their 555 knowledge and experiences through meetings, exchange visits and newsletters (Halls *et al* 2005). 556 Consideration might therefore be given to strengthening these types of CBO networks to support 557 experimentation and learning under future initiatives. Halls *et al* (2005) describe guidelines for 558 designing data collection and sharing systems to support this type of adaptive management approach. 559

560 **5. CONCLUSION**

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562 Upcoming initiatives may choose to place greater emphasis on identifying effective habitat-specific 563 management interventions and arrangements with respect to specific management objectives. For 564 example, CBOs might be encouraged to experiment with closures to the fishery of different durations 565 or during different months of the year (seasons), allocate different proportions of their dry season fish 566 habitat as reserves, or control fishing effort at different levels as a means of determining the best 567 strategy to increase fish production, abundance or biodiversity. Future impact studies of this type 568 would benefit from greater consideration to the sampling design to avoid the problems encountered 569 here arising from missing cells and an unbalanced design, and to optimize the use of project 570 resources. 571

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