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2 3 4	Original Research Article Use of Cranial Computed Tomography(CT) in Elderly Patients Presenting after a Fall: Can We Predict Those Having Abnormal Head CT Scans
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7	No author has a financial interest or conflict of interest related to this manuscript submission.
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10	Abstract:
11	Aims: Identify factors predictive of increased risk of intracranial injury and assess the ability of
12	the non-age related components of the New Orleans head CT criteria (NOC) to guide decision-
13	making.
14	Study Design: Retrospective electronic medical record review and application of decision rule
15	Place and Duration of Study: Emergency Department of Vidant Medical Center, Department
16	of Emergency Medicine, Brody School of Medicine at East Carolina University; Greenville
17	North Carolina, USA; January 2008 through December 2008
18	Methodology: Electronic Medical Records of patients > 65 years of age coming to our
19	Emergency Department during 2008 with a diagnosis of fall or traumatic injury were reviewed.
20	Demographics, fall/injury details, risk factors, CT performance, and CT findings were recorded.
21	Revisit within 30 days was reviewed. Non-age related NOC were applied to the population.
22	Transfers, known intracranial injury, and multisystem trauma were excluded. Independent
23	predictors of positive findings were sought using logistic regression.
24	Results: 783 patients with fall and traumatic injury were identified. Ninety-six met exclusion
25	criteria, leaving 687 for analysis. 321 patients received head CT; 296 met the non-age NOC for

26	head CT. Twelve (3.1%) abnormal head CTs were identified; nine showed an acute finding.
27	Acute findings were not predicted by any independent variable. All 12 of the abnormal head
28	CTs (9 acute, 3 chronic) were identified by the non-age NOC. 45 patients presented again within
29	30 days with no injuries noted.
30	Conclusion: Age over 65 did not increase the risk for acutely abnormal head CT in the patient
31	presenting to the ED after a fall. No single factor was predictive of acutely abnormal head CT.
32	The use of the non-age related NOC predicted those patients having an abnormal head CT with
33	100% accuracy. Age may not independently necessitate head CT after a fall.
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35	Key Words: elderly, fall, head injury, computed tomography, decision rules
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37	INTRODUCTION
38	In 2004, injuries resulted in 31 million emergency department (ED) visits, representing
39	32% of all visits. Elder patients are at highest risk for both fatal and nonfatal injuries with
40	mortality and hospitalization rates for injuries reported to increase dramatically.[1,2]. Falls are
41	the most common mechanism of injury for older patients visiting the ED and are the most
42	common cause of injury-related death [1,2]. Several widely used evidence based decision rules
43	[3-10] using a general population indicate that age over 60 or 65 years places the patient at high
44	risk for an abnormal head CT after a mild head injury. The various decision rules have been
45	compared to determine if one more readily differentiates the patient who will benefit from head
46	CT [11-13], but none specifically address only the population of patients over age 65 who
47	potentially have an intracranial injury, particularly after a fall. Currently no definitive evidence
48	exists as to how to evaluate elderly patients after a fall. Due to the general increased incidence

49 of injury, and specifically closed head injury, head CT is frequently ordered [14]. However, CT

scans are costly and are now recognized to carry a radiation risk [15, 16].

Head CTs ordered because of a fall account for the expenditure of millions of dollars 51 annually in the United States [3]. To contain costs while providing excellent care, it is important 52 53 for emergency physicians to know if patients will benefit from head CT. We retrospectively searched for elderly patients who fell and are considered at increased risk for intracranial injury. 54 based upon current decision-making strategies. We sought to define risk factors for acutely 55 abnormal head CT in these elder patients after a fall, as it is the most common mechanism of 56 mild blunt closed head injury and applied the non-age related NOC to the population receiving 57 head CT scans. We hypothesized that application of the non age NOC to the elderly population 58 will reduce the head CTs ordered in this population without compromising care. 59

60

61 MATERIAL AND METHODS

The study was conducted in the ED of a teaching hospital and Level I Trauma Center in 62 the Southeastern United States with an annual census of 90,000 patients during 2008. All 63 patients greater than 65 years of age presenting to the ED or its Fast Track area from January 1, 64 2008 to December 31, 2008 with ICD-9 code for "fall" or "traumatic injury" (958.0-959.0) as the 65 final diagnosis were eligible for inclusion. Patients under 65 years of age, those received in 66 transfer from another medical facility or accepted as a patient with multi-system trauma were 67 excluded from analysis. Physician judgment and standard accepted medical practice determined 68 whether a patient received a head CT scan. Prior to collection of study data, ten charts were 69 randomly selected and all investigators extracted the prescribed data from each chart. 70 Comparisons of the data obtained by each investigator were made to assess consistency in 71 interpretation of patient records and findings. The kappa statistic for inter-rater reliability was 72

73	0.86 and demonstrated good reliability. EMR were retrospectively reviewed by three of the
74	investigators (JB, RJ,CB) and data collected on a standardized form. Two investigators (NN,
75	MA) applied the non-age NOC to the study population (Table 1).
76	
77	Table 1: New Orleans Head CT rules: Presence of any of the following indicates the
78	need for head CT [6]:
79	Trauma above the clavicles
80	Altered memory
81	Intoxication
82	Headache
83	Vomiting
84	Seizure activity
85	Age > 60 years (N/A in this study)
86	

The following data was collected: age, gender, type of fall, presence of dementia, anticoagulant 87 or aspirin use, presence of/type of injury above the clavicle, performance of head CT, acute 88 finding on head CT, return within 30 days, reason for return, head CT at return visit, and acute 89 findings present at return visit. Type of fall was characterized as: fall from bed, from sitting, 90 from standing or from height above ground. Dementia was noted from the patient's past medical 91 history or the current provider's note. A patient was considered to have a memory deficit if they 92 had a change from their baseline memory status. Headache was any reported head pain, 93 localized or diffuse. Intoxication was determined as per the treating physician's documentation. 94 If intoxication was not reported then the patient was deemed not intoxicated. Seizure activity 95 96 included any suggestion of seizure. Anticoagulants were categorized as: aspirin, clopidogrel, warfarin, fractional based or low molecular weight heparin. Presence, location, and type of 97 injury were noted from the physician's note and the discharge or admission diagnoses recorded 98 in the chart for that visit. Trauma above the clavicles was considered as any physical evidence of 99 trauma above the clavicles. Vomiting was present if noted in the chart. If the treating physician 100

was unable to obtain any information it was noted as "unable to obtain". Radiologists' official 101 readings were used to assess presence of abnormal head CT. The word "acute" needed to appear 102 in the radiology report describing the intracranial findings for the image to be considered 103 104 "positive". The NOC were first applied to the positive scans to determine if they would have been detected using the rules. The same rules were applied to patients with normal head CT 105 scans to evaluate for potential reduction, if any, in total head CTs ordered. Neither cognitive nor 106 psychometric testing was performed due to the retrospective nature of the investigation. 107 Consensus among abstractors regarding collection and recording was reached by periodic 108 discussions as needed. 109

110 Chi square analysis was used for the dichotomous variables of gender, presence of 111 dementia, anticoagulant use, type of fall, and injury above the clavicle. Regression analysis was 112 used to determine if any of the historical or physical examination variables were independent 113 predictors of intracranial injury. Statistical analysis was performed using STATVIEW (SAS, 114 Inc). This study, UMCIRB #08-0773, was reviewed and deemed exempt by the University and 115 Medical Center Office for Human Research Integrity.

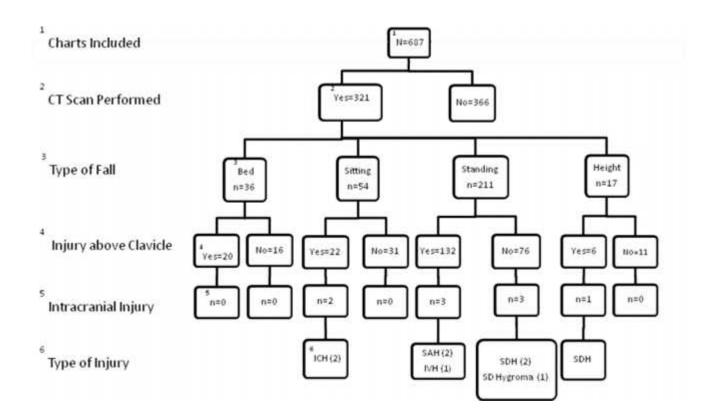
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117 RESULTS

Patients ranged in age from 65 to 98 years. Out of 687 reported falls, 321 cranial CTs were performed (46.4%). The mean age of patients receiving head CT after fall was 81.4 years (range 65-98). No difference in age existed between those with acute intracranial findings vs. those without acute findings (P= .67). Only 9 (2.8%) of the 321 scans showed evidence of acute intracranial injury (Figure 1) with 33 extra-cranial findings noted: scalp hematoma (n=16), soft tissue edema (n=7), sinusitis (n=5), facial/orbital fracture (n=4), and cervical spine injury (n=1).

- 124 Two stable/chronic subdural hematomas and one stable hygroma were noted making twelve
- 125 patients with abnormal CT scans. Of the acute intracranial injuries, none required neurosurgical
- intervention but 7 (78%) were admitted to the hospital for physical, occupational, or speech
- 127 therapy. Two were made Do Not Resuscitate by family members.
- 128 Figure 1:

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Forty-five patients presented again within 30 days, primarily for wound checks. No new

- abnormal CT scans were noted upon return visit. 67% (6 of 9) of the intracranial injuries
- 133 occurred in patients with visible injury above the clavicles, although this did not prove to be an

independent predictor of acute CT findings (p=.20). None of the independent variables were

e 2).

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- 138

139 Table 2: Comparison of patients with positive CT findings vs. patients with no acute CT

140 findings

Variable	No Findings on CT	Acute Findings on CT	P-value
Age (years)	80.0±7.7	81.3±8.3	.67
Gender	79% Female	67% Female	.41
Presence of Dementia	141 (44.0%)	4 (1.8%)	.40
Aspirin Use	149 (46.7%)	6 (1.9%)	.41
Injury above clavicle	189 (58.9%)	6 (1.9%)	.20
Fall from Bed	36 (11.3%)	0 (0%)	.31
Fall from Sitting	52 (16.3%)	2 (0.6%)	.19
Fall from Standing	205 (64.5%)	6 (1.9%)	.68

Fall from Height	16 (5.0%)	1 (0.3%)	.52

141

- 142 Using the non-age items of the NOC, EMR of 296 patients receiving head CTs were able to be
- reviewed. 123 (45%) had a history of dementia. Only 36 (15%) of the 238 patients in whom
- 144 complete events about the fall were ascertained were reported to have had alteration of
- 145 consciousness. All twelve of the patients with abnormal head CTs (9 acute, 3 chronic) were
- identified by application of the non-age NOC. (Table 3)
- 147 Table 3: Non-age Related New Orleans Head CT Rules Patient Findings

	No Acute Head CT Abnormalities			Total Head CT abnormalities		
	Total	N [#]	%	Total	N	%
Trauma above the clavicles	174	284	61	11	12	92
Altered memory	12 *	281	4	3	12	25
Intoxication	11	284	4	1	12	8
Headache	80	246	33	6	11	55
Vomiting	9	257	4	0	12	0.0
Seizure Activity	1	255	0.3	1	12	8

148 #Total number of responses different based on ability of physician to obtain information from patient or witnesses

149 *1 patient with impaired short term memory, 3 patients with newly diagnosed confusion, 6 Patients with worsening of baseline confusion, 3
 patients with unknown baseline mental status

- 151
- 152 The finding most frequently associated with abnormal head CT was trauma above the clavicle.
- 153 Strict application of the non-age NOC to this population would have reduced the number of
- patients receiving head CTs by 20% without missing any abnormal head CTs (Table 4).
- 155 Table 4: Reduction of Head CT scans by patient history and attributes

Calculated reduction in Head CT Scans under Each Condition	
All Patients	Patients with
N=296	Abnormal Head CT scans
	N=12

New Orleans Head CT rules Alone	59 (20%)	0
New Orleans Head CT rules, Adding History of Dementia	32 (10%)	0
New Orleans Head CT rules , Adding Fall from height and Anticoagulation	48 (16%)	0
New Orleans Head CT rules, Adding Fall from height, Anticoagulation and History of Dementia	24 (8%)	0

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*If information was unable to be obtained from a patient the patient was considered to require a head CT scan for further evaluation

157 Addition of dementia, fall from height, or current anticoagulation therapy to the non-age NOC

produced a lesser reduction in CT scans ranging from 8-16% as shown in Table 4 above. Data 158

for antiplatelet and anticoagulation therapy are shown in Table 5. 159

160

161 Table 5: Anticoagulation and Antiplatelet Therapy

	No Acute Abnormality N=284	Total Head CT Abnormalities N=12	Р
Antiplatelet Therapy*	147 (52%)	9 (75%)	.24
Anticoagulation Therapy [#]	33 (12%)	1 (8%)	.79

162 *Aspirin or Plavix Therapy

163 # Warfarin or Heparin product

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165 DISCUSSION

Clinical decision rules often use age as an exclusion criterion due to a higher reported 166 incidence of injury in elderly adults, contributing to a significant amount of cranial imaging in 167 this population with mostly negative results [17]. It will be important to better define the 168 population of elderly patients in whom imaging may not be necessary after a fall. Much work 169 has been done regarding decision-making and whether a patient is at high, medium, or low risk 170 for intracranial injury after a minor head injury. All have concluded that age of >60-65 years 171 places the patient at high risk and recommend imaging [3-10]. None have attempted to isolate the 172 173 population of patients over age 65 years who have an apparent minor head injury. Neurosurgical significance is frequently used in descriptions of primary outcome measures 174

involving a range of 0.1% to 6% as well as clinically important brain injury ranging from 6% to
176 15-20%. Our study population had a neurosurgical intervention rate of 0%, with 78% of the
injuries judged clinically important based upon information from Stiell and colleagues [18].

While the incidence of falls in our study was consistent with epidemiological reports, we 178 179 found a low incidence (2.8%) of positive scans. Our selected clinical variables were identical to those included in all the studies cited and included additional variables specific to the elderly 180 population (anticoagulation and dementia). None of the independent variables we selected 181 proved to be associated with abnormal head CT, either due to the mechanism of simple fall and 182 its associated low kinetic energy or the low number of positive scans. Injury above the clavicle 183 was closest to reaching statistical significance. A post-hoc power analysis indicated that 45 184 positive scans would be needed to make this a significant predictor at(P=.05) with 90% power 185 and an additional 1650 scans or approximately 4 to 5 years of patient EMR would need to be 186 assessed. Our small number of positive findings is consistent with other studies involving minor 187 head injuries [6, 19], reiterating the low frequency of abnormal head CT scans in patients with 188 minor head injuries and emphasizing that abnormal head CT resulting from falls is rare. The 189 190 majority of patients in our study had no alteration of consciousness and were at their baseline mental status when they presented to the ED. These findings have been shown to be good 191 prognostic factors in patients with minor head injuries [19]. 192

One goal of this study was to evaluate if the non-age NOC are effective when used with elderly patients presenting after falls. Using the intention to treat model, when components of the NOC were unable to be obtained, the patients required a head CT scan. When the non-age NOC were applied to patients with abnormal head CT scans, all were detected. If these components of the NOC had been strictly applied to the population at presentation there would

have been 20% fewer head CT scans ordered. This reduction is highly dependent upon obtaining 198 reliable histories from patients or witnesses for patients with dementia; admittedly a major 199 difficulty for physicians. A large number (46%) of patients had dementia and the NOC could be 200 201 applied to a significant number of patients in this study with severe dementia only with the contribution of witnesses, as the patient was unable to provide details of the fall. Fortunately, the 202 components of the NOC were able to be obtained for 64% of patients with dementia. Patients or 203 caregivers were able to describe events during or after the fall, as well as relate current mental 204 status to baseline mental status. With the low number of abnormal head CT scans we further 205 evaluated the NOC performance by incorporating high-risk patients. Nagurney et al [20] showed 206 that elderly patients fall from a height or down stairs less frequently but these falls are more 207 likely to result in abnormal head CT scans. The effect of anticoagulation on the likelihood of 208 abnormal head CT scan is less clear [21-23]. Our data found no increased frequency of abnormal 209 head CT in patients taking anticoagulant therapy. When the patients on anticoagulation therapy 210 or with falls from a height are excluded and the NOC applied, there is a modest 16% reduction in 211 212 head CT. If all patients with dementia are excluded from consideration and scanned, there is only an 8% reduction in head CT scans ordered. 213

In the major studies and recommendations, some period of altered consciousness has been used as an indicator of head injury. Our study found that momentary alteration of neurological function may not be a sufficient indicator of head injury. Only a minority of patients had alteration in consciousness (15%), however 50% (6 of 12) of patients found to have abnormal head CT did not report any alteration in consciousness. Therefore, alteration in consciousness was not useful in determining if imaging was needed; a finding that only adds to the current lack of clarity when evaluating elderly patients for head injury. An acutely abnormal

221 head CT may be present despite not having a period of altered consciousness used to clinically define head injury. All elderly patients that present after trauma should be carefully assessed 222 clinically for signs of intracranial injury. These findings will guide whether to obtain imaging in 223 224 their evaluation. Among our physicians, dementia/inability to assess mental status, anticoagulation, and injury above the clavicles were the most common reasons cited for ordering 225 head CT for an elderly patient with a fall. While 34% of those without dementia were scanned, 226 66% of those with dementia were scanned (P=.001). This difference held true for 227 anticoagulation with aspirin (55% scanned on aspirin vs. 45% not on aspirin (P=.01) and injury 228 above the clavicle (75% scanned with injury vs. 25% scanned without injury (P=.001)). 229 However, none of these variables were predictive of intracranial injury. 230 As physicians, "The fear of failing to identify brain injury has led to the liberal and 231 excessive use of CT scanning of patients with blunt head trauma who have even a remote 232 possibility of intracranial injury" [5]. This is now coupled with concerns of cumulative radiation 233 exposure to the individual patient. The use of any diagnostic modality needs to be justified by 234 improving healthcare outcomes and the cost of medicine. This aspect of geriatric emergency 235 medicine seems promising as an area of investigation. Evidence based guidelines are needed to 236 provide assistance in the evaluation of elderly patients for acute intracranial injury after suffering 237 a fall. 238

The low rate of positive findings in our study suggest an underpowered study, however it may simply reflect the mechanism of injury alone. Higher velocity injuries were included in the studies referenced, whereas this study limited the population to elderly patients with a simple fall. The number of visits and scans performed are still similar to those studies cited in the creation of the existing decision strategies yet the frequency and severity of injury was much

less. Our finding of only 2.9% intracranial injuries is lower than previously reported and may simply reflect this different mechanism of injury. Our study included only patients with a simple fall, a very common source of injury in the elder population, whereas studies validating implementation of the published decision rules included patients incurring injury from high velocity injuries as well as patients under 65 years of age. Post-hoc power analysis revealed that an additional 1650 CT scans, approximately 4 to 5 years of patient EMR, would need to be reviewed to obtain significance in the variables analyzed at (P = .05) with 90% power.

Our data are therefore not intended to be generalizable to all ages of patients, those 251 previously studied, or those with different mechanisms of injury. Patients living in long-term 252 care facilities have been reported to have an increased risk of falling [19, 20]. We did not 253 include "location of fall", such as nursing home, assisted living facility, personal home, or public 254 place, and may have introduced selection bias against a patient with a higher level of 255 independence. It is also possible that we did not capture everyone that sought fall-related 256 medical care or presented again within 30 days after their ED discharge at the time of initial 257 258 injury. The retrospective design precluded us from cognitively assessing patients for post concussive symptoms or conditions developing after 30 days. However, as the data collection 259 began in the spring of 2009 and the last patient included was from December 31, 2008 as well as 260 being the only hospital in the county and the primary regional referral source for our area of the 261 state we feel that we would have detected all patients returning to our facility within 30 days of 262 injury. 263

264 CONCLUSION

A low incidence of acutely abnormal head CT scans exists in the population of patients over 65 years of age after a fall. No single factor predicted the patient with an acutely abnormal head

267 CT. The non-age related components of the NOC predicted all (100%) of the patients that had an abnormal head CT; and if applied strictly would have decreased the number of head CTs 268 ordered by 20%. Dementia, trauma above the clavicle, and anticoagulant usage by the patient 269 were associated with performance of head CT by the emergency physician but not with the 270 presence of intracranial injury. 271 272 Acknowledgement: Thanks to Allison Mainhart for technical and editorial assistance during the 273 preparation and submission process 274 275 Funding: There was no funding for this project. Competing Interest: None of the authors had any conflict of interest or financial considerations 276 during the preparation or submission of this manuscript. 277 Authors' Contributions: 278 Charles K. Brown, MD: origination of the idea; assisted design of data collection instrument; data 279 collection of single risk factors; manuscript preparation and submission; senior and corresponding 280 author 281 Jennifer M. Bennett, MD: design of data collection instrument; data collection of single risk factors; 282 283 manuscript preparation 284 Nathan R. Nehus, MD: application of the New Orleans Criteria to the data set; manuscript preparation 285 Matthew R. Astin, MD: application of the New Orleans Criteria to the data set; manuscript preparation Reuben Johnson, MD: design of data collection instrument; data collection of single risk factors; 286 287 manuscript preparation 288 Kori L. Brewer, PhD: assisted design of data collection instrument; data analysis; statistical analysis; 289 manuscript, Table, and Figure preparation; assisted manuscript submission

- 290 This research was approved as exempt by the University and Medical Center Institutional
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